

# Problemas abiertos en dinámica de operadores

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## Wikipedia

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## Theorem (G. D. Birkhoff, 1929)

There is an entire function  $f : \mathbb{C} \rightarrow \mathbb{C}$  such that, for any entire function  $g : \mathbb{C} \rightarrow \mathbb{C}$  and for every  $a \in \mathbb{C} \setminus \{0\}$ , there is a sequence  $(n_k)_k$  in  $\mathbb{N}$  such that

$$\lim_k f(z + an_k) = g(z) \text{ uniformly on compact sets of } \mathbb{C}.$$

## Birkhoff's result, in terms of dynamics

- $\mathcal{H}(\mathbb{C}) := \{f : \mathbb{C} \rightarrow \mathbb{C} ; f \text{ is entire}\}$ .
- Endow  $\mathcal{H}(\mathbb{C})$  with the compact-open topology  $\tau_0$  (topology of uniform convergence on compact sets of  $\mathbb{C}$ ).
- Consider the (continuous and linear!) map

$$T_a : \mathcal{H}(\mathbb{C}) \rightarrow \mathcal{H}(\mathbb{C}), \quad f(z) \mapsto f(z + a).$$

- Then there are  $f \in \mathcal{H}(\mathbb{C})$  so that the orbit under  $T_1$ :

$$\text{Orb}(T_a, f) := \{f, T_a f, T_a^2 f, \dots\}$$

is dense in  $\mathcal{H}(\mathbb{C})$ .

In this talk we will focus on some of the open problems arising in linear dynamics that concern

- Existence of orbits with some density properties (frequent hypercyclicity and related).
- Dynamical recurrence of operators.
- Existence of invariant measures with respect to an operator, with certain ergodic properties.
- Entropy in the dynamics of operators.
- Dynamics of  $C_0$ -semigroups of operators and applications to linear PDEs and infinite systems of linear ODEs.
- Different chaotic properties in linear dynamics.

- An operator  $T : X \rightarrow X$  is **topologically transitive** if, for any  $U, V \subset X$  non-empty open sets there exists  $n \in \mathbb{N}$  such that  $T^n(U) \cap V \neq \emptyset$ . Within our context, this is equivalent to **hypercyclicity**, that is, the existence of vectors  $x \in X$  whose orbit under  $T$  is dense in  $X$ .

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- $T$  is **Devaney chaotic** if it is topologically transitive, and the following set is dense in  $X$ :  $\text{Per}(T) := \{\text{periodic points of } T\} = \{x \in X ; T^n x = x \text{ for some } n\}$ .

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- (Li-Yorke) An uncountable subset  $S \subset X$  of a metric space  $(X, d)$  is called a **scrambled set** for a dynamical system  $f : X \rightarrow X$  if for any  $x, y \in S$  with  $x \neq y$  we have  $\liminf_n d(f^n(x), f^n(y)) = 0$  and  $\limsup_n d(f^n(x), f^n(y)) > 0$ .  $f$  is called **Li-Yorke chaotic** if it admits a scrambled set.

- An operator  $T : X \rightarrow X$  is **topologically transitive** if, for any  $U, V \subset X$  non-empty open sets there exists  $n \in \mathbb{N}$  such that  $T^n(U) \cap V \neq \emptyset$ . Within our context, this is equivalent to **hypercyclicity**, that is, the existence of vectors  $x \in X$  whose orbit under  $T$  is dense in  $X$ .
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- (Bayart, Grivaux)  $T$  is **frequently hypercyclic** if there is  $x \in X$  such that, for each nonempty open set  $U \subset X$ ,

$$\underline{\text{dens}}N(x, U) := \liminf_n \frac{|\{k \leq n ; T^k x \in U\}|}{n} > 0.$$

### Problem 1 [Bayart and Grivaux]

If an operator  $T \in L(X)$  is invertible and frequently hypercyclic, is  $T^{-1}$  frequently hypercyclic?

### Problem 2 [Bayart and Grivaux]

If  $T_1, T_2 \in L(X)$  are frequently hypercyclic, is  $T_1 \oplus T_2$  frequently hypercyclic?

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(Beauzamy): A vector  $x$  is said to be **irregular** for an operator  $T \in L(X)$  on a Banach space  $X$  if  $\sup_n \|T^n x\| = \infty$  and  $\inf_n \|T^n x\| = 0$ .

(Bermúdez, Bonilla, Martínez-Giménez, P.):  $T \in L(X)$  is Li–Yorke chaotic if, and only if,  $T$  admits irregular vectors.

It is known (Ansari) that any hypercyclic operator admits a dense manifold consisting of (except 0) hypercyclic vectors.

### Problem 4 [Bernardes, Bonilla, Müller, P.]

Does dense Li–Yorke chaos imply the existence of a dense irregular manifold for operators?

## Definitions

The **support** of a Borel probability measure  $\mu$ , denoted by  $\text{supp}(\mu)$ , is the smallest closed subset  $F$  of  $X$  such that  $\mu(F) = 1$ .  $T$  is **ergodic** if  $T^{-1}(A) = A$  for  $A \in \mathfrak{B}$  implies  $\mu(A)(1 - \mu(A)) = 0$ .  $T$  is **strongly mixing** with respect to  $\mu$  if

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Several recent studies (Bayart, Grivaux, Matheron) show that there exist ergodic (strongly mixing)  $T$ -invariant measures with full support provided that  $T$  admits a “good source” of unimodular eigenvalues and eigenvectors.

## Problem 5 [Grivaux, Matheron, Menet]

Do there exist ergodic operators on the Hilbert space without eigenvalues?

Let  $X$  be an infinite-dimensional Banach space. A family  $\{T_t\}_{t \geq 0}$  of linear and continuous operators on  $X$  is said to be a  **$C_0$ -semigroup** if  $T_0 = Id$ ,  $T_t T_s = T_{t+s}$  for all  $t, s \geq 0$ , and  $\lim_{t \rightarrow s} T_t x = T_s x$  for all  $x \in X$  and  $s \geq 0$ .

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$$Ax := \lim_{t \rightarrow 0^+} \frac{T_t x - x}{t}, \quad (1)$$

exists on a dense subspace of  $X$ ; denoted by  $D(A)$ . Then  $(A, D(A))$  is called the **(infinitesimal) generator** of the  $C_0$ -semigroup  $\{T_t\}_{t \geq 0}$ . If  $D(A) = X$ , then the  $C_0$ -semigroup can be rewritten as  $\{e^{tA}\}_{t \geq 0}$ . Such a semigroup is the corresponding solution  $C_0$ -semigroup of the abstract Cauchy problem

$$\left\{ \begin{array}{l} u'(t, x) = Au(t, x) \\ u(0, x) = \varphi(x), \end{array} \right\}. \quad (2)$$

The solutions to this problem can be expressed as  $u(t, x) = e^{tA}\varphi(x)$ , where  $\varphi(x) \in X$ .

A  $C_0$ -semigroup  $\{T_t\}_{t \geq 0}$  on a Banach space  $X$  is **hypercyclic** if there are  $x \in X$  such that the orbit  $\{T_t x ; t \geq 0\}$  is dense in  $X$ .  
It is well-known that if  $x$  is a hypercyclic vector for  $T$ , then its orbit  $\{x, Tx, T^2x, \dots\}$  is a linearly independent set.

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#### Problem 6 [Conejero, P.]

Is the orbit of a hypercyclic vector for a  $C_0$ -semigroup  $\{T_t\}_{t \geq 0}$  always a linearly independent set?

Let  $T \in L(X)$  on a Banach space  $X$ . Let  $K \subset X$  be a compact subset and  $n \in \mathbb{N}$ . For  $x, y \in X$  define

$$d^n(x, y) = \max\{\|T^i x - T^i y\| : i = 0, 1, \dots, n-1\}.$$

Let  $\varepsilon > 0$ . A finite subset  $F \subset X$  is  $(n, \varepsilon)$ -separated if  $d^n(x, y) \geq \varepsilon$  for all  $x, y \in F, x \neq y$ .

Let  $s(n, \varepsilon, K)$  be the maximal cardinality of a  $(n, \varepsilon)$ -separated subset of  $K$ . Let

$$h(T, \varepsilon, K) = \limsup_{n \rightarrow \infty} \frac{\log s(n, \varepsilon, K)}{n}$$

and

$$h(T, K) = \lim_{\varepsilon \rightarrow 0_+} h(T, \varepsilon, K).$$

The **Bowen entropy** of  $T$  is defined by

$$h(T) = \sup\{h(T, K) : K \subset X \text{ compact}\}.$$

(Bayart, Müller, P.): Let  $\dim X < \infty$  and  $T \in B(X)$ . Then

$$h(T) = \sum_{\substack{\lambda \in \sigma(T) \\ |\lambda| > 1}} 2 \log |\lambda|,$$

where each  $\lambda \in \sigma(T)$  is counted according to its algebraic multiplicity.

### Problem 7 [Bayart, Müller, P.]

Is it possible that

$$\sum_{\substack{\lambda \in \sigma(T) \\ |\lambda| > 1}} 2 \log |\lambda| < h(T) < \infty?$$

If  $K$  is compact, let  $D(K)$  be the diameter of  $K$  and  $D_i(K)$  the diameter of  $T^i(K)$ . For  $n \geq 1$ ,  $x, y \in K$ , let

$$d^n(x, y, K) = \max \left\{ \frac{\|T^i x - T^i y\|}{\max(1, D_i(K))}; i = 0, \dots, n-1 \right\}.$$

A subset  $F \subset K$  is  $(n, \varepsilon)$ -separated if

$$\forall x \neq y \in F, d^n(x, y, K) \geq \varepsilon.$$

$$s(n, \varepsilon, K) = \sup \{ \text{card } F; F \subset K \text{ is } (n, \varepsilon)\text{-separated} \}$$

$$h(T, \varepsilon, K) = \limsup_{n \rightarrow +\infty} \frac{\log s(n, \varepsilon, K)}{n}$$

$$h(T) = \sup_{\varepsilon > 0} \sup_K h(T, \varepsilon, K).$$

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### Problem 8 [Bayart, Müller, P.]

Do there exist operators with positive and finite operator entropy?

(Read): There exist operators  $T$  on  $\ell^1$  such that **every**  $x \in \ell^1$ ,  $x \neq 0$ , is a hypercyclic vector for  $T$ . In other words,  $T$  admits no invariant closed subset, except the trivial ones ( $\{0\}$  and  $\ell^1$ ).

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### Invariant Subset (Subspace) Problem

Do there exist operators on the Hilbert space without non-trivial invariant closed subsets (subspaces)?