

# Emergent Horizons in the Laboratory

Ralf Schützhold

Fachbereich Physik  
Universität Duisburg-Essen



# Event Horizon

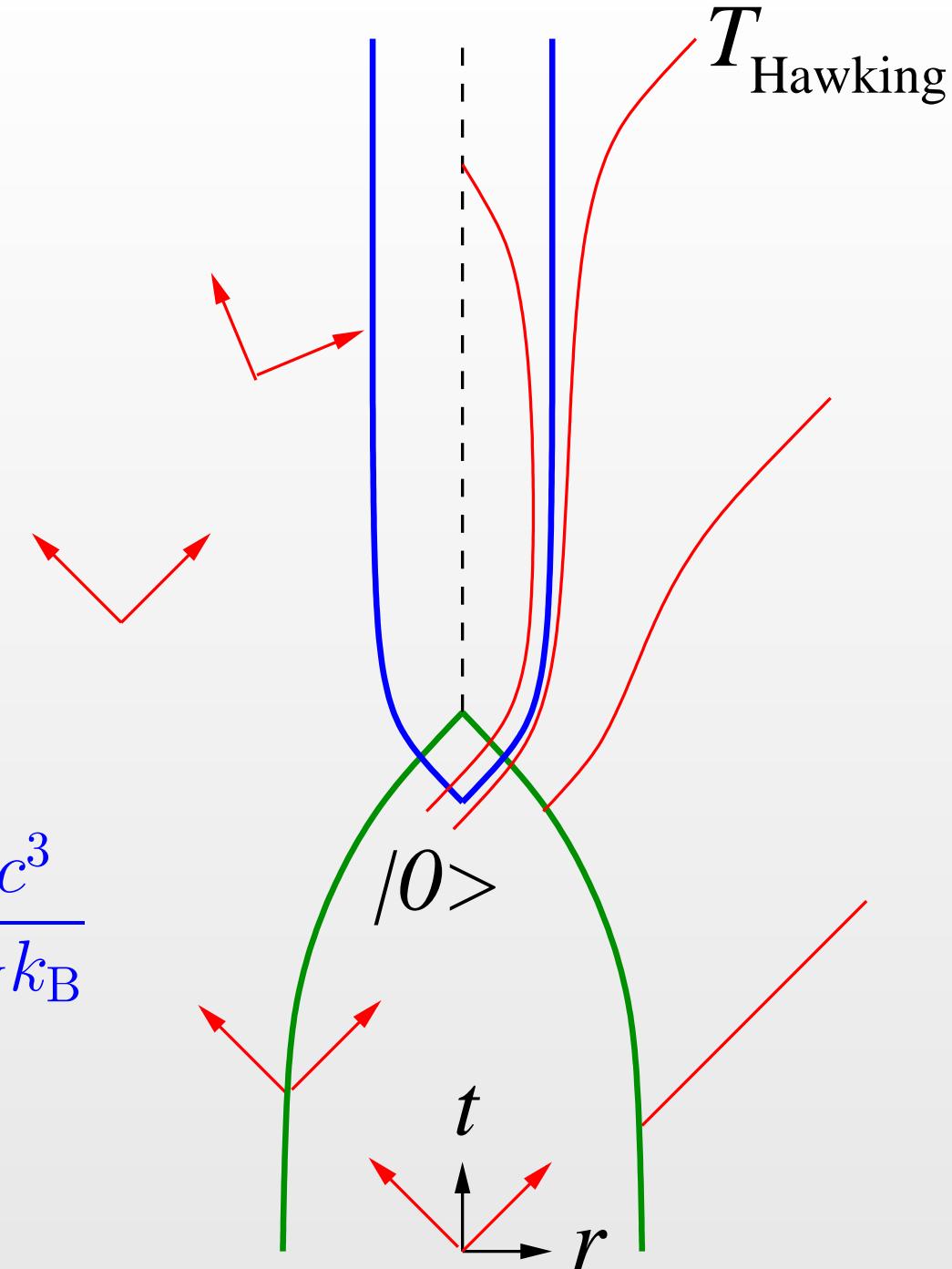
Collapsing matter  
Singularity  
Light cones, light rays  
Event horizon

Distortion of quantum  
fluctuations

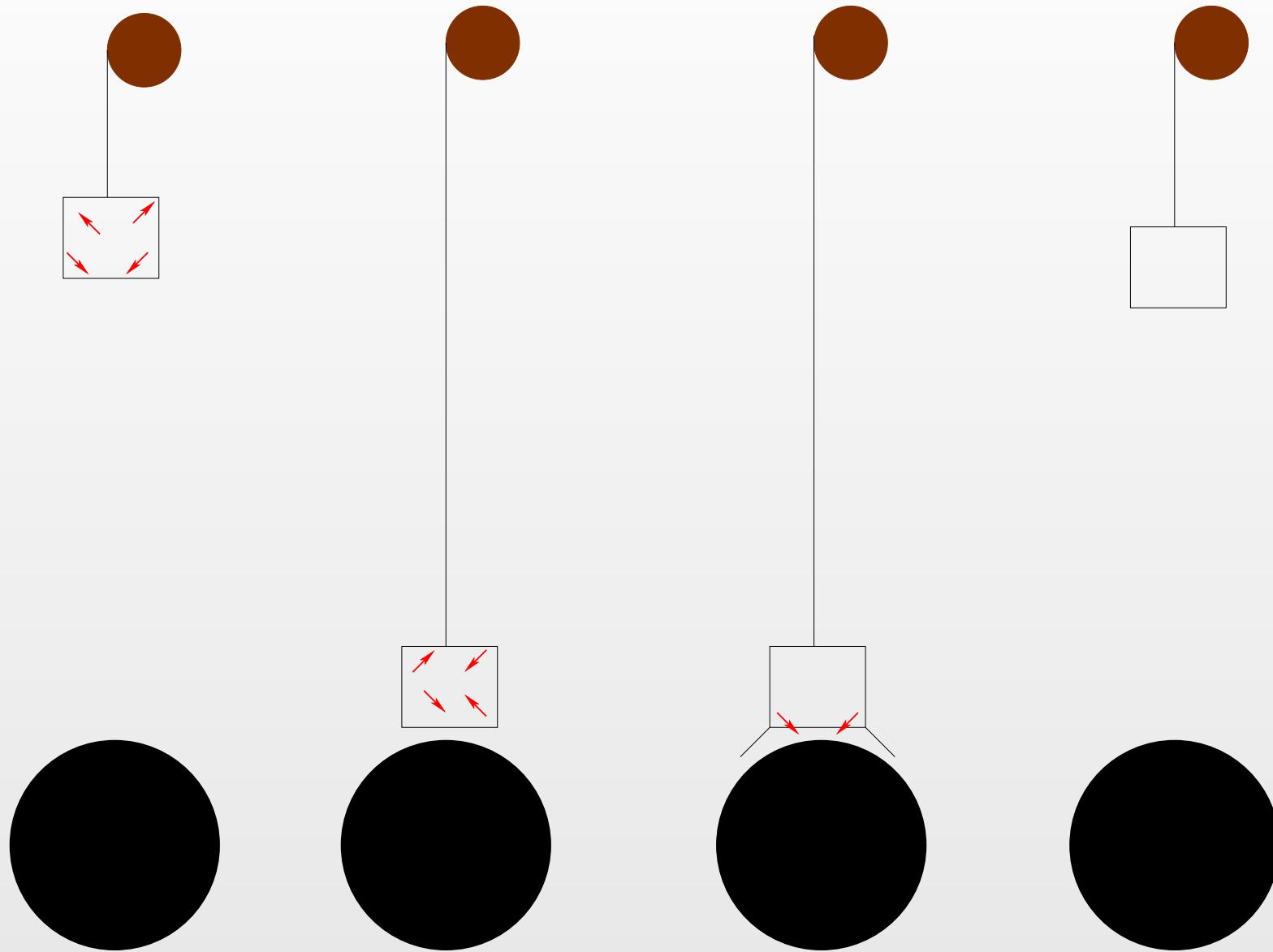
→ Hawking effect

$$T_{\text{Hawking}} = \frac{1}{8\pi M} \frac{\hbar c^3}{G_N k_B}$$

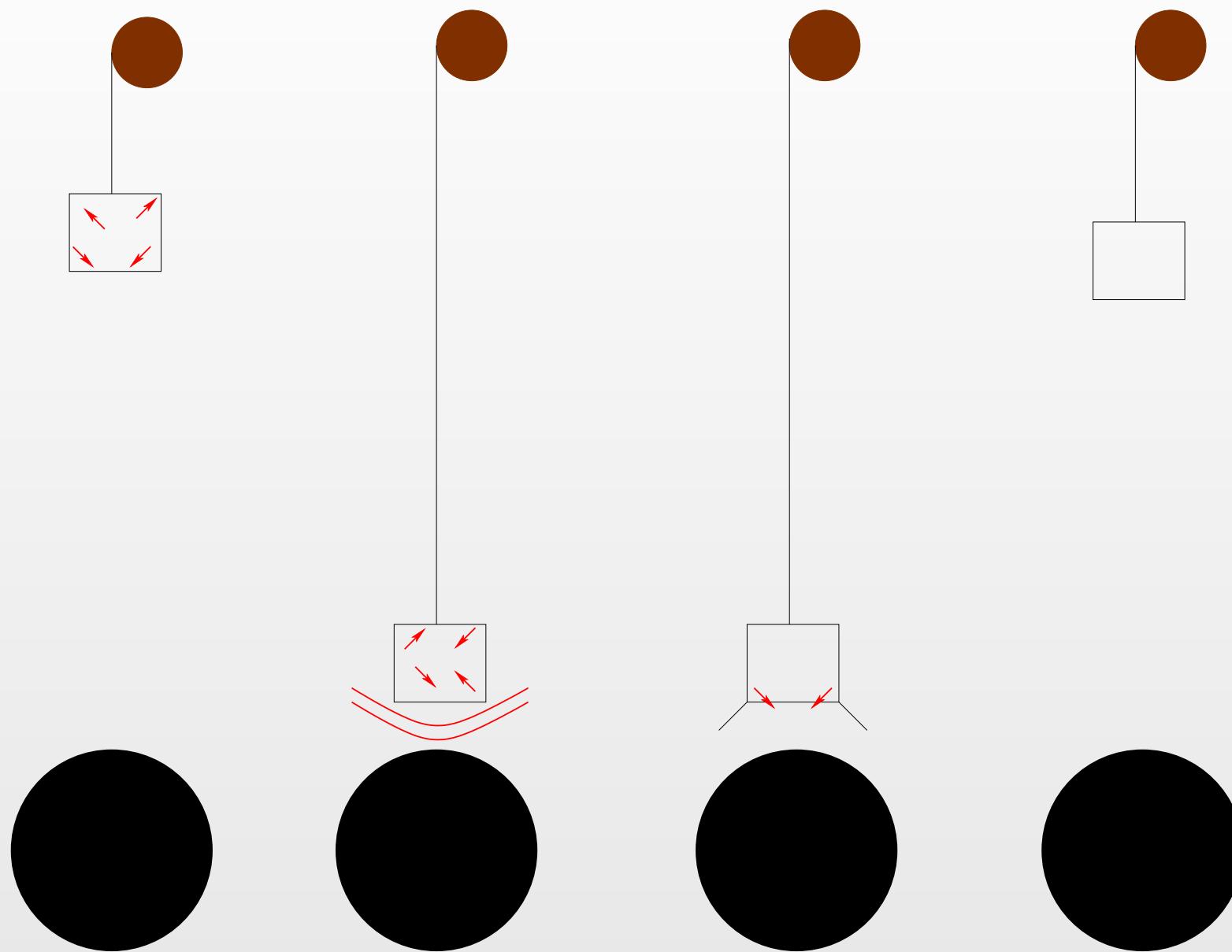
*gedanken* experiments  
→ Black hole entropy...



# Perpetuum mobile (2<sup>nd</sup> kind)?



# Resolution: Quantum Effects



# Transplanckian Problem

Collapsing matter

Singularity

Light cones, light rays

Event horizon

Distortion of quantum fluctuations

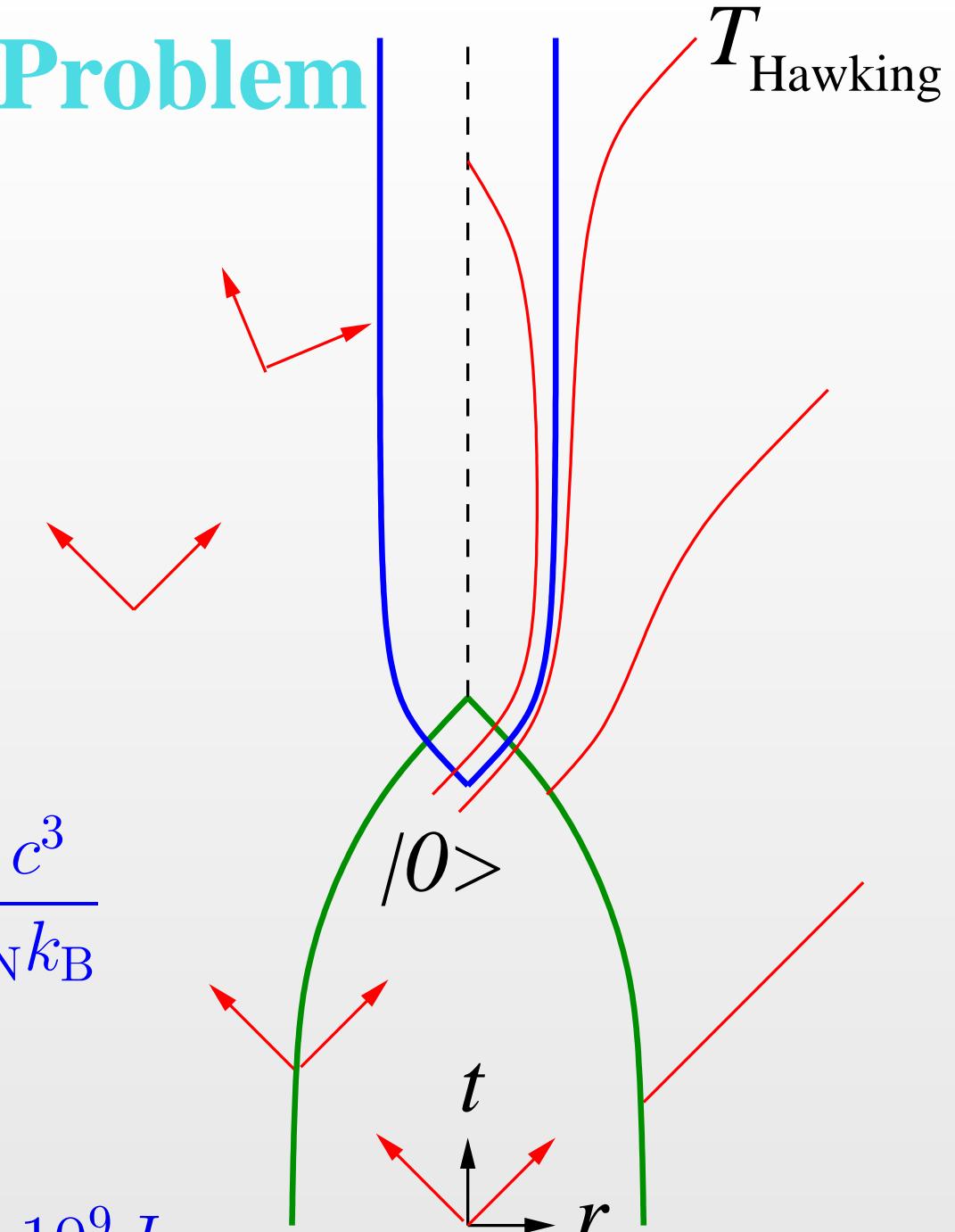
→ Hawking effect

$$T_{\text{Hawking}} = \frac{1}{8\pi M} \frac{\hbar c^3}{G_N k_B}$$

Problem: red-shift

→ Planck scale

$$E_{\text{Pl}} = \sqrt{\hbar c^5 / G_N} \approx 2 \times 10^9 J$$



# Bill Unruh's Idea

Sound waves in irrotational flow  $\delta \mathbf{v} = \nabla \phi$

$$\left( \frac{\partial}{\partial t} + \nabla \cdot \mathbf{v}_0 \right) \frac{\varrho_0}{c_s^2} \left( \frac{\partial}{\partial t} + \mathbf{v}_0 \cdot \nabla \right) \phi = \nabla \cdot (\varrho_0 \nabla \phi)$$

Scalar field  $\phi$  in curved space-time

$$\square_{\text{eff}} \phi = \frac{1}{\sqrt{-g_{\text{eff}}}} \partial_\mu \left( \sqrt{-g_{\text{eff}}} g_{\text{eff}}^{\mu\nu} \partial_\nu \phi \right) = 0$$

Painlevé-Gullstrand-Lemaître metric

$$g_{\text{eff}}^{\mu\nu} = \frac{1}{\varrho_0 c_s} \begin{pmatrix} 1 & \mathbf{v}_0 \\ \mathbf{v}_0 & \mathbf{v}_0 \otimes \mathbf{v}_0 - c_s^2 \mathbf{1} \end{pmatrix}$$

Phonons (quantized)	$\leftrightarrow$	Quantum fields
Fluid flow (classical)	$\leftrightarrow$	Gravitational field
Euler equation	$\neq$	Einstein equations

# Generalizations

General linearized low-energy effective action for scalar Goldstone-mode quasi-particles (e.g., phonons)

$$\mathcal{L}_{\text{eff}} = \frac{1}{2}(\partial_\mu \phi)(\partial_\nu \phi)G^{\mu\nu}(\underline{x}) + \mathcal{O}(\phi^3) + \mathcal{O}(\partial^3)$$

Analogy to quantum fields in curved space-times

$$G^{\mu\nu} \rightarrow g_{\text{eff}}^{\mu\nu} \sqrt{-g_{\text{eff}}} \rightarrow \textbf{Universal properties}$$

e.g., phonons, ripplons, magnons...

Similarly for (non-scalar) photons in certain media

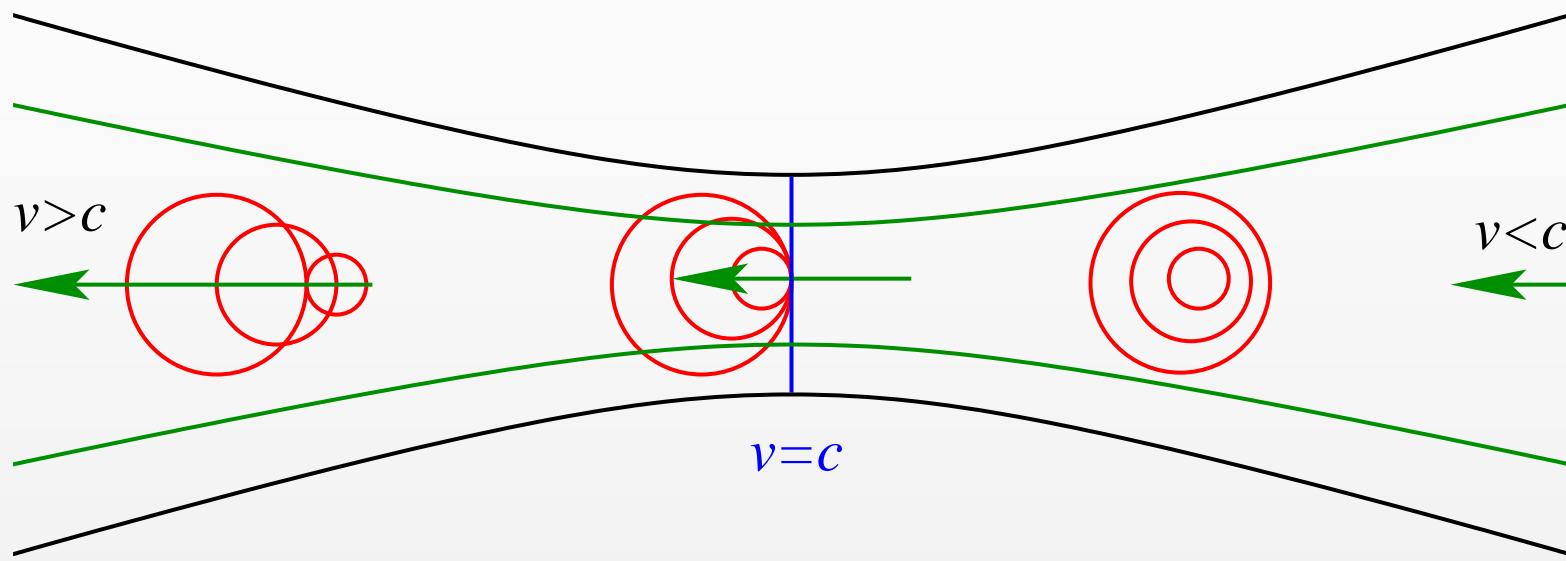
$$g_{\text{eff}}^{\mu\nu} = g_{\text{M}}^{\mu\nu} + (\varepsilon - 1) u^\mu u^\nu$$

→ Gordon metric

[Barceló, Corley, Fischer, Jacobson, Liberati, Unruh, Visser, and many others]

R. S., Class. Quantum Grav. **25**, 114011 (2008)

# De Laval Nozzle

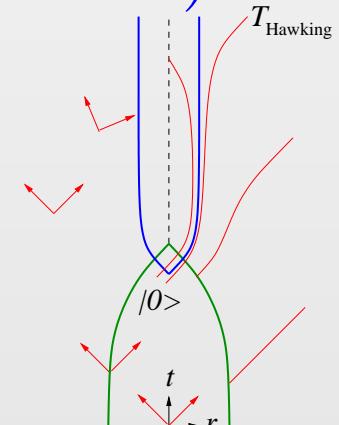


Fluid flow, Wall, Sound waves, Event horizon

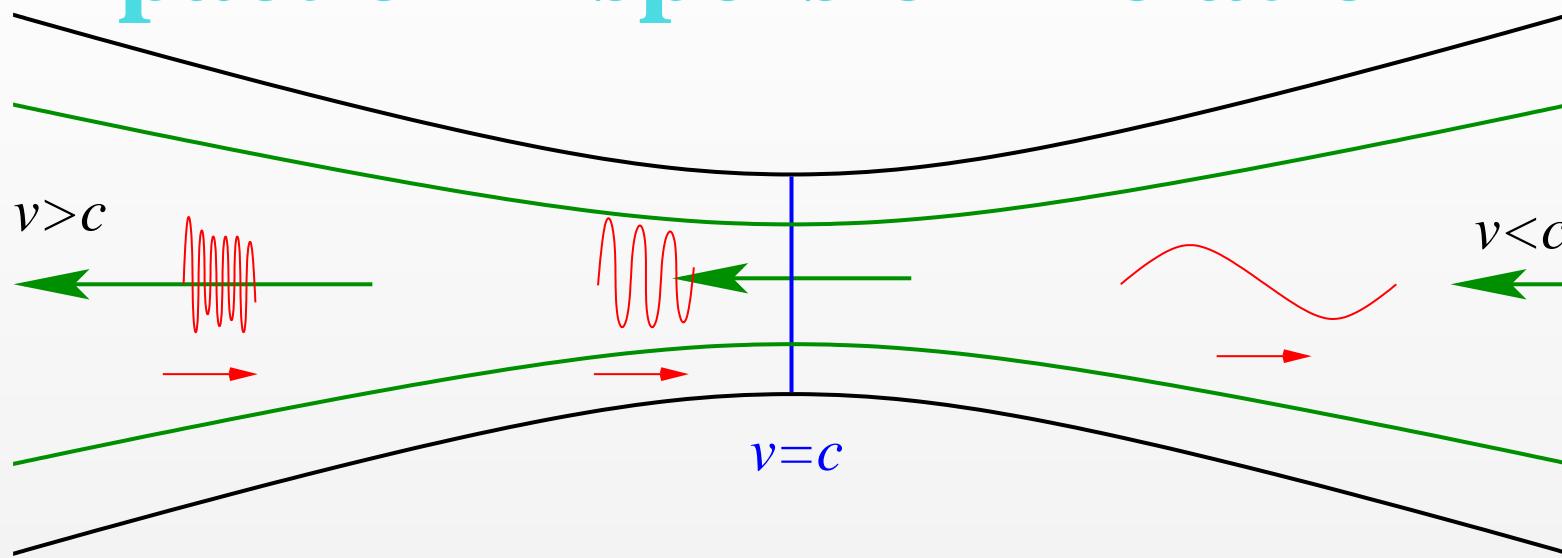
$$T_{\text{Hawking}} = \frac{\hbar}{2\pi k_B} \left| \frac{\partial}{\partial r} (v_0 - c_s) \right| = \mathcal{O}(nK \dots K)$$

Toy model for underlying theory  
(including quantum gravity)

Experimentally measurable?!?



# Impact of Dispersion Relation

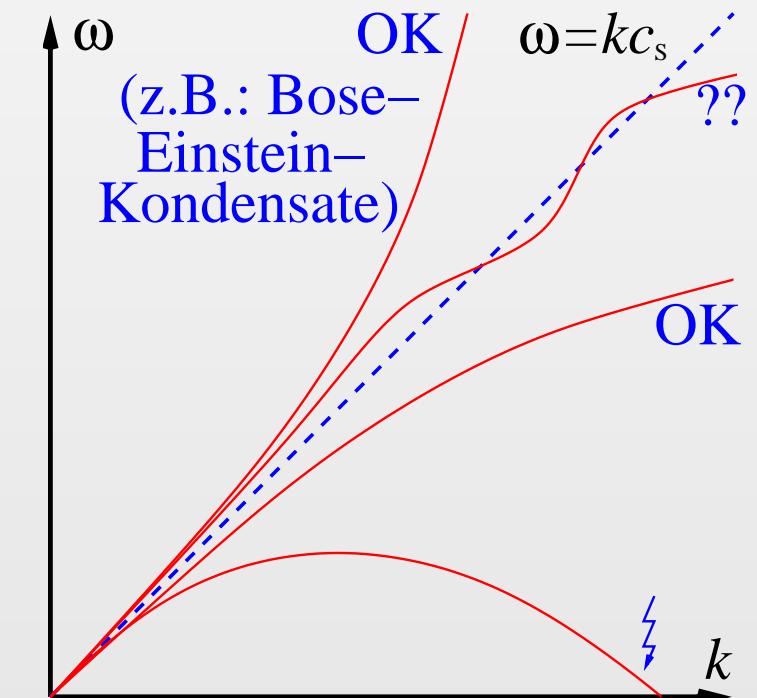


Small  $k \rightarrow$  Euler equation  
→ linear dispersion  $\omega = c_s k$

Large  $k \rightarrow$  deviations:

- sub-sonic
- super-sonic

W. G. Unruh and R. S.,  
Phys. Rev. D 71, 024028 (2005)  
[Corley, Jacobson, Parentani etc.]



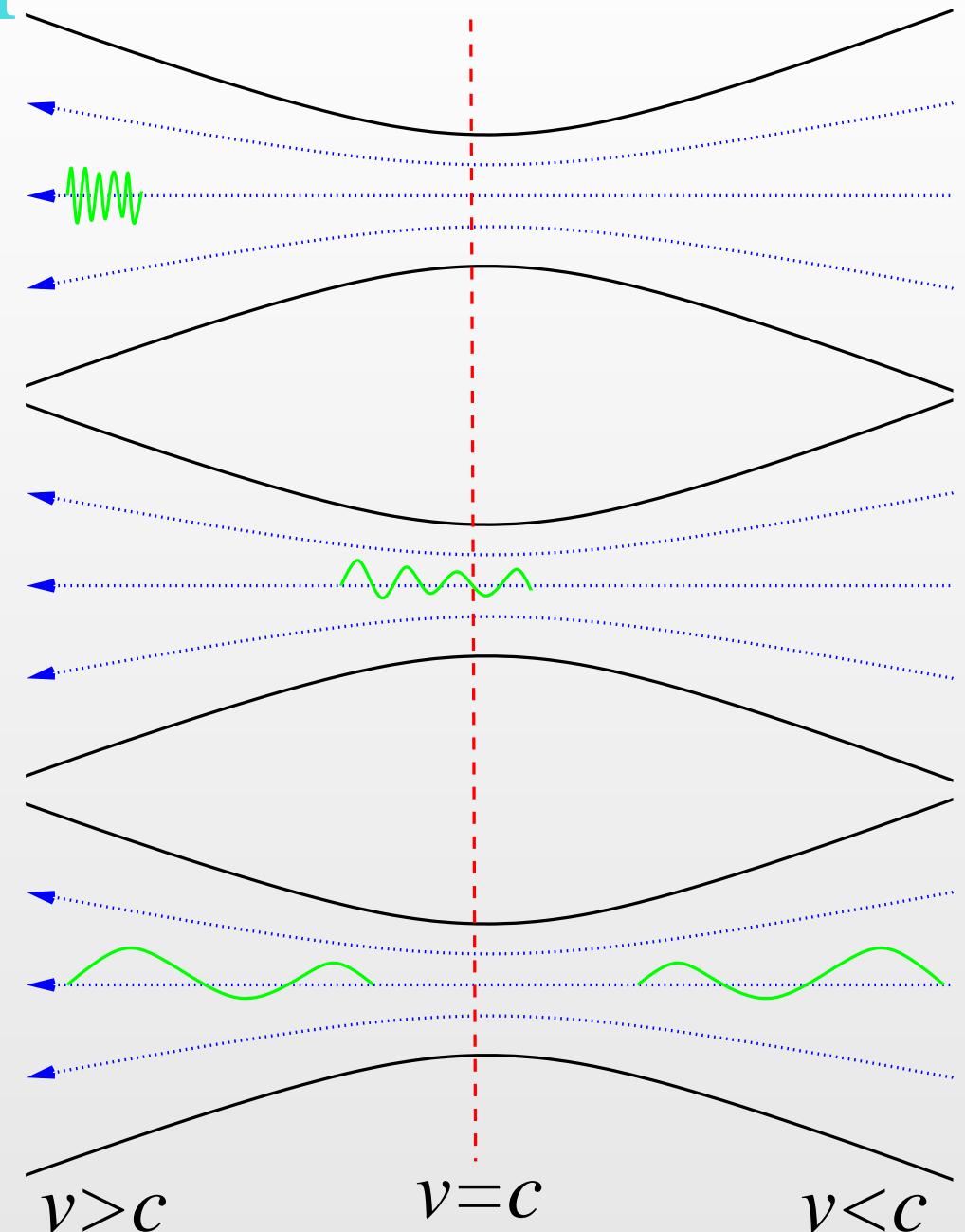
# Supersonic Dispersion

Initial wave-packet  
in its ground state  
is ripped apart into:

Hawking radiation  
plus  
infalling partner

Entanglement  
(squeezed state)  
→ thermality

Caution:  
Bekenstein entropy  
does not apply!



# Eddington-Finkelstein Metric

Metric  $ds^2 = (1 - 2M/r)dV^2 - 2dV dr$

$$\left( 2\partial_V \partial_r + \partial_r \left[ 1 - \frac{2M}{r} + f(\partial_r^2) \right] \partial_r \right) \Phi = 0,$$

Dispersion relation  $f(\partial_r^2) \rightarrow$  Hawking temperature

$$T_{\text{Hawking}}(\omega) = \frac{v_{\text{group}}(\omega)v_{\text{phase}}(\omega)}{8\pi M}$$

Ultra-violet catastrophe?

$$\omega^2 = c^2 k^2 (1 + \ell_P^2 k^2) \quad \text{vs} \quad \omega = \frac{ck}{\sqrt{1 - \ell_P^2 k^2}}$$

Cf. special relativity  $E = mc^2 / \sqrt{1 - v^2/c^2}$

R. S. and W. G. Unruh, Phys. Rev. D **78**, (R)041504 (2008)

# Miles Instability

Lab system:  $\Omega$  (observer at rest at  $r \uparrow \infty$ )

Local fluid system:  $\omega$  (freely falling observer)

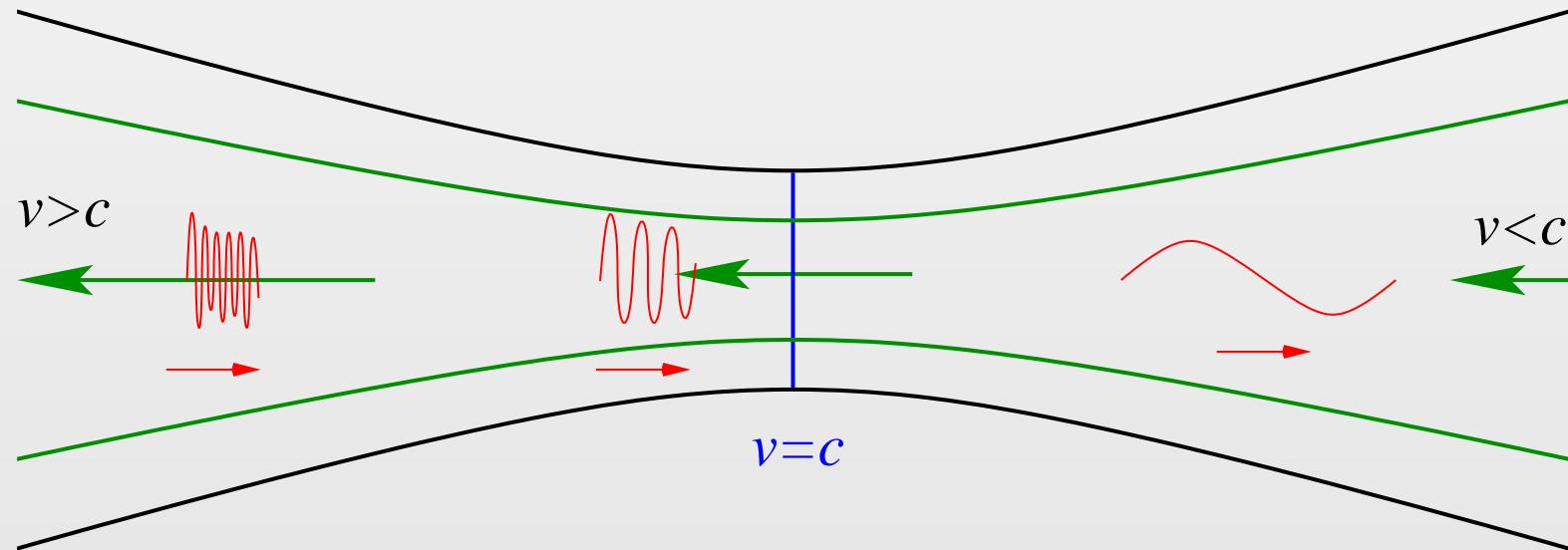
$$(\Omega + v k)^2 + i\gamma\Omega = \omega^2(k)$$

Miles instability

W. G. Unruh and R. S.,

Phys. Rev. D **71**, 024028 (2005)

[Corley, Jacobson, Parentani etc.]

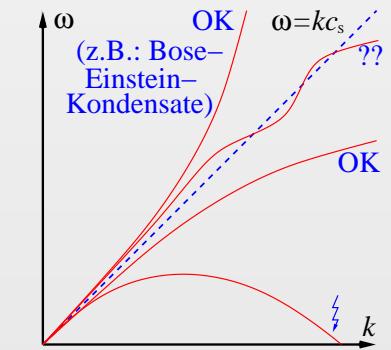
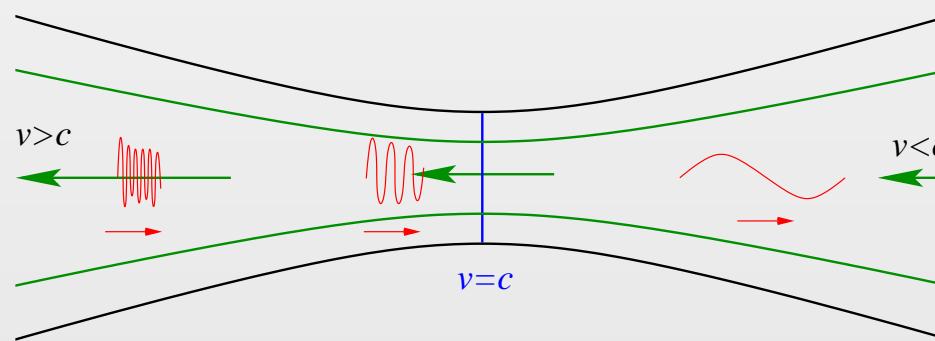


# Lessons for Quantum Gravity?

Hawking radiation is quite robust (i.e., independent of the microscopic structure) for a large class of systems and does not require the Einstein equations.

[Unruh, Jacobson, Corley, RS, Parentani etc.]

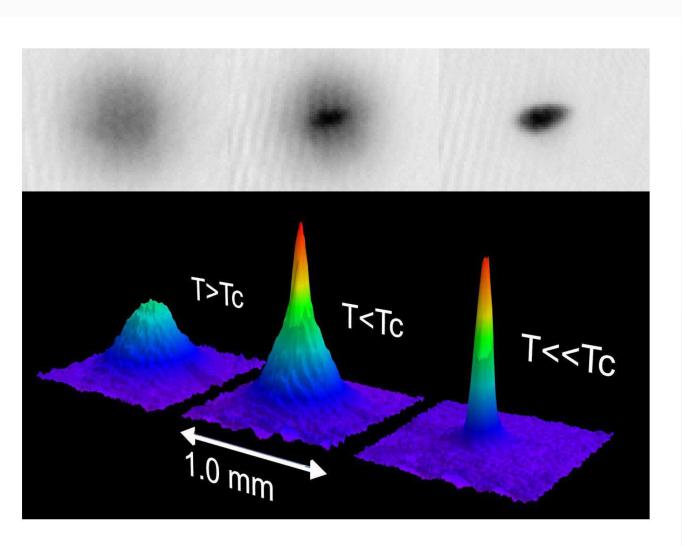
But there are also (physical) examples, which show deviations from the Hawking effect –  
e.g., depending on whether the “space-time foam” is freely falling or prefers the static frame...



Impact of (non-linear) interactions not understood...

# Kinematics → Dynamics

Kinematics of phonons:  
quantitative analogy  $g_{\text{eff}}^{\mu\nu}$   
Dynamics of background:  
only qualitative analogy  
E.g., Bose-Einstein condensates  
<http://cua.mit.edu/ketterle-group>



Euler equation (classical)	↔	Einstein equations
Phonons (quantum)	↔	Gravitons(?)
Healing length	↔	Planck length?
Many-body Hamiltonian	↔	Quantum gravity?
Zero-point pressure	↔	Cosmological constant?

- R. S., M. Uhlmann, Y. Xu and U. R. Fischer, Phys. Rev. D **72**, 105005 (2005)  
R. Balbinot et al, Phys. Rev. Lett. **94**, 161302 (2005)

# Lessons for Quantum Gravity?

- we can quantize (linearized) phonons for small  $k$
- beyond linear order: UV divergences (non-ren.)
- sum of zero-point fluctuations of phonon modes (extrapolating Euler equation to large  $k$ ) up to cut-off does **not** yield correct result  
[R. S., Proceedings of Science (QG-Ph) 036 (2007)]
- quantization of vorticity from Euler equation??
  - Bose-Einstein condensates  $\xi \leftrightarrow m \leftrightarrow \Gamma$
  - superfluid Helium  $\xi_{\text{roton}} \not\leftrightarrow m \leftrightarrow \Gamma$
- several cut-off scales ( $\rightarrow$  Planck scale?)
  - breakdown of Euler  $\omega^2 = c^2 k^2 (1 \pm k^2 \xi^2)$
  - circulation quantum  $\Gamma$  of one vortex
  - UV cut-off  $\ll \xi$

# Summary

Analogy: gravity  $\leftrightarrow$  condensed matter

- Robustness of Hawking radiation  
**exceptions: friction, UV-catastrophe...**
- Black-hole information “paradox”?
- Experiments: Hawking radiation in the lab?
- Kinematics  $\rightarrow$  dynamics: quantum fluids  
**quantum back reaction, quantization of vorticity**
- Outlook: cosmic horizons  
**expanding fluids, phase transitions**  
**inflation as a quantum phase transition?**

# Apparent Horizon

Expanding condensate

Sound waves

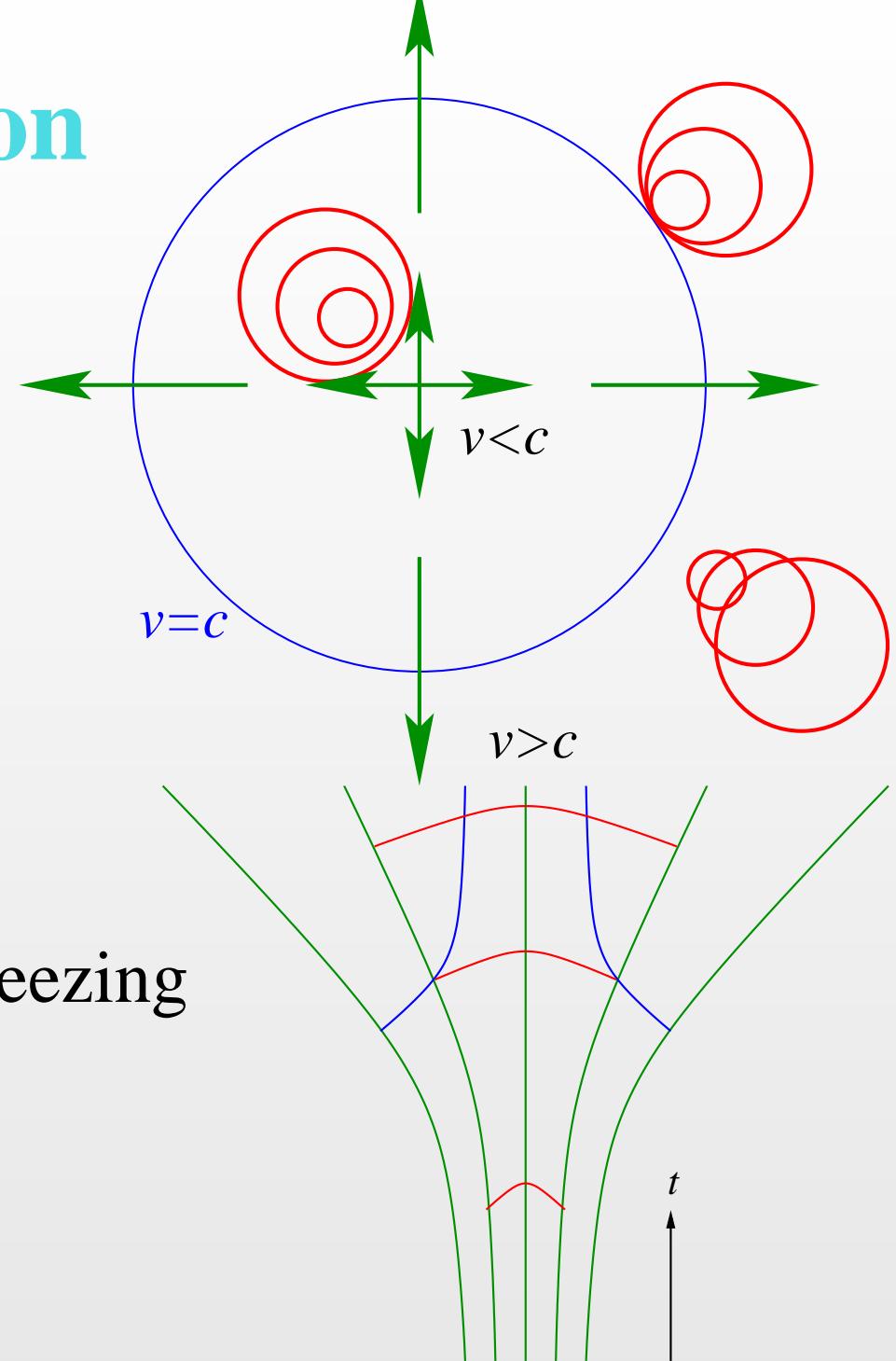
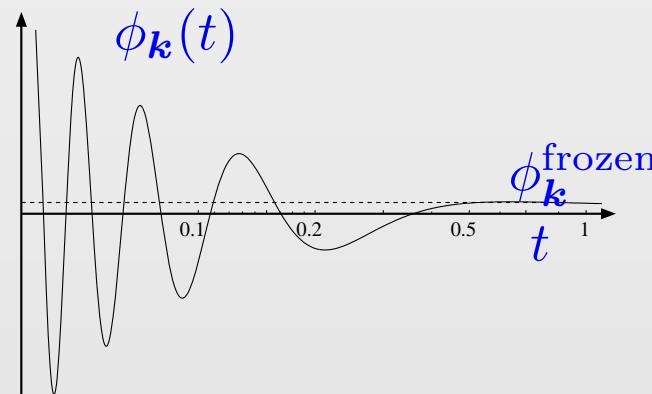
Apparent horizon at

$v_{\text{Fluid}} = c_{\text{Sound}}$

Analogous to  
expanding universe

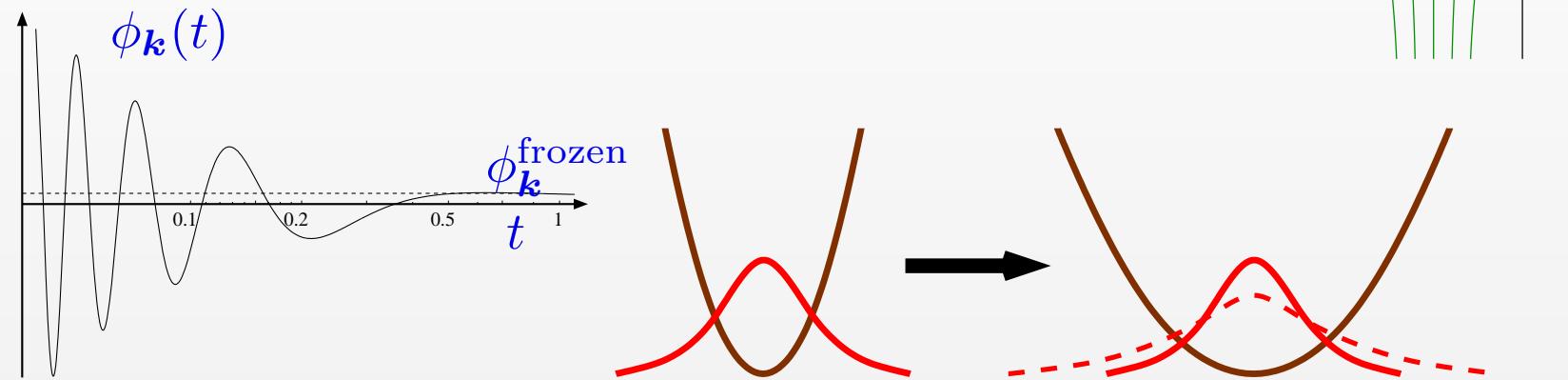
Phonon modes:

- + oscillation (initially)
- + horizon crossing  $\rightarrow$  freezing



# Quantum Fluctuations

Oscillation → horizon crossing → freezing

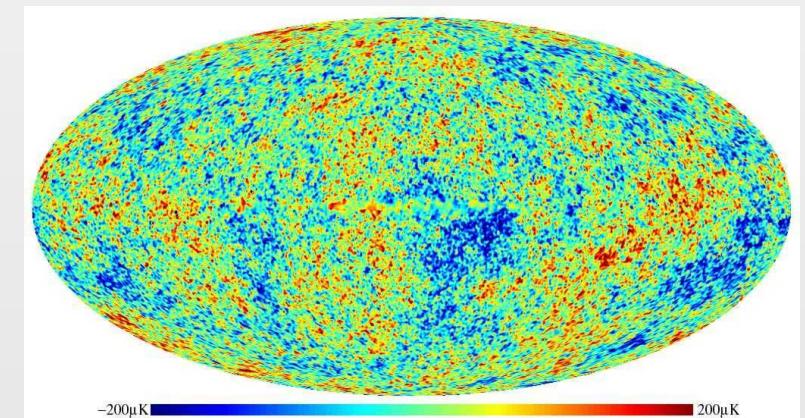


Amplification of quantum fluctuations (squeezing)  
(in complete analogy to early universe, e.g., WMAP)

Quantum limit (accuracy)  
of time-of-flight imaging

$$\langle \delta \hat{\varrho}(\mathbf{r}) \delta \hat{\varrho}(\mathbf{r}') \rangle = \mathcal{O}(1\%)$$

M. Uhlmann, Y. Xu, and R. S.,  
New J. Phys. 7, 248 (2005)



# Dynamical Phase Transition

Temperature  $T = 0$

External parameter

$$g = g(t) \approx g_c$$

Two competing  
ground states

$$|\Psi_{<} \rangle \text{ and } |\Psi_{>} \rangle$$

Quasi-particle  
excitations

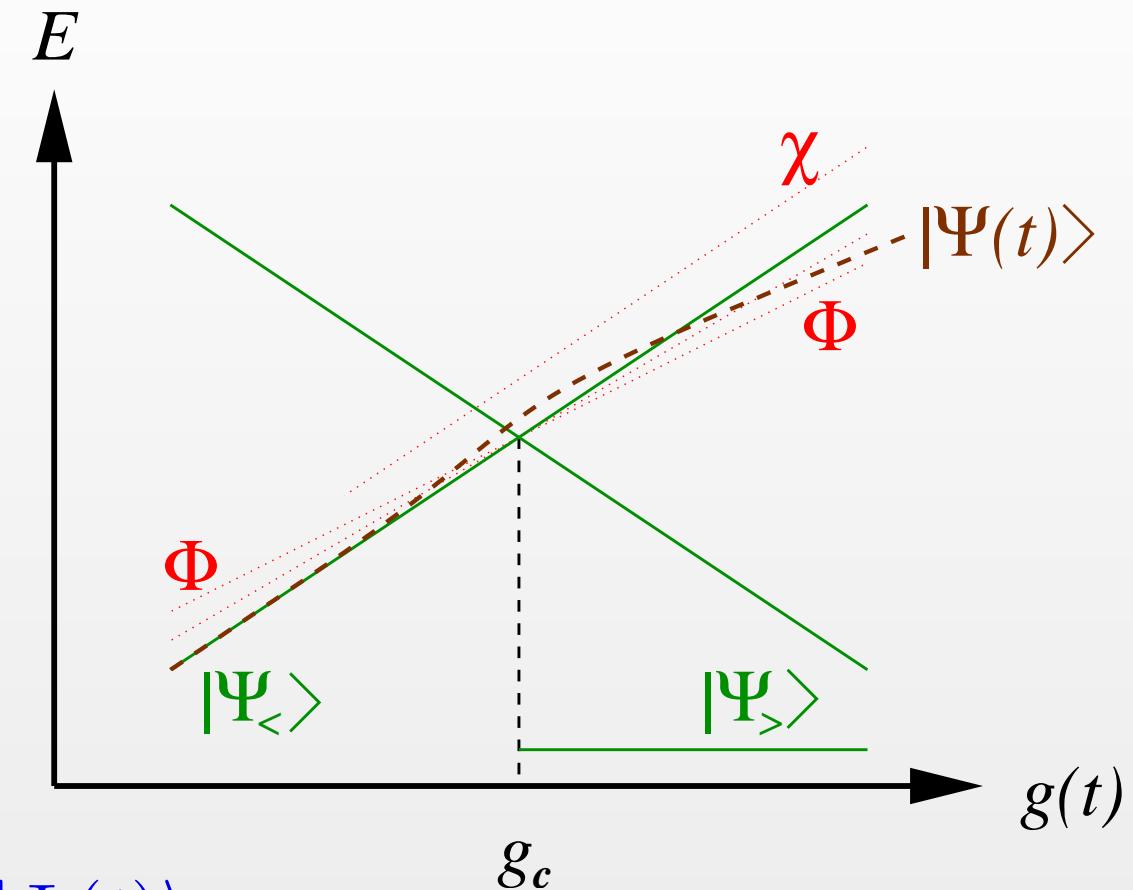
$\chi$  and  $\Phi$  (unstable)

Actual quantum state  $|\Psi(t)\rangle$

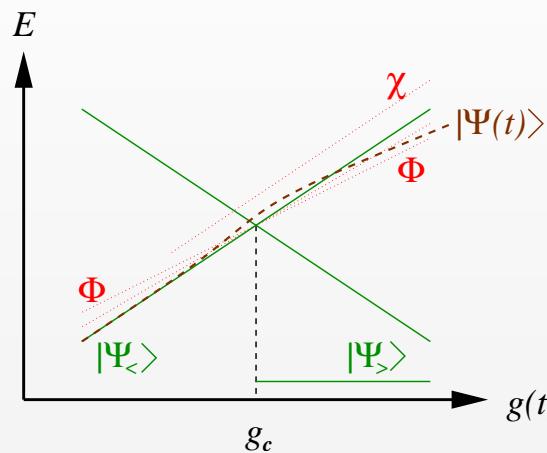
Sweep through (1<sup>st</sup>-order) transition  $(E_n - E_0) \downarrow 0$

→ response time diverges at critical point  $g_c$

→ non-equilibrium dynamics  $g(t)$



# Particle Horizon



Effective Hamiltonian  
 $\mathcal{H} = \frac{1}{2}[\alpha \Pi^2 + \beta (\nabla \Phi)^2]$

Speed of sound

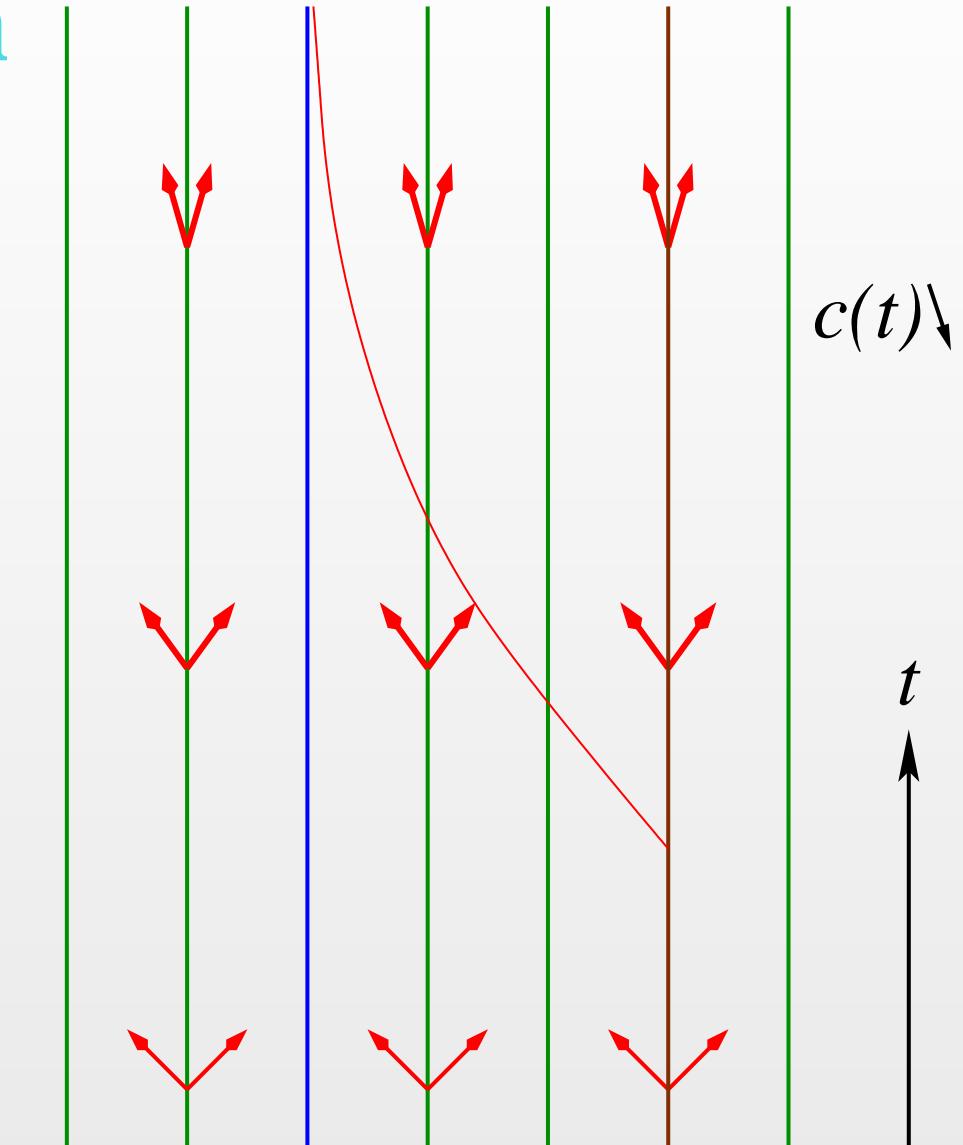
$$c_s^2 = \alpha \beta \downarrow 0 \text{ for } g \rightarrow g_c$$

Homogeneous medium

Particle trajectory

Sound cones/waves

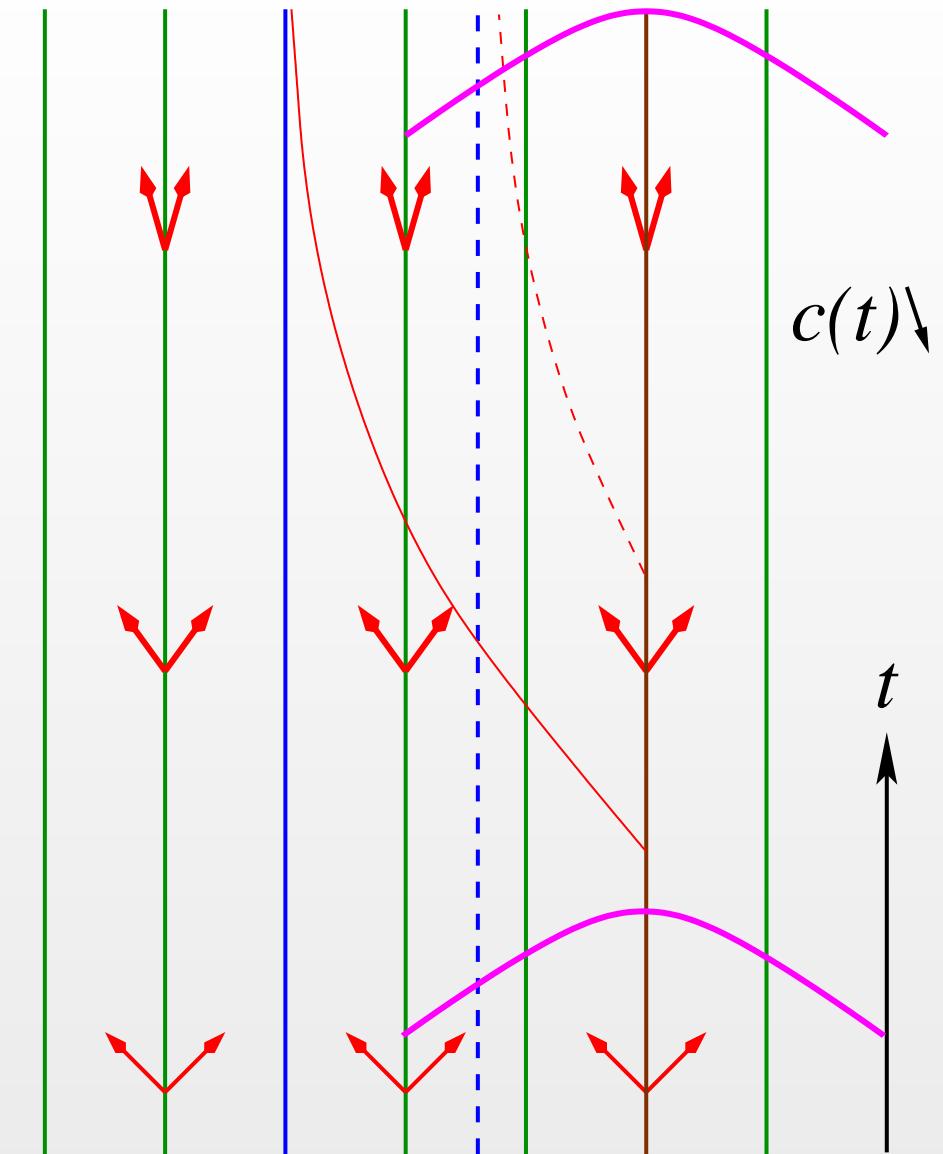
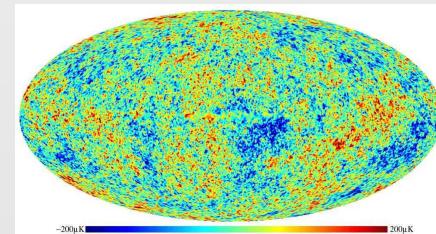
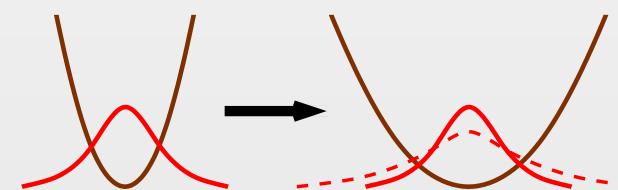
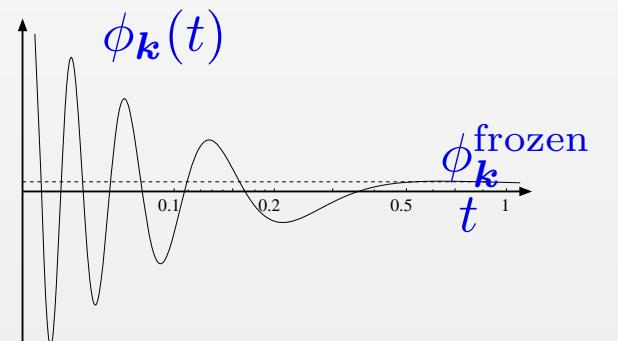
Particle horizon  $\rightarrow$  analogy to expanding universe



# Fluctuations

Phonon mode

- + oscillation (initially)
- + horizon crossing
- + freezing

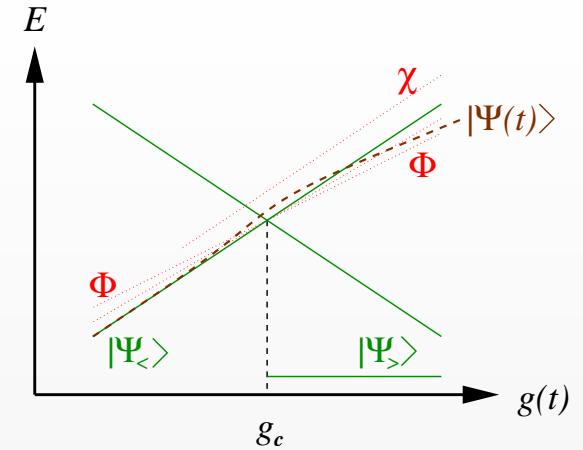


→ universal behavior

R. S., Phys. Rev. Lett. **95**, 135703 (2005)

# Bose-Einstein condensate

$$\mathcal{L}_{\text{eff}} = \frac{1}{2} \left( \frac{1}{g(t)} \dot{\Phi}^2 - \frac{\varrho_0}{m} (\nabla \Phi)^2 \right)$$



Phase fluctuations  $\Phi$ , density fluctuations  $\delta\varrho$

Mass of atoms/molecules  $m$ , background density  $\varrho_0$

Coupling  $g(t)$  (e.g., Feshbach resonance)

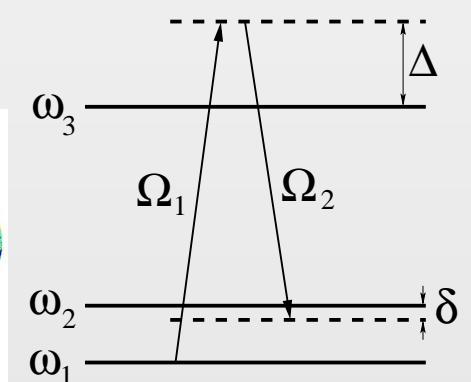
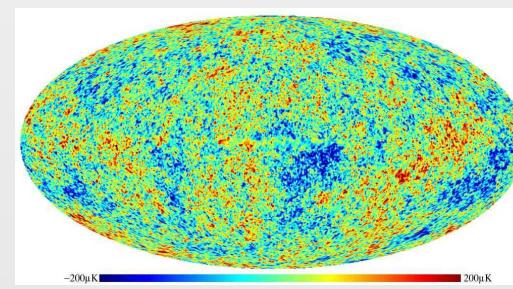
Critical point at  $g_c = 0$  (repulsive  $\rightarrow$  attractive)

Linear sweep  $g(t) \propto t$

$\rightarrow$  frozen spectra:

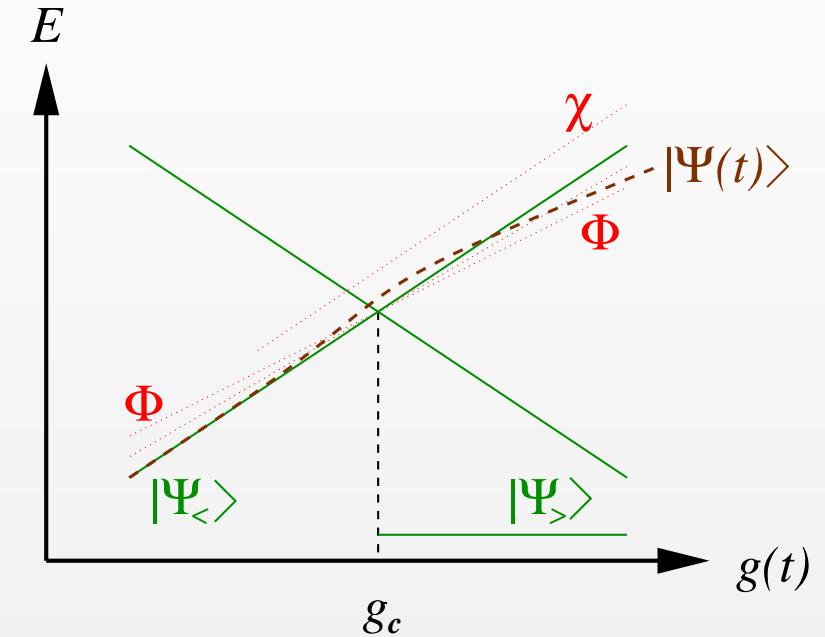
$$\sigma(\Phi) = k^{-4/3},$$

$$\sigma(\delta\varrho) = k^{4/3}$$



# Similarities to Cosmic Inflation

- Release of energy  
→ (p)re-heating
- Robust against initial  
(small-scale) perturbations
- Universality  
(no fine-tuning)
- Amplification of quantum fluctuations



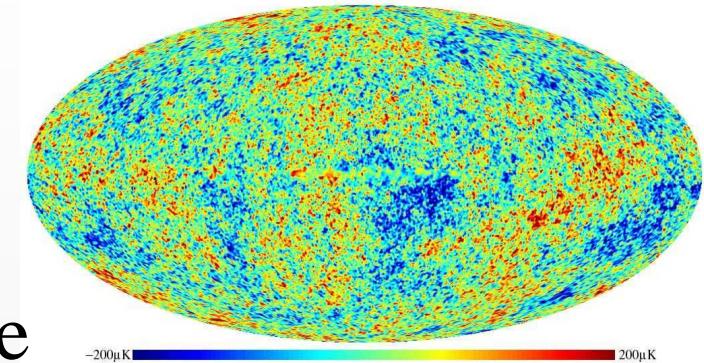
But: different spectrum in general, e.g.,  $\sigma(\Phi) = k^{-4/3}$

- Preferred frame (rest frame of medium)
- No unique/constant propagation speed
- Neglect of (quantum) back-reaction

# Speculations...

Postulate:

- No (locally) preferred frame
- Unique/constant propagation speed



$$\mathcal{A}_{\text{eff}} = \frac{1}{2} \int dt d^3r \frac{\dot{\Phi}^2 - (\nabla \Phi)^2}{t^2}$$

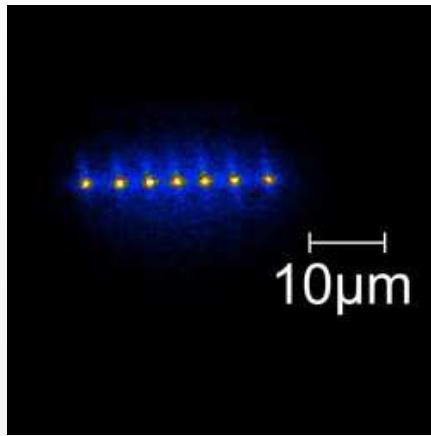
- $\leftrightarrow$  scale-invariance  $\mathcal{A}[\lambda t, \lambda \mathbf{r}] = \mathcal{A}[t, \mathbf{r}]$
- Dominated by (quantum) back-reaction?

→ correct  $1/k^3$ -spectrum (conformal de Sitter metric)

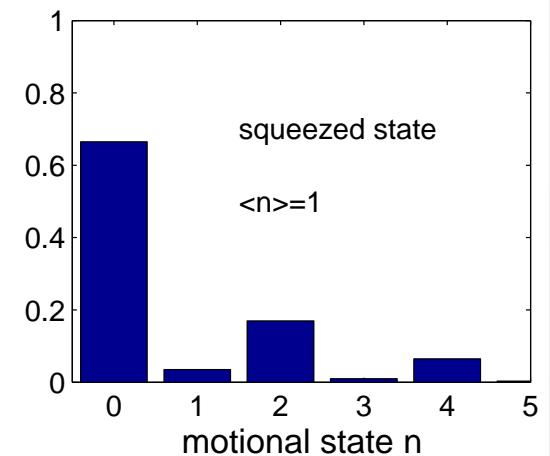
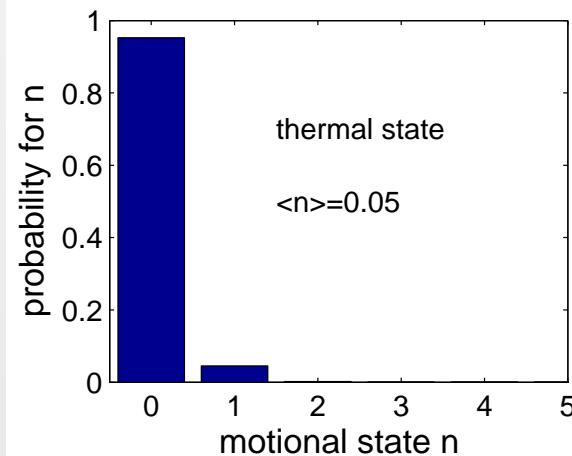
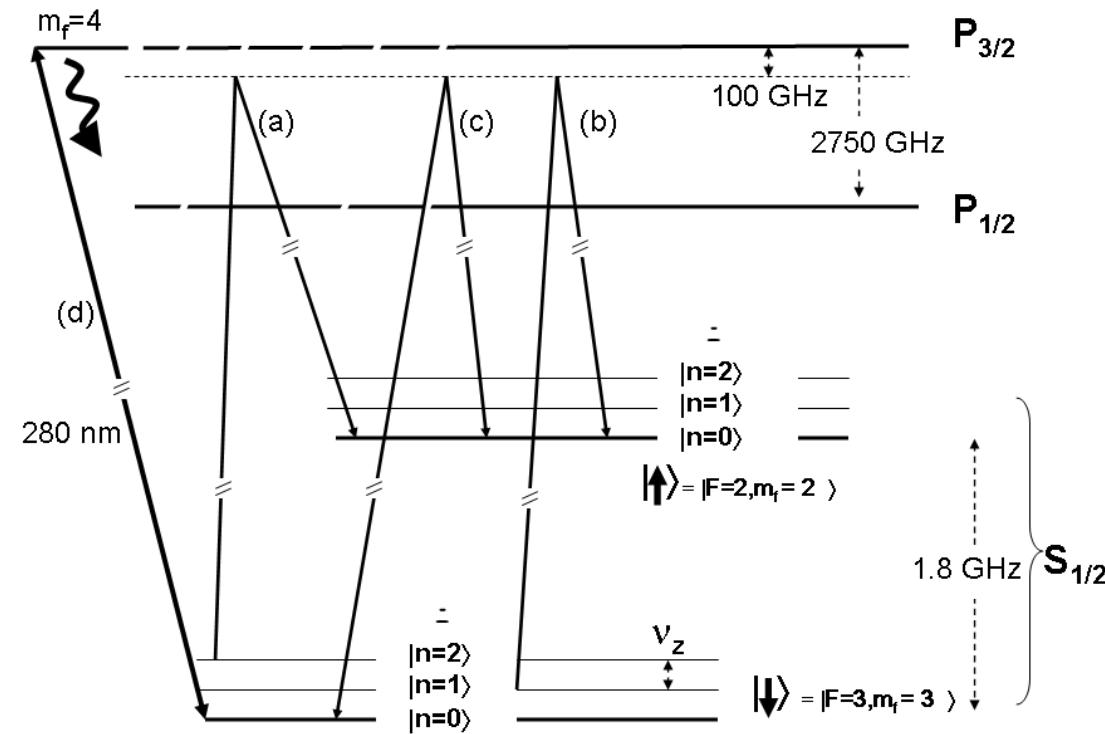
Was cosmic inflation just a phase transition?

R. S., Phys. Rev. Lett. **95**, 135703 (2005)

# Ion Trap



Expansion or  
Contraction of  
Ion chain →  
Expanding  
Universe →  
Phonon pair  
creation  
**Hawking???**



R. S. et al., Phys. Rev. Lett. 99, 201301 (2007).

# Acknowledgments



- German Research Foundation (DFG) Emmy-Noether programme
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- Pacific Institute of Theoretical Physics
- EU-IHP ULTI, CIAR, NSERC
- many interesting discussions...

