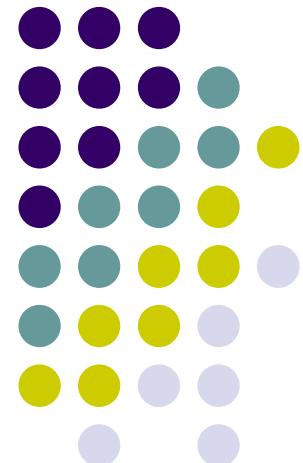


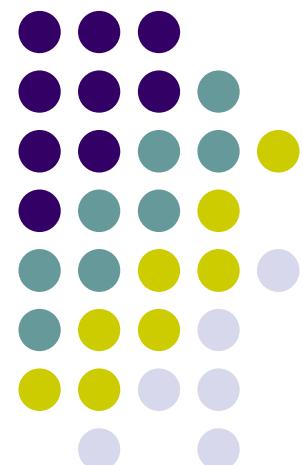
Rara afis, rara avis

Jesús Jiménez (U València)
Maria-Rosa Lloret (U Barcelona)
Clàudia Pons (U Barcelona)



Rara *afis*, rara *avis*, or the weird behavior of labiodental fricatives

Jesús Jiménez (U València)
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Clàudia Pons (U Barcelona)





Introduction

General facts:

- In **Catalan**, like in other languages, there are **several** phenomena in which the voiceless labiodental fricative (*f*) and, to a lesser extent, its voiced counterpart (*v*) pattern with stops rather than with other fricatives.
- This puzzling behavior, even if familiar, is not well explained in the literature (cf. Wheeler 2005).



The aim of this paper is twofold:

- a) to provide an explanation of the behavior of **f/v** on the basis that labiodental fricatives are ranked between stops and sibilant fricatives in the sonority scale, and
- b) to suggest the possibility that the sonority scale may have a non-discrete, gradient nature (in line with work by Boersma & Hayes 2001).



Outline of the presentation

I. Data: Obstruents in Catalan

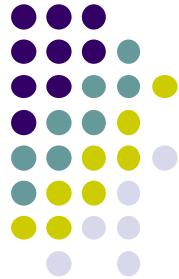
- Targets of phenomena
- Triggers of phenomena
- Structures

II. Analysis

- *f* & *v* in the sonority scale

III. Further issues: Variation

IV. Concluding remarks



I. Data: Preliminaries

Catalan obstruent (O) system:

- Stops (T/D):
 - T: /p, t, k/
 - D: /b, d, g/
- Sibilant fricatives (S/Z):
 - S: /s, ʃ, ts, tʃ/
 - Z: /z, ʒ, dz, dʒ/
- Labiodental fricatives (f/v):
 - f: /f/
 - (v: /v/ in some dialects only)



I. Data: Targets

1. Word-final devoicing (and gliding) of O (general)

- T/D: /t/ po[t] 'pot' Cf. po[t]et 'pot (dim)'
/d/ po[t] 's/he can' Cf. po[d]ia 's/he could'
- S/Z: /s/ na[s] 'nose' Cf. na[s]os 'noses'
/z/ pi[s] 'flat' Cf. pi[z]os 'flats'
- f/v: /f/ ba[f] 'breath' Cf. ba[f]ada 'puff'
(/v/ ser[f] 'slave' Cf. ser[v]ir 'to serve'
to[w] 'soft' Cf. to[v]et 'soft (dim)'
be[w] 's/he drinks' Cf. be[v]ia 's/he drank'
lle[w]/[f]* 'I remove' Cf. lle[v]ar 'to remove')

* 1st person PI of class I verbs in insular Catalan may show paradigmatic effects and devoice like other O: [f] in **Alghero** and varieties of **Balearic Catalan**. Otherwise, the general alternation is with a **glide**: [v]~[w].



2. Voice assimilation (general)

a) Coda voice assimilation (O.C)

O in a coda agree in voicing with the following C:

T/D:	/t/	po[t] tancat	'close pot'	po[d] negre	'black pot'
	/d/	po[t] tenir	's/he can have'	po[d] negar	's/he can deny'
S/Z:	/s/	na[s] petit	'small nose'	na[z] gran	'big nose'
	/z/	pi[s] petit	'small flat'	pi[z] gran	'big flat'
f/v:	/f/	ba[f] pudent	'stinky breath'	ba[v] dolent	'bad breath'
	(/v/	ser[f] petit	'small slave'	ser[v] gran	'big slave')



b) Voicing across words (O # Vowel)

When followed by a word-initial V: T/D & **typically f/v** maintain the voiceless character resulting from word-final devoicing, whereas S/Z assimilate the voicing of the following V:

T/D & f/v:	/t/	po[t] obert	'open pot'
	/d/	po[t] obrir	's/he can open'
	/f/	ba[f] últim	'last breath'
	(/v/)	ser[f] informal	'informal slave',
		lle[f] informes	'I remove reports')
S/Z:	/s/	na[z] enorme	'big nose'
	/z/	pi[z] immens	'huge flat'

f/v-voicing is possible, however, esp. when stress is far:

/f/ fotògra[f]/[v] alemány 'German photographer'

3. Manner assimilation (Majorca & Minorca, with /v/)

Manner assimilation applies when a C in coda position is followed by a **more sonorant C**. As for O:

- **T/D** systematically undergo manner assimilation:

/t/	po[f] fred	'cold pot'	po[r] rus	'Russian pot'
	po[n] negre	'black pot'	po[l] lila	'purple pot'
/d/	po[v] venir	's/he can come'	po[r] roncar	's/he can snore'
	po[n] negar	's/he can deny'	po[ʌ] lleigir	's/he can read'

- **S/Z**, although they can undergo manner assimilation when followed by certain C, tolerate a higher degree of intersyllabic sonority rising, *i.e.* S/Z.N (other varieties show Rhotacism):

/s/	na[s] petit	'small nose'	na[r] rus	'Russian nose'
	na[z] negre	'black nose'	na[l] lila	'purple nose'
/z/	pi[z] vell	'old flat'	pi[r] rodó	'round flat'
	pi[z] nou	'new flat'	pi[ʌ] lleig	'ugly flat'



- **f/v**, replicating stops again, **tend** to assimilate the manner of articulation of the following more sonorant C, **and also when they are followed by a stop** (see v-Gliding too).

/f/ ba[n] natural ‘natural breath’ ba[r] repel·lent ‘disgusting breath’

aga[p] pomes ‘I take apples’ aga[l] làmimes ‘I take sheets’

/v/ lle[n] nexes ‘I remove links’ lle[ʌ] illeganyes ‘I remove sleeps’

lle[p] pomes ‘I remove apples’ lle[l] làmimes ‘I remove sheets’



4. Rhotacism and gliding (Majorca, with /v/)

- In some varieties, alveolar S/Z in codas undergo a process of rhotacism when followed by certain non-sibilant C:

/s/, /z/	de[j]gràcia	'misfortune'	fanta[j]ma	'phantom'
/s/	na[j] blanc	'white nose'	na[j] negre	'black nose'
/z/	pi[j] vell	'old flat'	pi[j] modern	'modern flat'

- Palatal S/Z in codas undergo a process of gliding when followed by a C:

/ʃ/	pe[j] salat	'salty fish'	Cf.	pe[ʃ]	'fish'
				pe[ʃ]era	'fish bowl'
/dʒ/	ra[j] de vi	'jet of wine'	Cf.	ra[tʃ]	'jet (of liquid)'
				ra[dʒ]ar	'to flow from'



- While S/Z in internal codas undergo rhotacism and gliding, both phenomena are unknown for **T/D** & **f**, which undergo other processes of assimilation instead (as seen before):

T/D:

/t/	po[b]	blanc	'white pot'	po[n]	negre	'black pot'
/d/	po[v]	venir	's/he can come'	po[n]	negar	's/he can deny'

f:

/f/	ba[d]	dolent	'bad breath'	ba[n]	natural	'natural breath'
	aga[p]	pomes	'I take apples'	aga[n]	nous	'I take walnuts'

- **v** does not undergo rhotacism, but post-V undergoes gliding in coda position:

/v/: **Coda [w]**

lle[w]	'I remove'
lle[w] nexes	'I remove links'
to[w]	'soft'
to[w] del tot	'completely soft'
be[w]	's/he drinks'
be[w] bé	's/he drinks well'

Onset [v]

lle[v]ar	'to remove'
lle[v] un poc	'I remove a little'
to[v]et	'soft (dim)'
to[v] i suau	'soft and smooth'
be[v]ia	's/he drank'
be[v] un poc	's/he drinks a little'

- NB: Remember that 1st person PI of class I verbs can behave like T/D (& f) due to **paradigmatic effects**:

/v/ = **T/D (& f)**:

lle[f]	'I remove'
lle[n] nexes	'I remove links'

Cf. lle[v]ar	'to remove'
lle[f] un poc	'I remove a little'



I. Data: Triggers

5. Epenthesis between words (Alghero, with /v/)

- T/D & f/v in word-final position followed by a word beginning with a C trigger a process of [i]-epenthesis (they appear as voiceless due to **word-final devoicing**):

/t/: fe[t] [i] per tu ‘done by you’ Cf. fe[t] ‘done’, fe[t]a ‘done (fem)’

/g/: be[k] [i] bé ‘I drink well’ Cf. be[k] ‘I drink’, be[g]ut ‘drunk’

be[k] [i] whisky ‘I drink whisky’ whisk[i]

/v/: vi[f] [i] molt... ‘I live very...’ Cf. vi[f] ‘I live’, vi[v]ir ‘to live’

- S/Z do not (they undergo **coda voice assimilation**):

/s/: é[z] whisky ‘it’s whisky’ Cf. é[s] ‘it is’, é[s]er ‘to be’

/z/: pi[s] petit ‘small flat’ pi[s] ‘flat’, pi[z]os ‘flats’



6. Rhotacism (varieties of Majorca, with /v/)

- Rhotacism applies when the following C is a **voiced non-sibilant C** (D, N, v) and **less systematically** f:

+ D,N,v:	de[j] gràcia ‘misfortune’	fanta[j] ma ‘phantom’
	na[j] blanc ‘white nose’	na[j] negre ‘black nose’
	pi[j] vell ‘old flat’	pi[j] modern ‘modern flat’

+ f:	e[j] fera ‘sphere’	pi[j] fred ‘cold flat’
------	---------------------------	-------------------------------

Cf. + T: na[s] **petit** ‘small nose’ na[s] **trencat** ‘broken nose’



I. Data: Structures

7. Complex onsets (general)

- **T/D & f/v** can combine with l/r in complex onsets:

T/D:	[tr]es	'three'	[pl]ou	'it rains'
	[d]rac	'dragon'	[bl]au	'blue'
f/v:	[fr]esc	'fresh'	[fl]or	'flower'
	([vr]itat	'true' < veritat	[vl]adimir	'Vladimir')

- **S/Z** cannot (all sC- loans are adapted with **e**-initial epenthesis):

S/Z: e-Snoopy, e-sport, e-slam



I. Data: Sum up

- Overall:

$$F/V = T/D$$

- But:

$$F = T/D$$

(X Gliding, target)

$$V = S/Z$$

(✓ Gliding, target)

- and variation F/V:

$$F/V [f] \sim [v]$$

(Voicing O##V, target)

$$F/V [N] \sim [v]$$

(Manner ass. O.N, target)

$$[j] \sim [s].F$$

(Rhotacism, trigger)



II. Analysis (without variation)

	T	f	D	v	S	Z
Word-final devoicing (WFD)						
Voice assimilation O.C						
Manner assimilation O.N						
Rhotacism (target)						
Complex onset						
Voicing O##V				WFD	WFD	
Epenthesis O##C				WFD	WFD	
Gliding						
Rhotacism (trigger)						



- Sonority scale:

T < f < D < V < S < Z < N < r < r, l < G, ɿ < V

0 1 2 3 4 5 6 7 8 9 10



II. Analysis: Voice assimilation

- Trigger of sibilant voicing across words:

Agree[±voice]_{WIn}: An obstruent and a word-initial segment must agree in voicing.

(Cf. Lloret&Jiménez 2009. On the prominence of word-initial position, cf., e.g., Nooteboom 1981, Byrd 1986, Hawkins&Cutler 1988, Barnes 2002, Chitoran *et al.* 2002, van Oostendorp 2003)



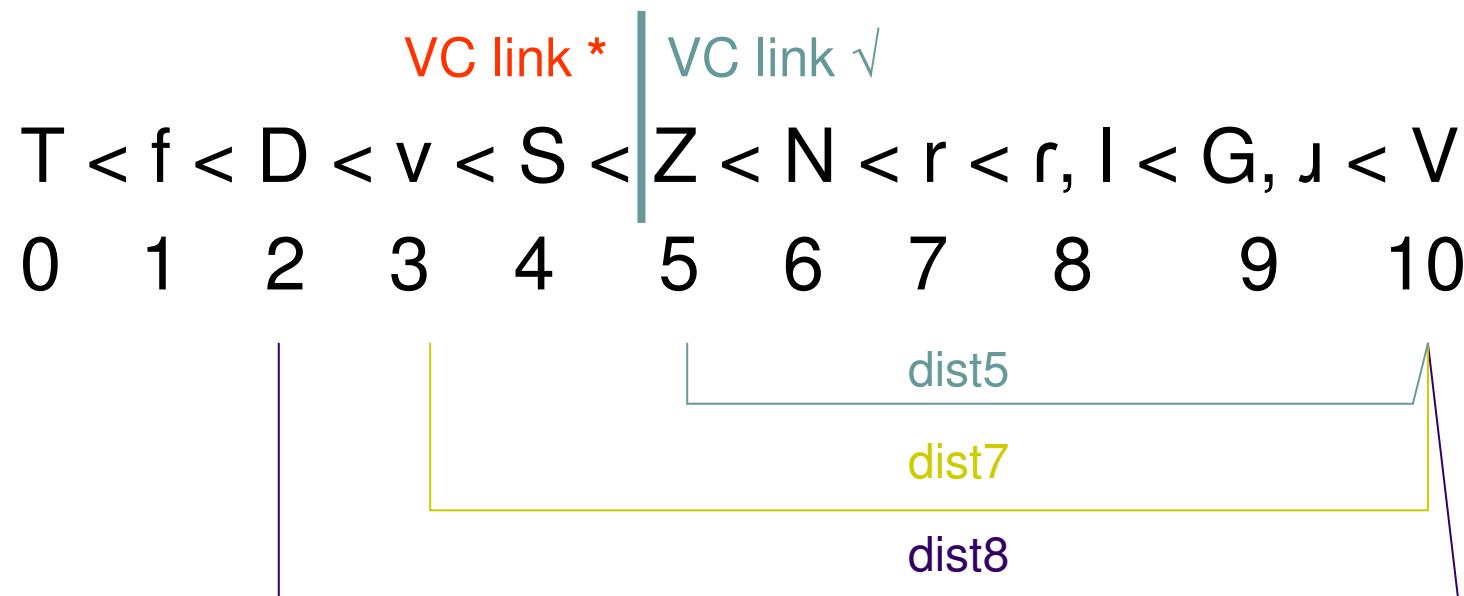
- Trigger of word-final voiceless stop and f maintenance across words:

Gradual No-VC-Link(voice), prevents stops from voicing (as a result of $\text{Agr}[\pm\text{vc}]_{WIn}$) due to the degree of dissimilarity between segments.

(Cf. Jiménez 1999, Lloret&Jiménez 2009; *apud* Itô *et al.* 1995. Also in Bermúdez-Otero 2001, 2006 to prevent voice from spreading between V & C within words)



- **No-VC-Link(voice) family of constraints**, ranked according to the degree of dissimilarity between segments:





- **No-VC-Link(voice) ranking:**

The more distance, the worse link.

... No-VC-Link(dist8) >> No-VC-Link(dist7) >> No-VC-Link(dist6) >> No-VC-Link(dist5) ...

- **Relevant ranking for Catalan (general):**

... No-VC-Link(dist8) >> No-VC-Link(dist7) >>
Agree[±voice]_{WIn}, No-VC-Link(dist6) >> No-VC-Link(dist5) ...



[t V]: dist 10
 [d V]: dist 8

po/t/ obert ‘open pot’	No-VC- Link(dist10)	No-VC- Link(dist8)	Agree[±vc]_{WIn}	No-VC- Link(dist6)	No-VC- Link(dist5)
☺ a. po[t] obert			*		
b. po[d] obert		*!			

po/d/ obrir ‘s/he can open’	No-VC- Link(dist10)	No-VC- Link(dist8)	Agree[±vc]_{WIn}	No-VC- Link(dist6)	No-VC- Link(dist5)
☺ a. po[t] obrir			*		
b. po[d] obrir		*!			



[**f** V]: dist 9
 [**v** V]: dist 7

ba/ f / último ‘last breath’	No-VC-Link(dist9)	No-VC-Link(dist7)	Agree[±vc]_{WIn}	No-VC-Link(dist6)	No-VC-Link(dist5)
☺ a. ba[f] último			*		
b. ba[v] último		*!			

ser/ v / informal ‘informal slave’	No-VC-Link(dist9)	No-VC-Link(dist7)	Agree[±vc]_{WIn}	No-VC-Link(dist6)	No-VC-Link(dist5)
☺ a. ser[f] informal			*		
b. ser[v] informal		*!			



[**s** V]: dist 6
 [**z** V]: dist 5

na/ s / enorme ‘big nose’	No-VC-Link(dist8)	No-VC-Link(dist7)	Agree[±vc]_{WIn}	No-VC-Link(dist6)	No-VC-Link(dist5)
a. na[s] enorme			*!		
☺ b. na[z] enorme					*

pi/ z / immens ‘huge flat’	No-VC-Link(dist8)	No-VC-Link(dist7)	Agree[±vc]_{WIn}	No-VC-Link(dist6)	No-VC-Link(dist5)
a. pi[s] immens			*!		
☺ b. pi[z] immens					*



II. Analysis: Epenthesis

- Trigger of epenthesis across words:

No-Internal-Coda family of constraints, ranked according to the relative sonority of the segment in the coda:

The less sonorous, the worse coda.

No-Int-Coda(T) >> No-Int-Coda(f) >> No-Int-Coda(D) >> No-Int-Coda(v) >> No-Int-Coda(S) >> No-Int-Coda(Z) >> No-Int-Coda(N) ...



- **Epenthesis constrained by:**

Dep: Every element of the output has a correspondent in the input.

- **Relevant ranking for Alghero Catalan:**

No-Int-Coda(T) >> No-Int-Coda(f) >> No-Int-Coda(D) >> No-Int-Coda(v) >> **Dep** >> No-Int-Coda(S) >> No-Int-Coda(Z) >> No-Int-Coda(N)...



fe/ t / per tu 'done by you'	No-Internal-Coda(T)	No-Internal-Coda(f)	Dep	No-Internal-Coda(S)
a. fe[t] per tu	*!			
☺ b. fe[t i] per tu			*	

vi/ v / per tu 'I live for you'	No-Internal-Coda(T)	No-Internal-Coda(f)	Dep	No-Internal-Coda(S)
a. vi[f] per tu		*!		
☺ b. vi[f i] per tu			*	



é/ s / petit 'it's small'	No-Internal-Coda(T)	No-Internal-Coda(f)	Dep	No-Internal-Coda(S)
☺ a. é[s] petit				*
b. é[s i] petit			*!	

diu/ n / tres 'they say three'	Dep	No-Internal-Coda(S)	No-Internal-Coda(N)
☺ a. diu[n] tres			*
b. diu[n i] tres	*!		



II. Analysis: Manner Assim.

- Trigger of Manner assimilation (Majorca & Minorca Catalan):

Intersyllabic Distance (InterDist): A constraint which penalizes rising sonority profiles in syllable borders. (Gouskova 2004; Pons 2005, press)

The more rising is a profile,
the worse is the intersyllabic contact.

... InterDist(+2) >> InterDist(+1) >> InterDist(0) >>
InterDist(-1) >> InterDist(-2) ...

(NB: Geminates are invulnerable to InterDist constraints.
Hence, InterDist(0) only relevant for heterorganic clusters.)



- Examples (with S/Z in the coda):

Contact

[s] ## [p]	na[s ₄ p ₀]etit
[s] ## [f]	pi[s ₄ f ₁]red
[s] ## [v]	pi[z ₅ v ₃]ell
[s] ## [r]	na[r ₇ r ₇]us
[s] ## [n]	na[z ₅ n ₆]egre

Tolerated profiles

-4	(0–4)
-3	(1–4)
-2	(3–5)
GEM	
+1	(6–5)

Discarded profiles

[s] ## [r]	na[z ₅ r ₇]us	+2	(7–5)
------------	--------------------------------------	----	-------



- Examples (with T/D and f/v in the coda):

Contact

[f] ## [p] aga[p₀ p₀]omes
[p] ## [f] ca[f₁ f₁]lor
[f] ## [n] lle[n₆ n₆]exes
[t] ## [n] po[n₆ n₆]egre

Tolerated profiles

GEM
GEM
GEM
GEM

Discarded profiles

[f] ## [p]	aga[f ₁ p ₀]omes	-1	(0–1)
[p] ## [f]	ca[p ₀ f ₁]lor	+1	(1–0)
[f] ## [n]	lle[v ₃ n ₆]exes	+3	(6–3)
[t] ## [n]	po[d ₂ n ₆]egre	+4	(6–2)



- **Manner assimilation constrained by:**
 - **Ident(sibilant) (IdentSib):** Assign one violation mark for every sibilant input segment whose output correspondent is not sibilant. (Cf. Pater 1999)
 - Other **IdentManner** (IdentCont, IdentO...) constraints.
- **Relevant ranking for Majorca & Minorca Catalan:**

... InterDist(+2) >> **IdentSib** >> InterDist(+1) >> InterDist(0) >> InterDist(-1) >> InterDist(-2), **IdentManner** ...



na/ s / petit 'small nose'	Inter Dist(+2)	Ident Sib	Inter Dist(+1)	Inter Dist(-3)	Inter Dist(-4)
☺ a. na[s] petit					*
b. na[p] petit		*!			

pi/ z / fred 'cold flat'	Inter Dist(+2)	Ident Sib	Inter Dist(+1)	Inter Dist(-3)	Inter Dist(-4)
☺ a. pi[s] fred				*	
b. pi[f] fred		*!			



na/ s / negre ‘black nose’	InterDist(+2)	IdentSib	InterDist(+1)
☺ a. na[z] negre			*
b. na[n] negre		*!	

na/ s / rus ‘Russian nose’	InterDist(+2)	IdentSib	InterDist(+1)
a. na[z] rus	*!		
☺ b. na[r] rus		*	



ca/p/ flor 'any flower'	Inter Dist(+3)	Inter Dist(+2)	Ident Sib	Inter Dist(+1)	Inter Dist(-1)	Ident Manner
a. ca[p] flor				*!		
☺ b. ca[f] flor						*

aga/f/ pomes 'I take apples'	Inter Dist(+3)	Inter Dist(+2)	Ident Sib	Inter Dist(+1)	Inter Dist(-1)	Ident Manner
a. aga[f] pomes					*!	
☺ b. aga[p] pomes						*

lle/v/ nexes 'I remove links'	Inter Dist(+3)	Inter Dist(+2)	Ident Sib	Inter Dist(+1)	Inter Dist(-1)	Ident Manner
a. lle[v] nexes	*!					
☺ b. lle[n] nexes						*



II. Analysis: Rhotacism

- Trigger of rhotacism in varieties of Majorca Catalan:

Intersyllabic Distance (InterDist):

The more rising is a profile,
the worse is the intersyllabic contact.

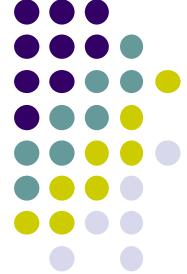


- Examples:

Contact	Tolerated profiles
[s] ## [p]	*na[\jmath_9 p_0]etit
[s] ## [f]	pi[\jmath_9 f_1]red
[s] ## [b]	na[\jmath_9 b_2]lanc
[s] ## [v]	pi[\jmath_9 v_3]ell
[s] ## [p]	na[s_4 p_0]etit
[s] ## [n]	na[\jmath_9 n_6]egre

*[\jmath p] could be a good profile, but [s p] too (see tableaux below)

Discarded profiles
pi[s_4 f_1]red
na[z_5 b_2]lanc
pi[z_5 v_3]ell
na[z_5 n_6]egre



- Rhotacism constrained by:

IdentSib & IdentCont

Crucially, **IdentSib** demoted in the ranking.



- **Ranking in Majorca & Minorca Catalan without rhotacism (but manner assim.):**

... InterDist(+2) >> **IdentSib** >> InterDist(+1) >>
InterDist(0) >> InterDist(-1) >> InterDist(-2),
IdentManner ...

- **Ranking in Majorca Catalan with rhotacism:**

... InterDist(+2) >> InterDist(+1) >> InterDist(0) >>
InterDist(-1) >> InterDist(-2), **IdentCont** >> InterDist(-3)
>> **IdentSib** >> InterDist(-4) ...



na/ s / petit 'small nose'	Ident Cont	Inter Dist(-3)	Ident Sib	Inter Dist(-4)	Inter Dist(-9)
☺ a. na[s] petit				*	
b. na[u] petit			*!		*
c. na[p] petit	*!		*		

pi/ z / fred 'cold flat'	Ident Cont	Inter Dist(-3)	Ident Sib	Inter Dist(-4)	Inter Dist(-8)
a. pi[s] fred		*!			
☺ b. pi[u] fred			*		*
c. pi[f] fred	*!		*		



pi/ <i>z</i> / vell 'old flat'	Inter Dist(-2)	Ident Cont	Inter Dist(-3)	Ident Sib	Inter Dist(-4)	Inter Dist(-6)
a. pi[<i>z</i>] vell	*!					
☺ b. pi[<i>u</i>] vell				*		*
c. pi[<i>v</i>] vell		*!		*		

na/ <i>s</i> / negre 'black nose'	Inter Dist(+1)	Ident Cont	Inter Dist(-3)	Ident Sib	Inter Dist(-4)
a. na[<i>z</i>] negre	*!				
☺ b. na[<i>u</i>] negre			*	*	
c. na[<i>n</i>] negre		*!		*	

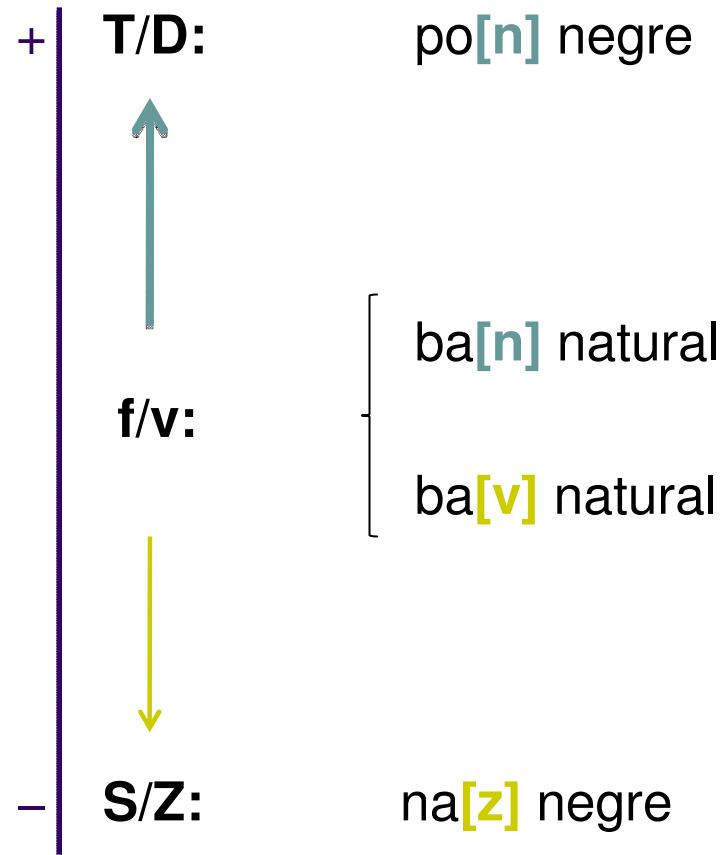


III. Further issues: Variation

	T	f	D	v	S	Z
Word-final devoicing (WFD)						
Voice assimilation O.C						
Manner assimilation O.N						
Rhotacism (target)						
Complex onset						
Voicing O##V						
Epenthesis O##C						
Gliding						
Rhotacism (trigger)						

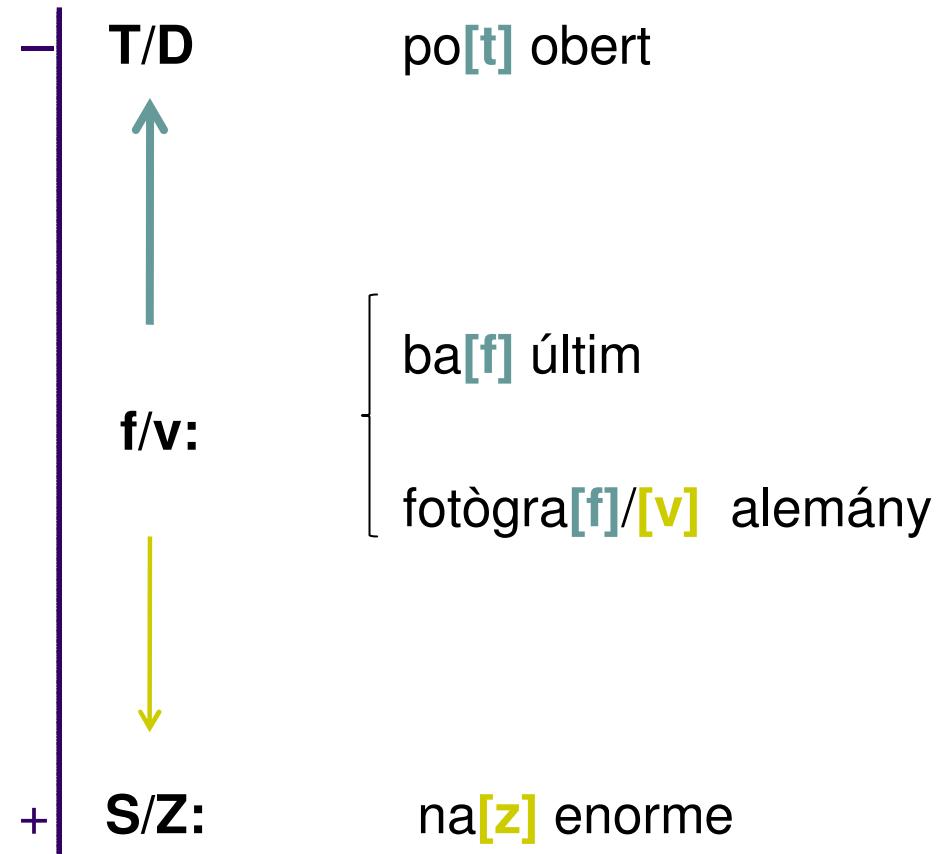


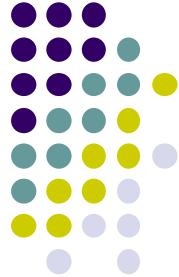
Manner assimilation O.N



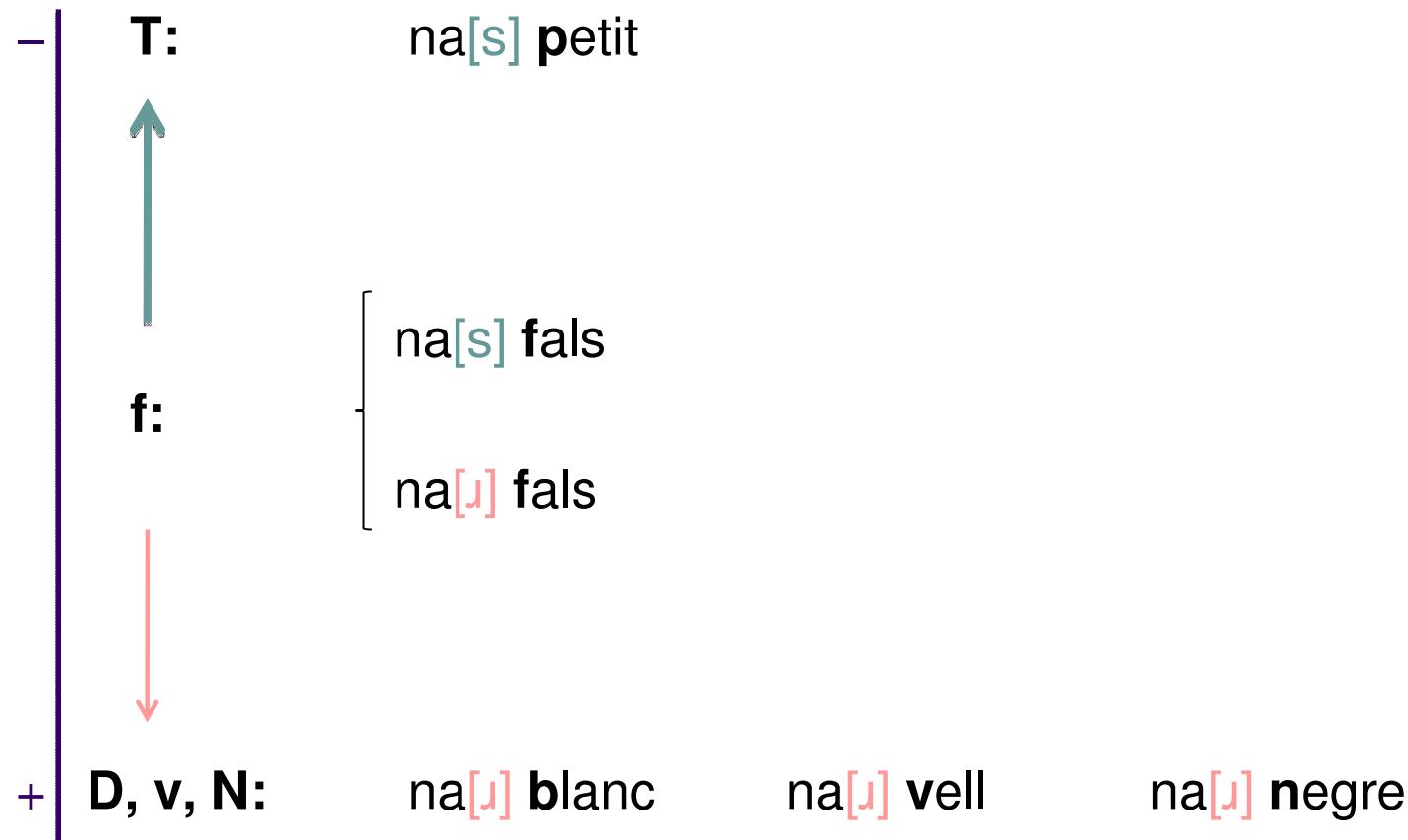


Voicing O ## V



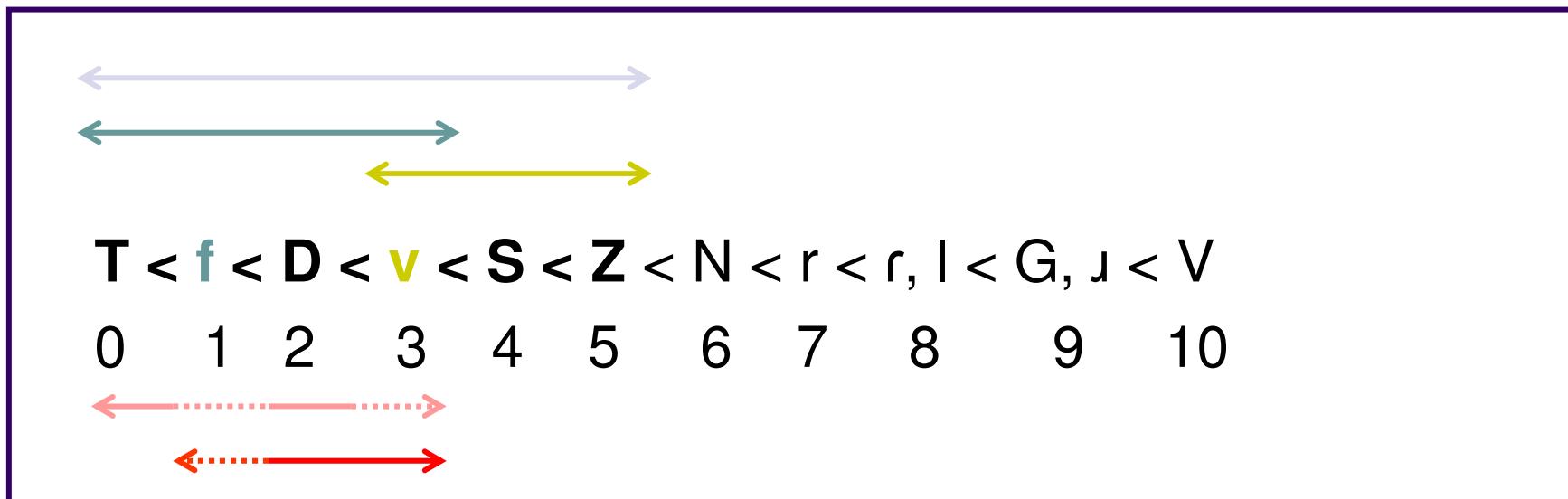


Rhotacism (triggers)





- Behavior of O in the sonority scale:



- Word-final devoicing, Voice assim O.C
- Epenthesis, Complex onsets
- Gliding
- Remain voiceless in O##V, Manner ass. O.N — Variation
- Rhotacism (trigger) — Variation

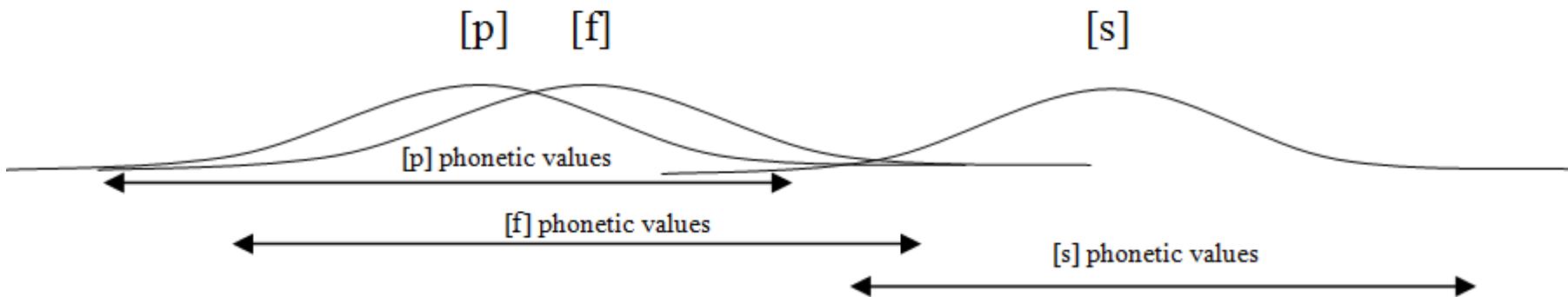


IV. Concluding remarks

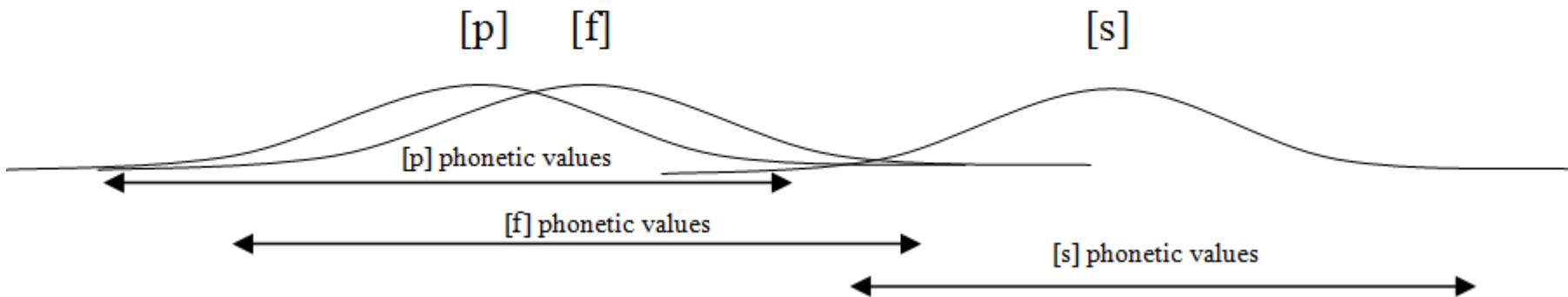
- The behavior of **f** & **v** is mostly ambiguous: They usually pattern with stops, but they can also pattern with sibilants.
- **f** & **v** do not form a homogeneous group either, since sometimes they are targets of different requirements.

- We suggest that the variability of labiodental fricatives as far as voicing assimilation, manner assimilation and rhotacism are concerned is not derived from the constraint hierarchy, but from the **erratic position** that labiodental fricatives have **within the sonority scale** (as other ambiguous segments do, e.g. liquids).
- This supports the idea that the phonetic organization of segments along the sonority scale should have **a non-discrete, gradient nature**, in line with the work by Boersma & Hayes (2001).

- Boersma & Hayes' proposal could be adapted to the organization of segments in the sonority scale in such a way that each specific sound would cover a range of values in that scale, which would correspond to their **phonetic properties**. And this range, or part of it, might overlap the range allocated to another sound.
- **Gradient sonority scale:**



- In the cases where the range of values for different sounds overlap, a **different phonological interpretation** of the relative sonority of the sounds across languages, linguistic varieties and across the speech of a single speaker could be allowed and would indeed be expected. Hence, **different phonological sonority hierarchies** could be possible.



- The consequence of such an approach to the sonority scale would be that **the position in the hierarchy of some sounds should be less fixed than that of others.**

According to our prediction, this would be the case of segments that are **cross-linguistically ambiguous as far as sonority is concerned**, like labiodental fricatives (as well as liquids).



Thank you for your attention

(ppt soon available at: <http://www.uv.es/foncat>)

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