

# Extended Eigenvalues for bilateral weighted shifts

F. León-Saavedra

Universidad de Cádiz

*fernando.leon@uca.es*

*Joint work with M. Lacruz ,L.J. Muñoz, S. Petrovic, Zabeti*

*XIII encuentro Red Análisis Funcional. Cáceres 2017*

March 8, 2017

# Contents

- 1 Introduction
- 2 Extended eigenvalues for Cesàro operators
  - Extended eigenvalues for  $C_\infty$ .
  - Extended eigenvalues for  $C_1$  and  $C_0$ .
- 3 Extended Eigenvalues for bilateral weighted shifts
- 4 Some open questions

## Definition

If  $AX = \lambda XA$  for some  $X \neq 0$ ,  $\lambda$  is called an extended eigenvalue and  $X$  an (extended) eigenoperator of  $A$ .

Scott Brown (1979) and Kim, Moore and Percy (1979), independently.

If an operator  $A$  on a Banach space has a non-zero compact eigenoperator, then  $A$  has a nontrivial, hyperinvariant subspace.

Lomonosov (1973)

If  $A$  commutes with a non-zero compact operator then  $A$  has a non-trivial hyperinvariant subspace.

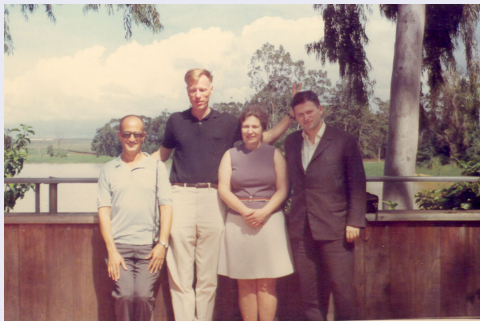
Extended eigenvalue has taken on a life of its own.

- Further results of Lomonosov-type [4, 5].
- Studies of the extended eigenvalues and eigenoperators for interesting classes of naturally occurring operators [1, 2, 3, 1, 2]

We continue this latter thread

*Extended eigenvalues for Cesàro operators* J. Math. Anal. App, **429**, 2015, 623-657 (with Lacruz, Petrovic and Zabeti)

*Extended eigenvalues for bilateral weighted shifts* J. Math. Anal. App, **444**, 2016, 1591-1602 (with Lacruz and Muñoz)



Cesàro operators on  $\ell^2$ ,  $L^2[0, 1]$  and  $L^2[0, \infty)$

$$(C_0 f)(n) = \frac{1}{n+1} \sum_{k=0}^n f(k) \quad (C_1 f)(x) = \frac{1}{x} \int_0^x f(s) ds$$

$$(C_\infty f)(x) = \frac{1}{x} \int_0^x f(s) ds$$

## Question

Extended eigenvalues for Cesàro operators?

## Main Theorem

The set of extended eigenvalues for  $C_\infty$  it reduces to the singleton  $\{1\}$ , for  $C_1$  it is the interval  $(0, 1]$  and for  $C_0$  is the interval  $[1, \infty)$ .

# Extended eigenvalues for $C_\infty$ .

## Definition

A bounded linear operator  $U$  on a complex Hilbert space  $H$  is a bilateral shift of multiplicity one provided that there is an orthonormal basis  $(e_n)$  of  $H$  such that  $Ue_n = e_{n+1}$  for all  $n \in \mathbb{Z}$ .

## Brown-Halmos- Shields. $C_\infty$ on $L^2[0, \infty)$

They proved that  $C_\infty$  is a bounded linear operator, and they also proved that  $I - C_\infty^*$  is unitarily equivalent to a bilateral shift of multiplicity one.

## Theorem

Let  $U$  be a bilateral shift of multiplicity one, and let  $\lambda$  be a complex number with  $\lambda \neq 1$ . Then the equation  $(I - U^*)X = \lambda X(I - U^*)$  has only the trivial solution  $X = 0$ .

## Lemma

Let  $X$  be an operator satisfying  $(I - U^*)X = \lambda X(I - U^*)$ , and let  $\cdots, X_{-1}, X_0, X_1, X_2, \cdots$  be the rows of the matrix of  $X$ . Then  $X_{n+1} = (\lambda U + 1 - \lambda)X_n$ , for all  $n \in \mathbb{Z}$ . Consequently, for any  $m, n \in \mathbb{N}$ ,  $X_{m+n} = (\lambda U + 1 - \lambda)^n X_m$ . In particular, if  $m = 0$ ,  $X_n = (\lambda U + 1 - \lambda)^n X_0$ , for all  $n \in \mathbb{N}$ .

## Theorem

The set of extended eigenvalues for the infinite continuous Cesàro operator  $C_\infty$  defined on the complex Banach space  $L^p[0, \infty)$  reduces to the singleton  $\{1\}$ .

## Theorem

There exists a Schauder basis  $\{e_n\}$ ,  $n \in \mathbb{Z}$  on  $L^q[0, \infty)$  such that  $(1 - 2/qC_\infty^*)e_n = e_{n+1}$  for all  $n \in \mathbb{Z}$ .

## Tools

- ① To prove that  $\lambda$  is an extended eigenvalue for  $T$ , there is no choice but to show the existence of an operator  $X_\lambda$  such that  $TX_\lambda = \lambda X_\lambda T$ : a) Constructively b) Using Baire Category's theorem.
- ② (Rosenblum) If  $\sigma(A) \cap \sigma(B) = \emptyset$  then  $X = 0$  is the only solution of the equation  $AX - XB = 0$ . If  $\lambda$  is an extended eigenvalue then  $\sigma(T) \cap \sigma(\lambda T) \neq \emptyset$ .
- ③ Semigroup techniques were used by Biswas to discard extended values for the Volterra operator.

# Extended eigenvalues for $C_1$ and $C_0$ .

## Operators with rich point spectrum

We say that an operator  $T$  on a complex Banach space has rich point spectrum provided that  $\text{int}\sigma_p(T) \neq \emptyset$ , and that for every open disc  $D \subset \sigma_p(T)$ , the family of eigenvectors  $\bigcup_{z \in D} \ker(T - z)$  is a total set.

## Theorem

Let us suppose that an operator  $T$  on a complex Banach space has rich point spectrum. If  $\lambda$  is an extended eigenvalue for  $T$  then we have  $\lambda \cdot \text{int}\sigma_p(T) \subset \text{clos}\sigma_p(T)$ .

$C_0^*$  and  $C_1$  have rich point spectrum.

### Proposition

On  $\ell^p$  spaces the extended eigenvalues for  $C_0$  is contained on  $[1, \infty)$ .

### Proposition

If  $\lambda$  is an extended eigenvalue for  $C_1$  on  $L^p[0, 1]$  then  $\lambda$  is real and  $0 < \lambda \leq 1$ .

# Extended eigenvalues for $C_1$ on $L^p[0, 1]$

## Theorem

If  $0 < \lambda \leq 1$  then  $\lambda$  is an extended eigenvalue for the Cesàro operator  $C_1$  on  $L^p[0, 1]$  and a corresponding extended eigenoperator is the weighted composition operator  $X_0 \in \mathcal{B}(L^p[0, 1])$  defined by

$$(X_0 f)(x) = x^{(1-\lambda)/\lambda} f(x^{1/\lambda}).$$

# Extended eigenvalues for $C_0$ on $\ell^2$

## Kriete-Trutt (1974/75)

There exists a positive finite measure defined on the Borel subsets of the complex plane and supported on  $\overline{\mathbb{D}}$  and a unitary operator  $U : \ell^2 \rightarrow H^2(\mu)$  such that  $C_0 = U^*(I - M_z)U$ , ( $H^2(\mu)$  denotes the closure of the polynomials on  $L^2(\mu)$ ).

## Theorem

If  $\lambda \geq 1$  then  $\lambda$  is an extended eigenvalue for  $I - M_z$  and a corresponding extended eigenoperator is the composition operator  $X$  defined by the expression  $(Xf)(z) = f\left(\frac{\lambda-1}{\lambda} + \frac{z}{\lambda}\right)$ .

## Corollary

On  $\ell^2$  the set of extended eigenvalues for  $C_0$  is the subset  $[1, \infty)$

# The results applies for bilateral weighted shifts

$$We_n = w_n e_{n+1}, \quad n \in \mathbb{Z}$$

## Theorem

Let us suppose that an operator  $T$  on a complex Banach space is similar to  $\alpha T$  for some complex number  $\alpha$ . If  $\lambda$  is an extended eigenvalue for  $T$  then  $\lambda\alpha$  is an extended eigenvalue for  $T$ .

## Corollary

If  $W$  is a bilateral weighted shift then every  $\lambda \in \mathbb{T}$  is an extended eigenvalue for  $W$ .

## Theorem

if  $\lambda$  is an extended eigenvalue of a bilateral weighted shift  $W$  whose point spectrum has non-empty interior then  $|\lambda| = 1$ .

### Question (Shields'1974)

Let  $W$  be a invertible bilateral weighted shift. Is there exist a non-trivial closed subspace invariant for  $W$  and  $W^{-1}$ ? Is there exist a non trivial invariant subspace for  $W + W^{-1}$ ?

### Question

Which are the extended eigenvalues for a bilateral weighted shift and their corresponding extended eigenoperators?

# Intertwining relations

## Definition

A bounded operator  $A$  intertwines with a bounded operator  $B$  provided there exists a bounded operator  $X \neq 0$  such that  $AX = XB$ .

## Question

Let  $A, B$  two bilateral weighted shifts. When  $A$  intertwines with  $B$ ?

## Shields'74

An operator  $X$  intertwines two bilateral weighted shifts  $A$  and  $B$  with sequences of weights  $(\alpha_n)_{n \in \mathbb{Z}}$  and  $(\beta_n)_{n \in \mathbb{Z}}$  if and only if

$$\beta_j x_{i+1,j+1} = \alpha_i x_{i,j}$$

where  $x_{i,j} = \langle X e_j, e_i \rangle$  are the coefficients of the matrix of  $X$  with respect to the canonical basis on  $\ell^2(\mathbb{Z})$ .

## Theorem

Let  $A$  and  $B$  be two injective bilateral weighted shifts with sequences of weights  $(\alpha_n)_{n \in \mathbb{Z}}$  and  $(\beta_n)_{n \in \mathbb{Z}}$ . Then,  $A$  intertwines with  $B$ , if and only if there exist  $k \in \mathbb{Z}$  and a constant  $M$  such that

$$\left| \frac{\alpha_k \cdots \alpha_{k+n-1}}{\beta_0 \cdots \beta_{n-1}} \right| \leq M \quad \text{and} \quad \left| \frac{\beta_1 \cdots \beta_{n-1}}{\alpha_{k-1} \cdots \alpha_{k-n}} \right| \leq M$$

## Theorem

Let  $W$  be a injective bilateral weighted shift. Then, the set of extended eigenvalues for  $W$  has only one of the following pictures:  $\mathbb{C} \setminus \mathbb{D}$  or  $\mathbb{C} \setminus \{0\}$  or  $\overline{\mathbb{D}} \setminus \{0\}$ , or  $\mathbb{T}$ .

## Theorem






Let  $A$  be an injective bilateral weighted shift and let  $\lambda$  extended eigenvalue, with  $|\lambda| \neq 1$ . Then every extended eigenoperator for  $A$  corresponding to  $\lambda$  is strictly lower triangular.



## Theorem

Let  $A$  be an injective bilateral weighted shift and let  $\lambda \in \mathbb{T}$ . Then every extended eigenoperator  $X$  for  $A$  corresponding to  $\lambda$  factors as  $X = D_\lambda B$  for some  $B \in \{A\}'$ . ( $D_\lambda e_n = \lambda^{-n} e_n$ ).

## Questions

- 1 Show that if  $X$  is an extended eigenoperator for  $C_1$  on  $L^p[0, 1]$  then there exists  $R \in \{C_1\}'$  such that  $X = X_0 R$ , where  $X_0$  is a fixed eigenoperator.
- 2 Show that if  $1 < p < \infty$  and if  $\lambda$  is real and  $\lambda \geq 1$  then  $\lambda$  is an extended eigenvalue for  $C_0$  on  $\ell^p$ .
- 3 How we can weaken the conditions of intertwining in Brown and Kim-Mooore-Pearcy's theorem on the special case of the bilateral weighted shift in order to obtain new results on hyperinvariant subspace for bilateral weighted shifts.

-  P.S. Bourdon, J. H. Shapiro, Intertwining relations and extended eigenvalues for analytic toeplitz operators, Illinois J. Math. Volume 52, Number 3 (2008), 1007-1030.
-  Animikh Biswas and Sjrdan Petrovic, On extended eigenvalues of operators, Integral Eqns. Op. Th. 57 (2007), 593598.
-  Animikh Biswas, Alan Lambert, and Sjrdan Petrovic, Extended eigenvalues and the Volterra operator, Glasgow Math. J. 44 (2002), no. 3, 521534.
-  J.B. Conway and G. Prajitura, On  $\lambda$ -commuting operators, Studia Math. 166 (2005), 1-9.
-  Alan Lambert, Hyperinvariant subspaces and extended eigenvalues, New York J. Math., 10 (2004), 8388.

-  M. T. Karaev, On extended eigenvalues and extended eigenvectors of some operator classes, Proc. Amer. Math. Soc. 143 (2006), 23832392.
-  Stanislav Shkarin, Compact operators without extended eigenvalues, J. Math. Anal. App. 332 (2007), 445462.