

The Microbiome of the Ice-capped Cayambe Volcanic Complex in Ecuador

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Author contribution statement

MD: sample collection, laboratory experiments, data analysis, and writing initial draft. PM-L: bioinformatic and data analysis. CQ-M: bioinformatic and data analysis. ER: sample collection and laboratory experiments. PC: sample collection. VA and WD: bioinformatic analysis. SNA: writing-review and editing. FJS: writing-review and editing. PJ-V: quantitative ecology analysis and writing-review and editing. CAM: conceived the idea, sample collection, writing-review and editing, and grant administration. All authors contributed to the article and approved the submitted version

Keywords

volcano, bacterial community, Andean glacier, elevational gradient, diversity

Abstract

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A major challenge in Microbial Ecology is to understand the principles and processes by which microbes associate and interact in community assemblages. Microbial communities in mountain glaciers are unique as first colonizers and nutrient enrichment drivers for downstream ecosystems. However, mountain glaciers have been distinctively sensitive to climate perturbations and have suffered a severe retreat over the past 40 years, compelling us to understand glacier ecosystems before their disappearance. This is the first study in an Andean glacier in Ecuador offering insights into the relationship of physicochemical variables and altitude on the diversity and structure of bacterial communities. Our study covered extreme Andean altitudes at the Cayambe Volcanic Complex, from 4783 to 5583 masl. Glacier soil and ice samples were used as the source for 16S rRNA gene amplicon libraries. We found 1) effects of altitude on diversity and community structure, 2) the presence of few significantly correlated nutrients to community structure, 3) sharp differences between glacier soil and glacier ice in diversity and community structure, where, as quantified by the Shannon γ-diversity distribution, the metacommunity in glacier soil showed more diversity than in glacier ice; this pattern was related to the higher variability of the physicochemical distribution of variables in the former substrate, and 4) significantly abundant genera associated with either high or low altitudes, that could serve as biomarkers for studies on climate change. Our results provide the first assessment of these unexplored communities, before their potential disappearance due to glacier retreat and climate change.

Contribution to the field

Along the icy summit of the Cayambe in Ecuador, a tropical Andean volcano, between 4783 and 5583 meters of altitude, we obtained the first soil and ice samples for a pioneering exploration of the microbiome in the region. We studied the relationship of diversity and community structure with the altitudinal gradient and its associated physicochemical environment. Our analysis showed that, 1) within this narrow altitudinal gradient, altitude has an effect on diversity, 2) nutrients such as phosphate, sodium and chloride are significantly correlated with community structure, 3) diversity is the highest in glacier soil when compared to glacier ice, higher variability in the distribution of physicochemical variables in glacier soil may be determining higher diversity in bacterial communities, and 5) a few genera could be used as biomarkers for studies on changes in this Andean glacier due to climate change. Our contribution, as the first assessment of bacterial communities in the Andean glaciers of Ecuador, is important in the face of their potential disappearance due to climate change.

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Original Research article

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24 Abstract

4

A major challenge in Microbial Ecology is to understand the principles and processes by which microbes associate and interact in community assemblages. Microbial communities in mountain glaciers are unique as first colonizers and nutrient enrichment drivers for downstream ecosystems. However, mountain glaciers have been distinctively sensitive to climate perturbations and have suffered a severe 29 retreat over the past 40 years, compelling us to understand glacier ecosystems before their disappearance. 30 This is the first study in an Andean glacier in Ecuador offering insights into the relationship of 31 physicochemical variables and altitude on the diversity and structure of bacterial communities. Our study 32 covered extreme Andean altitudes at the Cayambe Volcanic Complex, from 4783 to 5583 masl. Glacier 33 soil and ice samples were used as the source for 16S rRNA gene amplicon libraries. We found 1) effects 34 of altitude on diversity and community structure, 2) the presence of few significantly correlated nutrients 35 to community structure, 3) sharp differences between glacier soil and glacier ice in diversity and 36 community structure, where, as quantified by the Shannon γ -diversity distribution, the metacommunity 37 in glacier soil showed more diversity than in glacier ice; this pattern was related to the higher variability 38 of the physicochemical distribution of variables in the former substrate, and 4) significantly abundant 39 genera associated with either high or low altitudes, that could serve as biomarkers for studies on climate 40 change. Our results provide the first assessment of these unexplored communities, before their potential 41 disappearance due to glacier retreat and climate change.

42 1 Introduction

43 For over two decades, climate change has been considered a significant threat to vulnerable ecosystems, 44 such as glaciers and ice-capped volcanoes, which are affected by sharp changes in temperature 45 (Oerlemans, 1994). Global melting and glacier retreat is one main effect of climate change (Shi and Liu, 2000; Raper and Braithwaite, 2006; Sorg et al., 2015). The retreat of tropical Andean glaciers is 46 47 considered a climate change indicator, particularly as glaciers are sensitive to climate perturbations 48 (Rabatel et al., 2013, 2018). A consistent retreat over the past forty years has been evident at various 49 Andean glaciers (Małecki et al., 2018). It is therefore important to understand glacier ecosystems in the 50 Andes before their possible disappearance (Stibal et al., 2020).

51 The Cayambe Volcanic Complex (CVC) is a massive explosive volcanic center with a base 52 extension of 24×18 km. It rises to an altitude of 5790 meters above sea level (masl), and it is covered 53 by a vast ice cap of nearly 22 km², with a thickness that reaches up to 100 m in specific areas and an 54 approximate volume of 0.7 km³ (Monzier et al., 1996; Guillier and Chatelain, 2006) (Fig. 1). The CVC 55 ice cap is present above 4800 masl and descends to ~4600 masl on its western flank and ~4200 masl on 56 its eastern flank (Samaniego et al., 1998; Detienne et al., 2017; Bax and Francesconi, 2019). The glacier 57 retreat of the CVC has been estimated at 25.58% from 1979 to 2009 (Gallegos Castro et al., 2018). The 58 CVC is unique in its geographical location, which is essentially at zero latitude (0.03° N; 77.988° W). 59 During the last 4000 years, the CVC has experienced 21 volcanic eruptions, the most recent occurring in 60 1785–1786 (Samaniego et al., 1998). The glacier of the CVC serves as a source of water for surrounding 61 communities, including large cities such as Quito.

62 Microbial communities should be perceived not only as the presence and interactions of 63 microscopic living organisms but also as the biological matrix which plays a vital role in shaping 64 ecosystems and communities of multicellular organisms (Stolz, 2017). Microbial communities at 65 mountain glaciers are often first colonizers and key players in soil formation, which enable subsequent 66 processes of plant colonization and growth, transformation of compounds, rock weathering and nutrient 67 enrichment of downstream ecosystems (Ragot et al., 2013); yet, it is unknown, particularly for the Andes, 68 which are the consequences of rapid glacier melting, due to climate change, on the microbial 69 communities and their ecological function (Ciccazzo et al., 2016).

Substantial amounts of biodiversity for multicellular organisms are well known for the tropical Andes (Bax and Francesconi, 2019); however, there are still few studies on microbial diversity for the region, particularly at glaciers and high altitude mountain environments (Ciccazzo et al., 2016; Hotaling et al., 2017; Nayfach et al., 2020). Most of the studies of microbial communities at mountain glaciers come from the European Alps or the USA; thus, information from the neotropical Andes is needed for a broader vision of climate change effects and ecological processes on a global scale (Ciccazzo et al.,

2016). These studies have shown that: 1) microorganisms play a crucial role in soil formation from glacier 76 77 rock and biogeochemical cycles, enabling the arrival of first multicellular colonizers; 2) their physiology 78 is largely influenced by physicochemical and environmental factors such as pH, moisture, and 79 temperature; 3) their communities can be structured as a function to distance from the glacier terminus 80 and soil chronosequence; and 4) glaciers are capable of maintaining specialized communities of 81 psychrophilic microorganisms that often show upregulation of genes for cold-shock proteins and 82 exopolymers (EPS) (Ciccazzo et al., 2016). However, all these aspects have been found and described in glaciers located at other latitudes than the tropics and it remains to be seen if such general principles 83 84 apply to these other environments (Ciccazzo et al., 2016; Hoham and Remias, 2020).

85 A thorough assessment of microbial diversity in the Andes is crucial to establish the potential for 86 further prospection into the use of psychrophilic microorganisms and derived bioproducts of microbial 87 metabolism (Borda-Molina et al., 2017). Environmental services, as the result of bacterial metabolism, 88 are also an important reason why we need to understand bacterial communities in these fragile and rapidly 89 changing environments (Margesin et al., 2009). Bacterial communities from extreme glacier 90 environments have been evaluated by applying next-generation sequencing of the 16S RNA region in 91 substrates such as glacier soil and glacier ice (Schloss, 2020), without the requirement for cultivation 92 (Tan et al., 2015; Chan et al., 2019).

93 Our objective was to investigate the structure and distribution of bacterial communities in the CVC, 94 which is a poorly understood ecosystem at risk of significant alterations due to climate change. 95 Additionally, we aimed to explore the relationship of physicochemical environmental variables with 96 these bacterial communities. Although the manuscript primarily focuses on the structure and distribution 97 of bacterial communities, we have also analyzed the potential influence of environmental factors on these 98 communities. Accessing Andean glacier ecosystems such as the CVC is a challenging endeavor. Along 99 the ascension route to the summit of the CVC, we found that the environment is a patchy combination of 100 two main types of substrates, glacier soil and glacier ice; thus, our assessment includes substrate as a 101 major component on the analysis. Glaciers run the risk of disappearing and with them their evolved 102 microbiomes (Staley, 1997). Recording the most remarkable aspects of these endangered psychrophilic microbial communities is essential to understand the potential losses for biodiversity and how this may 103 104 further impact the environment (Peter and Sommaruga, 2016).

Based on the arguments exposed by Ciccazzo et al. (2016), we hypothesized that elevation would be a significantly correlated component to differences in the composition of the observed communities. We also hypothesized that these differences will be linked to significant correlations in the concentration of nutrients and other physicochemical properties (as described in the methods section) that are relevant for bacterial life.

110 2 Material and Methods

111 **2.1** Sample collection and environmental analysis

Samples were collected on November 28th, 2015 (Fig. 1). The chosen route provided an opportunity to 112 gather samples from both glacier soil and glacier ice, which allowed for an additional level of contrast in 113 114 the context of elevation effects and substrate physicochemical properties on bacterial diversity. A shovel or ice axe was used to dig into the sampling point at an approximate depth of 10-25 cm below the surface, 115 removing rocks. Samples were taken in duplicate with a shovel previously washed and disinfected with 116 117 70% alcohol and immediately stored in hermetically-sealed sterile plastic bags. To avoid sample contamination during sample collection, we followed the recommendations provided by EPA (EPA, 118 2020a; EPA, 2020b). Each time a different sample was collected, a pair of new, non-powdered disposable 119 120 gloves were worn. The gloves were not in contact with the sampled substrates and were changed each 121 time a new sample was obtained. Plastic bags and sample containers were new, disposable, and sterilized by UV irradiating prior to sampling. Glacier soil samples consisted of 1 kg of material. Glacier ice samples consisted of 1 L of ice. Samples arrived in a cooler box to the laboratory after 8 hours of being collected and stored in a 0°C freezer. Each sample was used for the extraction of total genomic target DNA and the determination of physicochemical properties.

126 Starting at 4800 masl, soil becomes increasingly less visible as it is covered by glacial ice along 127 our ascension near the summit at 5600 masl. As a visual aide to the nature of samples, we have labeled 128 glacier soil (s) and glacier ice (w) in the representation provided by Figure 1. Samples were labeled by 129 the letters "CAY" and followed by serial numbering. Along the ascension route to the summit of the 130 volcano, soil became increasingly less accessible, as it was covered by glacial ice. An interval of difficult 131 access for sampling created two groups of samples that were separated by elevation: these were low-132 altitude samples (from 4783 masl to 4944 masl) and high-altitude samples (from 5293 masl to 5583 masl) (Fig. 1). The elevation gap between these two groups of samples corresponded to 349 masl and was the 133 134 result of difficult terrain that precluded establishing a regular path of collection points. Given this gap in 135 elevation between the two groups of samples, we expected to find differences in the estimated community 136 composition among them. Along the ascension route to the volcano summit, an interval of difficult access created two delimited sampling areas that allowed two main sample categories based on the landscape: 137 138 low (<5220 masl) and high (>5200 masl) altitude samples. Therefore, we expected to find differences in 139 the estimated community composition between these two groups of samples (Fig. 1).

140 Informed by previous studies on bacterial communities (Singh et al., 2014; Looby et al., 2016; 141 Peay et al., 2017; Nottingham et al., 2018), we chose a set of physicochemical properties to measure and 142 describe the obtained samples. These were analyzed at the Center for Integral Analytical Solutions (CENTROCESAL Cía.Ltda., Ecuador. Accreditation No SAE LEN 12-001) and consisted of the 143 144 following 18 parameters: electrical conductivity (EC) (usiemens/cm), organic matter content (Org) (%p/p), total hardness (TH) (mg/L), humidity (%p/p), cation exchange capacity (CEC) (meg/100g), 145 phosphate (PO4³⁻) (ppm), nitrogen (N) (ppm), calcium (Ca²⁺) (ppm), magnesium (Mg²⁺) (ppm), 146 147 manganese (Mn²⁺) (ppm), sulfate (SO₄²⁻) (ppm), potassium (K⁺) (ppm), sulfur (S) (ppm), iron (Fe³⁺) 148 (ppm), sodium (Na⁺) (ppm), chloride (Cl⁻) (ppm), calcium carbonate (CaCO₃) (ppm), and total dissolved 149 solids (TDS) (ppm). These parameters were obtained according to the procedures described in (Baird et 150 al., 2017). pH was evaluated in situ with a portable pH meter (Mettler-Toledo SevenGO, Millipore, Columbus, OH, USA). Data from the physicochemical analyses are included in Supplementary Data 2 151 and 3. In conformance to the ISO/IEC 17025:2017 competence of testing and calibration laboratories 152 standard, a minimum of two samples was always employed for each soil chemical measurement. 153

154 2.2 DNA extraction, 16S rRNA gene library preparation, and sequencing

155 Total glacier soil genomic DNA was isolated with the PowerSoil DNA Isolation kit (Cat. No. 12888-50, 156 MoBio Laboratories, Inc., Carlsbad, CA, USA). Total glacier ice (glacier) genomic DNA was isolated 157 with the PowerWater DNA Isolation kit (Cat. No. 14900-50 NF MoBio Laboratories, Inc.). The total extracted genomic DNA is currently stored at -80°C in the collection of the Ecuadorian Microbiome 158 159 Project (EcMP) at the Institute of Research on Zoonoses (CIZ) of Central University of Ecuador. A partial 160 region of 500 bp including the hypervariable regions V3 and V4 of the 16S rRNA genes was amplified with custom primers based on previous work (Klindworth et al., 2013). The primer pair was: forward = 161 162 5'-TCGTCGGCAGCGTCAGATGTGTATAAGAGACAGCCTACGGGNGGCWGCAG-3' and reverse 163 = 5'-GTCTCGTGGGCTCGGAGATGTGTATAAGAGACAGGACTACHVGGGTATCTAATCC-3'. 164 16S rRNA libraries of 300 bp paired-end fragments of the bacterial metagenome were obtained by synthesis sequencing technology on an Illumina MiSeq platform (San Diego, CA, USA). The studied 165 sequences are available at NCBI with the bioproject accession number PRJNA681925. We included two 166 167 types of negative controls (Kim et al., 2017; Eisenhofer et al., 2018). First, a blank extraction control was included during DNA extraction and all subsequent protocol steps. This blank control had no input 168

- 169 material. Second, we included a blank library control, in which the extraction protocol was not applied
- 170 and DNA-free water was used as input to library generation and further sequencing.

171 **2.3** Sequence processing and analysis

172 Prior to performing taxonomic annotation, all sequence files were checked for quality with FastQC 173 (Andrews, 2010). The identification of bacterial groups was assisted by Mothur v.1.43.0 (Schloss et al., 174 2009) and according to the MiSeq Standard Operational Procedure (Kozich et al., 2013). Forward and 175 reverse reads were assembled into contigs and the resulting sequences were filtered and processed. We 176 retained sequences with a minimum overlapping of 20 bp, a maximum length of 580 bp, and a minimum of 348 bp. Sequences with homopolymers longer than 14 bp or containing ambiguities were also removed from 177 178 the analysis. The filtered sequences were deduplicated and aligned against the V3-V4 region of the SILVA 179 v132 reference small subunit rRNA gene alignment database. Those sequences that did not span the full 180 alignment were filtered by optimizing the start and end positions using a 95% criterion. The alignments were processed by eliminating columns that exclusively contained gaps or dot characters, and the sequences were 181 182 deduplicated for a second time. Denoising was performed by preclustering sequences with less than one difference per 100 bp, and chimeras were removed using Mothur's implementation of the VSEARCH 183 184 algorithm (Rognes et al., 2016). Sequences were classified with a naive Bayesian classifier against the 185 SILVA v132 reference taxonomy database, by the Wang method (Wang et al., 2007) and with a 70% 186 bootstrap threshold. Sequences belonging to chloroplasts, mitochondria, and Eukarvota were removed. The final resulting sequences were clustered into OTUs at 99% identity with the opticlust algorithm (Westcott 187 and Schloss, 2017). The most abundant sequence within each sequence cluster served for consensus 188 189 classifications and the determination of representative sequences for each OTU. All the commands used in 190 the Mothur pipeline for sequence processing are available in the file "Mothur v1.43 V3V4 DEF.batch" at 191 gitlab.com/ec.microbiome.proj/cayambe-microbiome-year-1. Processed Mothur data were imported into R 192 (R Core Team., 2020) with the phyloseq package (McMurdie and Holmes, 2013). OTUs were grouped at 193 the genus and family levels, and taxonomic levels kingdom and phylum were inspected to filter 194 Archaea/unknown taxa and unclassified bacteria, respectively. Genera with zero counts in all samples were 195 also removed. Bacterial composition was explored at various taxonomic levels with plots generated in Krona 196 (Ondov et al., 2011). Afterwards, samples were separated by substrate (soil and water-ice), removal of 197 singletons was performed, and the subsequent analyses were carried out.

198 **2.4 Diversity analysis**

199 Diversity indices were estimated for each sample site, including Chao, Shannon, and Simpson. α-diversity 200 was compared across sample sites and the two categories of altitude (high vs. low) with a one-sided 201 Wilcoxon signed-rank test. To test the relationship of α -diversity and altitude, a robust linear regression by 202 an iterated re-weighted least squares model was applied with the Chao index as the dependent variable and 203 altitude as the regressor. This was applied through the "rlm()" function in the MASS package in R (Venables 204 and Ripley, 2002). Following the estimation of the slope in the regression model, we tested its significance 205 through a Wald test (or robust F-test) through the "f.robtest()" in the sfsmisc package in R (Maechler, 2022). 206 Rarefaction curves with steps of 600 samples for soil and glacier ice were estimated with the back-end 207 functions of the ranacapa package (Kandlikar et al., 2018). Heatmaps of the log-transformed counts were 208 used to visually compare the overall absolute abundance between samples at the family level, the community 209 structure in individual samples, and the metacommunities in soil and glacier ice. To avoid overplotting, only 210 the most abundant families were selected for each sample and based on the log count transformation; for 211 glacier ice sequences, the cutoff was log(x + 1) > 25.3 and for soil sequences, the cutoff was log(x + 1) > 25.3212 15.6. With the selected families, a hierarchical clustering, with the unweighted pair group method (UPGMA

on Euclidean distances), was performed to evaluate if this analysis could capture the change in community composition across the altitudinal gradient (Gu et al., 2016).

The patterns provided by the abundance heatmaps could be summarized in the concept of γ diversity, with the added benefit of robust estimation of entropy to a meaningful measure of biological diversity (Jost, 2006; Marcon and Hérault, 2015). To test for differences in the structure of the metacommunity in glacier soil versus glacier ice, we obtained a corrected estimate distribution of the γ -Shannon diversity; package entropart (Marcon and Hérault, 2015) was used for this purpose.

220 **2.5 Ordination and differential abundance analyses**

221 Underrepresented genera were removed based on the arbitrary threshold criteria that genera had to be 222 detected at least five times in more than half of the samples; additionally, only the five most abundant phyla were kept since they represented over 90% of the relative abundance. Genera count data were transformed 223 to even sample depth by multiplying a constant by the relative abundance. The constant value was the 224 average sample depth for glacier ice (i.e. 48741) and glacier soil samples (i.e. 50410) respectively. The 225 226 filtered phyloseq object (previously explained in the sequence processing and analysis section) was exported to a DESeq2 object for further preprocessing (Love et al., 2014). Based on the transformed 227 228 DESeq2 object, the size factors of the abundances were estimated through the median rate method (Anders 229 and Huber, 2010). The abundances in the DESeq2 object were subjected to variance stabilizing 230 transformation by using the estimated size factors.

β-diversity was assessed through a non-metric multidimensional scaling analysis (NMDS) with fitted 231 232 environmental (physicochemical) variables. The algorithm for fitting environmental variables to the NMDS 233 space found the direction in which the correlation of the environmental vectors was the strongest; the 234 associated statistical significance in this context was for a null hypothesis in which the correlation was 235 indistinguishable from zero (Oksanen et al., 2018). The NMDS was based on Bray-Curtis distances, which 236 were obtained from the original matrix of abundances for families across samples. We used a radar plot to 237 show the distribution of scaled physicochemical variables for glacier ice and soil samples and grouped them 238 by two categories of altitude (low vs. high). The intersection of bacterial families in the two categories for 239 altitude (high vs. low) and substrate (soil vs. glacier ice) were depicted in a Venn diagram. Families used 240 in the Venn diagram were those present at least five times in more than half of the samples. NMDS analyses 241 were made with the vegan package (Oksanen et al., 2018).

To discover significant differences in the presence of genera between low- and high-altitude 242 243 communities, a differential abundance detection analysis, based on a negative binomial distribution, was 244 performed with the DESeq2 package (Love et al., 2014). This analysis returned the computed log2 fold 245 change and corresponding *p*-values. The latter was corrected by the Benjamini-Hochberg method (Benjamini and Hochberg, 1995), as a threshold to minimize the false discovery ratio. Genera were 246 247 projected into a volcano plot, with $-\log_e(p)$ against the log2 fold change. Since the fold change was obtained 248 by low altitude/high altitude abundance ratios, those genera with a positive fold change will express larger abundance at low altitudes, and those with a negative fold change will express larger abundance at high 249 250 altitudes. The abundance distribution of all families that were common to all samples, irrespective of the 251 type of substrate, provided a perspective on the metacommunity. This pattern was represented by a 252 heatmap of the log-transformed counts and an accompanying cluster analysis with the unweighted pair-253 group method and based on Euclidean distances. The statistical procedures are available at 254 gitlab.com/ec.microbiome.proj/cayambe-microbiome-year-1.

255 **3** Results

A total of 15 samples were obtained from the CVC, which included a range from 4783 to 5583 masl (Fig.

1). Coordinates and altitude for each sample are included in the Supplementary Data 1. A total of 252053

16S amplicon high-quality reads were obtained for glacier soil samples, with an average of 50410 ± 15468 reads per sample. A total of 487414 16S amplicon high-quality reads were obtained for glacier ice

samples, with an average of reads per sample of 48741 ± 12976 . The available sequence samples were classified into 1037 genera.

262

Figure 1.

We recorded a total of 41 phyla, with Proteobacteria, Actinobacteria, Bacteroidetes, 263 264 Acidobacteria, and Firmicutes common to all samples. The three most abundant phyla in glacier soil 265 samples were Actinobacteria, Proteobacteria, and Acidobacteria. In glacier ice samples, the three most abundant phyla were Actinobacteria, Proteobacteria, and Bacteroidetes. For either glacier soil or glacier 266 ice, these four phyla constituted up to 75% of the relative abundance. On average, the predominant 267 268 phylum in glacier soil was Actinobacteria, with 25% and 34% of total sequences at high and low altitudes 269 respectively (interactive Krona plot of all taxonomical categories found in glacier soil available at 270 https://www.dropbox.com/s/ozilo2dgkhy1qxv/SuppInfo Figure S2.html?dl=0). In contrast, for glacier ice samples, Proteobacteria was the predominant phylum at high altitudes (47%) and was replaced by 271 272 Actinobacteria as the most abundant at low-altitude samples (43%) (interactive Krona plot of all 273 taxonomical categories samples available found in glacier ice at 274 https://www.dropbox.com/s/uax2hvkhqlvb44p/SuppInfo Figure S3.html?dl=0). Individually, 275 Proteobacteria was the richest phylum in the CVC with 11 families, followed by 8 families in 276 Actinobacteria, 5 in Firmicutes, 4 in Bacteroidetes, and 1 in Acidobacteria.

Glacier soil and glacier ice samples shared half of the 10 most abundant families. Some samples showed the presence of a single superabundant family (>50% relative abundance), such as CAY004 (4948 masl, Micromonosporaceae 79%), CAY009 (5569 masl, Pseudomonadaceae 58%) and CAY010 (5533 masl, Nocardiaceae 66%) for glacier ice samples, and CAY001 (4945 masl, Micromonosporaceae 52%) for glacier soil samples (Fig 2a. and interactive Krona plots for soil and glacier ice samples). There was no discernable pattern or relationship between samples and their geographical location to explain the dominant presence of these families (Figs. 1, 2).

284 There was a trend towards higher diversity at lower altitudes for both glacier ice and glacier soil (Figs. 2b and 2c). A robust linear regression on the Chao1 diversity index, with altitude as the regressor, 285 286 showed a markedly inverse relationship for glacier ice samples (F = 20.27, P = 0.004), but not for glacier 287 soil samples (F = 3.23, P = 0.17) (Fig. 2c); the latter showed no statistical significance. A one-sided 288 Wilcoxon signed-rank test, comparing the diversity of glacier ice samples from high altitudes vs. those from low altitudes, showed significance (W = 0, P = 0.018 for the contrast on the Shannon index and W 289 290 = 0, P = 0.036 for the contrast on the Simpson index). However, the same test performed in glacier soil 291 samples provided no significance (W = 0, P = 0.17 for the contrast on the Shannon index and W = 0, P 292 = 0.17 for the contrast on the Simpson index) (Fig. 2a). All rarefaction curves for richness approached 293 an asymptote within at least 60% of reads, which indicated a sufficient sequencing depth (Fig. 2d).

294

Figure 2.

295 A complex pattern of abundance in the samples can be summarized by the heatmap on the most 296 abundant families and its interpretation was assisted by the accompanying clustering (Shannon γ -297 diversity distribution). For the interpretation of the observed patterns, clusters for families (along the 298 rows or horizontal direction) were numbered from 1 to 4, and clusters for samples (along the columns or 299 vertical direction) were labeled from A to G. For glacier soil, two clusters of families were established. 300 Within Cluster 1 there was a sharp difference between the sample cluster formed by CAY006 (4784 masl) 301 and CAY003 (4947 masl) (cluster D) and the rest of the samples in clusters A, B, and C. This difference 302 highlighted a remarkable correspondence between the clustering results of samples and the clustering 303 results of bacterial families, which pointed towards strongly structured communities in glacier soil. 304 Although highly similar in the abundance of families in Cluster 1 (pattern W in Fig. 3), CAY003 and 305 CAY006 were separated by approximately 500 m, and each one was closer to other, less similar, sampling

306 sites (Figs. 1, 2, 3). Both CAY003 and CAY006 belonged to the low-altitude glacier soil sample category.

307 Sample CAY001 (4945 masl), which formed cluster C, was characterized by the marked low abundance 308 of the families in Pattern X (Fig. 3). Similarly, samples CAY0012 (5375 masl) and CAY0014 (5306 masl)

were characterized by Patterns Z and Y respectively, which showed conspicuously low abundance for

different groups of families (Fig. 3). Sample groups A, B, C, and D in glacier soil had all conspicuous

311 patterns of abundance for different groups of families (i.e. patterns W, X, Y, and Z in Fig. 3). The

312 clustering results for soil samples in the heatmap suggested an effect of altitude on the structure of 313 communities.

314

Figure 3.

In comparison to the glacier soil samples, glacier ice samples showed less structure or recognizable patterns in terms of the observed abundance in families. In other words, there was more homogeneity among the communities in ice than in soil. Sample CAY010 (5533 masl), which formed cluster F, can be easily differentiated by the presence of low abundance in most families when compared to the rest of glacier ice samples (Fig. 3). Notably, Nocardiaceae, which is an actinomycetes family found also in Antarctica (Roslee et al., 2020), was uniquely abundant in CAY010.

Sharp differences in abundance for different groups of families within glacier soil samples, in comparison to the more homogeneous distribution of abundance in glacier ice samples, was a pattern that was summarized in terms of γ -diversity. The latter contrast showed sharp differences between the two types of substrates, with the simulated distributions having no overlap and separated by at least 8 units of γ -diversity (Fig. 3).

Low-altitude communities were different in composition from high-altitude communities in glacier 326 327 soil samples, but not in glacier ice samples (Figs. 4a-b). The differences in soil communities were evident 328 along the second axis of the non-metric multidimensional scaling analysis (NMDS) (Fig. 4b), but glacier 329 ice samples showed considerable overlap on either the first or second axis of the NMDS (Fig. 4a). In 330 glacier ice samples, the largest fitted environmental vectors (i.e., highly correlated environmental 331 variables to sample scores) were chloride, sodium, and total dissolved solids, which were also the only 332 significant ones ($P \le 0.05$). These three environmental vectors were strongly and significantly correlated 333 to the distances among samples in the NMDS space, and therefore to community structure, but did not 334 contribute to differences between the two categories of altitude (Fig. 4a). In glacier soil, one of the largest 335 fitted environmental vectors was phosphate and the only one with significance (P < 0.05). The separation of high-altitude vs. low-altitude glacier soil samples was therefore correlated with a gradient of 336 337 concentration in which phosphate was higher at lower altitudes (Fig. 4b). Circumstantial evidence was present for differences in the concentration or magnitude of several physicochemical parameters between 338 339 high- and low-altitude samples; however, due to the small sample size available, no contrast showed 340 statistical significance (Supplementary Figure 1). When compared to high altitude glacier ice samples, 341 low altitude glacier ice communities had a higher concentration or larger values for all physicochemical 342 variables, except for electrical conductivity (EC) (Fig. 4c). A more complex pattern was present for 343 glacier soil, in which magnesium, sodium, manganese, and sulfate had larger concentrations at higher 344 altitudes, and pH, organic matter, nitrogen, iron, calcium, and phosphate had larger concentrations at 345 lower altitudes (Fig. 4d).

346

Figure 4.

There was a remarkable and significant change in abundance (P < 0.05) for *Pseudomonas* between low- and high-altitude glacier ice communities as this genus was strongly (i.e., effect size) and significantly more abundant at higher elevations (Fig. 4e). For glacier soil, the genus *Oryzihumus* was strongly and significantly more abundant at higher elevations (Fig. 4f); on the other hand, significantly and strongly less abundant at higher elevations were *Nitrobacter, Cellulomonas*, and *Anaeromyxobacter*,

- 352 plus five additional unidentified genera (Fig. 4f). About half (51.32%) of the 76 families found in this
- 353 study were present in all the combinations of altitude and substrate; 18 families were common to all soil
- 354 samples, irrespective of the altitude category, and 19 families were common to all glacier ice samples
- irrespective of the altitude category (Fig. 4g). Remarkably, neither the substrate categories (i.e., glacier
- soil or glacier ice) nor the altitude categories (high or low) presented exclusive families, as all the 76
- 357 families were shared between categories (Fig. 4g).

358 4 Discussion

Our study encompassed a gradient of elevation and two substrate groups (i.e., glacier soil and glacier 359 360 ice). We found a difference in α -diversity along the elevation gradient for glacier ice, where low-altitude communities (< 5200 masl) presented higher α -diversity than high-altitude communities (> 5200 masl). 361 However, glacier soil showed no effect of altitude on a-diversity. Correlations between elevation and 362 363 diversity in microbial ecology can mask several underlying ecological and physicochemical parameters (Lanzén et al., 2016). Previous studies have found environmental parameters that were significantly 364 independent of elevation, and where the latter factor was secondary to other parameters in explaining the 365 366 structure of bacterial communities (Fierer et al., 2011; Díaz et al., 2022). Although we acknowledge the possibility of confounding or unaccounted factors that could be underlying elevation as a significant 367 component for bacterial diversity, such as soil moisture, soil nutrient status, substrate availability, and 368 substrate quality, (Meier et al., 2010; Nottingham et al., 2015), we have also included as part of our 369 370 assessment a set of 18 physicochemical parameters, whose correlations with the observed community diversity and structure are discussed in the next paragraphs. 371

372 Our results are consistent with earlier studies on microbial diversity along a mountain elevational 373 gradient (Lanzén et al., 2016; Shen et al., 2020). Decreasing α -diversity with higher altitude was also 374 reported for bacterial communities in mountain glaciers from the Austrian Alps (Wilhelm et al., 2013), 375 the Tianshan Mountains in Central Asia (Ren et al., 2017), and the Himalayas (Liu et al., 2011). Schütte 376 et al. (2010), in a glacier foreland of the High Arctic, reported constant levels of diversity for different 377 samples, irrespective of the chronosequence (i.e., glacier retreat). On the other hand, (Schmidt et al., 378 2009) found that diversity increased along lower elevations from a receding glacier in southeastern Peru. 379 Increments in biodiversity at lower glacial altitudes have been reported not only at the prokaryotic scale 380 but also for macroinvertebrates and other groups of multicellular organisms (Milner et al., 2001; Jacobsen 381 and Dangles, 2012; Cauvy-Fraunié and Dangles, 2019). A recent synthesis on the effect of altitude on 382 soil bacteria diversity can be found in Díaz et al. (2022), which shows that the issue is currently not fully 383 understood and lacks universal consensus.

Our results conform to the possible effect of soil as a promoter of diversity and specialization in bacterial communities and its contrast to glacier ice environments. The alternating pattern in the radar plot for soil, where the means across samples of physicochemical parameters are not homogeneously distributed between altitudinal categories as they were in glacier-ice (Fig., 4c–d, Supplementary Figure 1), points to a more complex ecosystem in soil.

389 Five phyla were found to be common to all samples: Proteobacteria, Actinobacteria, 390 Bacteroidetes, Acidobacteria, and Firmicutes. This finding was consistent with the most abundant phyla 391 previously reported in glacier environments (Simon et al., 2009; Xiang et al., 2009; Schütte et al., 2010; 392 Jacobsen and Dangles, 2012; Seok et al., 2016). The occurrence of these psychrophilic phyla in other 393 glacier ecosystems was also validated by culture-dependent methods (Cheng and Foght, 2007; Loveland-394 Curtze et al., 2009). Acidobacteria has been found as one of the most abundant phyla in glacier soils, but 395 not in water (Lee et al., 2013; Park et al., 2015). The same trend was determined in our study, where 396 Acidobacteria was the third most abundant phylum in glacier soil communities. The occurrence of 397 superabundant families, such as Micromonosporaceae in sample CAY004 (4948 masl), may be related 398 to competitive exclusion, as antibiotic-producing bacteria may dominate over the rest of the species in the community. Members of Micromonosporaceae are a well-known source of antibiotics (Talukdar et al., 2016).

EC and pH have been reported as important environmental variables that may affect the microbiome in glacier water since these factors have a notable physiological effect on single-celled organisms (Brown et al., 2007; Wilhelm et al., 2014). However, pH and EC were not significant variables to explain the community structure in our survey of the CVC. We propose that significance was absorbed by other factors involved in EC such as the higher presence of salt ions in low-altitude glacier ice samples (Na⁺ and Cl⁻) and which coincide with the general direction of the EC vector in the ordination analysis (Fig. 4a).

408 Other studies have shown that EC is greater at lower altitudes from the glacier summit (Milner et 409 al., 2001, 2009). In the case of the CVC, we did not find evidence for a relation between EC and altitude or the composition of communities; however, Cl⁻ and Na⁺, considered here as a proxy for EC, were 410 411 strongly correlated to an observed pattern of community composition in glacier ice samples, in which a 412 mixture of low- and high-altitude communities were clustered together (Figs. 3 and 4a). EC has been 413 proposed as a driver for diversity in glacier ecosystems, as liquid water at lower altitudes may be linked with higher magnitudes of this parameter (Wilhelm et al., 2013). A negative correlation between altitude 414 415 and EC has been reported for soil matrices at other study sites (Calvo et al., 2009; Wu et al., 2015). This 416 may be related to higher concentrations of nutrients downstream, as rain and meltwaters flow down the 417 glacier towards lower elevations, water may carry minerals and mobilized ions, which will enrich lower-418 elevation substrates and environments (Ciccazzo et al., 2016). Phosphorus has been considered as a 419 limiting elemental resource for soil bacterial communities (Ragot et al., 2013); thus, this was the only variable (measured as PO4³⁻) with a significant correlation to the observed bacterial community 420 421 composition in glacier soil, and with higher concentrations at lower elevations (Figs 3 and 4). The 422 bioavailability of phosphate may play an important role in shaping bacterial communities at Andean 423 glacier environments.

424 4.1 Differential abundance analysis

425 Selection pressures and living conditions in glacier ice are more demanding for unicellular organisms than other kinds of substrates such as soil (Ciccazzo et al., 2016; Cazzolla Gatti et al., 2018); thus, when 426 427 compared to glacier ice, the glacier soil had more structured, diverse, and specialized communities, as measured by γ -diversity (Fig. 3). The differential abundance analysis, between low- and high-altitude 428 429 samples, found at least four significant genera in glacier soil (Nitrobacter, Cellulomonas, Oryzihumus, 430 and Anaeromyxobacter), but only one for glacier ice (Pseudomonas). The latter pattern may be related to markedly structured communities in glacier soil when compared to glacier ice. These salient genera 431 432 detected by the differential abundance analysis could be proposed as biomarkers for the detection of 433 either low- or high-altitude substrate samples and the effects of the receding glacier on the composition 434 of bacterial communities.

435 Pseudomonas is a genus with psychrophilic species (Margesin et al., 2009), such as those in the 436 Pseudomonas fluorescens complex (Mukhia et al., 2022). Species in this complex are capable of icenucleating activities (Obata et al., 1998). Within the P. flourescens complex, there is a group named P. 437 antarctica (Vásquez-Ponce et al., 2018), which consists of Antarctic species, but has also been reported 438 439 from the East Rathong supraglacial site in Sikkim Himalaya (Mukhia et al., 2022). We found that this 440 genus was significantly and strongly more abundant at higher altitudes (>5200 masl) in glacier ice communities. Metabolic results of isolated bacteria from CVC using dedicated culture-dependent 441 442 methods (E. Rivadeneira, unpublished) and whole metagenome analysis are expected to elucidate the 443 relevance of this group of microorganisms for glacial ecosystems. It is noteworthy that although the differential abundance analysis with glacier soil samples found 10 significant genera (two points are 444 445 overlapping in Fig. 4f), only four of them were assigned to a genus name. Nitrobacter, which was

significantly more abundant at lower elevations, is a group that plays an important role in the nitrogen 446 447 cycle by using energy from the oxidation of nitrate to fix CO₂ via the Calvin cycle. *Nitrobacter* has been 448 reported in glacier soils (Latha et al., 2009a) and was proposed as the chemoautotrophic bacterium responsible for carbon fixation (Werner and Newton, 2005). Likewise, the other significant genera in our 449 450 differential abundance analysis, Cellulomonas (Steven et al., 2006; Latha et al., 2009b), Oryzihumus 451 (Kwon et al., 2015; Zhang et al., 2016; Tolotti et al., 2020), and Anaeromyxobacter (Srinivas et al., 2011; Rime et al., 2015), were also previously reported in glacier microbiomes, but their ecological role has 452 not yet been elucidated. Anaeromvxobacter, a common iron-reducing soil bacteria, has been shown to 453 have the necessary molecular machinery for nitrogen fixation and assimilation of N₂ gas by nitrogen 454 (Masuda et al., 2017; Masuda et al., 2020; Masuda et al., 2021). The nitrogen-fixing capabilities of 455 456 Anaeromyxobacter may play an essential role in the unique chemistry of soils at extreme altitudes in the 457 Andes, which are characterized by low nitrogen content (Schmidt et al., 2008; Knelman et al., 2014; Hu 458 et al., 2021). These three genera were significantly more abundant at lower elevations.

459 4.2 Human and animal-associated bacteria

460 Although mountain glaciers are extreme environments, and seldom visited by humans, they can be under 461 different threats, including human activities. Human and animal fecal bacterial taxa have been reported 462 in different glaciers, by detecting fecal microbial biomarkers (Zdanowski et al., 2017; Malešević et al., 2019). The Ruminococcacea and Lachnospiraceae families, which were proposed as human and animal 463 fecal biomarkers (Mclellan et al., 2013), have been found in all soil and glacier ice samples in the present 464 study. The Ruminococcus genus was found in low-altitude glacier ice samples and represented 0.09% of 465 466 the sequences in the Firmicutes phylum. Ruminococcus has been described as part of the bacterial consortia in sheep rumen (Krause et al., 1999). On the other hand, the genus Faecalibacterium considered 467 468 a biomarker for poultry feces (Shen et al., 2013; Sun et al., 2016) was found in high altitude glacier ice 469 samples and represented 0.5% of the sequences in the Firmicutes phylum. The Blautia genus, found in both soil and glacier ice samples, with abundances ranging between 0.03%-0.05% respectively, has also 470 471 been described as a biomarker for human feces (Koskey et al., 2014; Feng et al., 2018). The reasons for 472 the presence of these fecal biomarkers are unknown but may be related to visitation by humans and native avian fauna, even though the samples were not collected on the touristic climbing routes. Nevertheless, 473 474 the potential ecological significance of the detected biomarkers seems to be marginal due to their low 475 relative abundance.

476 4.3 Glacier metacommunity

477 Metacommunity theory assumes that communities are not closed and isolated, but that they interact 478 at various scales (Miller et al., 2018). One scale of interaction is spatial dynamics, which accounts for 479 mass effect, rescue effect, colonization, dispersal, among other factors (Hanski and Gilpin, 1991). The 480 ecology of glaciers can be classified as permanent habitats with indistinct boundaries (Leibold et al., 2004), since glacier soil and glacier ice are intimately in contact, allowing for colonization and dispersal 481 effects (Wilhelm et al., 2013). Our findings support the concept of the metacommunity in the CVC, as 482 483 the intersections in the Venn diagram (Fig. 4g) suggested that niches may occur through continuous 484 ecosystems rather than having strictly categorical boundaries. This is particularly evident given that the 485 central intersection of the Venn diagram held more than half of the detected families in this study 486 (51.32%). The observed pattern in the metacommunity at Cayambe, with a large overlap between 487 communities, can be explained in terms of dispersal and colonization effects. Specifically, the hydraulic 488 configuration of the glacial drainage may contribute to mass transport and the possibility of bacterial 489 dispersal to colonize new glacier areas (Hotaling et al., 2017; Ortiz-Álvarez et al., 2020).

490 Our understanding of bacterial biodiversity and its drivers for mountain glaciers is mostly 491 unquantified, overlooked, and underestimated due to the lack of data (Hotaling et al., 2017; Stibal et al., 492 2020). Therefore, this first assessment of the bacterial community in the CVC provides a new and useful 493 perspective on the possible consequences of glacier retreat and climate change on microbial diversity 494 and its associated ecosystems.

4955Conflict of Interests

496 The authors declare that the research was conducted in the absence of any commercial or financial 497 relationships that could be construed as a potential conflict of interest.

498 **6** Author Contributions

MD: sample collection, laboratory experiments, data analysis, and writing initial draft. PM-L: bioinformatic and data analysis. CQ-M: bioinformatic and data analysis. ER: sample collection and laboratory experiments. PC: sample collection. VA and WD: bioinformatic analysis. SNA: writingreview and editing. FS: writing-review and editing. PJ-V: quantitative ecology analysis and writingreview and editing. CAM: conceived the idea, sample collection, writing-review and editing, and grant administration. All authors contributed to the article and approved the submitted version.

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834 **10** Figure captions

835 Figure 1. The Cayambe Volcanic Complex (CVC). A view of the western face of the CVC, including its 836 glacier (a). The first author sampling ice (b). Researchers on their way to the CVC glacier (c). A view of 837 the lake called "Laguna Verde" where some samples were obtained (d). Location of the CVC and map 838 of the collected samples along the glacier ascension route (red and purple dots) (e). Samples were 839 categorized into high-altitude (purple, from 5293 masl to 5583 masl) and low-altitude (red, from 4783 840 masl to 4944 masl) and into glacier soil (s) and glacier ice (w). Samples were labelled in correspondence 841 to Supplementary Data 1. (d). Location of the CVC and map of the collected samples along the glacier 842 ascension route (red and blue dots) (e). Samples were categorized into high-altitude (purple) and low-843 altitude (red) and into glacier soil (s) and glacier ice (w). Samples were labelled in correspondence to 844 Supplementary Data 1

Figure 2. Community α -diversity analysis of the glacier ice (left column) and glacier soil (right column) microbiomes. The stacked bar plot depicts the relative abundance for the 20 most abundant families in all samples and was generated on all recorded families (a). Shannon and Simpson diversity measurements for glacier ice and soil samples and a comparison between the two categories of altitude; boxplots were not possible for soil samples due to small sample size (b). Robust linear regression with the Chaol diversity index as the response variable and altitude as the regressor, it includes a 0.95 confidence interval as a shaded area (c). Rarefaction curves for glacier ice and soil samples (d).

Figure 3. Abundance heatmaps of the most abundant families and hierarchical clustering. The concentration of phosphate (PO_4^{3-}), sodium (Na⁺), and chloride (Cl⁻) are included above each heatmap, as these physicochemical variables showed a significant correlation with the distribution of samples in an NMDS analysis. The red-dotted line above each cluster represents the distance at which groups are defined. Altitude is included for each sample below its name. Above the heatmaps is the estimated Shannon γ -diversity distribution for either soil or glacier ice metacommunities. The latter distribution has been inverted to accentuate its contrast to the former.

Figure 4. Ordination and differential abundance analysis. An NMDS for soil (a) and glacier ice (b) samples, with physicochemical variables as fitted vectors. Asterisks over the name of each environmental vector show significance (P < 0.05) for the correlation with the scores of samples. Samples are represented by color according to high-altitude (blue) or low-altitude (red). A convex hull around samples has been included to facilitate the contrast between the two categories of altitude. The radar plots for soil (c) and glacier ice (d) samples show average differences (as percentages) in the concentration or magnitude of physicochemical variables between high- and low-altitude samples. The volcano plots show the results of the differential abundance analysis at the genus level for glacier ice (e) and soil (f) samples. The cutoff to minimize the false discovery rate was set to P < 0.05 and is represented by the dashed horizontal line. The color of the data points varies accordingly to the intensity of the log2 fold change. A Venn diagram of the shared families between substrates and categories of altitude, only families that were detected more than five times in at least half of the samples were included (g).

871 **12** Supplementary Material

- Supplementary Data 1. Data matrix with the substrate (either soil or glacier ice), altitude, latitude, and
 longitude of each sample used in the present study.
- 874 Supplementary Figure 1. <u>Boxplots for the comparison between low-altitude 928 samples and high-</u>
- altitude samples on all physicochemical variables used in this study. Comparisons are grouped by type
- 876 of substrate, either water samples or soil samples. Measurement units are included next to each axis
- 877 <u>scale.</u> Boxplots for the comparison between low-altitude samples and high-altitude samples on all
- 878 physicochemical variables used in this study. Comparisons are grouped by type of substrate, either
- 879 water samples or soil samples.
- Supplementary Data 2. Physicochemical parameters for glacier ice samples. The names of variables
 have been simplified to facilitate operations in analytical software.
- 882 Supplementary Data 3. Physicochemical parameters for glacier soil samples. The names of variables 883 have been simplified to facilitate operations in analytical software.





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