

# GEOMETRIC CHARACTERISATIONS OF $\ell^1(\Gamma)$

---

Antonio Zarauz Moreno

XIV Encuentro de la Red de Análisis Funcional y Aplicaciones

March 10, 2018

# Outline

- 1 Introduction
- 2 Characterisation using segments
- 3 Characterisation using renormings

# Notation

- $\mathbb{K}$ :  $\mathbb{R}$  or  $\mathbb{C}$ .
- $\mathbb{T} = \{\lambda \in \mathbb{K} : |\lambda| = 1\}$ .
- $(X, \|\cdot\|_X)$ : Banach space.
- $B_{(X, \|\cdot\|_X)}$ : Closed unit ball of  $(X, \|\cdot\|_X)$ .
- $E_{(X, \|\cdot\|_X)}$ : Extreme points of  $B_{(X, \|\cdot\|_X)}$ .

## First definitions

First we introduce some definitions to deal with the problem.

### Definition

Let  $X$  be a vector space and  $A \subset X$ .  $A$  is said to be **convex** if  $(1 - t)A + tA \subset A$  for every  $t \in [0, 1]$ .

# First definitions

First we introduce some definitions to deal with the problem.

## Definition

Let  $X$  be a vector space and  $A \subset X$ .  $A$  is said to be **convex** if  $(1-t)A + tA \subset A$  for every  $t \in [0, 1]$ .

Particular subsets of the previous ones lie in the next definition.

## Definition

A subset  $F$  of a convex set  $A$  is called a **face** of  $A$  if:

- 1  $F$  is convex.
- 2 For every  $x \in F$  and  $y, z \in A$  with  $x = \frac{1}{2}(y + z)$ , we have that  $y, z \in F$ . In particular, zero-dimensional faces are **extreme points**.



Figure: Example of convex set

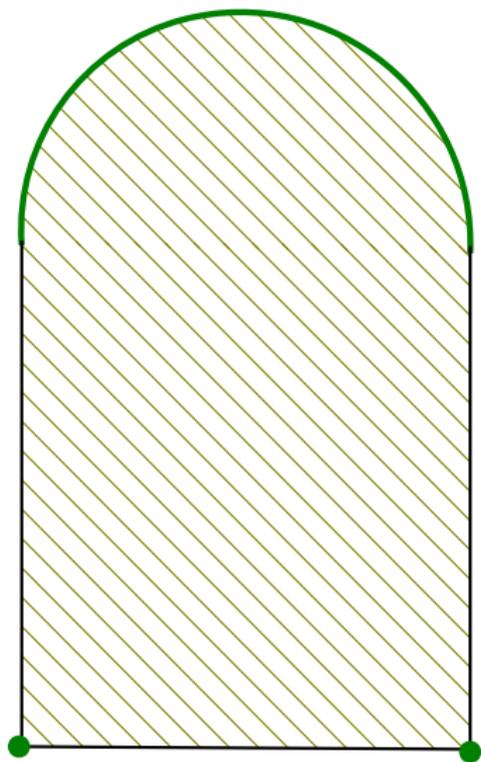


Figure: Extreme points

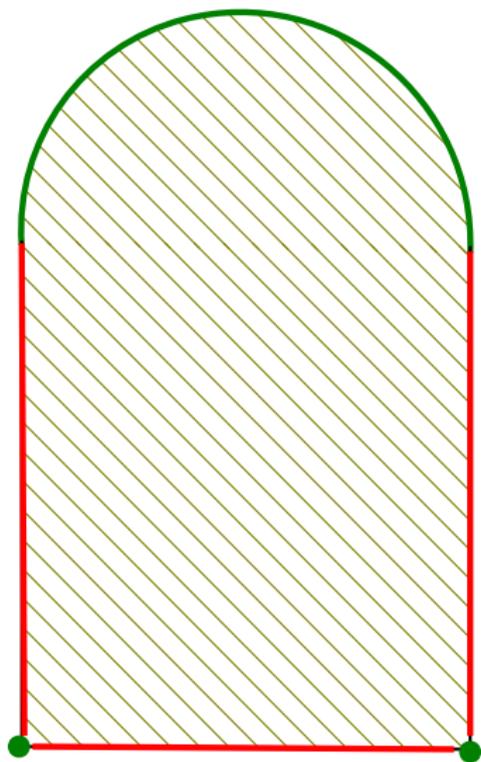


Figure: One-dimensional faces

## Finite dimensional spaces

Let  $X$  be a finite-dimensional normed space ( $\dim(X) = n$ ). Thanks to Minkowski's theorem,

$$B_X = \text{co}(E_X)$$

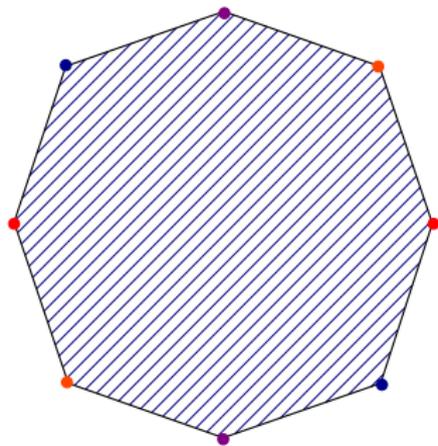
If  $x \in E_X$ , it is easy to show that  $\mathbb{T}x \subset E_X$ , hence the group  $\mathbb{T}$  acts transitively on  $E_X$ . Since the unit ball of a normed space is absorbing, in particular  $|E_X / \sim| \geq n$ .

## Finite dimensional spaces

Let  $X$  be a finite-dimensional normed space ( $\dim(X) = n$ ). Thanks to Minkowski's theorem,

$$B_X = \text{co}(E_X)$$

If  $x \in E_X$ , it is easy to show that  $\mathbb{T}x \subset E_X$ , hence the group  $\mathbb{T}$  acts transitively on  $E_X$ . Since the unit ball of a normed space is absorbing, in particular  $|E_X / \sim| \geq n$ .



# Infinite-dimensional spaces: Preserving the equation

## Theorem (Krein-Milman)

Let  $X$  be a **locally convex space** and let  $A$  be a nonempty compact convex subset of  $X$ . Then,  $A = \overline{\text{co}}(E_A)$ .

## Infinite-dimensional spaces: Preserving the equation

### Theorem (Krein-Milman)

Let  $X$  be a **locally convex space** and let  $A$  be a nonempty compact convex subset of  $X$ . Then,  $A = \overline{\text{co}}(E_A)$ .

### Theorem (Lindenstrauss, Troyanski)

Let  $A$  be a weakly compact convex set in a **Banach space**  $X$ . Then,  $A$  is the closed convex hull of its **strongly exposed points**.

## Infinite-dimensional spaces: Preserving the equation

### Theorem (Krein-Milman)

Let  $X$  be a **locally convex space** and let  $A$  be a nonempty compact convex subset of  $X$ . Then,  $A = \overline{\text{co}}(E_A)$ .

### Theorem (Lindenstrauss, Troyanski)

Let  $A$  be a weakly compact convex set in a **Banach space**  $X$ . Then,  $A$  is the closed convex hull of its **strongly exposed points**.

### Theorem (Namioka, Phelps)

Let  $A$  be a norm-closed convex bounded set in a **separable dual space**  $X^*$ . Then,  $A$  is the norm-closed convex hull of its strongly exposed points.

# Infinite-dimensional spaces: Preserving the coordinates

$a \in A$

# Infinite-dimensional spaces: Preserving the coordinates

$$a = \sum_{k=1}^n \lambda_k e_k$$

## Infinite-dimensional spaces: Preserving the coordinates

$$a = \sum_{k=1}^n \lambda_k e_k = \sum_{k=1}^n \int_A \lambda_k \delta_{e_k}$$

## Infinite-dimensional spaces: Preserving the coordinates

$$a = \sum_{k=1}^n \lambda_k e_k = \sum_{k=1}^n \int_A \lambda_k \delta_{e_k} = \int_A d\mu$$

## Infinite-dimensional spaces: Preserving the coordinates

$$a = \sum_{k=1}^n \lambda_k e_k = \sum_{k=1}^n \int_A \lambda_k \delta_{e_k} = \int_A d\mu \Rightarrow$$

## Infinite-dimensional spaces: Preserving the coordinates

$$a = \sum_{k=1}^n \lambda_k e_k = \sum_{k=1}^n \int_A \lambda_k \delta_{e_k} = \int_A d\mu \Rightarrow f(a) = \int_A f d\mu, \quad \forall f \in X^*$$

# Infinite-dimensional spaces: Preserving the coordinates

$$a = \sum_{k=1}^n \lambda_k e_k = \sum_{k=1}^n \int_A \lambda_k \delta_{e_k} = \int_A d\mu \Rightarrow f(a) = \int_A f d\mu, \quad \forall f \in X^*$$

## Theorem (Krein-Milman revisited)

Suppose that  $A$  is a metrizable compact convex subset of a locally convex space  $X$ , and that  $x_0 \in A$ . Then there is a probability measure on  $A$  which represents  $x_0$  and is supported by the **closure of the extreme points** of  $A$ .

## Infinite-dimensional spaces: Preserving the coordinates

$$a = \sum_{k=1}^n \lambda_k e_k = \sum_{k=1}^n \int_A \lambda_k \delta_{e_k} = \int_A d\mu \Rightarrow f(a) = \int_A f d\mu, \quad \forall f \in X^*$$

### Theorem (Krein-Milman revisited)

Suppose that  $A$  is a metrizable compact convex subset of a locally convex space  $X$ , and that  $x_0 \in A$ . Then there is a probability measure on  $A$  which represents  $x_0$  and is supported by the **closure of the extreme points** of  $A$ .

### Theorem (Choquet)

Suppose that  $A$  is a metrizable compact convex subset of a locally convex space  $X$ , and that  $x_0 \in A$ . Then there is a probability measure on  $A$  which represents  $x_0$  and is supported by the **extreme points** of  $A$ .

# Minkowski's functional

## Theorem

Let  $X$  be a locally convex space. The map  $p_A : X \rightarrow \mathbb{R}_0^+$  given by

$$p_A(x) = \inf \{ \lambda \in \mathbb{R}_0^+ : x \in \lambda A \}$$

defines a norm over  $X$  if and only if there exists a bounded 0-neighbourhood in  $X$ .

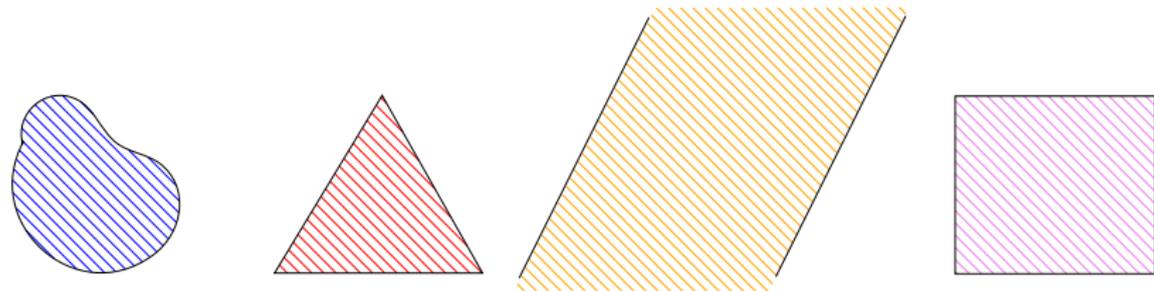
# Minkowski's functional

## Theorem

Let  $X$  be a locally convex space. The map  $p_A : X \rightarrow \mathbb{R}_0^+$  given by

$$p_A(x) = \inf \{ \lambda \in \mathbb{R}_0^+ : x \in \lambda A \}$$

defines a norm over  $X$  if and only if there exists a bounded 0-neighbourhood in  $X$ .



## Problem

*Given a vector space  $X$ , is there any norm for which  $B_X$  has a minimum number of extreme points?*

## Problem

*Given a vector space  $X$ , is there any norm for which  $B_X$  has a minimum number of extreme points?*

For infinite-dimensional spaces, the lack of compactness of  $B_X$  imposes the additional hypothesis  $B_X = \overline{\text{co}}(E_X)$ .

## Problem

*Given a vector space  $X$ , is there any norm for which  $B_X$  has a minimum number of extreme points?*

For infinite-dimensional spaces, the lack of compactness of  $B_X$  imposes the additional hypothesis  $B_X = \overline{\text{co}}(E_X)$ .

## Problem (Infinite-dimensional setting)

*Given a vector space  $X$ , is there any norm for which  $B_X$  has a “minimum number” of extreme points such that  $B_X = \overline{\text{co}}(E_X)$ ?*

# Outline

- 1 Introduction
- 2 Characterisation using segments
- 3 Characterisation using renormings

## Definition

Let  $X$  be a normed space.  $X$  has the  $(*)$ -property if, for every  $x, y \in E_X / \sim$ ,  $S_{x,y}$  is a face of  $B_X$ .

## Definition

Let  $X$  be a normed space.  $X$  has the  $(*)$ -property if, for every  $x, y \in E_X / \sim$ ,  $S_{x,y}$  is a face of  $B_X$ .

- Rotund spaces.

## Definition

Let  $X$  be a normed space.  $X$  has the  $(*)$ -property if, for every  $x, y \in E_X / \sim$ ,  $S_{x,y}$  is a face of  $B_X$ .

- Rotund spaces.
- $c_0(\Gamma)$ ,  $L^1([0, 1])$ .

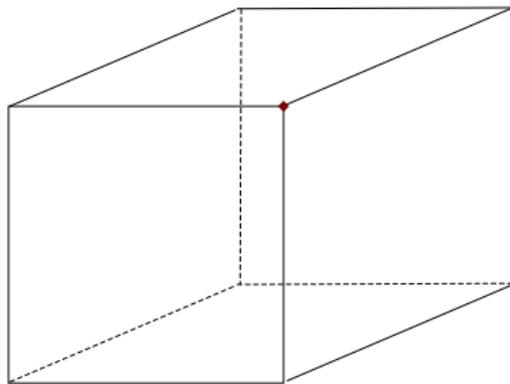
## Definition

Let  $X$  be a normed space.  $X$  has the  $(*)$ -property if, for every  $x, y \in E_X / \sim$ ,  $S_{x,y}$  is a face of  $B_X$ .

- Rotund spaces.
- $c_0(\Gamma)$ ,  $L^1([0, 1])$ .
- $H^1(\mathbb{D})$ ,  $\ell_{\mathbb{C}}^{\infty}(\Gamma)$ .

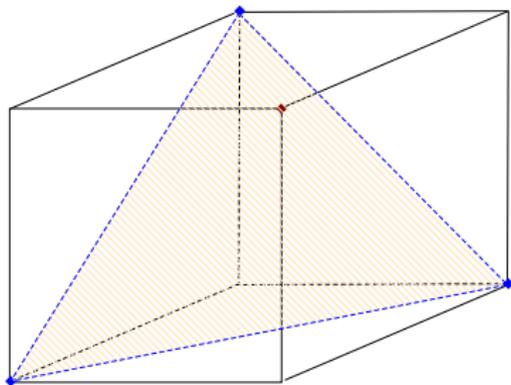
## Lemma

Let  $X$  be a normed space. Then, the  $(*)$ -property is equivalent to the linear independence of the set  $E_X / \sim$ .



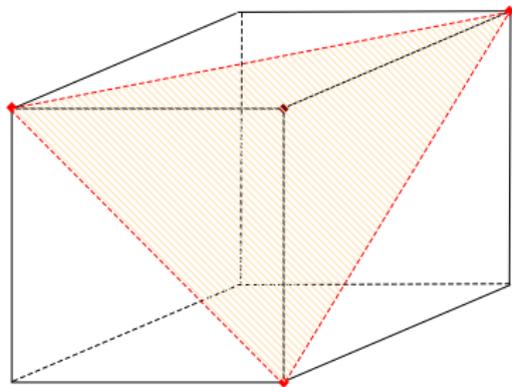
## Lemma

Let  $X$  be a normed space. Then, the  $(*)$ -property is equivalent to the linear independence of the set  $E_X / \sim$ .



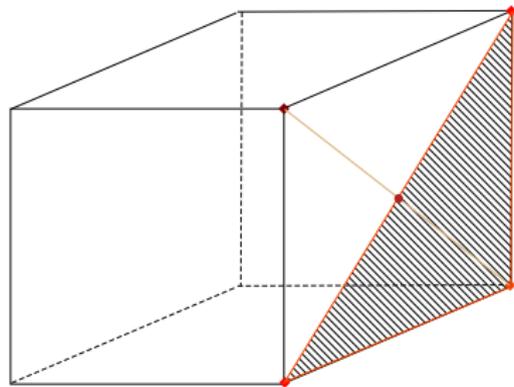
## Lemma

Let  $X$  be a normed space. Then, the  $(*)$ -property is equivalent to the linear independence of the set  $E_X / \sim$ .



## Lemma

Let  $X$  be a normed space. Then, the  $(*)$ -property is equivalent to the linear independence of the set  $E_X / \sim$ .



## Theorem

*Let  $X$  be a Banach space with the  $(*)$ -property and satisfying  $B_X = \overline{\text{co}}(E_X)$ . Then, there exists a set  $\Gamma$  such that  $X \cong \ell^1(\Gamma)$ .*

## Theorem

Let  $X$  be a Banach space with the  $(*)$ -property and satisfying  $B_X = \overline{\text{co}}(E_X)$ . Then, there exists a set  $\Gamma$  such that  $X \cong \ell^1(\Gamma)$ .

## Corollary

Under the hypothesis of the previous theorem, if  $E_X / \sim$  is also countable, then  $X \cong \ell^1(\mathbb{N})$ .

## Theorem

Let  $X$  be a Banach space with the  $(*)$ -property and satisfying  $B_X = \overline{\text{co}}(E_X)$ . Then, there exists a set  $\Gamma$  such that  $X \cong \ell^1(\Gamma)$ .

## Corollary

Under the hypothesis of the previous theorem, if  $E_X / \sim$  is also countable, then  $X \cong \ell^1(\mathbb{N})$ .

## Corollary

Let  $X$  be a finite-dimensional normed space ( $\dim(X) = n$ ) with the  $(*)$ -property. Then,  $X \cong \ell_n^1$ .

# Outline

- 1 Introduction
- 2 Characterisation using segments
- 3 Characterisation using renormings

## Definition

Let  $(X, \|\cdot\|_X)$  be a normed space.  $\|\cdot\|_X$  has the minimal vertices property if the following conditions hold:

- $B_{(X, \|\cdot\|_X)} = \overline{\text{co}}(E_{(X, \|\cdot\|_X)})$ .
- If  $\|\cdot\|$  is an equivalent norm with  $B_{(X, \|\cdot\|)} = \overline{\text{co}}(E_{(X, \|\cdot\|)})$  and  $E_{(X, \|\cdot\|)} \subset E_{(X, \|\cdot\|_X)}$ , then  $E_{(X, \|\cdot\|)} = E_{(X, \|\cdot\|_X)}$ .

## Definition

Let  $(X, \|\cdot\|_X)$  be a normed space.  $\|\cdot\|_X$  has the minimal vertices property if the following conditions hold:

- $B_{(X, \|\cdot\|_X)} = \overline{\text{co}}(E_{(X, \|\cdot\|_X)})$ .
- If  $\|\cdot\|$  is an equivalent norm with  $B_{(X, \|\cdot\|)} = \overline{\text{co}}(E_{(X, \|\cdot\|)})$  and  $E_{(X, \|\cdot\|)} \subset E_{(X, \|\cdot\|_X)}$ , then  $E_{(X, \|\cdot\|)} = E_{(X, \|\cdot\|_X)}$ .

## Proposition

$\ell^1(\Gamma)$  satisfies the minimal vertices property.

## Proposition

$\ell^1(\Gamma)$  satisfies the minimal vertices property.

## Proposition

$\ell^1(\Gamma)$  satisfies the minimal vertices property.

- Suppose that  $\|\cdot\|$  is an equivalent norm in  $\ell^1(\Gamma)$  satisfying  $B_{(\ell^1(\Gamma), \|\cdot\|)} = \overline{\text{co}}(E_{(\ell^1(\Gamma), \|\cdot\|)})$  and  $E_{(\ell^1(\Gamma), \|\cdot\|)} \subset E_{(\ell^1(\Gamma), \|\cdot\|_X)}$ .

## Proposition

$\ell^1(\Gamma)$  satisfies the minimal vertices property.

- Suppose that  $\|\cdot\|$  is an equivalent norm in  $\ell^1(\Gamma)$  satisfying  $B_{(\ell^1(\Gamma), \|\cdot\|)} = \overline{\text{co}}(E_{(\ell^1(\Gamma), \|\cdot\|)})$  and  $E_{(\ell^1(\Gamma), \|\cdot\|)} \subset E_{(\ell^1(\Gamma), \|\cdot\|_X)}$ .
- If the inclusion were strict, there would exist  $\lambda \in \mathbb{T}$  and  $n \in \mathbb{N}$  such that  $\lambda e_n \notin E_{(\ell^1(\Gamma), \|\cdot\|)}$ .

## Proposition

$\ell^1(\Gamma)$  satisfies the minimal vertices property.

- Suppose that  $\|\cdot\|$  is an equivalent norm in  $\ell^1(\Gamma)$  satisfying  $B_{(\ell^1(\Gamma), \|\cdot\|)} = \overline{\text{co}}(E_{(\ell^1(\Gamma), \|\cdot\|)})$  and  $E_{(\ell^1(\Gamma), \|\cdot\|)} \subset E_{(\ell^1(\Gamma), \|\cdot\|_X)}$ .
- If the inclusion were strict, there would exist  $\lambda \in \mathbb{T}$  and  $n \in \mathbb{N}$  such that  $\lambda e_n \notin E_{(\ell^1(\Gamma), \|\cdot\|)}$ .
- Using that  $B_{(\ell^1(\Gamma), \|\cdot\|)}$  is a balanced set, we get that

$$\mathbb{T}x \cap B_{(\ell^1(\Gamma), \|\cdot\|)} = \emptyset$$

which means that  $\mathbb{D}x \cap B_{(\ell^1(\Gamma), \|\cdot\|)} = \{0\}$  in light of the linear independence of  $E_{\ell^1(\Gamma)}/\sim$ .

## Proposition

$\ell^1(\Gamma)$  satisfies the minimal vertices property.

- Suppose that  $\|\cdot\|$  is an equivalent norm in  $\ell^1(\Gamma)$  satisfying  $B_{(\ell^1(\Gamma), \|\cdot\|)} = \overline{\text{co}}(E_{(\ell^1(\Gamma), \|\cdot\|)})$  and  $E_{(\ell^1(\Gamma), \|\cdot\|)} \subset E_{(\ell^1(\Gamma), \|\cdot\|_X)}$ .
- If the inclusion were strict, there would exist  $\lambda \in \mathbb{T}$  and  $n \in \mathbb{N}$  such that  $\lambda e_n \notin E_{(\ell^1(\Gamma), \|\cdot\|)}$ .
- Using that  $B_{(\ell^1(\Gamma), \|\cdot\|)}$  is a balanced set, we get that

$$\mathbb{T}x \cap B_{(\ell^1(\Gamma), \|\cdot\|)} = \emptyset$$

which means that  $\mathbb{D}x \cap B_{(\ell^1(\Gamma), \|\cdot\|)} = \{0\}$  in light of the linear independence of  $E_{\ell^1(\Gamma)}/\sim$ .

- Since both norms are equivalent, there exists  $C > 1$  with  $\|x\| \leq C\|x\|_X = C \Leftrightarrow \frac{1}{C}x \in B_{(X, \|\cdot\|)}$ .

## Proposition

$\ell^1(\Gamma)$  satisfies the minimal vertices property.

- Suppose that  $\|\cdot\|$  is an equivalent norm in  $\ell^1(\Gamma)$  satisfying  $B_{(\ell^1(\Gamma), \|\cdot\|)} = \overline{\text{co}}(E_{(\ell^1(\Gamma), \|\cdot\|)})$  and  $E_{(\ell^1(\Gamma), \|\cdot\|)} \subset E_{(\ell^1(\Gamma), \|\cdot\|_X)}$ .
- If the inclusion were strict, there would exist  $\lambda \in \mathbb{T}$  and  $n \in \mathbb{N}$  such that  $\lambda e_n \notin E_{(\ell^1(\Gamma), \|\cdot\|)}$ .
- Using that  $B_{(\ell^1(\Gamma), \|\cdot\|)}$  is a balanced set, we get that

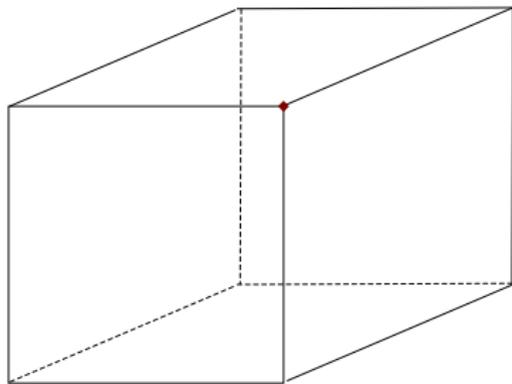
$$\mathbb{T}x \cap B_{(\ell^1(\Gamma), \|\cdot\|)} = \emptyset$$

which means that  $\mathbb{D}x \cap B_{(\ell^1(\Gamma), \|\cdot\|)} = \{0\}$  in light of the linear independence of  $E_{\ell^1(\Gamma)}/\sim$ .

- Since both norms are equivalent, there exists  $C > 1$  with  $\|x\| \leq C\|x\|_X = C \Leftrightarrow \frac{1}{C}x \in B_{(X, \|\cdot\|)}$ .
- Thanks to the fact that  $\mathbb{D}x \cap B_{(X, \|\cdot\|)} = \{0_X\}$ , we have that  $x = 0_X$ .

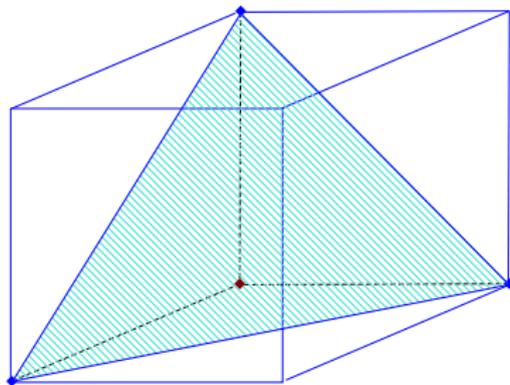
## Theorem

Let  $(X, \|\cdot\|_X)$  be a Banach space with the minimal vertices property. Then, there exists a set  $\Gamma$  such that  $X \cong \ell^1(\Gamma)$ .



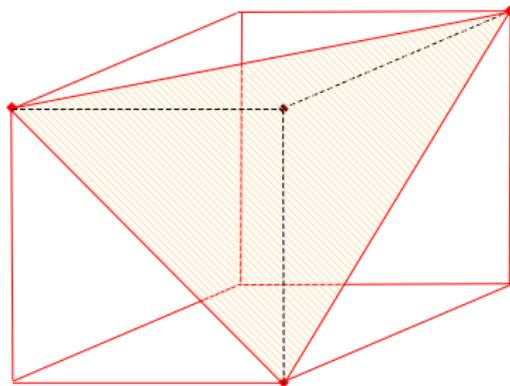
## Theorem

Let  $(X, \|\cdot\|_X)$  be a Banach space with the minimal vertices property. Then, there exists a set  $\Gamma$  such that  $X \cong \ell^1(\Gamma)$ .



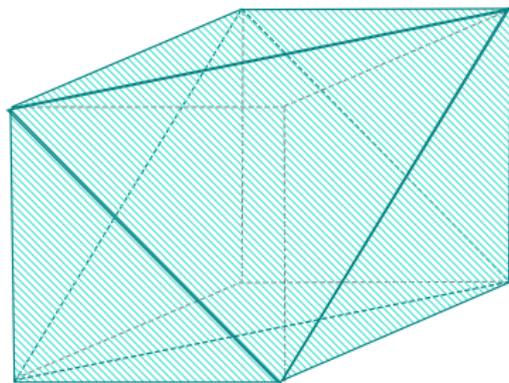
## Theorem

Let  $(X, \|\cdot\|_X)$  be a Banach space with the minimal vertices property. Then, there exists a set  $\Gamma$  such that  $X \cong \ell^1(\Gamma)$ .



## Theorem

Let  $(X, \|\cdot\|_X)$  be a Banach space with the minimal vertices property. Then, there exists a set  $\Gamma$  such that  $X \cong \ell^1(\Gamma)$ .



Thanks for your attention!