

Frequent Recurrence via Invariant Measures

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XVII Encuentro de la Red de Analisis Funcional y Aplicaciones, La Laguna

March 11, 2022

Joint work with...

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S. GRIVAUX AND A. LÓPEZ-MARTÍNEZ: Recurrence properties for linear dynamical systems: an approach via invariant measures. [arXiv:2203.03027](https://arxiv.org/abs/2203.03027).

The work was supported by the project FRONT of the French National Research Agency (grant ANR-17-CE40-0021), by the Labex CEMPI (ANR-11-LABX-0007-01), by the project FPU2019/04094 and by MCIN/AEI/10.13039/501100011033, Project PID2019-105011GB-I00.

Índex

- 1 Introduction: Topological vs Measurable Dynamics
- 2 Constructing Invariant Measures
- 3 From Reiterative to Frequent Recurrence

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What are we studying?

We will discuss some properties about **Linear Dynamics** so:

$T : X \rightarrow X$ is an **operator**; X is a (separable, infinite-dimens.) **Banach space**
(or a **continuous map**); (or a **Polish space**)

(X, T) is a **linear dynamical system** and given $x \in X$ we study its **T -orbit**:
(or a **Polish dynamical system**)

$$\text{Orb}(x, T) := \{T^n x : n \in \mathbb{N}_0\}.$$

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We focus on the **frequency** in which it visit some sets, i.e. in the "**bigness**" of

$$N(x, U) := \{n \in \mathbb{N}_0 : T^n x \in U\},$$

the **return set** from x to $U \subset X$; where U is a **neighbourhood** or $U \in \mathcal{O}(X)$...

$$\mathcal{O}(X) := \{U \text{ non-empty open subset of } X\}.$$

Topological Dynamics: Recurrence and Hypercyclicity Notions

Definition

A vector $x \in X$ is said to be:

- (i) recurrent/hypercyclic if $N(x, U)$ is infinite ...
- (ii) frequently recurrent/hypercyclic if $\underline{\text{dens}}(N(x, U)) > 0$...

$\forall U$ neighbourhood of x / $\forall U$ non-empty open subset of X .

Definition

Given $A \subset \mathbb{N}_0$ its lower density is

$$\underline{\text{dens}}(A) := \liminf_{N \rightarrow \infty} \frac{\#A \cap [0, N]}{N + 1}.$$

$\text{FRec}(T)$ (Bonilla et al., 2020) / $\text{FHC}(T)$ (Bayart and Grivaux, 2006)

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Definition

Given $A \subset \mathbb{N}_0$ its upper density is

$$\overline{\text{dens}}(A) := \limsup_{N \rightarrow \infty} \frac{\#A \cap [0, N]}{N + 1}.$$

UFRec(T) (Bonilla et al., 2020) / UFHC(T) (Shkarin, 2009).

Topological Dynamics: Recurrence and Hypercyclicity Notions

Definition

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- (iii) \mathcal{U} -frequently recurrent/hypercyclic if $\overline{\text{dens}}(N(x, U)) > 0$...
- (iv) reiteratively recurrent/hypercyclic if $\overline{\text{Bd}}(N(x, U)) > 0$...

$\forall U$ neighbourhood of x / $\forall U$ non-empty open subset of X .

Definition

Given $A \subset \mathbb{N}_0$ its upper Banach density is

$$\overline{\text{Bd}}(A) := \limsup_{N \rightarrow \infty} \left(\max_{m \geq 0} \frac{\#A \cap [m, m+N]}{N+1} \right).$$

$\text{RRec}(T)$ (Bonilla et al., 2020) / $\text{RHC}(T)$ (Bés et al., 2016).

Topological Dynamics: Recurrence and Hypercyclicity Notions

Definition

A vector $x \in X$ is said to be:

- (i) recurrent/hypercyclic if $N(x, U)$ is infinite ...
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 - (iv) reiteratively recurrent/hypercyclic if $\overline{\text{Bd}}(N(x, U)) > 0$...
- $\forall U$ neighbourhood of x / $\forall U$ non-empty open subset of X .

- T is (freq., \mathcal{U} -freq., reiter.) hypercyclic if there is such a vector
- T is (freq., \mathcal{U} -freq., reiter.) recurrent if the set of such vectors is dense

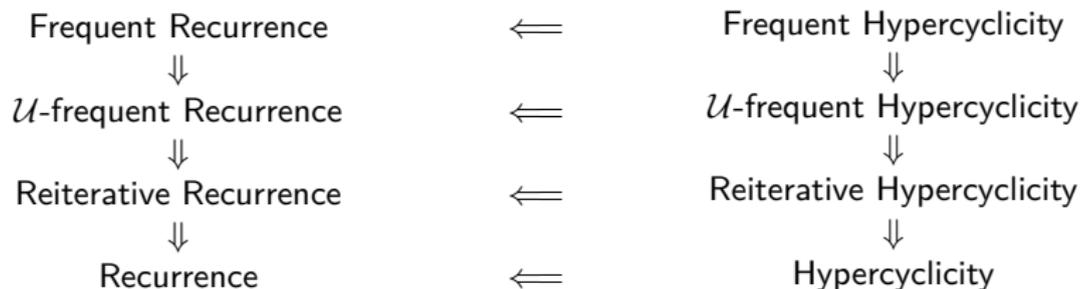
$$0 \leq \underline{\text{dens}}(A) \leq \overline{\text{dens}}(A) \leq \overline{\text{Bd}}(A) \leq 1 \quad \text{for each } A \subset \mathbb{N}_0$$

$$\text{FHC}(T) \subset \text{UFHC}(T) \subset \text{RHC}(T) \subset \text{HC}(T)$$

$$\text{FRec}(T) \subset \text{UFRec}(T) \subset \text{RRec}(T) \subset \text{Rec}(T)$$

Topological vs Measurable Dynamics I

Topological Dynamics:

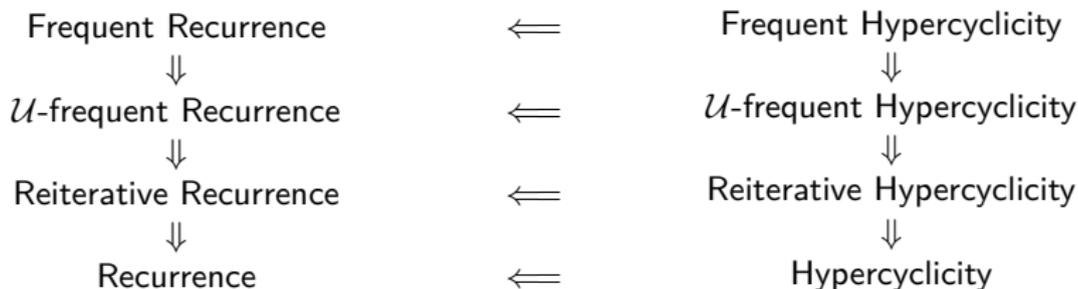


Measurable Dynamics (Ergodic Theory):

If μ is a **Borel prob. measure** with **full support** (i.e. $\mu(U) > 0$ for $U \in \mathcal{O}(X)$) we can study the system $(X, \mathcal{B}(X), \mu, T)$ with the properties:

Topological vs Measurable Dynamics I

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Measurable Dynamics (Ergodic Theory):

If μ is a **Borel prob. measure** with **full support** (i.e. $\mu(U) > 0$ for $U \in \mathcal{O}(X)$) we can study the system $(X, \mathcal{B}(X), \mu, T)$ with the properties:

(a) **invariance**: for each $A \in \mathcal{B}(X)$ the equality $\mu(T^{-1}(A)) = \mu(A)$ holds.

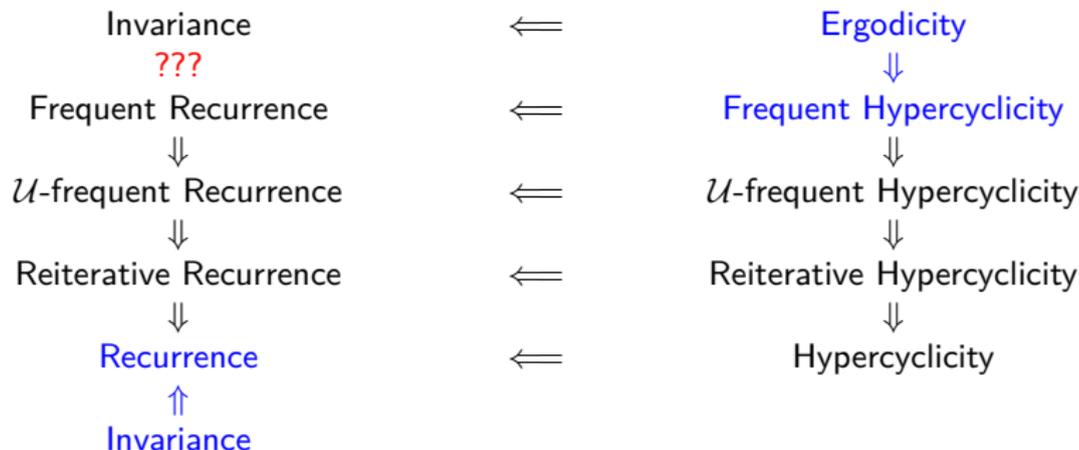
Poincaré Recurrence Theorem $\Rightarrow T$ is **recurrent**.

(b) **ergodicity**: μ is T -invariant and if $T^{-1}(A) = A$ then $\mu(A) \in \{0, 1\}$.

Pointwise Ergodic Theorem $\Rightarrow T$ is **frequently hypercyclic**.

Topological vs Measurable Dynamics II

If μ is a **Borel probability measure** with **full support** ($\mu(U) > 0$ for $U \in \mathcal{O}(X)$) then from the system $(X, \mathcal{B}(X), \mu, T)$ we get:



Question

Does **Invariance** implies **Frequent Recurrence**?

Invariance \Rightarrow Frequent Recurrence

STEP 1: For each $B \in \mathcal{B}(X)$ with $\mu(B) > 0$ apply the ...

Ergodic Decomposition Theorem

Let $T : (X, \mathcal{B}(X), \mu) \rightarrow (X, \mathcal{B}(X), \mu)$ be **invariant**. There is an **abstract probability measure space** (\mathcal{M}, τ) formed by measures such that for any $A \in \mathcal{B}(X)$ we have

$$\mu(A) = \int_{\mathcal{M}} \nu(A) d\tau(\nu)$$

and for τ -a.e. **measure** $\nu \in \mathcal{M}$, $T : (X, \mathcal{B}(X), \nu) \rightarrow (X, \mathcal{B}(X), \nu)$ is **ergodic**.

... obtaining an **ergodic** measure ν on X with **(AT LEAST)** $\nu(B) > 0$.

Invariance \Rightarrow Frequent Recurrence

STEP 1: For each $B \in \mathcal{B}(X)$ with $\mu(B) > 0$ apply the ...

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... obtaining an **ergodic** measure ν on X with **(AT LEAST)** $\nu(B) > 0$.

STEP 2: Apply to ν the **Pointwise Ergodic Theorem** as in the **VERY WELL-KNOWN Ergodicity \Rightarrow Frequent Hypercyclicity CASE**:

\exists **FREQUENTLY DENSE** orbits **AROUND** $B \Rightarrow \text{FRec}(T) \cap B \neq \emptyset$

By the arbitrariness of $B \Rightarrow \mu(\text{FRec}(T)) = 1$.

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Topological Assumptions and Examples

Let (X, T) be a **Polish dynamical system**, i.e.:

(X, τ_X) is **separable** and **completely metrizable**; $T : X \rightarrow X$ is **τ_X -continuous**

“Natural” Topological Assumptions

Let τ be a **Hausdorff topology** in X . Enumerate the properties:

- (I) $T : (X, \tau) \rightarrow (X, \tau)$ is **τ -continuous**;
- (II) $\tau \subset \tau_X$, i.e. τ is **coarser** than τ_X ;
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- If (X, τ_X) is **compact**, take $\tau = \tau_X$. **Compact Dynamical Systems, ...**

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- If (X, τ_X) is **compact**, take $\tau = \tau_X$. **Compact Dynamical Systems**, ...
- If (X, τ_X) is **locally compact**, take $\tau = \tau_X$. **Diff. Manifolds**, (\mathbb{R}^n, T) , ...

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- If (X, τ_X) is **compact**, take $\tau = \tau_X$. **Compact Dynamical Systems**, ...
- If (X, τ_X) is **locally compact**, take $\tau = \tau_X$. **Diff. Manifolds**, (\mathbb{R}^n, T) , ...
- Given an **adjoint operator** $T : X \rightarrow X$ on a **dual Banach space** $(X, \|\cdot\|)$ take $\tau = w^*$ (**weak-star topology**) and $\tau_X = \tau_{\|\cdot\|}$ (**norm topology**)

Invariant Measures from Reiterative Recurrence

“Natural” Topological Assumptions

Let τ be a Hausdorff topology in X . Enumerate the properties:

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- (II) $\tau \subset \tau_X$, i.e. τ is coarser than τ_X ;
- (III*) every $x \in X$ has a τ_X -neighbourhood basis of τ -compact sets.

Main Theorem (S. Grivaux and A. L-M, 2022)

Let (X, T) be a Polish dynamical system and τ fulfilling (I), (II) and (III*)

\implies for each $x_0 \in \text{RRec}(T)$ (reiteratively recurrent point) one can find an invariant probability measure μ_{x_0} on X such that

$$x_0 \in \text{supp}(\mu_{x_0}).$$

We divide the proof in 2 facts:

Measures' "Constructing Machine"

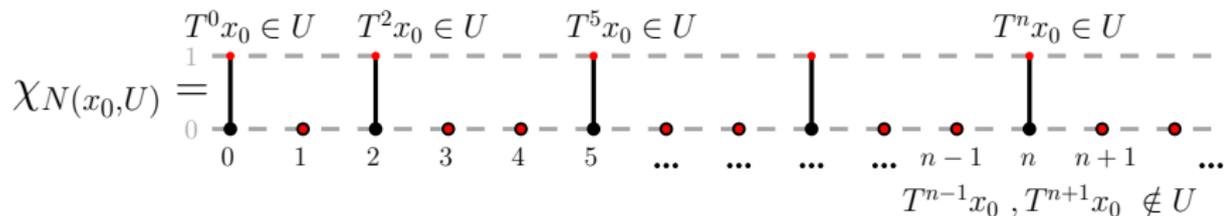
Fact 1

$\overline{\text{Bd}}(N(x_0, U)) > 0$, U τ -compact $\Rightarrow \exists \mu$ inv. prob. measure with $\mu(U) > 0$.

Technical Lemma (S. Grivaux and A. L-M, 2022)

Let (X, T) be a Polish dynamical system and τ fulfilling (I), (II) and (III*)
 \Rightarrow for each $x_0 \in X$ and each Banach limit $m : \ell^\infty(\mathbb{N}_0) \rightarrow \mathbb{R}$ one can find a (non-negative) invariant finite Borel regular measure μ on X such that

$$\mu(K) \geq m(\chi_{N(x_0, K)}) \quad \text{for every } \tau\text{-compact } K \subset X.$$



$\chi_{N(x_0, U)} \in \ell^\infty(\mathbb{N}_0)$... choose a Banach limit m such that $m(\chi_{N(x_0, U)}) > 0$

$$\mu(U) \geq m(\chi_{N(x_0, U)}) = \overline{\text{Bd}}(N(x_0, U)) > 0$$

Measures' "Constructing Machine"

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$$\mu(K) \geq m(\chi_{N(x_0, K)}) \quad \text{for every } \tau\text{-compact } K \subset X.$$

Fact 2

For $x_0 \in \text{RRec}(T) \Rightarrow \exists \mu_{x_0}$ inv. probability measure such that $x_0 \in \text{supp}(\mu_{x_0})$.

(III*) $\Rightarrow \exists$ a τ_X -neighbourhood basis $(U_n)_{n \in \mathbb{N}}$ formed by τ -compact sets

$x_0 \in \text{RRec}(T) \Rightarrow \overline{\text{Bd}}(N(x_0, U_n)) > 0 \stackrel{\text{Fact 1}}{\Rightarrow} \exists \mu_n$ with $\mu_n(U_n) > 0 \forall n \in \mathbb{N} \dots$

$$\mu_{x_0} := \sum_{n \in \mathbb{N}} \frac{\mu_n}{2^n} \quad \text{is invariant and } x_0 \in \text{supp}(\mu_{x_0}).$$

Reiterative Recurrence \Rightarrow Full Support Invariant Measure

Main Theorem (S. Grivaux and A. L-M, 2022)

Let (X, T) be a Polish dynamical system and τ fulfilling (I), (II) and (III*)

\Rightarrow for each $x_0 \in \text{RRec}(T)$ (reiteratively recurrent point) one can find an invariant probability measure μ_{x_0} on X such that

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Iterative Recurrence \Rightarrow Full Support Invariant Measure

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Let (X, T) be a **Polish dynamical system** and τ fulfilling (I), (II) and (III*)

\Rightarrow for each $x_0 \in \text{RRec}(T)$ (**reiteratively recurrent point**) one can find an **invariant probability measure** μ_{x_0} on X such that

$$x_0 \in \text{supp}(\mu_{x_0}).$$

If $\text{RRec}(T)$ is dense there is an **invariant prob. measure** μ with **full support**.

There is $\{x_n : n \in \mathbb{N}\} \subset \text{RRec}(T)$ dense ...

Fact 2

For $x_n \in \text{RRec}(T) \Rightarrow \exists \mu_{x_n}$ **inv. probability measure** such that $x_n \in \text{supp}(\mu_{x_n})$.

...

$$\mu := \sum_{n \in \mathbb{N}} \frac{\mu_{x_n}}{2^n}$$

is an **invariant probability measure** with **full support**.

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Adjoint Operators and Reflexive Spaces

Theorem (S. Grivaux and A. L-M, 2022)

For an **adjoint operator** $T : X \rightarrow X$ on a **separable dual Banach space** X we have $\overline{\text{FRec}(T)} = \overline{\text{RRec}(T)}$. Moreover, the following statements are **equivalent**:

- (i) T is frequently recurrent;
- (ii) T is \mathcal{U} -frequently recurrent;
- (iii) T is reiteratively recurrent;
- (iv) T admits an invariant probability measure with full support.

(I) T is adjoint $\implies T : (X, w^*) \rightarrow (X, w^*)$ is continuous;

(II) $w^* \subset \tau_{\|\cdot\|}$;

(III*) Alaoglu-Bourbaki \implies closed balls are w^* -compact and $\|\cdot\|$ -neighbour.

Given $x_0 \in \text{RRec}(T)$ there is μ_{x_0} **invariant** with $x_0 \in \text{supp}(\mu_{x_0}) \overset{\text{Lemma 1}}{\subset} \overline{\text{FRec}(T)}$

- True whenever X is **reflexive** ... L^p and ℓ^p -spaces ($1 < p < \infty$)

Product Dynamical Systems

When $T : X \rightarrow X$ has a **property** ...

Usual Question

Does $T \oplus T : X \oplus X \rightarrow X \oplus X$ has that **property**?

$$\begin{aligned} T \oplus T : X \oplus X &\longrightarrow X \oplus X \\ (x, y) &\longmapsto (Tx, Ty) \end{aligned}$$

Examples

There are **negative** and **positive** answers; and **open problems**:

- T **hypercyclic** $\not\Rightarrow T \oplus T$ **hypercyclic**;
- T **reiteratively hypercyclic** $\Rightarrow T \oplus T$ **reit.hypercyclic**;
- T **\mathcal{U} -frequently hypercyclic** $\Rightarrow T \oplus T$ **\mathcal{U} -freq. hypercyclic**;
- T **frequently hypercyclic** $\stackrel{???}{\Rightarrow} T \oplus T$ **freq. hypercyclic**;
- T **recurrent** $\stackrel{???}{\Rightarrow} T \oplus T$ **recurrent**.

Product Dynamical Systems

When $T : X \rightarrow X$ has a **property** ...

Usual Question

Does $T \oplus T : X \oplus X \rightarrow X \oplus X$ has that **property**?

$$T_n = \underbrace{T \oplus \dots \oplus T}_n : \underbrace{X \oplus \dots \oplus X}_n \longrightarrow \underbrace{X \oplus \dots \oplus X}_n$$

$$(x_1, \dots, x_n) \longmapsto (Tx_1, \dots, Tx_n)$$

Corollary (S. Grivaux and A. L-M, 2022)

For an **adjoint operator** $T : X \rightarrow X$ on a **separable dual Banach space** X , then the following statements are **equivalent**:

- (i) for every $n \in \mathbb{N}$, T_n is frequently recurrent;
- (ii) for every $n \in \mathbb{N}$, T_n is \mathcal{U} -frequently recurrent;
- (iii) for every $n \in \mathbb{N}$, T_n is reiteratively recurrent;
- (iv) T is reiteratively recurrent.

Product Dynamical Systems

When $T : X \rightarrow X$ has a **property** ...

Usual Question

Does $T \oplus T : X \oplus X \rightarrow X \oplus X$ has that **property**?

$$\begin{aligned} T = T_1 \oplus \cdots \oplus T_N : X_1 \oplus \cdots \oplus X_N &\longrightarrow X_1 \oplus \cdots \oplus X_N \\ (x_1, \dots, x_n) &\longmapsto (T_1 x_1, \dots, T_N x_N) \end{aligned}$$

Theorem (S. Grivaux and A. L-M, 2022)

Fix $N \in \mathbb{N}$ and for each $1 \leq i \leq N$ let $T_i : X_i \rightarrow X_i$ be an **adjoint operator** on a **separable dual Banach space** X_i . For the direct sum operator

$T = T_1 \oplus \cdots \oplus T_N$ on the direct sum space $X = X_1 \oplus \cdots \oplus X_N$,

we have the equality $\overline{\text{FRec}(T)} = \prod_{i=1}^N \overline{\text{RRec}(T_i)}$.

Proof for Product Dynamical Systems

$$\begin{aligned}
 T = T_1 \oplus \cdots \oplus T_N : X_1 \oplus \cdots \oplus X_N &\longrightarrow X_1 \oplus \cdots \oplus X_N \\
 (x_1, \dots, x_n) &\longmapsto (T_1 x_1, \dots, T_N x_N)
 \end{aligned}$$

We clearly have the inclusion

$$\text{FRec}(T) \subset \prod_{i=1}^N \text{RRec}(T_i).$$

For $\mathbf{x}_0 = (x_1, \dots, x_N) \in X$ with $x_i \in \text{RRec}(T_i)$, $\exists \mu_{x_i}$ T_i -invariant, $x_i \in \text{supp}(\mu_{x_i})$.
 Since

$$\mathcal{B}(X, \tau_X) = \prod_{i=1}^N \mathcal{B}(X_i, \tau_{X_i}),$$

the **product measure** $\mu_{\mathbf{x}_0} := \prod_{i=1}^N \mu_{x_i}$ on $X_1 \oplus \cdots \oplus X_N$ is T -invariant, so

$$\mathbf{x}_0 = (x_1, \dots, x_N) \in \text{supp}(\mu_{\mathbf{x}_0}) \stackrel{\text{Lemma 1}}{\subset} \overline{\text{FRec}(T)}.$$

Inverse Dynamical Systems

When $T : X \rightarrow X$ has a **property** ... and $T^{-1} : X \rightarrow X$ **exists** ...

Usual Question

Does $T^{-1} : X \rightarrow X$ has that **property**?

Examples

There are **positive** and **negative** answers:

- T **hypercyclic** $\iff T^{-1}$ **hypercyclic**;
- T **reiteratively hypercyclic** $\iff T^{-1}$ **reit.hypercyclic**;
- T **\mathcal{U} -frequently hypercyclic** $\not\iff T^{-1}$ **\mathcal{U} -freq. hypercyclic**;
- T **frequently hypercyclic** $\not\iff T^{-1}$ **freq. hypercyclic**;
- T **recurrent** $\iff T^{-1}$ **recurrent**.

Inverse Dynamical Systems

When $T : X \rightarrow X$ has a **property** ... and $T^{-1} : X \rightarrow X$ **exists** ...

Usual Question

Does $T^{-1} : X \rightarrow X$ has that **property**?

T is **adjoint** $\iff T^{-1}$ is **adjoint**

Theorem (S. Grivaux and A. L-M, 2022)

For an **invertible adjoint operator** $T : X \rightarrow X$ on a **sep. dual Banach space** X ,

$$\overline{\text{RRec}(T)} = \overline{\text{FRec}(T)} = \overline{\text{FRec}(T^{-1})} = \overline{\text{RRec}(T^{-1})}.$$

T is **reiteratively** (and then **\mathcal{U} -frequently** and **frequently**) **recurrent** iff so is T^{-1} .

Note that $(X, \mathcal{B}(X), T, \mu)$ **invariant** $\implies (X, \mathcal{B}(X), T^{-1}, \mu)$ **invariant** since

$$\mu([T^{-1}]^{-1}(A)) = \mu(T(A)) \stackrel{\mu \text{ is } T\text{-invariant}}{=} \mu(T^{-1}(T(A))) = \mu(A)$$

Conclusion

In closing ...

- PART 1: **Invariant Measure** \implies there are **Frequently Recurrent Points**;
- PART 2^(*): **Reiterative Recurrent Points** \implies there are **Invariant Measures**;
- PART 3^(*): **Reiterative Recurrence** \iff **Frequent Recurrence**;
- FINALLY: good prop. for **PRODUCT** and **INVERSE** dynamical systems.

(*) \equiv under some “natural” assumptions ...

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- [3] H. FURSTENBERG: *Recurrence in Ergodic Theory and Combinatorial Number Theory*. Princeton University Press, New Jersey 1981.
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Thank you for your attention