

EQUIVALENCES INVOLVING (p, q) -MULTI-NORMS

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ABSTRACT. We consider (p, q) -multi-norms and standard t -multi-norms based on Banach spaces of the form $L^r(\Omega)$, and resolve some question about the mutual equivalence of two such multi-norms. We introduce a new multi-norm, called the $[p, q]$ -concave multi-norm, and relate it to the standard t -multi-norm.

1. INTRODUCTION

1.1. Definitions. A theory of multi-norms based on a normed space E was first introduced by Dales and Polyakov in [8]. We recall the basic definitions of the theory.

We write \mathbb{N} for the set of natural numbers, and set $\mathbb{N}_n = \{1, \dots, n\}$ for $n \in \mathbb{N}$; the collection of permutations of the set \mathbb{N}_n is denoted by \mathfrak{S}_n .

Definition 1.1. Let $(E, \|\cdot\|)$ be a complex normed space. A *multi-norm* on the family $\{E^n : n \in \mathbb{N}\}$ is a sequence $(\|\cdot\|_n : n \in \mathbb{N})$ such that $\|\cdot\|_n$ is a norm on E^n for each $n \in \mathbb{N}$, such that $\|x\|_1 = \|x\|$ for each $x \in E$, and such that the following Axioms (A1)–(A4) are satisfied for each $n \in \mathbb{N}$ and $\mathbf{x} = (x_1, \dots, x_n) \in E^n$:

$$(A1) \quad \|(x_{\sigma(1)}, \dots, x_{\sigma(n)})\|_n = \|\mathbf{x}\|_n \quad (\sigma \in \mathfrak{S}_n);$$

$$(A2) \quad \|(\alpha_1 x_1, \dots, \alpha_n x_n)\|_n \leq (\max_{i \in \mathbb{N}_n} |\alpha_i|) \|\mathbf{x}\|_n \quad (\alpha_1, \dots, \alpha_n \in \mathbb{C});$$

$$(A3) \quad \|(x_1, \dots, x_n, 0)\|_{n+1} = \|\mathbf{x}\|_n;$$

$$(A4) \quad \|(x_1, \dots, x_{n-1}, x_n, x_n)\|_{n+1} = \|\mathbf{x}\|_n.$$

In this case, $((E^n, \|\cdot\|_n) : n \in \mathbb{N})$ is a *multi-normed space*.

We shall sometimes say that $(\|\cdot\|_n : n \in \mathbb{N})$ is a multi-norm *based on* E ; we write \mathcal{E}_E for the family of all multi-norms based on E .

In the case where $(E, \|\cdot\|)$ is a Banach space, each space $(E^n, \|\cdot\|_n)$ is a Banach space, and $((E^n, \|\cdot\|_n) : n \in \mathbb{N})$ is termed a *multi-Banach space*.

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In fact, Axiom (A3) is a consequence of Axioms (A1), (A2), and (A4) [8, Proposition 2.7]; to establish (A4), it suffices to show that

$$\|(x_1, \dots, x_{n-1}, x_n, x_n)\|_{n+1} \leq \|\mathbf{x}\|_n$$

for each element $\mathbf{x} = (x_1, \dots, x_n) \in E^n$.

Many properties of multi-norms were described in [8]; these properties included some strong connections with the theory of absolutely summing operators and with the theory of tensor norms. A study of multi-norms was continued in [9] and [10].

In [9], we explained how multi-norms correspond to certain tensor norms. We recall this briefly; details are given in [9, §3]. We write δ_i for the sequence $(\delta_{i,j} : j \in \mathbb{N})$ for $i \in \mathbb{N}$; c_0 is the Banach space of all complex-valued null sequences.

Definition 1.2. Let E be a normed space. Then a norm $\|\cdot\|$ on $c_0 \otimes E$ is a c_0 -norm if $\|\delta_1 \otimes x\| = \|x\|$ for each $x \in E$ and if the linear operator $T \otimes I_E$ is bounded on $(c_0 \otimes E, \|\cdot\|)$, with norm at most $\|T\|$, for each compact operator T on E .

We note that a c_0 -norm on $c_0 \otimes E$ is a ‘reasonable cross-norm’ in the sense of [21, §6.1]; see [9, Lemma 3.3].

Suppose that $\|\cdot\|$ is a c_0 -norm on $c_0 \otimes E$, and set

$$\|(x_1, \dots, x_n)\|_n = \sum_{i=1}^n \delta_i \otimes x_i \quad (x_1, \dots, x_n \in E, n \in \mathbb{N}).$$

Then $(\|\cdot\|_n : n \in \mathbb{N})$ is a multi-norm based on E .

A more general and detailed version of the following theorem is given as [9, Theorem 3.4].

Theorem 1.3. *Let E be a normed space. Then the above construction defines a bijection from the family of c_0 -norms on $c_0 \otimes E$ onto \mathcal{E}_E . \square*

The notion of the equivalence of two multi-norms was given in [8, §2.2.4], as follows.

Definition 1.4. Let $(E, \|\cdot\|)$ be a normed space. Suppose that the two multi-norms $(\|\cdot\|_n^1 : n \in \mathbb{N})$ and $(\|\cdot\|_n^2 : n \in \mathbb{N})$ belong to \mathcal{E}_E . Then

$$(\|\cdot\|_n^1) \leq (\|\cdot\|_n^2) \quad \text{if} \quad \|\mathbf{x}\|_n^1 \leq \|\mathbf{x}\|_n^2 \quad (\mathbf{x} \in E^n, n \in \mathbb{N}),$$

and $(\|\cdot\|_n^2 : n \in \mathbb{N})$ *dominates* $(\|\cdot\|_n^1 : n \in \mathbb{N})$, written $(\|\cdot\|_n^1) \preceq (\|\cdot\|_n^2)$, if there is a constant $C > 0$ such that

$$(1.1) \quad \|\mathbf{x}\|_n^1 \leq C \|\mathbf{x}\|_n^2 \quad (\mathbf{x} \in E^n, n \in \mathbb{N});$$

the two multi-norms are *equivalent*, written

$$(\|\cdot\|_n^1 : n \in \mathbb{N}) \cong (\|\cdot\|_n^2 : n \in \mathbb{N}) \quad \text{or} \quad (\|\cdot\|_n^1) \cong (\|\cdot\|_n^2),$$

if each dominates the other.

A main theme of [10] was to determine when two multi-norms based on the same normed space are mutually equivalent. In particular, we discussed in [10] the ‘ (p, q) -multi-norms based on a normed space E ’, and tried to determine when these multi-norms are mutually equivalent, especially on the Banach spaces of the form $L^r(\Omega)$. The question was resolved for most, but not all, cases. Here we resolve some of the remaining cases, and give simpler proofs of some results already established in [10]. We also consider the question whether a ‘standard multi-norm’ is ever equivalent to a (p, q) -multi-norm on a space $L^r(\Omega)$. For this, we introduce a new ‘ $[p, q]$ -concave multi-norm’, and use some theorems of Maurey to show that ‘usually’ a standard t -multi-norm is not equivalent to any (p, q) -multi-norm on $L^r(\Omega)$. However there are special combinations of p, q , and r when this equivalence does hold, thereby refuting a conjecture of [10].

1.2. Notation. Let E be a normed space. The closed unit ball of E is denoted by $E_{[1]}$, and the dual space of E is E' ; the action of $\lambda \in E'$ on $x \in E$ with respect to the duality gives the complex number denoted by $\langle x, \lambda \rangle$. Let E and F be Banach spaces. Then $\mathcal{B}(E, F)$ denotes the Banach space of all bounded linear operators from E to F , with the operator norm.

The standard Banach spaces of all complex-valued sequences on \mathbb{N} that are bounded and r -summable (for $r \geq 1$) are denoted by ℓ^∞ and ℓ^r , respectively; the norms on ℓ^∞ and ℓ^r are denoted by $\|\cdot\|_\infty$ and $\|\cdot\|_r$, respectively, so that c_0 is a closed subspace of ℓ^∞ . For $n \in \mathbb{N}$ and $r \in [1, \infty]$, the space \mathbb{C}^n with the ℓ^r -norm is denoted by ℓ_n^r ; it is regarded as a subspace of c_0 and ℓ^r by identifying $(x_1, \dots, x_n) \in \mathbb{C}^n$ with $(x_1, \dots, x_n, 0, \dots) \in \mathbb{C}^{\mathbb{N}}$. The Banach space of all complex-valued, continuous functions on a compact space K , taken with the uniform norm, is denoted by $C(K)$.

Let Ω be a measure space, and take $r \geq 1$. Then we denote by $L^r(\Omega)$ or $L^r(\Omega, \mu)$ the usual Banach space of complex-valued, r -integrable functions with respect to a positive measure μ on Ω ; here

$$\|f\|_r = \left(\int_{\Omega} |f(t)|^r \, d\mu(t) \right)^{1/r} \quad (f \in L^r(\Omega)),$$

and we identify functions which are equal almost everywhere. For each r with $1 \leq r < \infty$, the conjugate index to r is denoted by r' , so that we

have $1/r + 1/r' = 1$, and we regard 1 and ∞ as conjugates; throughout we interpret

$$\left(\sum_{i=1}^n |\zeta_i|^{r'} \right)^{1/r'} \quad \text{as} \quad \max\{|\zeta_1|, \dots, |\zeta_n|\}$$

when $r = 1$. The dual space of $L^r(\Omega)$ is identified with $L^{r'}(\Omega)$ in the usual manner.

It is standard [1, Proposition 6.4.1] that, in the case where $L^r(\Omega)$ is an infinite-dimensional space, we can regard ℓ^r as a closed, 1-complemented subspace of $L^r(\Omega)$.

Finally in this section, we recall that the generalized Hölder inequality implies the following. Take $q, s, u > 1$ such that $s < q$ and $1/u = 1/s - 1/q$. Then

$$(1.2) \quad \|(\beta_1, \dots, \beta_n)\|_q = \sup \left\{ \|(\zeta_1 \beta_1, \dots, \zeta_n \beta_n)\|_s : \zeta_1, \dots, \zeta_n \in \mathbb{C}, \sum_{j=1}^n |\zeta_j|^u \leq 1 \right\}$$

whenever $n \in \mathbb{N}$ and $\beta_1, \dots, \beta_n \in \mathbb{C}$. Indeed, $1/(u/s) + 1/(q/s) = 1$, and so

$$\begin{aligned} \|(\beta_1, \dots, \beta_n)\|_q &= \|(|\beta_1|^s, \dots, |\beta_n|^s)\|_{q/s}^{1/s} \\ &= \sup \left\{ \left| \sum_{j=1}^n \eta_j |\beta_j|^s \right|^{1/s} : \sum_{j=1}^n |\eta_j|^{u/s} \leq 1 \right\} \\ &= \sup \left\{ \left(\sum_{j=1}^n |\zeta_j|^s |\beta_j|^s \right)^{1/s} : \sum_{j=1}^n |\zeta_j|^u \leq 1 \right\}, \\ &= \sup \left\{ \|(\zeta_1 \beta_1, \dots, \zeta_n \beta_n)\|_s : \sum_{j=1}^{\infty} |\zeta_j|^u \leq 1 \right\}, \end{aligned}$$

giving (1.2).

1.3. The weak p -summing norm. We recall the definition of the weak p -summing norms on a normed space; the following standard definition was given in [8, Definition 4.1.1] and [10, §2.3]. For further discussion, see [11, 13, 14].

Let E be a normed space, and take $p \geq 1$ and $n \in \mathbb{N}$. Following the notation of [8, 9, 14], we define $\mu_{p,n}(\mathbf{x})$ for $\mathbf{x} = (x_1, \dots, x_n) \in E^n$ by

$$\begin{aligned} \mu_{p,n}(\mathbf{x}) &= \sup \left\{ \left(\sum_{i=1}^n |\langle x_i, \lambda \rangle|^p \right)^{1/p} : \lambda \in E'_{[1]} \right\} \\ &= \sup \left\{ \|(\langle x_1, \lambda \rangle, \dots, \langle x_n, \lambda \rangle)\|_p : \lambda \in E'_{[1]} \right\}. \end{aligned}$$

Then $\mu_{p,n}$ is the *weak p -summing norm* (at dimension n).

Note that, for all $p \geq 1$, $n \in \mathbb{N}$, and $\mathbf{x} = (x_1, \dots, x_n) \in E^n$, we have

$$(1.3) \quad \mu_{p,n}(\mathbf{x}) = \sup \left\{ \left\| \sum_{j=1}^n \zeta_j x_j \right\| : \zeta_1, \dots, \zeta_n \in \mathbb{C}, \sum_{j=1}^n |\zeta_j|^{p'} \leq 1 \right\}.$$

Let E be a normed space. Take $n \in \mathbb{N}$ and $\mathbf{x} = (x_1, \dots, x_n) \in E^n$, and define

$$T_{\mathbf{x}} : (\zeta_1, \dots, \zeta_n) \mapsto \sum_{j=1}^n \zeta_j x_j, \quad \mathbb{C}^n \rightarrow E.$$

It follows from (1.3) that

$$(1.4) \quad \mu_{p,n}(\mathbf{x}) = \left\| T_{\mathbf{x}} : \ell_n^{p'} \rightarrow E \right\|$$

for $p \geq 1$; the map $\mathbf{x} \mapsto T_{\mathbf{x}}$, $(E^n, \mu_{p,n}) \rightarrow \mathcal{B}(\ell_n^{p'}, E)$, is an isometric linear isomorphism.

1.4. (q, p) -summing operators. Let E and F be Banach spaces, and suppose that $1 \leq p \leq q < \infty$. We recall that an operator $T \in \mathcal{B}(E, F)$ is (q, p) -*summing* if there exists a constant C such that

$$\left(\sum_{i=1}^n \|T x_i\|^q \right)^{1/q} \leq C \mu_{p,n}(x_1, \dots, x_n) \quad (x_1, \dots, x_n \in E, n \in \mathbb{N}).$$

The smallest such constant C is denoted by $\pi_{q,p}(T)$. The set of these (q, p) -summing operators is denoted by $\Pi_{q,p}(E, F)$; it is a linear subspace of $\mathcal{B}(E, F)$, and $(\Pi_{q,p}(E, F), \pi_{q,p})$ is a Banach space; we write $(\Pi_p(E, F), \pi_p)$ for $(\Pi_{p,p}(E, F), \pi_{p,p})$. The latter space of all p -summing operators has been studied by many authors; see [11, 13, 14, 16, 21], for example.

1.5. The maximum and minimum multi-norm. As in [8] and [9], there are a *maximum multi-norm* and *minimum multi-norm* based on a normed space E ; they are denoted by $(\|\cdot\|_n^{\max} : n \in \mathbb{N})$ and $(\|\cdot\|_n^{\min} : n \in \mathbb{N})$, respectively, and they are defined by the property that

$$\|\mathbf{x}\|_n^{\min} \leq \|\mathbf{x}\|_n \leq \|\mathbf{x}\|_n^{\max} \quad (\mathbf{x} \in E^n, n \in \mathbb{N})$$

for every multi-norm $(\|\cdot\|_n : n \in \mathbb{N})$ based on E . The formula for $\|\cdot\|_n^{\min}$ is

$$\|\mathbf{x}\|_n^{\min} = \max_{i \in \mathbb{N}_n} \|x_i\| \quad (\mathbf{x} = (x_1, \dots, x_n) \in E^n, n \in \mathbb{N}).$$

The dual of $\|\cdot\|_n^{\max}$ is the weak 1-summing norm $\mu_{1,n}$ [8, Theorem 3.33], and hence

$$\|\mathbf{x}\|_n^{\max} = \sup \left\{ \left| \sum_{j=1}^n \langle x_j, \lambda_j \rangle \right| : \mu_{1,n}(\boldsymbol{\lambda}) \leq 1 \right\}$$

for each $\mathbf{x} = (x_1, \dots, x_n) \in E^n$ and $n \in \mathbb{N}$, where the supremum is taken over all $\boldsymbol{\lambda} = (\lambda_1, \dots, \lambda_n) \in (E')^n$.

1.6. The (p, q) -multi-norm. The following definition was first given in [8, §4.1].

Definition 1.5. Let E be a normed space, and suppose that $1 \leq p \leq q < \infty$. For each $n \in \mathbb{N}$ and $\mathbf{x} = (x_1, \dots, x_n) \in E^n$, define

$$\begin{aligned} \|\mathbf{x}\|_n^{(p,q)} &= \sup \left\{ \left(\sum_{j=1}^n |\langle x_j, \lambda_j \rangle|^q \right)^{1/q} : \mu_{p,n}(\boldsymbol{\lambda}) \leq 1 \right\} \\ &= \sup \left\{ \|(\langle x_1, \lambda_1 \rangle, \dots, \langle x_n, \lambda_n \rangle)\|_q : \mu_{p,n}(\boldsymbol{\lambda}) \leq 1 \right\}, \end{aligned}$$

where the supremum is take over all $\boldsymbol{\lambda} = (\lambda_1, \dots, \lambda_n) \in (E')^n$.

As noted in [8, Theorem 4.1], $(\|\cdot\|_n^{(p,q)} : n \in \mathbb{N})$ is a multi-norm based on E ; it is called the (p, q) -multi-norm.

Clearly, we have $(\|\cdot\|_n^{(p,q_1)}) \leq (\|\cdot\|_n^{(p,q_2)})$ whenever $1 \leq p \leq q_2 \leq q_1$ and $(\|\cdot\|_n^{(p_1,q)}) \leq (\|\cdot\|_n^{(p_2,q)})$ whenever $1 \leq p_1 \leq p_2 \leq q$.

Lemma 1.6. *Let E be a normed space, and take p, q_1, q_2 such that*

$$1 \leq p \leq q_1 < q_2 < \infty.$$

Then

$$\|\mathbf{x}\|_n^{(p,q_2)} = \sup \left\{ \|(\zeta_1 x_1, \dots, \zeta_n x_n)\|_n^{(p,q_1)} : \sum_{j=1}^n |\zeta_j|^u \leq 1 \right\}$$

for all $\mathbf{x} = (x_1, \dots, x_n) \in E^n$ and $n \in \mathbb{N}$, where u is defined by the equation $1/u = 1/q_1 - 1/q_2$.

Proof. The result follows by applying the generalized Hölder's inequality (1.2) with $q = q_2$ and $s = q_1$ and with β_i taken to be the value $\langle x_i, \lambda_i \rangle$ for $i \in \mathbb{N}_n$ from the definition of the multi-norms. \square

A key result from [10, Theorem 2.6] relates (p, q) -multi-norms to the known theory of absolutely summing operators.

Theorem 1.7. *Let E be a normed space, and suppose that $1 \leq p \leq q < \infty$. Then the (p, q) -multi-norm induces the norm on $c_0 \otimes E$ given by embedding $c_0 \otimes E$ into $\Pi_{q,p}(E', c_0)$.* \square

Indeed, for $n \in \mathbb{N}$ and $\mathbf{x} = (x_1, \dots, x_n) \in E^n$, we have

$$(1.5) \quad \|\mathbf{x}\|_n^{(p,q)} = \pi_{q,p}(T'_\mathbf{x} : E' \rightarrow c_0).$$

Further, it is shown in [10, Corollary 2.9] that, for $1 \leq p_1 \leq q_1 < \infty$ and $1 \leq p_2 \leq q_2 < \infty$, we have $(\|\cdot\|_n^{(p_1, q_1)}) \cong (\|\cdot\|_n^{(p_2, q_2)})$ if and only if $\Pi_{q_1, p_1}(E', c_0) = \Pi_{q_2, p_2}(E', c_0)$ as subsets of $\mathcal{B}(E', c_0)$.

Let F be a 1-complemented subspace of a Banach space E , and suppose that $1 \leq p \leq q < \infty$ and that $n \in \mathbb{N}$. Then it follows from [8, Proposition 4.3] that the restriction of the norm $\|\cdot\|_n^{(p,q)}$ on E^n to F^n is exactly $\|\cdot\|_n^{(p,q)}$ defined on F^n . In particular, to show that two (p, q) -multi-norms based on an infinite-dimensional space $L^r(\Omega)$ are not equivalent, it suffices to prove this for the corresponding (p, q) -multi-norms based on ℓ^r .

1.7. The standard t -multi-norm. Let (Ω, μ) be a measure space, take $r \geq 1$, and suppose that $r \leq t < \infty$. In [8, §4.2] and [9, §6], there is a definition and discussion of the standard t -multi-norm on the Banach space $L^r(\Omega)$. We recall the definition.

Take $n \in \mathbb{N}$. For each ordered partition $\mathbf{X} = (X_1, \dots, X_n)$ of Ω into measurable subsets and each $f_1, \dots, f_n \in L^r(\Omega)$, we define

$$r_{\mathbf{X}}((f_1, \dots, f_n)) = \left(\sum_{i=1}^n \|P_{X_i} f_i\|^t \right)^{1/t}.$$

Here $P_{X_i} : f \mapsto f|_{X_i}$ is the projection of $L^r(\Omega)$ onto $L^r(X_i)$, and $\|\cdot\|$ is the L^r -norm. Then we define

$$\|(f_1, \dots, f_n)\|_n^{[t]} = \sup_{\mathbf{X}} r_{\mathbf{X}}((f_1, \dots, f_n)),$$

where the supremum is taken over all such measurable ordered partitions \mathbf{X} . As in [8, §4.2.1], we see that $(\|\cdot\|_n^{[t]} : n \in \mathbb{N})$ is a multi-norm based on $L^r(\Omega)$; it is the *standard t -multi-norm* on $L^r(\Omega)$.

Clearly the norms $\|\cdot\|_n^{[t]}$ decrease as a function of $t \in [r, \infty)$, and so the maximum among these norms is $\|\cdot\|_n^{[r]}$.

For example, by [8, (4.9)], we have

$$\|(f_1, \dots, f_n)\|_n^{[t]} = (\|f_1\|^t + \dots + \|f_n\|^t)^{1/t} \quad (n \in \mathbb{N})$$

whenever f_1, \dots, f_n in $L^r(\Omega)$ have pairwise-disjoint supports, and, in particular,

$$(1.6) \quad \|(\delta_1, \dots, \delta_n)\|_n^{[t]} = n^{1/t} \quad (n \in \mathbb{N}),$$

where we regard δ_i as an element of ℓ^r . Further,

$$(1.7) \quad \|(f_1, \dots, f_n)\|_n^{[r]} = \| |f_1| \vee \dots \vee |f_n| \| \quad (f_1, \dots, f_n \in L^r(\Omega), n \in \mathbb{N});$$

this is equation (4.13) in [8]. Thus $(\|\cdot\|_n^{[r]})$ is the lattice multi-norm on $L^r(\Omega)$; see [8, §4.3].

Let Ω be a measure space, and take $t \geq 1$. By [8, Theorem 4.26], we have $\|\cdot\|_n^{[t]} = \|\cdot\|_n^{(1,t)}$ on $L^1(\Omega)$.

Lemma 1.8. *Let Ω be a measure space, and take r, t_1, t_2 such that*

$$1 \leq r \leq t_1 < t_2 < \infty.$$

Then

$$\|(f_1, \dots, f_n)\|_n^{[t_2]} = \sup \left\{ \|(\zeta_1 f_1, \dots, \zeta_n f_n)\|_n^{[t_1]} : \sum_{j=1}^n |\zeta_j|^v \leq 1 \right\}$$

for each $f_1, \dots, f_n \in L^r(\Omega)$ and $n \in \mathbb{N}$, where v satisfies $1/v = 1/t_1 - 1/t_2$.

Proof. Let $\mathbf{X} = (X_1, \dots, X_n)$ be an ordered partition of Ω into measurable subsets. Now the generalized Hölder's inequality (1.2) with $q = t_2$ and $s = t_1$ and with β_i taken to be the value $\|P_{X_i} f_i\|$ for $i \in \mathbb{N}_n$ shows that

$$r_{\mathbf{X}}((f_1, \dots, f_n)) = \sup \left\{ r_{\mathbf{X}}((\zeta_1 f_1, \dots, \zeta_n f_n)) : \sum_{j=1}^n |\zeta_j|^v \leq 1 \right\}$$

for each $f_1, \dots, f_n \in L^r(\Omega)$ and $n \in \mathbb{N}$. Taking the supremum over all such ordered partitions \mathbf{X} gives the result. \square

It was conjectured in [10, §3.8] that, whenever $t \geq r > 1$, the standard t -multi-norm on an infinite-dimensional space $L^r(\Omega)$ is never equivalent to a (p, q) -multi-norm based on the same space. In §4, we shall extend the cases for which this is true, but, in §4.3, we shall give a counter-example to this conjecture.

1.8. Earlier results. The basic questions that we are concerned with in this paper are to determine, for a given normed space, when two (p, q) -multi-norms based on that space are mutually equivalent and when a (p, q) -multi-norm is equivalent to a standard t -multi-norm on the space.

Some elementary relations were given in [8]. For example, the following is [8, Theorem 4.6].

Theorem 1.9. *Let E be a normed space. Then $\|\mathbf{x}\|_n^{(1,1)} = \|\mathbf{x}\|_n^{\max}$ for each $\mathbf{x} \in E^n$ and $n \in \mathbb{N}$, and so $(\|\cdot\|_n^{(1,1)} : n \in \mathbb{N})$ is the maximum multi-norm based on E .* \square

The mutual equivalence of different (p, q) -multi-norms is discussed more seriously in [10, §3]. The first general result is [10, Theorem 2.11]; it follows

immediately from [13, Theorem 10.4] by using the connection between (p, q) -multi-norms and absolutely summing operators given in Theorem 1.7.

Theorem 1.10. *Let E be a normed space, and suppose that*

$$1 \leq p_1 \leq q_1 < \infty \quad \text{and} \quad 1 \leq p_2 \leq q_2 < \infty.$$

Then $(\|\cdot\|_n^{(p_2, q_2)}) \leq (\|\cdot\|_n^{(p_1, q_1)})$ on E when both $1/p_1 - 1/q_1 \leq 1/p_2 - 1/q_2$ and $q_1 \leq q_2$. \square

Given a (\bar{p}, \bar{q}) -multi-norm, the following figure illustrates the regions where the (p, q) -multi-norms are definitely smaller and larger than this particular (\bar{p}, \bar{q}) -multi-norm on each space $L^r(\Omega)$. We have not at this stage excluded the possibility that the shaded regions are larger; indeed, we shall show in §4 that the upper area can be larger for certain values of r .

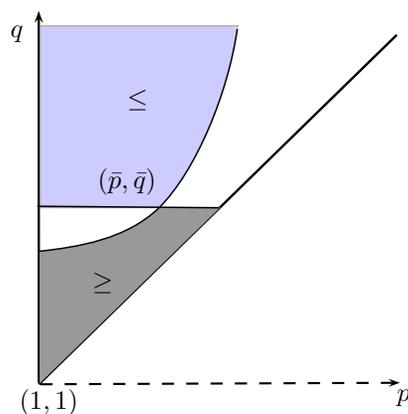


FIGURE 1. Regions where the (p, q) -multi-norms are smaller and are larger than a particular (\bar{p}, \bar{q}) -multi-norm.

To explain the main classification result obtained in [10], we refer to some curves \mathcal{C}_c contained in the ‘triangle’

$$\mathcal{T} = \{(p, q) : 1 \leq p \leq q < \infty\}.$$

For $c \in [0, 1)$, the curve \mathcal{C}_c is

$$\mathcal{C}_c = \left\{ (p, q) \in \mathcal{T} : \frac{1}{p} - \frac{1}{q} = c \right\},$$

so that \mathcal{T} is the union of these curves. Note that, for $r > 1$, the curve $\mathcal{C}_{1/r}$ meets the line $p = 1$ at the point $(1, r')$.

Following [10, §3.2], we say that two points $P_1 = (p_1, q_1)$ and $P_2 = (p_2, q_2)$ in \mathcal{T} are *equivalent for a normed space E* if the corresponding multi-norms $(\|\cdot\|_n^{(p_1, q_1)})$ and $(\|\cdot\|_n^{(p_2, q_2)})$ based on E are equivalent.

The results in [10] on the equivalence of two such points in \mathcal{T} for the Banach space $L^r(\Omega)$ are given in the following cases; here Ω is a measure space, $r \geq 1$, and we suppose that $L^r(\Omega)$ is infinite dimensional.

(I) The case where $r = 1$ is fully resolved in [10, Theorem 3.3].

Indeed, suppose that $P_1 = (p_1, q_1)$ and $P_2 = (p_2, q_2)$ are in \mathcal{T} . In the case where $q_1 \leq q_2$, we have $(\|\cdot\|_n^{(p_2, q_2)}) \preceq (\|\cdot\|_n^{(p_1, q_1)})$. Thus a necessary condition for the equivalence of P_1 and P_2 on $L^1(\Omega)$ is that $q_1 = q_2$; in this latter case, the points $P_1 = (p_1, q)$ and $P_2 = (p_2, q)$ are equivalent whenever $1 \leq p_1 \leq p_2 < q$, but (p, q) is not equivalent to (q, q) when $1 \leq p < q$.

(II) The case where $r \in (1, 2)$ is considered in [10, Theorem 3.16].

(III) The case where $r \geq 2$ is considered in [10, Theorem 3.18].

The above two cases will be fully described below.

Now take $r > 1$, and set $\bar{r} = \min\{r, 2\}$. We define the set

$$A_r := \left\{ (p, q) \in \mathcal{T} : \frac{1}{p} - \frac{1}{q} \geq \frac{1}{\bar{r}} \right\} = \bigcup \{ \mathcal{C}_c : c \in [1/\bar{r}, 1) \}.$$

Note that it follows from Theorem 1.10 that $(\|\cdot\|_n^{(p, q)}) \leq (\|\cdot\|_n^{(1, \bar{r}^\prime)})$ for each $(p, q) \in A_r$.

The following is [10, Theorem 3.9]. The proof uses Orlicz's theorem and some strong results on tensor norms; we shall give a direct proof of a somewhat more general result in Theorem 2.1, below.

Theorem 1.11. *Let Ω be a measure space, and take $r > 1$ and $(p, q) \in A_r$. Then $(\|\cdot\|_n^{(p, q)}) \cong (\|\cdot\|_n^{\min})$ on $L^r(\Omega)$. \square*

Next, the theorems in [10] show that the two points P_1 and P_2 in \mathcal{T} are not equivalent for $L^r(\Omega)$ (when $L^r(\Omega)$ is an infinite-dimensional space) when at least one point lies outside the region A_r , except perhaps in the following three cases, (A), (B), and (C).

(A) : *Both of the points $P_1 = (p_1, q_1)$ and $P_2 = (p_2, q_2)$ lie on the same curve \mathcal{C}_c , where $c \in [0, 1/\bar{r})$ and, further, $p_1, p_2 \in [1, r)$ when $r < 2$ and $p_1, p_2 \in [1, 2]$ when $r \geq 2$.*

The question whether two such points P_1 and P_2 are indeed equivalent was already resolved in [10, Theorem 3.8] in the special case where $c = 0$: here, $P_1 = (p_1, p_1)$ and $P_2 = (p_2, p_2)$ are equivalent, and the corresponding multi-norms were shown to be equivalent to the maximum multi-norm whenever $p_1, p_2 \in [1, \bar{r})$. Further, in the case where $1 < r < 2$, so that $\bar{r} = r$, the point (r, r) is not equivalent to any point $P = (p, p)$ when $p \in [1, r)$ (this is a result of Kwapien [15, Theorem 7]; see also [3]), and, in the case where

$r \geq 2$, so that $\bar{r} = 2$, the point $(2, 2)$ is equivalent to each point $P = (p, p)$ for $p \in [1, 2)$, and hence is equivalent to the maximum multi-norm for $L^r(\Omega)$.

We shall prove in Theorem 2.5 that the above two points P_1 and P_2 specified in case (A) are indeed equivalent whenever $r > 1$. (The case (A) does not arise when $r = 1$.)

The second and third cases that were left open in [10] arise only when $r < 2$ (so that $\bar{r} = r$). Suppose that $c \in [1/2, 1/r)$ and the curve \mathcal{C}_c meets the vertical line $\{(p, q) : p = r\}$ at the point (r, u_c) , so that $u_c = r/(1 - cr)$, and consider the horizontal line $\{(p, q) : q = u_c\}$. This line meets the curve $\mathcal{C}_{1/2}$ at the point (x_c, u_c) , say, where $x_c = 2u_c/(2 + u_c) = 2r/(2(1 - cr) + r)$, as in [10, §3.5]. Let us denote by L_c the horizontal line segment

$$L_c = \{(p, u_c) : r \leq p \leq x_c\}.$$

(See Figure 3.) Then the following case was also left open in [10].

(B) : *Both of the points $P_1 = (p_1, u_c)$ and $P_2 = (p_2, u_c)$ lie on the line segment L_c .*

Further, the following case was left open.

(C) : *$P_1 = (p_1, q_1)$ lies on a curve \mathcal{C}_c , where $c \in (0, 1/r)$ and $1 \leq p_1 < r$ and P_2 is the point $(r, r/(1 - cr))$.*

We regret that we have not been able to resolve whether P_1 and P_2 are equivalent in the case (B); we shall show that we do have equivalence in case (C) whenever $c \in (1/2, 1/r)$, but leave open the case where $0 < c \leq 1/2$.

Two points $P_1 = (p_1, q_1)$ and $P_2 = (p_2, q_2)$ in \mathcal{T} are mutually equivalent for a Banach space E if and only if $\Pi_{q_1, p_1}(E', F) = \Pi_{q_2, p_2}(E', F)$ for every Banach space F [10, Theorem 2.8]. Thus one method of showing that two such points $P_1 = (p_1, q_1)$ and $P_2 = (p_2, q_2)$ are not equivalent for ℓ^r is to show that there is no constant $C > 0$ such that

$$\pi_{q_1, p_1}(I_n : \ell_n^{r'} \rightarrow \ell_n^r) \leq C \pi_{q_2, p_2}(I_n : \ell_n^{r'} \rightarrow \ell_n^r) \quad (n \in \mathbb{N}),$$

where I_n is the identity operator on \mathbb{C}^n . For example, it is shown in [3] that

$$\pi_{p, p}(I_n : \ell_n^{r'} \rightarrow \ell_n^r) \sim (n \log n)^{1/r} \quad \text{as } n \rightarrow \infty$$

for $1 \leq p < r < 2$, whereas $\pi_{r, r}(I_n : \ell_n^{r'} \rightarrow \ell_n^r) \sim n^{1/r}$ as $n \rightarrow \infty$, and so (p, p) is not equivalent to (r, r) whenever $1 \leq p < r < 2$. There are several calculations related to these constants $\pi_{q, p}(I_n : \ell_n^{r'} \rightarrow \ell_n^r)$ in [5, 12, 19], but it appears that none of them resolve the points that we have left open.

The strongest earlier result about the equivalence of the standard t -multi-norm and a (p, q) -multi-norm on an infinite-dimensional space $L^r(\Omega)$

is given in [10, Theorem 3.22]. It shows that it is only possible for a multi-norm $(\|\cdot\|_n^{(p,q)})$ to be equivalent to $(\|\cdot\|_n^{[t]})$ on an infinite-dimensional space $L^r(\Omega)$ when $1 < r < 2$. Further, if $1 < r < 2$ and $(\|\cdot\|_n^{(p,q)}) \cong (\|\cdot\|_n^{[t]})$ on $L^r(\Omega)$, then necessarily $t \geq 2r/(2-r)$, $1/p - 1/q \geq 1/2$, and (p, q) lies on the same curve \mathcal{D}_c (as defined in [10, §3.5]) as (r, t) with $p \leq 2t/(2+t)$. Stronger results will be given in §4.

2. EQUIVALENCES OF (p, q) -MULTI-NORMS

2.1. Rademacher functions and Khintchine's inequality. We denote the Rademacher functions defined on $[0, 1]$ by r_k for $k \in \mathbb{N}$; see [1, 6.2.1] or [13, p. 10], for example. Then $|r_k(t)| = 1$ ($t \in [0, 1]$, $k \in \mathbb{N}$) and

$$\int_0^1 r_i(t)r_j(t) dt = 0 \quad (i, j \in \mathbb{N}, i \neq j).$$

We shall also use a form of Khintchine's inequality (see [1, Theorem 6.2.3] or [22, §I.B.8]): for each $u > 0$, there exist constants A_u and B_u such that

$$A_u \left(\sum_{j=1}^n |\alpha_j|^2 \right)^{1/2} \leq \left(\int_0^1 \left| \sum_{j=1}^n \alpha_j r_j(t) \right|^u dt \right)^{1/u} \leq B_u \left(\sum_{j=1}^n |\alpha_j|^2 \right)^{1/2}$$

for all $\alpha_1, \dots, \alpha_n \in \mathbb{C}$ and all $n \in \mathbb{N}$.

A normed space E has *type* u for $1 \leq u \leq 2$ if there is a constant $K \geq 0$ such that

$$(2.2) \quad \left(\int_0^1 \left\| \sum_{j=1}^n r_j(t)x_j \right\|^2 dt \right)^{1/2} \leq K \left(\sum_{j=1}^n \|x_j\|^u \right)^{1/u}$$

for each $x_1, \dots, x_n \in E$ and $n \in \mathbb{N}$.

Theorem 2.1. *Let E be a Banach space with type $u \in [1, 2]$, and take $s \in [1, u]$. Then there is a constant $K > 0$ such that*

$$\|\mathbf{x}\|_n^{(1,s')} \leq K \|\mathbf{x}\|_n^{\min} \quad (\mathbf{x} \in E^n, n \in \mathbb{N}).$$

Proof. The constant K is defined by equation (2.2).

Take $n \in \mathbb{N}$ and $\mathbf{x} = (x_1, \dots, x_n) \in E^n$, and suppose that $\mu_{1,n}(\boldsymbol{\lambda}) \leq 1$, where $\boldsymbol{\lambda} = (\lambda_1, \dots, \lambda_n) \in (E')^n$. Then the following estimates hold: throughout the suprema are taken over all $\zeta_1, \dots, \zeta_n \in \mathbb{C}$ such that $\sum_{j=1}^n |\zeta_j|^s \leq 1$.

Indeed, we have

$$\begin{aligned} \left(\sum_{j=1}^n |\langle x_j, \lambda_j \rangle|^{s'} \right)^{1/s'} &= \sup \left\{ \left| \sum_{j=1}^n \langle \zeta_j x_j, \lambda_j \rangle \right| \right\} \\ &= \sup \left\{ \left| \int_0^1 \left\langle \sum_{i=1}^n \zeta_i r_i(t) x_i, \sum_{j=1}^n r_j(t) \lambda_j \right\rangle dt \right| \right\} \\ &\leq \sup \left\{ \int_0^1 \left\| \sum_{j=1}^n \zeta_j r_j(t) x_j \right\| dt \right\} \end{aligned}$$

because $\left\| \sum_{j=1}^n r_j(t) \lambda_j \right\| \leq \mu_{1,n}(\boldsymbol{\lambda})$ by (1.3) (in the case where $p = 1$), and so

$$\begin{aligned} \left(\sum_{j=1}^n |\langle x_j, \lambda_j \rangle|^{s'} \right)^{1/s'} &\leq \sup \left\{ \left(\int_0^1 \left\| \sum_{j=1}^n \zeta_j r_j(t) x_j \right\|^2 dt \right)^{1/2} \right\} \\ &\leq K \sup \left\{ \left(\sum_{j=1}^n \|\zeta_j x_j\|^u \right)^{1/u} \right\} \quad \text{by (2.2)} \\ &\leq K \max_{j \in \mathbb{N}_n} \|x_j\| \sup \left\{ \left(\sum_{j=1}^n |\zeta_j|^u \right)^{1/u} \right\} \\ &= K \max_{j \in \mathbb{N}_n} \|x_j\| \end{aligned}$$

because $s \leq u$.

The result follows. \square

2.2. Calculations for the spaces $L^r(\Omega)$. We now make some calculations that are specific to the Banach space $L^r(\Omega)$. Again, we set $\bar{r} = \min\{r, 2\}$ for $r \geq 1$.

The first result is a reprise of Theorem 1.11 with a more elementary proof; it follows immediately from Theorem 2.1 because a space $L^r(\Omega)$, for $r \geq 1$, has type $\min\{r, 2\}$ [13, Corollary 11.7(a)].

Theorem 2.2. *Let Ω be a measure space, and take $r > 1$ $(p, q) \in A_r$. Then $(\|\cdot\|_n^{(p,q)}) \cong (\|\cdot\|^{\min})$ on $L^r(\Omega)$. \square*

We shall use the following elementary calculation, given in [10, (2.5)], concerning (p, q) -multi-norms based on ℓ^r , where $r \geq 1$. Recall that, for each $k \in \mathbb{N}$, we write δ_k for the sequence $(\delta_{j,k} : j \in \mathbb{N})$. Indeed, for each $(p, q) \in \mathcal{T}$ and each $n \in \mathbb{N}$, we have

$$(2.3) \quad \Delta_n(p, q) = \begin{cases} n^{1/r+1/q-1/p} & \text{when } p < r \text{ and } 1/p - 1/q \leq 1/r, \\ 1 & \text{when } 1/p - 1/q > 1/r, \\ n^{1/q} & \text{when } p \geq r, \end{cases}$$

where $\Delta_n(p, q) = \|(\delta_1, \dots, \delta_n)\|_n^{(p, q)}$ for $(p, q) \in \mathcal{T}$.

The next result is a simple part of [10, Theorem 3.11]; it follows by inspecting the proof of that theorem.

Proposition 2.3. *Let Ω be a measure space such that $L^r(\Omega)$ is infinite dimensional, where $r > 1$. Suppose that $P_1 = (p_1, q_1)$ and $P_2 = (p_2, q_2)$ lie on curves \mathcal{C}_{c_1} and \mathcal{C}_{c_2} , respectively, where $c_2 < \min\{c_1, 1/\bar{r}\}$ and $p_1, p_2 \in [1, \bar{r}]$. Then it is not the case that $(\|\cdot\|_n^{(p_2, q_2)}) \preceq (\|\cdot\|_n^{(p_1, q_1)})$, and so P_1 and P_2 are not equivalent for $L^r(\Omega)$. \square*

The next lemma is essentially the ‘factorization theorem’ given as [13, Lemma 2.23], combined with results related to Grothendieck’s constant, K_G .

Lemma 2.4. *Let $F = L^s(\Omega)$, where Ω is a measure space and $s \geq 1$. Take $u > s$ and $u = 2$ in the cases where $s > 2$ and $s \in [1, 2]$, respectively. Then there is a constant $K_u > 0$ such that, for each $n \in \mathbb{N}$ and each $\boldsymbol{\lambda} = (\lambda_1, \dots, \lambda_n) \in F^n$ with $\mu_{1,n}(\boldsymbol{\lambda}) = 1$, there exist $\zeta_1, \dots, \zeta_n \in \mathbb{C}$ and $\boldsymbol{\nu} = (\nu_1, \dots, \nu_n) \in F^n$ such that:*

- (i) $\lambda_j = \zeta_j \nu_j$ ($j \in \mathbb{N}_n$);
- (ii) $\sum_{j=1}^n |\zeta_j|^u \leq 1$;
- (iii) $\mu_{u',n}(\boldsymbol{\nu}) \leq K_u$.

In the case where $s \in [1, 2]$, we can take $K_u = K_G$.

Proof. First, suppose that $s \in [1, 2]$. By [13, Theorem 3.7], each operator $T \in \mathcal{B}(\ell^\infty, F)$ is 2-summing, with $\pi_2(T) \leq K_G \|T\|$ ($T \in \mathcal{B}(\ell^\infty, F)$). Second, suppose that $s > 2$, and take $u > s$. By [13, Corollary 10.10], each operator $T \in \mathcal{B}(\ell^\infty, F)$ is u -summing, and so there is a constant K_u (depending on u) such that $\pi_u(T) \leq K_u \|T\|$ ($T \in \mathcal{B}(\ell^\infty, F)$).

Now take $n \in \mathbb{N}$ and $\boldsymbol{\lambda} = (\lambda_1, \dots, \lambda_n) \in F^n$ with $\mu_{1,n}(\boldsymbol{\lambda}) = 1$, and define an operator $T_{\boldsymbol{\lambda}} \in \mathcal{B}(\ell^\infty, F)$ by requiring that $T_{\boldsymbol{\lambda}}(\delta_j) = \lambda_j$ ($j \in \mathbb{N}_n$) and $T_{\boldsymbol{\lambda}}(\delta_j) = 0$ ($j > n$). We note that $\|T_{\boldsymbol{\lambda}}\| = \mu_{1,n}(\boldsymbol{\lambda}) = 1$ by (1.4), and so, in each case, T is u -summing, with $\pi_u(T_{\boldsymbol{\lambda}}) \leq K_u$.

We now use [13, Lemma 2.23] (taking $r = 1$ in that result) to see that there exist $\zeta_1, \dots, \zeta_n \in \mathbb{C}$ and $\boldsymbol{\nu} \in F^n$ with the required properties. \square

2.3. The open case (A). The following result resolves the first open case, (A), specified on page 10.

Theorem 2.5. *Let Ω be a measure space, and take $r > 1$. Consider two points $P_1 = (p_1, q_1)$ and $P_2 = (p_2, q_2)$ in \mathcal{T} lying on the same curve \mathcal{C}_c with $0 \leq c < 1$. Suppose, further, that $p_1, p_2 \in [1, r)$ in the case where $1 < r < 2$ and $p_1, p_2 \in [1, 2]$ in the case where $r \geq 2$. Then P_1 and P_2 are equivalent for $L^r(\Omega)$.*

Proof. We set $E = L^r(\Omega)$, $s = r'$, and $F = E' = L^s(\Omega)$.

Take $p < r$ in the case where $1 < r < 2$ and $p = 2$ when $r \geq 2$. We shall first show that there is a constant $K_p > 0$ such that

$$(2.4) \quad \|\mathbf{x}\|_n^{(1,1)} \leq K_p \|\mathbf{x}\|_n^{(p,p)} \quad (\mathbf{x} \in E^n, n \in \mathbb{N}).$$

Indeed, take $u = p' > s$ when $1 < r < 2$ and $u = 2$ when $r \geq 2$. Let K_p be the constant K_u specified in Lemma 2.4, and take $n \in \mathbb{N}$ and $\boldsymbol{\lambda} = (\lambda_1, \dots, \lambda_n) \in F^n$ with $\mu_{1,n}(\boldsymbol{\lambda}) = 1$; we adopt the notation of the factorization in Lemma 2.4. Take $\mathbf{x} = (x_1, \dots, x_n) \in E^n$. Then

$$\sum_{j=1}^n |\langle x_j, \lambda_j \rangle| = \sum_{j=1}^n |\langle x_j, \zeta_j \nu_j \rangle| = \sum_{j=1}^n |\zeta_j| |\langle x_j, \nu_j \rangle| \leq \left(\sum_{j=1}^n |\langle x_j, \nu_j \rangle|^{u'} \right)^{1/u'}$$

by Hölder's inequality, noting that $\sum_{j=1}^n |\zeta_j|^u \leq 1$, and so

$$\sum_{j=1}^n |\langle x_j, \lambda_j \rangle| \leq \left(\sum_{j=1}^n |\langle x_j, \nu_j \rangle|^p \right)^{1/p} \leq \|\mathbf{x}\|_n^{(p,p)} \mu_{p,n}(\boldsymbol{\nu}) \leq K_p \|\mathbf{x}\|_n^{(p,p)},$$

giving (2.4). This covers the case where $c = 0$.

For the case where $c > 0$, consider a point $P = (p_0, q_0)$ which lies on a curve $\mathcal{C}_{1/v}$, where $v > 1$, and is such that $p_0 \in [1, r)$ in the case where $1 < r < 2$ and $p_0 \in [1, 2]$ in the case where $r \geq 2$; we recall that $(1, v')$ is a point of $\mathcal{C}_{1/v}$. It follows from Theorem 1.10 that it suffices to prove that $(\|\cdot\|_n^{(1,v')}) \preceq (\|\cdot\|_n^{(p_0, q_0)})$. Again take $n \in \mathbb{N}$ and $\mathbf{x} = (x_1, \dots, x_n) \in E^n$.

By Lemma 1.6 with $p = s = 1$ and $q = v'$, we have

$$\|\mathbf{x}\|_n^{(1,v')} = \sup \left\{ \|(\zeta_1 x_1, \dots, \zeta_n x_n)\|_n^{(1,1)} : \sum_{j=1}^n |\zeta_j|^v \leq 1 \right\}.$$

By (2.4),

$$\|\mathbf{x}\|_n^{(1,v')} \leq K_{p_0} \sup \left\{ \|(\zeta_1 x_1, \dots, \zeta_n x_n)\|_n^{(p_0, p_0)} : \sum_{j=1}^n |\zeta_j|^v \leq 1 \right\}.$$

However, again by Lemma 1.6, now with $s = p_0$ and $q = q_0$, we have

$$\|\mathbf{x}\|_n^{(p_0, q_0)} = \sup \left\{ \|(\zeta_1 x_1, \dots, \zeta_n x_n)\|_n^{(p_0, p_0)} : \sum_{j=1}^n |\zeta_j|^v \leq 1 \right\}$$

because $1/v = 1/p_0 - 1/q_0$. Thus $(\|\cdot\|_n^{(1, v')}) \preceq (\|\cdot\|_n^{(p_0, q_0)})$, as required. \square

It remains to be decided whether $P = (r, r/(1 - cr)) = (r, u_c)$ is equivalent to $(1, 1/(1 - c))$ when $1 < r < 2$; we shall discuss this further later.

We summarize the situation in the case where $r \geq 2$, where we have a full solution to the question concerning the equivalence of (p, q) -multi-norms.

Theorem 2.6. *Let Ω be a measure space such that $E := L^r(\Omega)$ is an infinite-dimensional space, where $r \geq 2$. Then the triangle \mathcal{T} is decomposed into the following (mutually disjoint) equivalence classes:*

- (i) *the region $\mathcal{T}_{\min} := A_r = \{(p, q) \in \mathcal{T} : 1/p - 1/q \geq 1/2\}$;*
- (ii) *the curves $\mathcal{T}_c := \{(p, q) \in \mathcal{C}_c : 1 \leq p \leq 2\}$, for $c \in (0, 1/2)$;*
- (iii) *the line segment $\mathcal{T}_{\max} := \{(p, p) : 1 \leq p \leq 2\}$;*
- (iv) *the singletons $\mathcal{T}_{(p, q)} := \{(p, q)\}$ for $(p, q) \in \mathcal{T}$ with $p > 2$.*

Moreover:

- (v) *there is a constant $K > 0$ such that*

$$\|\cdot\|_n^{\min} \leq \|\cdot\|_n^{(p, q)} \leq \|\cdot\|_n^{(1, 2)} \leq K \|\cdot\|_n^{\min} \quad (n \in \mathbb{N}),$$

and so the (p, q) -multi-norm is equivalent to the minimum multi-norm for E , for each $(p, q) \in \mathcal{T}_{\min}$;

- (vi) *for each $c \in (0, 1/2)$ and each $(p, q) \in \mathcal{T}_c$, we have*

$$\|\cdot\|_n^{(2, 2/(1-2c))} \leq \|\cdot\|_n^{(p, q)} \leq \|\cdot\|_n^{(1, 1/(1-c))} \leq K_G \|\cdot\|_n^{(2, 2/(1-2c))} \quad (n \in \mathbb{N});$$

- (vii) *for each $(p, p) \in \mathcal{T}_{\max}$, the (p, p) -multi-norm is equivalent to the maximum multi-norm for E , and the $(1, 1)$ -multi-norm is equal to the maximum multi-norm.*

Proof. It follows from Theorem 2.2 that \mathcal{T}_{\min} is an equivalence class and that clause (v) holds. By Theorems 1.9 and 2.5, \mathcal{T}_c is an equivalence class for each $c \in [0, 1/2)$ and clause (vi) holds, noting that the constant in equation (2.4) can be taken to be K_G because $s = r' \in [1, 2]$.

It remains to show that there are no other equivalences than those specified above. Again it is sufficient to prove the result for the space ℓ^r . This was established in [10, Theorem 3.18] with the help of Khintchine's inequalities and classical results about Schatten classes. \square

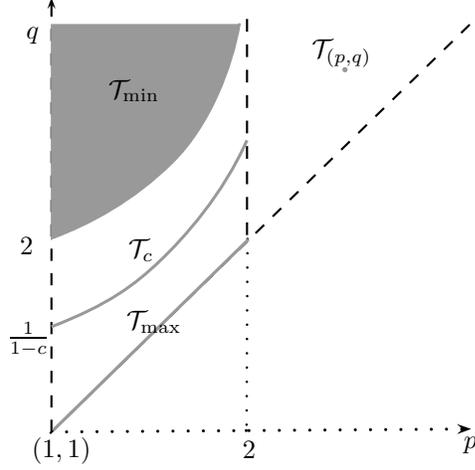


FIGURE 2. The various mutually disjoint equivalence classes of (p, q) -multi-norms on $L^r(\Omega)$ for $r \geq 2$.

We now summarize the situation in the case where $1 < r < 2$. Most of the result is contained in [10, Theorem 3.16]; this is combined with the new information given Theorem 2.5. Clause (vii) will be extended in Proposition 4.10.

Theorem 2.7. *Let Ω be a measure space such that $E := L^r(\Omega)$ is an infinite-dimensional space, where $1 < r < 2$. Then the triangle \mathcal{T} is decomposed into the following (mutually disjoint) sets. Further, two points in distinct sets are not equivalent, and each specified set is an equivalence class, except possibly as noted:*

- (i) the region $\mathcal{T}_{\min} := A_r = \{(p, q) \in \mathcal{T} : 1/p - 1/q \geq 1/r\}$;
- (ii) the curves $\mathcal{T}_c := \{(p, q) \in \mathcal{C}_c : 1 \leq p \leq r\} \cup \{(p, u_c) : r \leq p \leq x_c\}$, where $1/r - 1/u_c = c$ and $1/x_c - 1/u_c = 1/2$ for some $c \in (1/2, 1/r)$;
- (iii) the curves $\mathcal{T}_c := \{(p, q) \in \mathcal{C}_c : 1 \leq p \leq r\}$, for some $c \in (0, 1/2]$;
- (iv) the line segment $\mathcal{T}_{\max} := \{(p, p) : 1 \leq p < r\}$;
- (v) the singletons $\mathcal{T}_{(p,q)} := \{(p, q)\}$ for $(p, q) \in \mathcal{T}$ with either $p = q = r$ or both $p > r$ and $1/p - 1/q < 1/2$.

Moreover:

- (vi) there is a constant $K > 0$ such that

$$\|\cdot\|_n^{\min} \leq \|\cdot\|_n^{(p,q)} \leq \|\cdot\|_n^{(1,r')} \leq K \|\cdot\|_n^{\min} \quad (n \in \mathbb{N}),$$

and so the (p, q) -multi-norm is equivalent to the minimum multi-norm for E , for each $(p, q) \in \mathcal{T}_{\min}$;

- (vii) in \mathcal{T}_c for $c \in (0, 1/r)$, the (p, q) -multi-norms with $1 \leq p < r$ are all equivalent to the $(1, 1/(1 - c))$ -multi-norm, but we cannot say whether any two (p, q) -multi-norms on the horizontal segment L_c (when $c > 1/2$) are mutually equivalent, or whether the (r, u_c) -multi-norm is equivalent to the $(1, 1/(1 - c))$ -multi-norm;
- (viii) for each $(p, p) \in \mathcal{T}_{\max}$, the (p, p) -multi-norm is equivalent to the maximum multi-norm for E , and the $(1, 1)$ -multi-norm is equal to the maximum multi-norm. \square

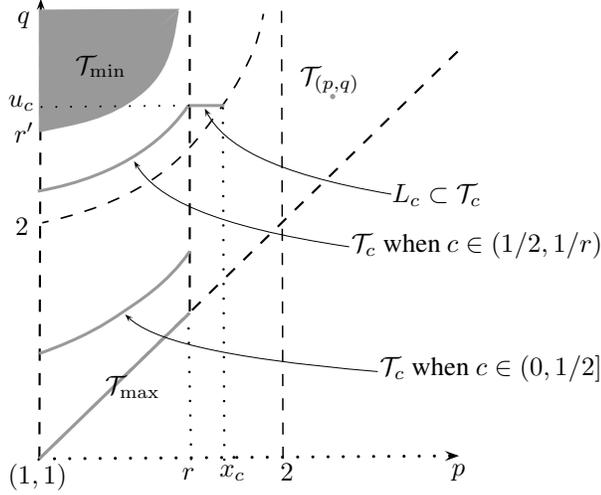


FIGURE 3. The various mutually inequivalent sets of (p, q) -multi-norms on $L^r(\Omega)$ for $1 < r < 2$.

3. THE $[p, q]$ -CONCAVE MULTI-NORMS ON BANACH LATTICES

In this section, we shall introduce a new class of multi-norms on general Banach lattices, and relate some of them to standard t -multi-norms: these multi-norms are of interest in their own right, and also will help us to settle at least one of the above questions about the equivalence of the (p, q) -multi-norms and to resolve the conjecture on the equivalence of (p, q) - and standard t -multi-norms on ℓ^r .

Let $(L, \|\cdot\|)$ be a (complex) Banach lattice. A summary of all necessary background in Banach lattice theory is given in [8, §1.3].

Throughout, L' denotes the dual Banach lattice to L . We write $|x|$ for the modulus of an element $x \in L$. Take $n \in \mathbb{N}$ and an n -tuple (x_1, \dots, x_n) in L^n . Recall that, for each $p \geq 1$, we can define the element $\left(\sum_{j=1}^n |x_j|^p\right)^{1/p} \in L$

by the Krivine calculus, and that

$$\left(\sum_{j=1}^n |x_j|^p \right)^{1/p} = \sup \left\{ \left| \sum_{j=1}^n \zeta_j x_j \right| : \zeta_1, \dots, \zeta_n \in \mathbb{C}, \sum_{j=1}^n |\zeta_j|^{p'} \leq 1 \right\},$$

where the supremum is taken in the Banach lattice sense; for more details, see [8] and [17, II.1.d], although only real Banach lattices were considered in the latter source. In fact, it can be seen that

$$\begin{aligned} \left(\sum_{j=1}^n |x_j|^p \right)^{1/p} &= \sup \left\{ \Re \left(\sum_{j=1}^n \zeta_j x_j \right) : \zeta_1, \dots, \zeta_n \in \mathbb{C}, \sum_{j=1}^n |\zeta_j|^{p'} \leq 1 \right\} \\ &= \sup \left\{ \sum_{j=1}^n |\zeta_j x_j| : \zeta_1, \dots, \zeta_n \in \mathbb{C}, \sum_{j=1}^n |\zeta_j|^{p'} \leq 1 \right\}. \end{aligned}$$

It is also obvious that

$$(3.1) \quad \mu_{p,n}(x_1, \dots, x_n) \leq \left\| \left(\sum_{j=1}^n |x_j|^p \right)^{1/p} \right\|,$$

with equality whenever L is a $C(K)$ -space.

Definition 3.1. Let $(L, \|\cdot\|)$ be a Banach lattice, and take $p, q \geq 1$ and $n \in \mathbb{N}$. For each $\mathbf{x} \in L^n$, define

$$\|\mathbf{x}\|_n^{[p,q]} = \sup \left\{ \left(\sum_{j=1}^n |\langle x_j, \lambda_j \rangle|^q \right)^{1/q} : \left\| \left(\sum_{j=1}^n |\lambda_j|^p \right)^{1/p} \right\| \leq 1 \right\},$$

where $\lambda_1, \dots, \lambda_n \in L'$. Then $\|\cdot\|_n^{[p,q]}$ is the n^{th} $[p, q]$ -concave norm on L^n .

Clearly, we have $(\|\cdot\|_n^{[p,q_1]}) \leq (\|\cdot\|_n^{[p,q_2]})$ when $1 \leq p \leq q_2 \leq q_1$ and $(\|\cdot\|_n^{[p_1,q]}) \leq (\|\cdot\|_n^{[p_2,q]})$ when $1 \leq p_1 \leq p_2 \leq q$.

We shall prove that $(\|\cdot\|_n^{[p,q]} : n \in \mathbb{N})$ is a multi-norm on L whenever $1 \leq p \leq q < \infty$, and then we shall call the sequence $(\|\cdot\|_n^{[p,q]} : n \in \mathbb{N})$ the $[p, q]$ -concave multi-norm on L . For the remainder of this section, we suppose that $L = (L, \|\cdot\|)$ is a Banach lattice.

Lemma 3.2. Suppose that $1 \leq p \leq q_1 < q_2 < \infty$. Then

$$\|\mathbf{x}\|_n^{[p,q_2]} = \sup \left\{ \|(\zeta_1 x_1, \dots, \zeta_n x_n)\|_n^{[p,q_1]} : \sum_{j=1}^n |\zeta_j|^u \leq 1 \right\}$$

for each $\mathbf{x} = (x_1, \dots, x_n) \in E^n$ and $n \in \mathbb{N}$, where u satisfies the equation $1/u = 1/q_1 - 1/q_2$.

Proof. This is essentially the same as the proof of Lemma 1.6. \square

Following the argument in [2, Proposition 3], we obtain the following basic result.

Proposition 3.3. *Suppose that $1 \leq p \leq q < \infty$, and let $\sigma : \mathbb{N}_n \rightarrow \mathbb{N}_n$ be any map. Denote by i_1, \dots, i_m the distinct elements of $\sigma(\mathbb{N}_n)$. Then*

$$\|(x_{\sigma(1)}, \dots, x_{\sigma(n)})\|_n^{[p,q]} \leq \|(x_{i_1}, \dots, x_{i_m})\|_m^{[p,q]} \quad (x_1, \dots, x_n \in L).$$

Proof. Let $\lambda_1, \dots, \lambda_n \in L'$ with $\left\| \left(\sum_{j=1}^n |\lambda_j|^p \right)^{1/p} \right\| \leq 1$. Then

$$\begin{aligned} \sum_{j=1}^n |\langle x_{\sigma(j)}, \lambda_j \rangle|^q &= \sum_{k=1}^m \sum_{\sigma(j)=i_k} |\langle x_{\sigma(j)}, \lambda_j \rangle|^q \leq \sum_{k=1}^m \left(\sum_{\sigma(j)=i_k} |\langle x_{\sigma(j)}, \lambda_j \rangle|^p \right)^{q/p} \\ &= \sum_{k=1}^m \left| \sum_{\sigma(j)=i_k} \langle x_{\sigma(j)}, \lambda_j \rangle \zeta_j \right|^q \end{aligned}$$

for some $\zeta_j \in \mathbb{C}$ with $\sum_{\sigma(j)=i_k} |\zeta_j|^{p'} \leq 1$, and so

$$\sum_{j=1}^n |\langle x_{\sigma(j)}, \lambda_j \rangle|^q = \sum_{k=1}^m |\langle x_{i_k}, \mu_k \rangle|^q,$$

where $\mu_k = \sum_{\sigma(j)=i_k} \zeta_j \lambda_j \in L'$.

We see that, for every $\alpha_1, \dots, \alpha_m \in \mathbb{C}$ with $\sum_{k=1}^m |\alpha_k|^{p'} \leq 1$, we have

$$\left| \sum_{k=1}^m \alpha_k \mu_k \right| = \left| \sum_{k=1}^m \sum_{\sigma(j)=i_k} \alpha_k \zeta_j \lambda_j \right| \leq \left(\sum_{j=1}^n |\lambda_j|^p \right)^{1/p}$$

because $\sum_{k=1}^m \sum_{\sigma(j)=i_k} |\alpha_k \zeta_j|^{p'} \leq \sum_{k=1}^m |\alpha_k|^{p'} \leq 1$. It follows that

$$\left(\sum_{k=1}^m |\mu_k|^p \right)^{1/p} \leq \left(\sum_{j=1}^n |\lambda_j|^p \right)^{1/p},$$

and so $\left\| \left(\sum_{k=1}^m |\mu_k|^p \right)^{1/p} \right\| \leq 1$.

The result now follows. \square

Theorem 3.4. *Let $(L, \|\cdot\|)$ be a Banach lattice. Then the sequence*

$$(\|\cdot\|_n^{[p,q]} : n \in \mathbb{N})$$

is a multi-norm based on L whenever $1 \leq p \leq q < \infty$.

Proof. The multi-norm axioms follows easily, using Proposition 3.3. \square

Let E be a Banach space, and suppose that $1 \leq p \leq q < \infty$. Recall from [13, page 330] that a bounded linear operator $T : L \rightarrow E$ is (q, p) -concave if there is a constant $C > 0$ such that

$$\left(\sum_{j=1}^n \|Tx_j\|^q \right)^{1/q} \leq C \left\| \left(\sum_{j=1}^n |x_j|^p \right)^{1/p} \right\| \quad (x_1, \dots, x_n \in L, n \in \mathbb{N});$$

the least such constant C is denoted by $K_{q,p}(T)$. We write $\mathcal{C}_{q,p}(L, E)$ for the space of (q, p) -concave operators; $\mathcal{C}_{q,p}(L, E)$ is a Banach space with respect to the norm $K_{q,p}(\cdot)$. The Banach lattice L is (q, p) -concave if the identity operator $I_L : L \rightarrow L$ is (q, p) -concave.

Proposition 3.5. *Let L be a Banach lattice, and take p, q such that $1 \leq p \leq q < \infty$. Then L' is (q, p) -concave if and only if the $[p, q]$ -concave multi-norm is equivalent to the minimum multi-norm on L .*

Proof. Suppose first that L' is (q, p) -concave, so that $C := K_{q,p}(I_L) < \infty$. Then, for each $n \in \mathbb{N}$, $x_1, \dots, x_n \in L$, and $\lambda_1, \dots, \lambda_n \in L'$, we have

$$\begin{aligned} \left(\sum_{j=1}^n |\langle x_j, \lambda_j \rangle|^q \right)^{1/q} &\leq \max_{j \in \mathbb{N}_n} \|x_j\| \cdot \left(\sum_{j=1}^n \|\lambda_j\|^q \right)^{1/q} \\ &\leq C \max_{j \in \mathbb{N}_n} \|x_j\| \cdot \left\| \left(\sum_{j=1}^n |\lambda_j|^p \right)^{1/p} \right\|. \end{aligned}$$

Hence $\|(x_1, \dots, x_n)\|_n^{[p,q]} \leq C \max_{j \in \mathbb{N}_n} \|x_j\| = C \|(x_1, \dots, x_n)\|_n^{\min}$.

Conversely, suppose that the $[p, q]$ -concave multi-norm is equivalent to the minimum multi-norm on L , so that there is a constant $C > 0$ such that

$$\|(x_1, \dots, x_n)\|_n^{[p,q]} \leq C \|(x_1, \dots, x_n)\|_n^{\min} \quad (x_1, \dots, x_n \in L, n \in \mathbb{N}).$$

Let $\lambda_1, \dots, \lambda_n \in L'$. Take $\eta > 1$ and $j \in \mathbb{N}_n$, and choose $x_j \in L$ with $\|x_j\| = 1$ and such that $\|\lambda_j\| \leq \eta |\langle x_j, \lambda_j \rangle|$. Then

$$\begin{aligned} \left(\sum_{j=1}^n \|\lambda_j\|^q \right)^{1/q} &\leq \eta \left(\sum_{j=1}^n |\langle x_j, \lambda_j \rangle|^q \right)^{1/q} \\ &\leq \eta \|(x_1, \dots, x_n)\|_n^{[p,q]} \cdot \left\| \left(\sum_{j=1}^n |\lambda_j|^p \right)^{1/p} \right\| \\ &\leq C\eta \left\| \left(\sum_{j=1}^n |\lambda_j|^p \right)^{1/p} \right\|. \end{aligned}$$

Thus L' is (q, p) -concave, with $K_{q,p}(L) \leq C$. \square

Note that we simply say ‘ p -concave’ for ‘ (p, p) -concave’; in the case where $p = 1$, ‘ $(q, 1)$ -concave’ is also called ‘having a lower q -estimate’ in [17, II.1.f].

Let E be a Banach space. By theorems of Maurey (see [18] and [13, Corollaries 16.6 and 16.7]), we have

$$\mathcal{C}_{q,p}(L, E) = \mathcal{C}_{q,1}(L, E) \subset \mathcal{C}_{r,r}(L, E)$$

whenever $1 \leq p < q < r < \infty$, and

$$\mathcal{C}_{q,1}(L, E) = \Pi_{q,1}(L, E) \quad \text{whenever } q > 2.$$

The proof of [13, Corollary 16.7] also gives the inclusion

$$\mathcal{C}_{2,2}(L, E) \subset \Pi_{2,1}(L, E).$$

We also have the following more elementary inclusion, which follows immediately from the definitions and inequality (3.1):

$$\Pi_{q,p}(L, E) \subset \mathcal{C}_{q,p}(L, E) \quad \text{with} \quad K_{q,p}(T) \leq \pi_{q,p}(T) \quad (T \in \Pi_{q,p}(L, E))$$

whenever $1 \leq p < q < \infty$; moreover, $\Pi_{q,p}(C(K), E) = \mathcal{C}_{q,p}(C(K), E)$ with $K_{q,p}(T) = \pi_{q,p}(T)$ ($T \in \Pi_{q,p}(C(K), E)$) for a compact space K .

We remark also that, by [13, Theorems 10.4 and 16.5], the inclusion

$$\mathcal{C}_{q_1,p_1}(L, E) \subset \mathcal{C}_{q_2,p_2}(L, E)$$

holds, with $K_{p_2,q_2}(T) \leq K_{p_1,q_1}(T)$ ($T \in \mathcal{C}_{q_1,p_1}(L, E)$) whenever we have $1 \leq p_1 \leq q_1 < \infty$, $1 \leq p_2 \leq q_2 < \infty$, and both $1/p_1 - 1/q_1 \leq 1/p_2 - 1/q_2$ and $q_1 \leq q_2$.

The following result is similar to equation (1.5).

Theorem 3.6. *Let L be a Banach lattice, and suppose that $1 \leq p \leq q < \infty$. Then*

$$\|\mathbf{x}\|_n^{[p,q]} = K_{q,p}(T'_\mathbf{x} : L' \rightarrow \ell_n^\infty) \quad (\mathbf{x} \in L^n, n \in \mathbb{N}).$$

Proof. Set $\mathbf{x} = (x_1, \dots, x_n)$ and $K_{q,p} = K_{q,p}(T'_\mathbf{x} : L' \rightarrow \ell_n^\infty)$.

We see that

$$\begin{aligned} K_{q,p} &= \sup \left\{ \left(\sum_{j=1}^n \|T'_\mathbf{x} \lambda_j\|_{\ell_n^\infty}^q \right)^{1/q} : \left\| \left(\sum_{j=1}^n |\lambda_j|^p \right)^{1/p} \right\| \leq 1 \right\} \\ &= \sup \left\{ \left(\sum_{j=1}^n \sup_{k \in \mathbb{N}_n} |\langle x_k, \lambda_j \rangle|^q \right)^{1/q} : \left\| \left(\sum_{j=1}^n |\lambda_j|^p \right)^{1/p} \right\| \leq 1 \right\} \\ &\geq \sup \left\{ \left(\sum_{j=1}^n |\langle x_j, \lambda_j \rangle|^q \right)^{1/q} : \left\| \left(\sum_{j=1}^n |\lambda_j|^p \right)^{1/p} \right\| \leq 1 \right\} \\ &= \|(x_1, \dots, x_n)\|_n^{[p,q]}, \end{aligned}$$

where $\lambda_1, \dots, \lambda_n \in L'$. In particular, this gives $\|\mathbf{x}\|_n^{[p,q]} \leq K_{q,p}$.

On the other hand, take $\lambda_1, \dots, \lambda_n \in L'$ with $\left\| \left(\sum_{j=1}^n |\lambda_j|^p \right)^{1/p} \right\| \leq 1$. For each $j \in \mathbb{N}_n$, let $k_j \in \mathbb{N}_n$ be such that $\sup_{k \in \mathbb{N}_n} |\langle x_k, \lambda_j \rangle| = |\langle x_{k_j}, \lambda_j \rangle|$, and set $\sigma(j) = k_j$. Then we see that

$$\left(\sum_{j=1}^n \sup_{k \in \mathbb{N}_n} |\langle x_k, \lambda_j \rangle|^q \right)^{1/q} \leq \|(x_{\sigma(1)}, \dots, x_{\sigma(n)})\|_n^{[p,q]} \leq \|\mathbf{x}\|_n^{[p,q]}.$$

Hence $K_{q,p} \leq \|\mathbf{x}\|_n^{[p,q]}$. \square

Consequently, we have the following conclusions.

Corollary 3.7. *Let L be a Banach lattice, and consider multi-norms based on L . Then:*

- (i) $(\|\cdot\|_n^{[p_2, q_2]}) \leq (\|\cdot\|_n^{[p_1, q_1]})$ whenever we have $1 \leq p_1 \leq q_1 < \infty$ and $1 \leq p_2 \leq q_2 < \infty$ and both $1/p_1 - 1/q_1 \leq 1/p_2 - 1/q_2$ and $q_1 \leq q_2$;
- (ii) $(\|\cdot\|_n^{[p, q]}) \leq (\|\cdot\|_n^{(p, q)})$ whenever $1 \leq p \leq q < \infty$;
- (iii) $(\|\cdot\|_n^{[p, q]}) \cong (\|\cdot\|_n^{[1, q]}) \succcurlyeq (\|\cdot\|_n^{[r, r]})$ whenever $1 \leq p < q < r < \infty$;
- (iv) $(\|\cdot\|_n^{[1, q]}) \cong (\|\cdot\|_n^{(1, q)})$ in the case where $q > 2$;
- (v) $(\|\cdot\|_n^{(1, 2)}) \preccurlyeq (\|\cdot\|_n^{[2, 2]})$. \square

Proposition 3.8. *Let E be a Banach space, and take $r \geq 1$. Then the map*

$$T \mapsto (T(\delta_j)), \quad \mathcal{C}_{1,1}(\ell^{r'}, E) \rightarrow \ell^r(E),$$

is an isometric isomorphism.

Proof. Take $T \in \mathcal{C}_{1,1}(\ell^{r'}, E)$. Then, for each $n \in \mathbb{N}$, there are $\alpha_1, \dots, \alpha_n \in \mathbb{C}$ with

$$\sum_{j=1}^n |\alpha_j|^{r'} \leq 1 \quad \text{and} \quad \left(\sum_{j=1}^n \|T(\delta_j)\|^r \right)^{1/r} = \sum_{j=1}^n \|T(\alpha_j \delta_j)\|.$$

Therefore

$$\left(\sum_{j=1}^n \|T(\delta_j)\|^r \right)^{1/r} \leq K_{1,1}(T) \left\| \sum_{j=1}^n |\alpha_j \delta_j| \right\|_{\ell^{r'}} = K_{1,1}(T).$$

Conversely, take $\mathbf{x} = (x_j) \in \ell^r(E)$, and set $T(\delta_j) = x_j$ ($j \in \mathbb{N}$); extend T to be a linear map from c_{00} into E . Then, for each $n \in \mathbb{N}$ and each

$f_1, \dots, f_n \in c_{00}$, we see that

$$\begin{aligned} \sum_{k=1}^n \|T(f_k)\| &\leq \sum_{k=1}^n \sum_{j=1}^{\infty} |f_k(j)| \|T(\delta_j)\| = \sum_{j=1}^{\infty} \sum_{k=1}^n |f_k(j)| \|x_j\| \\ &\leq \left(\sum_{j=1}^{\infty} \left(\sum_{k=1}^n |f_k(j)| \right)^{r'} \right)^{1/r'} \left(\sum_{j=1}^{\infty} \|x_j\|^r \right)^{1/r} \\ &= \left\| \sum_{k=1}^n |f_k| \right\|_{\ell^{r'}} \|\mathbf{x}\|_{\ell^r(E)}. \end{aligned}$$

Thus T extends uniquely to an operator in $\mathcal{C}_{1,1}(\ell^{r'}, E)$ with the 1-concave norm at most $\|\mathbf{x}\|_{\ell^r(E)}$. \square

We can now give a key relationship between a standard t -multi-norm and certain concave multi-norms.

Theorem 3.9. *Suppose that $1 \leq r \leq t < \infty$, and set $1/v = 1/r - 1/t$. Then the standard t -multi-norm is equal to the $[1, v']$ -concave multi-norm on ℓ^r .*

Proof. By Lemmas 1.8 and 3.2, it is sufficient to consider only the case where $r = t$, so that $v' = 1$. Thus we need to show that

$$\|\mathbf{x}\|_n^{[1,1]} = \|\mathbf{x}\|_n^{[r]} \quad (\mathbf{x} = (x_1, \dots, x_n) \in (\ell^r)^n, n \in \mathbb{N}).$$

However, we have seen that

$$\begin{aligned} \|\mathbf{x}\|_n^{[1,1]} &= K_{1,1}(T'_{\mathbf{x}} : \ell^{r'} \rightarrow \ell_n^{\infty}) = \left(\sum_{j=1}^n \|T'_{\mathbf{x}}(\delta_j)\|^r \right)^{1/r} \\ &= \||x_1| \vee \dots \vee |x_n|\|_{\ell^r}, \end{aligned}$$

and this gives the result. \square

4. EQUIVALENCE OF THE STANDARD t -MULTI-NORM AND A (p, q) -MULTI-NORM

4.1. Notation. We now consider when a standard t -multi-norm is equivalent to a (p, q) -multi-norm on an infinite-dimensional space $L^r(\Omega)$. In fact, this problem clearly divides into two separate questions: determine when $(\|\cdot\|_n^{[t]}) \preceq (\|\cdot\|_n^{(p,q)})$ and when $(\|\cdot\|_n^{(p,q)}) \preceq (\|\cdot\|_n^{[t]})$.

We define two new subsets of the triangle \mathcal{T} : for $1 \leq r \leq t$, we set

$$B_{r,t} = \{(p, q) \in \mathcal{T} : 1/p - 1/q \leq 1/r - 1/t, q \leq t\}$$

and

$$C_{r,t} = \{(p, q) \in \mathcal{T} : 1/p - 1/q \geq 1/r - 1/t\} \cup \{(p, q) \in \mathcal{T} : q \geq t\},$$

so that $B_{r,t}$ and $C_{r,t}$ intersect in the curve

$$L_{r,t} := \{(p, q) \in \mathcal{T} : 1/p - 1/q = 1/r - 1/t, p \leq r\} \cup \{(p, t) \in \mathcal{T} : r \leq p \leq t\}.$$

Further, we set $B_r = B_{r,r} = \{(p, p) : 1 \leq p \leq r\}$ and $C_r = C_{r,r} = \mathcal{T}$. Note that

$$B_{1,t} = \{(p, q) \in \mathcal{T} : q \leq t\} \quad \text{and} \quad C_{1,t} = \{(p, q) \in \mathcal{T} : q \geq t\}.$$

The answer to the first question is easy.

Theorem 4.1. *Let Ω be a measure space such that $L^r(\Omega)$ is infinite dimensional, where $r \geq 1$. Then $(\|\cdot\|_n^{[t]}) \preceq (\|\cdot\|_n^{(p,q)})$ for $L^r(\Omega)$ if and only if $(p, q) \in B_{r,t}$.*

Proof. Let S be the set of points $(p, q) \in \mathcal{T}$ with $(\|\cdot\|_n^{[t]}) \preceq (\|\cdot\|_n^{(p,q)})$.

By [8, Theorem 4.22], $(\|\cdot\|_n^{[t]}) \leq (\|\cdot\|_n^{(r,t)})$, and so $(r, t) \in S$. By Theorem 1.10, we increase $(\|\cdot\|_n^{(p,q)})$ when we move from (r, t) to any point $(p, q) \in \mathcal{T}$ with $1/p - 1/q \leq 1/r - 1/t$ and $q \leq t$, and so $B_{r,t} \subset S$.

Conversely, let $(p, q) \in S$. In the case where $p \geq r$, we have seen that $\Delta_n(p, q) = n^{1/q}$ ($n \in \mathbb{N}$), and so, by (1.6), we also have $q \leq t$. In the case where $p \in [1, r)$, by (2.3) and (1.6) again, we must have $1/p - 1/q \leq 1/r - 1/t$, which implies also that $q \leq t$. Thus in both case $(p, q) \in B_{r,t}$, and so $S \subset B_{r,t}$. \square

We now consider the second question.

Definition 4.2. Let Ω be a measure space, set $E = L^r(\Omega)$, where $r \geq 1$, and take $t \geq r$. Then

$$D_{r,t} = \{(p, q) \in \mathcal{T} : (\|\cdot\|_n^{(p,q)}) \preceq (\|\cdot\|_n^{[t]}) \quad \text{on} \quad E\},$$

with $D_r = D_{r,r}$.

Note that $D_{r,t_2} \subset D_{r,t_1}$ whenever $r \leq t_1 \leq t_2$, and hence, in particular, $D_{r,t} \subset D_r$ whenever $t \geq r$. It is clear that $A_r \subset D_{r,t}$ for $t \geq r \geq 1$ because $(\|\cdot\|_n^{(p,q)}) \cong (\|\cdot\|_n^{\min})$ when $(p, q) \in A_r$ by Theorem 2.2. By comparing the values of $\|(\delta_1, \dots, \delta_n)\|_n^{(p,q)}$ and $\|(\delta_1, \dots, \delta_n)\|_n^{[t]}$ given in equations (2.3) and (1.6), we see that $D_{r,t} \subset C_{r,t}$ for $t \geq r$.

We now work on the spaces ℓ^r , where $r \geq 1$.

4.2. The case where $r = 1$. We first give a full solution to our questions in the case where $r = 1$. Recall that we have $(\|\cdot\|_n^{[1]}) = (\|\cdot\|_n^{(1,1)}) = (\|\cdot\|_n^{\max})$ on ℓ^1 , and so $D_{1,1} = \mathcal{T}$.

Proposition 4.3. *Take $t > 1$. Then*

$$D_{1,t} = \{(p, q) : q \geq \max\{t, p\}\} \setminus \{(t, t)\} = C_{1,t} \setminus \{(t, t)\}.$$

Proof. We know that

$$D_{1,t} \subset C_{1,t} = \{(p, q) : q \geq \max\{t, p\}\}.$$

Also, it is proved in [8, Theorem 4.26] that $(\|\cdot\|_n^{[q]}) = (\|\cdot\|_n^{(1,q)})$ on ℓ^1 for each $q \geq 1$, and so $(1, t) \in D_{1,t}$. By [9, Theorem 5.6] (which depends on [20, Corollary 2.5], cf. [13, Theorem 10.9]), we have $(\|\cdot\|_n^{(p,q)}) \cong (\|\cdot\|_n^{(1,q)})$ for $1 \leq p < q$, and so $(p, t) \in D_{1,t}$ for $1 \leq p < t$.

Take $(p, q) \in \mathcal{T}$. It follows from the previous paragraph and Theorem 1.10 that $(p, q) \in D_{1,t}$ whenever $q \geq t$ and $q > p$. It remains to consider the case where $q = p$. If $q = p > t$, then, by [9, Theorem 5.6] again, we have

$$(\|\cdot\|_n^{(p,p)}) \preceq (\|\cdot\|_n^{(1,t)}) = (\|\cdot\|_n^{[t]}),$$

and so $(p, p) \in D_{1,t}$. On the other hand, in the case where $p = q = t$, we certainly have $(\|\cdot\|_n^{(1,t)}) \leq (\|\cdot\|_n^{(t,t)})$. However, by [10, Theorem 3.2], $(\|\cdot\|_n^{(1,t)}) \not\cong (\|\cdot\|_n^{(t,t)})$, and so it follows that $(\|\cdot\|_n^{(t,t)}) \not\preceq (\|\cdot\|_n^{(1,t)}) = (\|\cdot\|_n^{[t]})$. Thus $(t, t) \notin D_{1,t}$. \square

Theorem 4.4. *Suppose that $t \geq 1$ and $1 \leq p \leq q < \infty$. Then*

$$(\|\cdot\|_n^{(p,q)}) \cong (\|\cdot\|_n^{[t]})$$

on the space ℓ^1 if and only if $p = q = t = 1$ or $p < q = t$.

Proof. This follows from Theorem 4.1 and Proposition 4.3. \square

4.3. The case where $r > 1$. We now turn to the case where $r > 1$.

Lemma 4.5. *Take $t \geq r > 1$ and $1 \leq p \leq q < \infty$, and consider the space ℓ^r . Then*

$$A_r \subset D_{r,t} \subset \left\{ (p, q) \in C_{r,t} : \frac{1}{p} - \frac{1}{q} \geq \frac{1}{2} \right\} \subsetneq C_{r,t}.$$

Proof. Let $n \in \mathbb{N}$. As shown in the proof of [10, Theorem 3.22], there exists an element $\mathbf{g} = (g_1, \dots, g_n) \in (\ell^r)^n$ such that $\|\mathbf{g}\|_n^{[t]} \leq 1$ and

$$\|\mathbf{g}\|_n^{(p,q)} \sim \|(\delta_1, \dots, \delta_n)\|_n^{(p,q)} \quad \text{as } n \rightarrow \infty,$$

where we are now regarding $\delta_1, \dots, \delta_n$ as elements of ℓ^2 . Now suppose that $1/p - 1/q < 1/2$. Then it follows from (2.3) that $\|(\delta_1, \dots, \delta_n)\|_n^{(p,q)} \geq n^\alpha$, where $\alpha = \min\{1/2 + 1/q - 1/p, 1/q\} > 0$. Hence $(p, q) \notin D_{r,t}$. \square

The following theorem, which is essentially [10, Theorem 3.22], determines fully the relation between the multi-norms $(\|\cdot\|_n^{(p,q)})$ and $(\|\cdot\|_n^{[t]})$ on the space ℓ^r in the case where $r \geq 2$.

Theorem 4.6. *Suppose that $t \geq r \geq 2$ and $1 \leq p \leq q < \infty$, and consider the space ℓ^r . Then $(\|\cdot\|_n^{(p,q)}) \preceq (\|\cdot\|_n^{[t]})$ if and only if $1/p - 1/q \geq 1/2$, and $(\|\cdot\|_n^{[t]}) \preceq (\|\cdot\|_n^{(p,q)})$ if and only if $(p, q) \in B_{r,t}$. In particular, $(\|\cdot\|_n^{(p,q)})$ and $(\|\cdot\|_n^{[t]})$ are not equivalent on ℓ^r for any $(p, q) \in \mathcal{T}$ and any $t \geq r$.*

Proof. Since $r \geq 2$, the set A_r is equal to $\{(p, q) \in \mathcal{T} : 1/p - 1/q \geq 1/2\}$, giving the first clause. The second clause is Theorem 4.1. \square

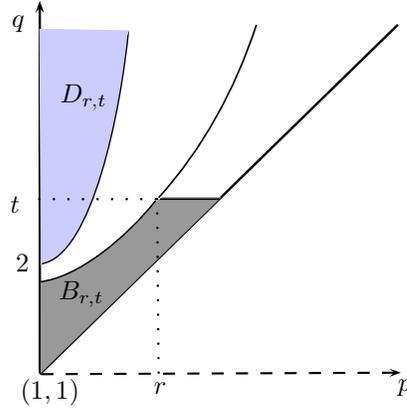


FIGURE 4. The sets $B_{r,t}$ and $D_{r,t}$ for $r \geq 2$

It remains to consider the case where $1 < r < 2$, and again it is this case that is the more difficult. Throughout we fix $t \geq r$ and define v by

$$\frac{1}{v} = \frac{1}{r} - \frac{1}{t},$$

taking $v = \infty$ when $t = r$.

Proposition 4.7. *Suppose that $r \in (1, 2)$, $t \geq r$, and $1 \leq p \leq q < \infty$. Then:*

- (i) $(p, q) \in D_{r,t}$ whenever $1/p - 1/q \geq 1/v$ and $v < 2$;
- (ii) $(p, q) \in D_{r,t}$ whenever $1/p - 1/q > 1/2$ and $2 \leq v < \infty$;
- (iii) $(p, q) \in D_{r,t}$ whenever $1/p - 1/q \geq 1/2$ and $v = \infty$.

Proof. (i) By Theorem 1.10, it suffices to show that $(\|\cdot\|_n^{(1,v')}) \preceq (\|\cdot\|_n^{[t]})$. By Theorem 3.9, $(\|\cdot\|_n^{[t]}) = (\|\cdot\|_n^{[1,v']})$. Also it follows from Corollary 3.7(iv) that $(\|\cdot\|_n^{(1,v')}) \cong (\|\cdot\|_n^{[1,v']})$, where we note that $v' > 2$.

(ii) By Theorem 1.10, it suffices to show that $(\|\cdot\|_n^{(1,u)}) \preceq (\|\cdot\|_n^{[t]})$ whenever $u > 2$. But now

$$(\|\cdot\|_n^{[t]}) = (\|\cdot\|_n^{[1,v']}) \geq (\|\cdot\|_n^{[1,u]}) \cong (\|\cdot\|_n^{(1,u)}) \quad \text{on } \ell^r,$$

as required.

(iii) By Corollary 3.7(v), we have $(\|\cdot\|_n^{(1,2)}) \preceq (\|\cdot\|_n^{[2,2]})$; by Corollary 3.7(i), we have $(\|\cdot\|_n^{[2,2]}) \leq (\|\cdot\|_n^{[1,1]})$; by Theorem 3.9, $(\|\cdot\|_n^{[1,1]}) = (\|\cdot\|_n^{[t]})$. This gives the stated result. \square

We interpret the above proposition in Figures 5 and 6, below.

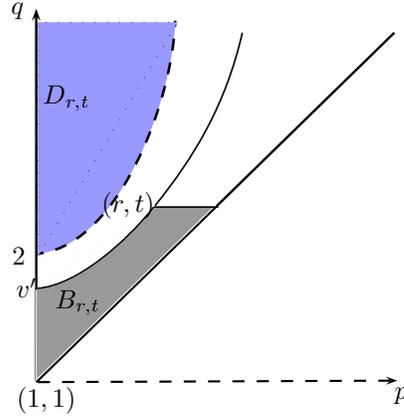


FIGURE 5. The set $B_{r,t}$ and (the possible range for) the set $D_{r,t}$ when $1 < r < 2$, $t \geq r$, and $1/r - 1/t \leq 1/2$. When $r \geq 2$, the set $D_{r,t}$ contains the dotted line.

It follows from Figure 5 that, in the case where $1 \leq r \leq t$ and $v > 2$, the multi-norms $(\|\cdot\|_n^{(p,q)})$ are never equivalent to the multi-norm $(\|\cdot\|_n^{[t]})$, as remarked on page 12.

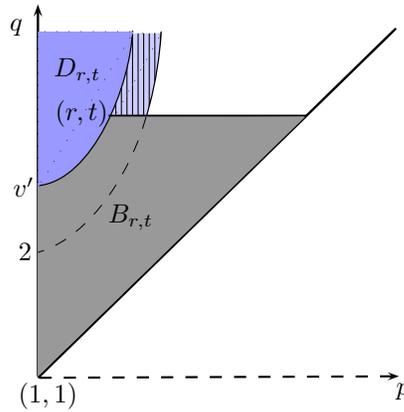


FIGURE 6. The set $B_{r,t}$ and (the possible range for) the set $D_{r,t}$ when $1 < r < 2$, $t \geq r$, and $1/r - 1/t > 1/2$

Corollary 4.8. *Suppose that $r > 1$ and that $1 \leq p \leq q < \infty$. Then $(\|\cdot\|_n^{(p,q)}) \preceq (\|\cdot\|_n^{[r]})$ on ℓ^r if and only if $1/p - 1/q \geq 1/2$.*

Proof. Suppose that $(p, q) \in D_r$. Then $1/p - 1/q \geq 1/2$ by Lemma 4.5.

Suppose that $1/p - 1/q \geq 1/2$. Then $(p, q) \in D_r$ on ℓ^r : this follows from Theorem 4.6 when $r \geq 2$ and from Proposition 4.7(iii) when $r \in (1, 2)$. \square

Thus $A_r \subset D_{r,t} \subset D_r = A_2$ and $D_{r,t} \subset C_{r,t}$.

We now have the following counter to the conjecture in [10, §3.8] on the equivalence of (p, q) -multi-norms and standard t -multi-norms.

Theorem 4.9. *Suppose that $1 < r < 2$, that $t \geq r$, and that $1 \leq p \leq q < \infty$, and consider the space ℓ^r . Suppose further that $1/r - 1/t > 1/2$. Then $(\|\cdot\|_n^{(p,q)}) \cong (\|\cdot\|_n^{[t]})$ whenever*

$$\frac{1}{p} - \frac{1}{q} = \frac{1}{r} - \frac{1}{t} \quad \text{and} \quad 1 \leq p \leq r.$$

Proof. Take v as above, so that $v < 2$, and suppose that $1/p - 1/q = 1/v$. By Proposition 4.7(i), $(p, q) \in D_{r,t}$, and, by Theorem 4.1, $(p, q) \in B_{r,t}$ whenever $1 \leq p \leq r$. \square

In fact, in the case specified in the above theorem, we know that

$$\left\{ (p, q) \in \mathcal{T} : \frac{1}{p} - \frac{1}{q} \geq \frac{1}{r} - \frac{1}{t} \right\} \subset D_{r,t} \subset \left\{ (p, q) \in C_{r,t} : \frac{1}{p} - \frac{1}{q} \geq \frac{1}{2} \right\},$$

but this is all that we know; if we could resolve Case (B), above, positively, we would know that

$$D_{r,t} = \left\{ (p, q) \in C_{r,t} : \frac{1}{p} - \frac{1}{q} \geq \frac{1}{2} \right\}.$$

The above theory does allow us to improve clause (vii) of Theorem 2.7. We recall that $u_c = r/(1 - cr)$.

Proposition 4.10. *Suppose that $1 < r < 2$, and consider the space ℓ^r . Suppose further that $1/2 < c < 1/r$. Then the points $(1, 1/(1 - c))$ and (r, u_c) are equivalent, and there is a constant K such that*

$$\|\cdot\|_n^{(r, u_c)} \leq \|\cdot\|_n^{(p, q)} \leq \|\cdot\|_n^{(1, 1/(1-c))} \leq K \|\cdot\|_n^{(r, u_c)} \quad (n \in \mathbb{N})$$

whenever $(p, q) \in \mathcal{C}_c$ and $1 \leq p \leq r$.

Proof. The new information is that $(\|\cdot\|_n^{(r, u_c)}) \cong (\|\cdot\|_n^{[u_c]}) \cong (\|\cdot\|_n^{(1, 1/(1-c))})$ by Theorem 4.9. \square

5. REGULAR OPERATORS

The above results actually have the following interesting consequence concerning the regularity of operators from ℓ^r into ℓ^q .

For a sequence $\alpha = (\alpha_j) \in \mathbb{C}^{\mathbb{N}}$, we set $|\alpha|$ to be the sequence $(|\alpha_j|)$; we say that $\alpha \geq 0$ whenever $\alpha_j \geq 0$ ($j \in \mathbb{N}$). Take $r, q \geq 1$ and $T \in \mathcal{B}(\ell^r, \ell^q)$. Then T specifies an infinite matrix $(T_{i,j} : i, j \in \mathbb{N})$, where $T_{i,j} = (T\delta_j)_i$ ($i, j \in \mathbb{N}$). The matrix $(|T_{i,j}|)$ then specifies a linear map $|T|$ from ℓ^r to $\mathbb{C}^{\mathbb{N}}$. Another way to define $|T|$ is as follows. A map $T \in \mathcal{B}(\ell^r, \ell^q)$ is *positive* if $T\alpha \geq 0$ in ℓ^q whenever $\alpha \geq 0$ in ℓ^r , and T is *regular* if it is a linear combination of positive operators; the collection of regular operators from ℓ^r to ℓ^q is denoted by $\mathcal{B}_r(\ell^r, \ell^q)$. Thus $T \in \mathcal{B}_r(\ell^r, \ell^q)$ if and only if $|T| \in \mathcal{B}(\ell^r, \ell^q)$. In fact, T is regular if and only if it is order-bounded [8, Theorem 1.31]. For $T \in \mathcal{B}_r(\ell^r, \ell^q)$, we define $|T|$ by

$$|T|(u) = \sup\{|Tz| : |z| \leq u\} \quad (u \geq 0),$$

and extend T linearly. For a summary of properties of the space $\mathcal{B}_r(\ell^r, \ell^q)$ and its connections with ‘multi-bounded operators’, see [8, §§1.3.4, 6.4.1].

It is well-known that $\mathcal{B}_r(\ell^r, \ell^q) \subsetneq \mathcal{B}(\ell^r, \ell^q)$ when $1 < r, q < \infty$ (cf. [6], where more general results are proved).

Theorem 5.1. *Take $r \geq 1$. Then the following conditions on $(p, q) \in \mathcal{T}$ are equivalent:*

- (a) $(\|\cdot\|_n^{(p,q)}) \preceq (\|\cdot\|_n^{[r]})$ on ℓ^r ;
- (b) *there exists a constant $C > 0$ such that*

$$\| |A| : \ell_m^r \rightarrow \ell_n^q \| \leq C \| A : \ell_m^r \rightarrow \ell_n^p \|$$

for every $m, n \in \mathbb{N}$ and every $n \times m$ matrix A ;

- (c) $T \in \mathcal{B}_r(\ell^r, \ell^q)$ whenever $T \in \mathcal{B}(\ell^r, \ell^p)$.

Proof. We set $s = r'$.

(a) \iff (b) From the definition, we see that $(\|\cdot\|_n^{(p,q)}) \preceq (\|\cdot\|_n^{[r]})$ on ℓ^r if and only if there is a constant $C > 0$ such that, for every $n \in \mathbb{N}$, every $f_1, \dots, f_n \in \ell^r$, and every $\lambda_1, \dots, \lambda_n \in \ell^s$, we have

$$\left(\sum_{j=1}^n |\langle f_j, \lambda_j \rangle|^q \right)^{1/q} \leq C \mu_{p,n}(\lambda_1, \dots, \lambda_n) \|(f_1, \dots, f_n)\|_n^{[r]}.$$

Set $f = |f_1| \vee \dots \vee |f_n|$. Then $f \in (\ell^r)^+$ and $\|(f_1, \dots, f_n)\|_n^{[r]} = \|f\|$. So the statement above is equivalent to the condition that there is a constant $C > 0$

such that, for every $n \in \mathbb{N}$, every $f \in (\ell^r)^+$, and every $\lambda_1, \dots, \lambda_n \in \ell^s$, we have

$$\sup \left\{ \left(\sum_{j=1}^n |\langle f_j, \lambda_j \rangle|^q \right)^{1/q} : f_1, \dots, f_n \in \ell^r \text{ with } |f_1| \vee \dots \vee |f_n| = f \right\} \\ \leq C \mu_{p,n}(\lambda_1, \dots, \lambda_n) \|f\| .$$

Since the supremum above is attained when $|f_1| = \dots = |f_n| = f$ and when each $f_j \lambda_j$ is a positive sequence, this inequality can be rewritten as

$$\left(\sum_{j=1}^n \langle f, |\lambda_j| \rangle^q \right)^{1/q} \leq C \mu_{p,n}(\lambda_1, \dots, \lambda_n) \|f\|$$

for every $n \in \mathbb{N}$, every $f \in (\ell^r)^+$, and every $\lambda_1, \dots, \lambda_n \in \ell^s$.

By a standard approximation argument, we can reduce the above further by requiring that the preceding inequality hold for every $m, n \in \mathbb{N}$, every $f \in (\ell_m^r)^+$, and every $\lambda_1, \dots, \lambda_n \in \ell_m^s$.

In the latter case, we set $\lambda_j = (\lambda_{1,j}, \lambda_{2,j}, \dots, \lambda_{m,j})$ for $j \in \mathbb{N}_n$ and set $f = (\alpha_1, \alpha_2, \dots, \alpha_m)$. Then the preceding inequality becomes

$$\left(\sum_{j=1}^n \left(\sum_{i=1}^m \alpha_i |\lambda_{i,j}| \right)^q \right)^{1/q} \leq C \mu_{p,n}(\lambda_1, \dots, \lambda_n) \|(\alpha_i)\|_{\ell^r}$$

for every $m, n \in \mathbb{N}$, every $(\alpha_i) \in (\ell_m^r)^+$ and every $\lambda_1, \dots, \lambda_n \in \ell_m^s$.

As usual, $(\lambda_{i,j} : i \in \mathbb{N}_m, j \in \mathbb{N}_n)$ forms an $m \times n$ matrix, say Λ , whose columns are the vectors $\lambda_1, \dots, \lambda_n$. The above argument shows that $(\|\cdot\|_n^{(p,q)} \preceq (\|\cdot\|_n^{[r]})$ on ℓ^r if and only if there is a constant $C > 0$ such that, for every $m \times n$ matrix Λ , we have

$$\| |\Lambda|^t : \ell_m^r \rightarrow \ell_n^q \| \leq C \left\| \Lambda : \ell_n^{p'} \rightarrow \ell_m^s \right\| ,$$

where M^t is the transpose of a matrix M and we are using equation (1.4). In other words, the condition in (a) is equivalent to the existence of a constant $C > 0$ such that,

$$\| |A| : \ell_m^r \rightarrow \ell_n^q \| \leq C \| A : \ell_m^r \rightarrow \ell_n^p \|$$

for every $m, n \in \mathbb{N}$ and every $n \times m$ matrix A .

This establishes the equivalence of (a) and (b).

(b) \Rightarrow (c) Clearly, (b) implies that $|A| \in \mathcal{B}(\ell^r, \ell^q)$ whenever $A \in \mathcal{B}(\ell^r, \ell^p)$, and hence that $A \in \mathcal{B}_r(\ell^r, \ell^q)$ whenever $A \in \mathcal{B}(\ell^r, \ell^p)$.

(c) \Rightarrow (b) Assume that (b) does not hold. Then there exists a sequence (A_n) of finite-dimensional matrices such that $\| |A_n| : \ell_*^r \rightarrow \ell_*^q \| \geq n$ whereas

$\|A_n : \ell_*^r \rightarrow \ell_*^p\| \leq 1$, where $*$ represents suitable indices. Now set

$$A := A_1 \oplus A_2 \oplus \cdots,$$

so that A is the block-diagonal matrix where the blocks are the finite-dimensional matrices A_n . Then $A \in \mathcal{B}(\ell^r, \ell^p)$, but $|A| \notin \mathcal{B}(\ell^r, \ell^q)$. Hence (c) fails, a contradiction. \square

The discussion above leads to the following result, possibly new, about matrices.

Corollary 5.2. *Take $r > 1$ and $1 \leq p \leq q < \infty$. Then there exists a constant $C > 0$ such that*

$$(5.1) \quad \||A| : \ell_m^r \rightarrow \ell_n^q\| \leq C \|A : \ell_m^r \rightarrow \ell_n^p\|$$

for every $m, n \in \mathbb{N}$ and every $n \times m$ matrix A if and only if $1/p - 1/q \geq 1/2$.

Proof. This follows from the equivalence of (a) and (b) in the above proposition and Corollary 4.8. \square

In terms of operators, we similarly have:

Corollary 5.3. *Take $r > 1$ and $1 \leq p \leq q < \infty$. Then $T \in \mathcal{B}_r(\ell^r, \ell^q)$ for every operator $T \in \mathcal{B}(\ell^r, \ell^p)$ if and only if $1/p - 1/q \geq 1/2$. \square*

One implication of Corollary 5.2 was already known (in a stronger form) by a result of G. Bennett. Indeed, by [4, Proposition 3.2], there exist a constant K and, for each $m, n \in \mathbb{N}$, an $n \times m$ matrix A whose entries are all ± 1 such that

$$\|A : \ell_m^r \rightarrow \ell_n^p\| \leq K \max\{n^{1/p} m^{(1/2-1/r)^+}, m^{1/r'} n^{(1/p-1/2)^+}\}.$$

It is easy to see that

$$\||A| : \ell_m^r \rightarrow \ell_n^q\| = n^{1/q} m^{1/r'},$$

and so

$$\frac{\|A : \ell_m^r \rightarrow \ell_n^q\|}{\||A| : \ell_m^r \rightarrow \ell_n^q\|} \leq K \max\{n^{1/p-1/q} / m^{1/r'-(1/2-1/r)^+}, n^{(1/p-1/2)^+-1/q}\}.$$

Now suppose that $1/p - 1/q < 1/2$. Then $(1/p - 1/2)^+ - 1/q < 0$ and $1/r' - (1/2 - 1/r)^+ > 0$, and so the right-hand side of the above inequality is $K \max\{n^{1/p-1/q} m^{-\alpha}, n^{-\beta}\}$ for some $\alpha, \beta > 0$ which depend on only p, q , and r , and this expression can be made arbitrarily small by making a suitable choice of first $n \in \mathbb{N}$ and then $m \in \mathbb{N}$. Thus, for a matrix A of restricted form, there is no constant $C > 0$ such that equation (5.1) holds.

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