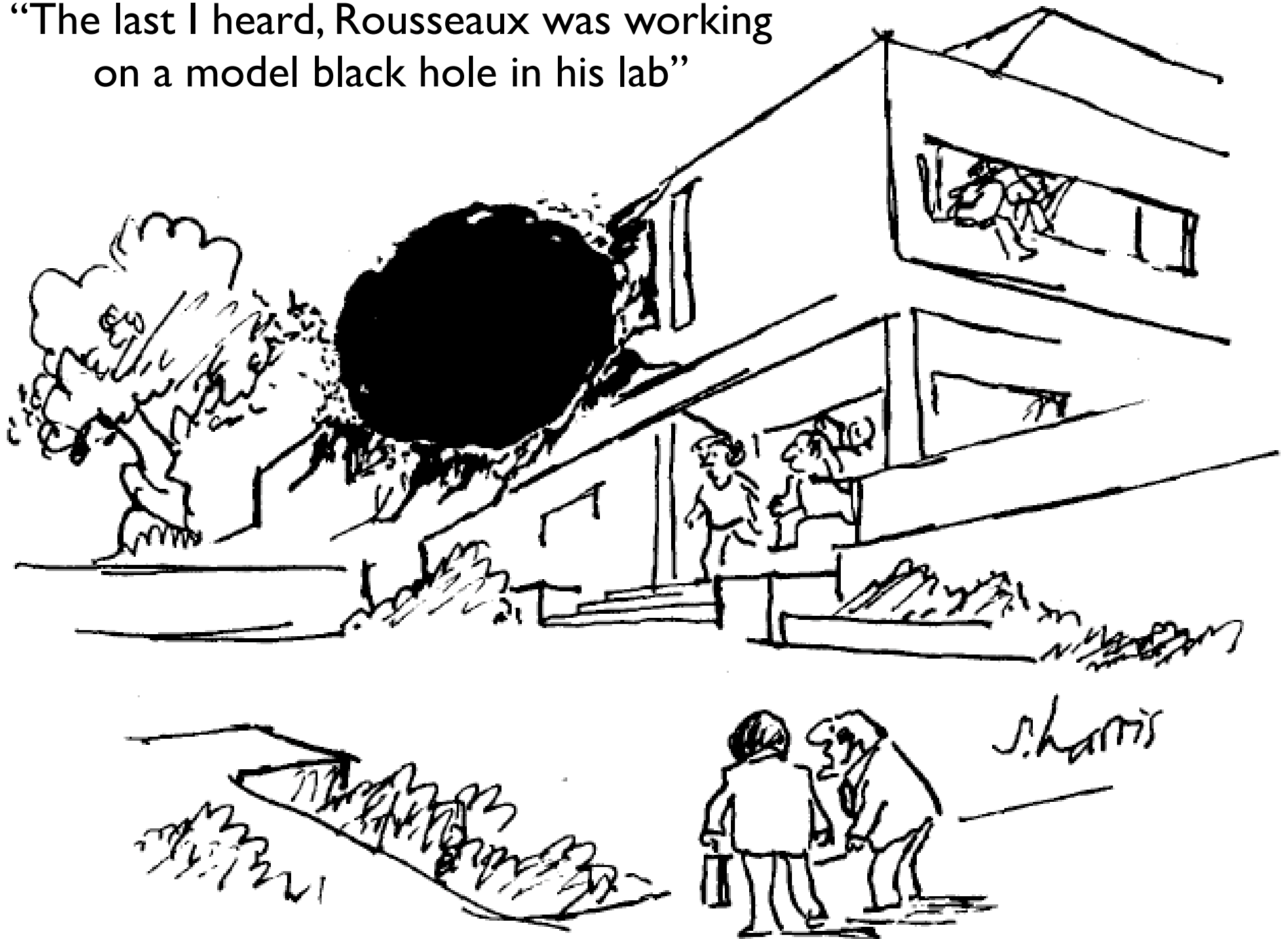


“The last I heard, Rousseaux was working on a model black hole in his lab”



# WHAT CLASSICAL FLUID MECHANICS CAN TEACH US ON HAWKING RADIATION

Germain Rousseaux, Christian Mathis,  
Philippe Maïssa, Jean-Charles Nardin,  
CNRS, Dieudonné Laboratory,  
Nice University, France.

Thomas Philbin, Ulf Leonhardt,  
Saint Andrews University, Scotland.

Towards the Observation of Hawking Radiation  
in Condensed Matter Systems  
Valencia, February 1-7, 2009

# Outline of the Talk

Maxwell, White, Hawking, Unruh...

A Review of Wave-Current Interaction

Nice Experiments in Nice

Fluid, Optical and Gravitational Horizons :  
a Catastrophic Story of Caustics

Perspectives

Maxwell, White, Hawking, Unruh...

Once upon a time...

First identification of acoustics rays with null geodesics

## Acoustic ray tracing in moving inhomogeneous fluids

Richard W. White

*Naval Undersea Research and Development Center, Pasadena Laboratory, Pasadena, California 91107*

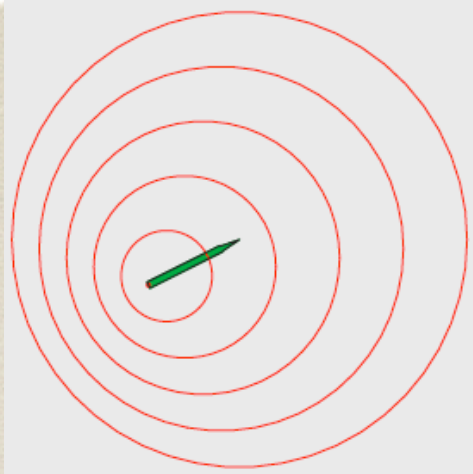
(Received 30 September 1971)

Null geodesics of the metric tensor formed from the coefficients of the second-order terms in the partial differential equation for sound are interpreted as the space-time path histories of sound pulses in a geometric ray trace theory for sound propagation in moving inhomogeneous inviscid fluids.

$$g_{ij} = \begin{bmatrix} (c^2 - v^\alpha v^\alpha) & v^1 & v^2 & v^3 \\ v^1 & -1 & 0 & 0 \\ v^2 & 0 & -1 & 0 \\ v^3 & 0 & 0 & -1 \end{bmatrix}. \quad (16)$$

Along null geodesics  $(ds)^2 = 0$  or  $g_{ij} dx^i dx^j = 0$ , which can be written, in terms of the substitute variables,

$$c^2 = \left[ v^\alpha - \frac{dx^\alpha}{dx^0} \right] \left[ v^\alpha - \frac{dx^\alpha}{dx^0} \right], \quad (17)$$



## Geometrical Acoustics

In a flowing fluid, if sound moves a distance  $d\vec{x}$  in time  $dt$  then

$$\|d\vec{x} - \vec{v} dt\| = c_s dt.$$

Write this as

$$(d\vec{x} - \vec{v} dt) \cdot (d\vec{x} - \vec{v} dt) = c_s^2 dt^2.$$

Now rearrange a little: **(Quadratic!)**

$$-(c_s^2 - v^2) dt^2 - 2 \vec{v} \cdot d\vec{x} dt + d\vec{x} \cdot d\vec{x} = 0.$$

## Acoustic Metric

Notation — four-dimensional coordinates:

$$x^\mu = (x^0; x^i) = (t; \vec{x}).$$

Then you can write this as

$$g_{\mu\nu} dx^\mu dx^\nu = 0.$$

With an effective acoustic metric

$$g_{\mu\nu}(t, \vec{x}) \propto \begin{bmatrix} -(c_s^2 - v^2) & \vdots & -\vec{v} \\ \dots\dots\dots & \cdot & \dots\dots\dots \\ -\vec{v} & \vdots & I \end{bmatrix}.$$

**Sound cones!**

# Analogy between Fluid Mechanics and Classical Electromagnetism ( $v \neq 0$ : curved space-time)

The basic idea: consider fluid flow – Unruh (1981, 1995), Visser (1998)

$$\text{Continuity: } \frac{\partial \rho}{\partial t} + \nabla(\rho \mathbf{v}) = 0$$

$$\text{Euler's equation: } \frac{\partial \mathbf{v}}{\partial t} + \mathbf{v} \cdot \nabla \mathbf{v} = -\frac{\nabla p}{\rho} + \mathbf{F}$$

Assume fluid is irrotational ( $\mathbf{v} = \nabla \phi$ ), inviscid and barotropic ( $p = p(\rho)$ ) and linearize:

$$\rho \rightarrow \rho_0 + \rho_1 \quad \phi \rightarrow \phi_0 + \phi_1 \quad p \rightarrow p_0 + p_1$$

Relativistic wave equation:

$$\frac{1}{\sqrt{-g}} \frac{\partial}{\partial x^\mu} \left( \sqrt{-g} g^{\mu\nu} \frac{\partial}{\partial x^\nu} \phi_1 \right) = 0$$

with acoustic metric for massless scalar field:

$$\text{where } g_{\mu\nu} = \frac{\rho_0}{c} \left( \begin{array}{c|c} -(c^2 - v^2) & -\mathbf{v}^T \\ \hline -\mathbf{v} & \mathbf{I} \end{array} \right) \quad g = [\det(g^{\mu\nu})]^{-1}$$



# Analogy between Fluid Mechanics and Classical Electromagnetism ( $v=0$ : flat space-time)

Hydrodynamical  
quantities

Electromagnetic  
quantities

Specific enthalpy  $p/\rho$

Scalar potential  $V$

Velocity  $\mathbf{u}$

Vector potential  $\mathbf{A}$

Vorticity  $\mathbf{w}$

Magnetic induction  $\mathbf{B}$

Lamb vector  $\mathbf{l}$

Electric field  $\mathbf{E}$

Hydrodynamic charge  $q_H$

Electric charge  $q_E$

Maxwell 1861

$$\nabla \cdot \mathbf{u} = 0$$

$$\nabla \cdot \mathbf{A} = 0$$

$$\nabla^2 V - \frac{1}{c_L^2} \frac{\partial^2 V}{\partial t^2} = 0 ; \nabla^2 \mathbf{A} - \frac{1}{c_L^2} \frac{\partial^2 \mathbf{A}}{\partial t^2} = \mathbf{0} ; \nabla \cdot \mathbf{A} + \frac{1}{c_L^2} \frac{\partial V}{\partial t} = 0$$

$$\nabla^2 \left( \frac{\delta p}{\rho_0} \right) - \frac{1}{c_S^2} \frac{\partial^2 \left( \frac{\delta p}{\rho_0} \right)}{\partial t^2} = 0 ; \nabla^2 \delta \mathbf{u} - \frac{1}{c_S^2} \frac{\partial^2 \delta \mathbf{u}}{\partial t^2} = \mathbf{0} ; \nabla \cdot \delta \mathbf{u} + \frac{1}{c_S^2} \frac{\partial \left( \frac{\delta p}{\rho_0} \right)}{\partial t} = 0$$

Rousseaux 2002

# CURVED SPACE-TIME ACTS AS A RIVER FLOW

“Acoustic Metric”

$$d\tilde{s}^2 = -\left(1 - \frac{v^2}{c_s^2}\right) c_s^2 dT^2 + \left(1 - \frac{v^2}{c_s^2}\right)^{-1} dx^2$$

Schwarzschild Metric

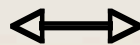
$$d\tilde{s}^2 = -\left(1 - \frac{v_{\text{ff}}^2}{c^2}\right) c^2 dt_S^2 + \left(1 - \frac{v_{\text{ff}}^2}{c^2}\right)^{-1} dr^2$$

$c_s$  : speed of sound  
 $v$  : fluid velocity  
 $T = t + \int \frac{v}{c_s^2 - v^2} dx$   
 $x$  : axial coordinate



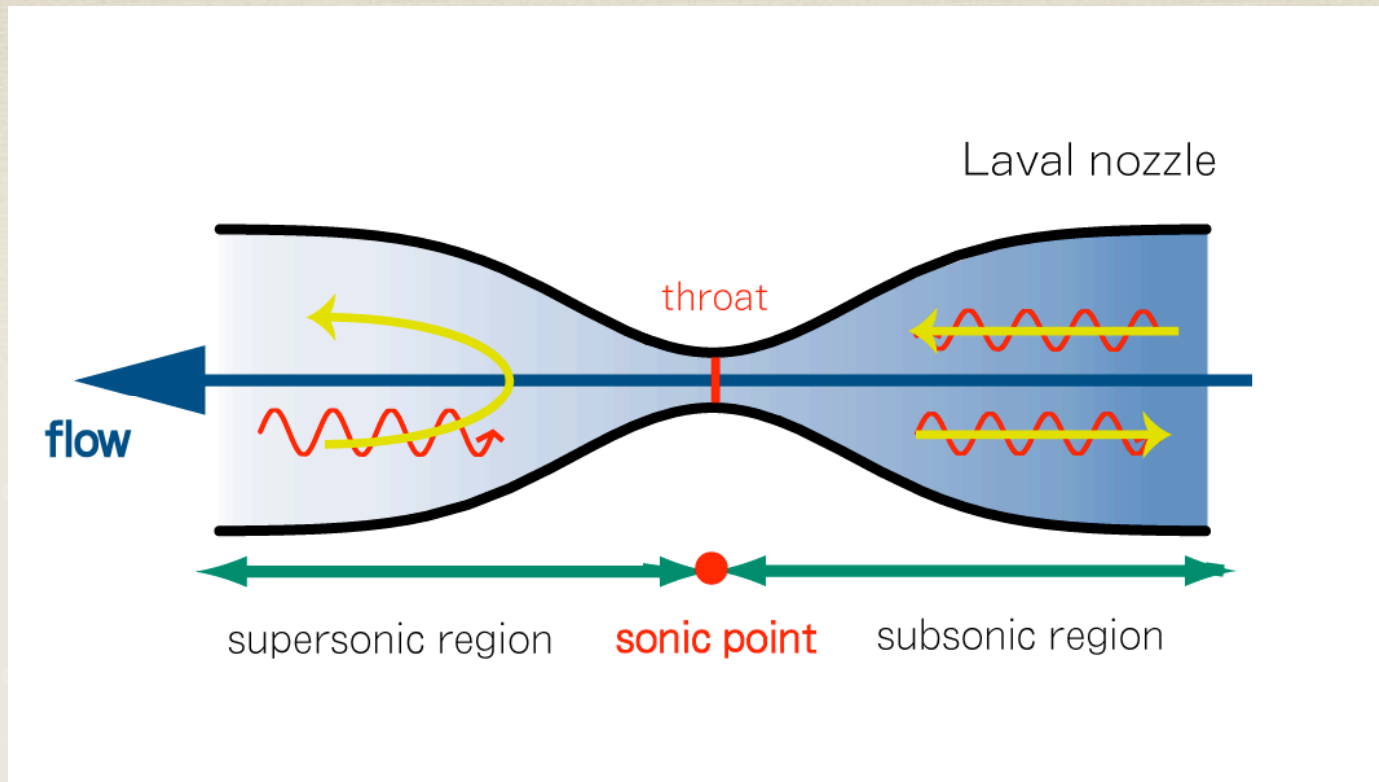
$c$  : speed of light  
 $v_{\text{ff}} = -c(r/r_g)^{1/2}$  : free-fall velocity  
 $t_S$  : Schwarzschild time  
 $r$  : radial coordinate

sonic point



horizon

# Laval Nozzle = Dumb Hole



$c_s$  : sound velocity  
 $v$  : fluid velocity



"effective" sound velocity in the lab

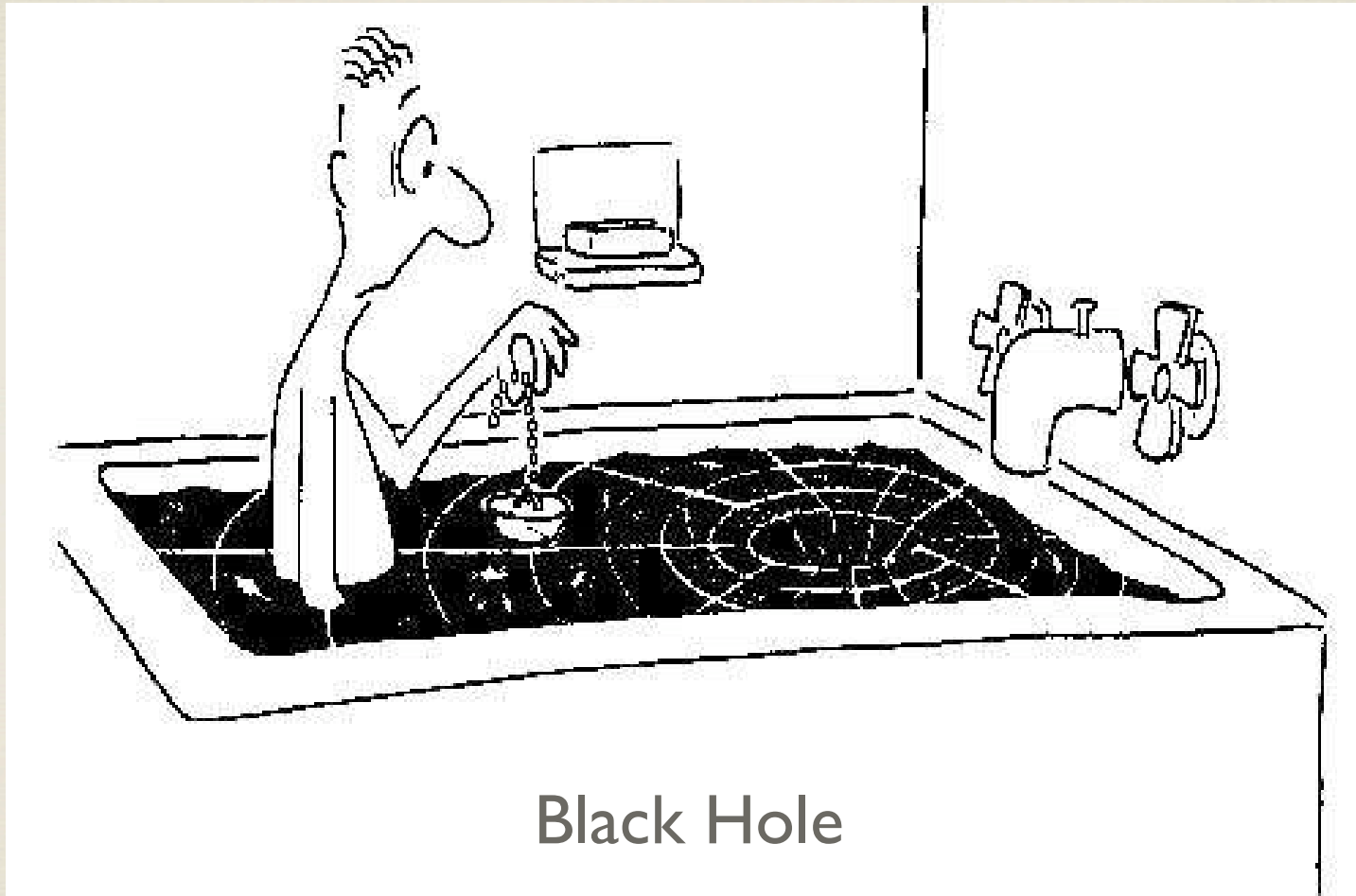
$$c_s^{\text{eff}} = v \pm c_s = c_s (M \pm 1)$$

In the **supersonic** region,  
sound waves **cannot propagate against the flow**

→ **"Acoustic Black Hole"**

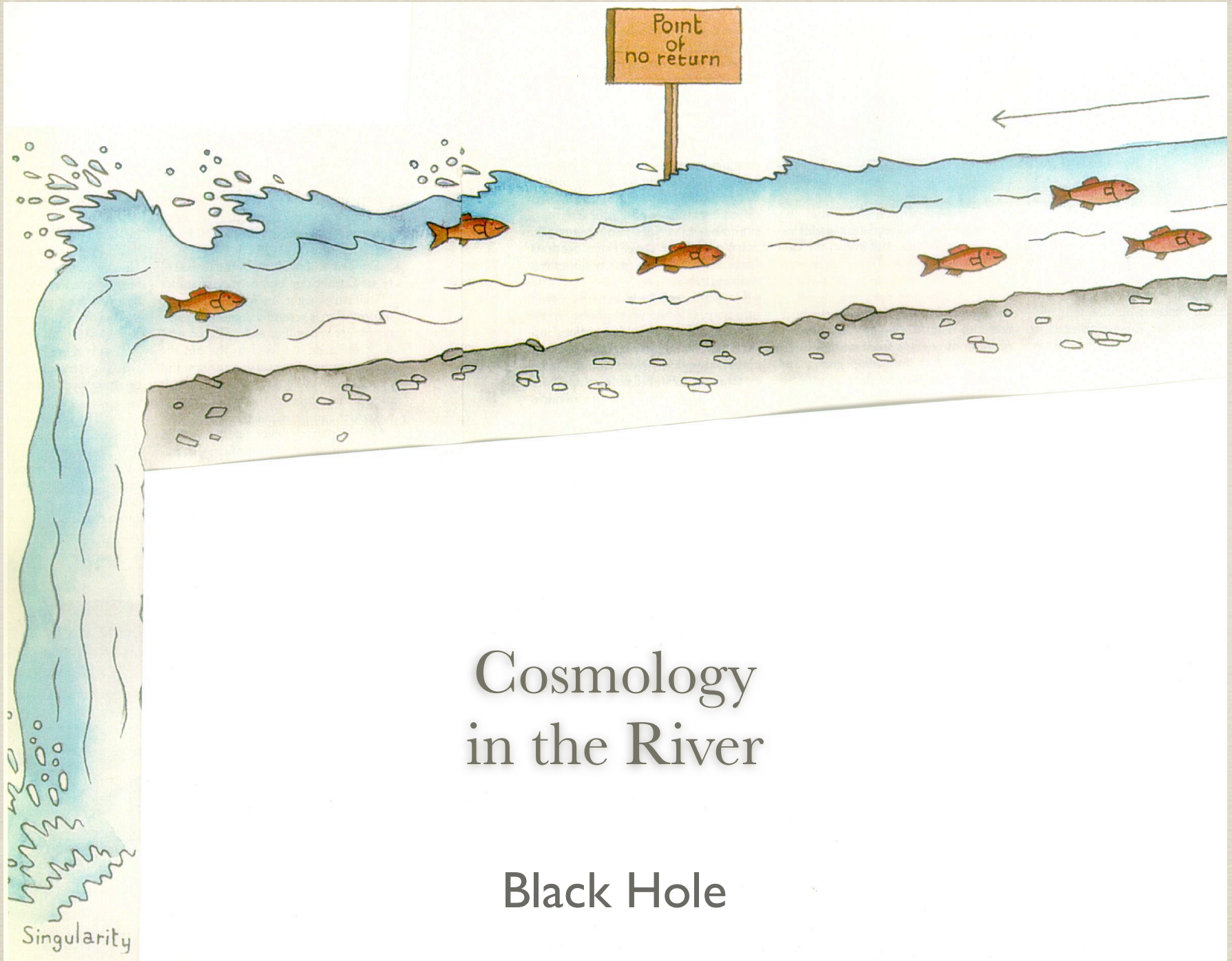
Courtesy Okuzumi & Sakagami

# Cosmology in the Bathroom



Black Hole

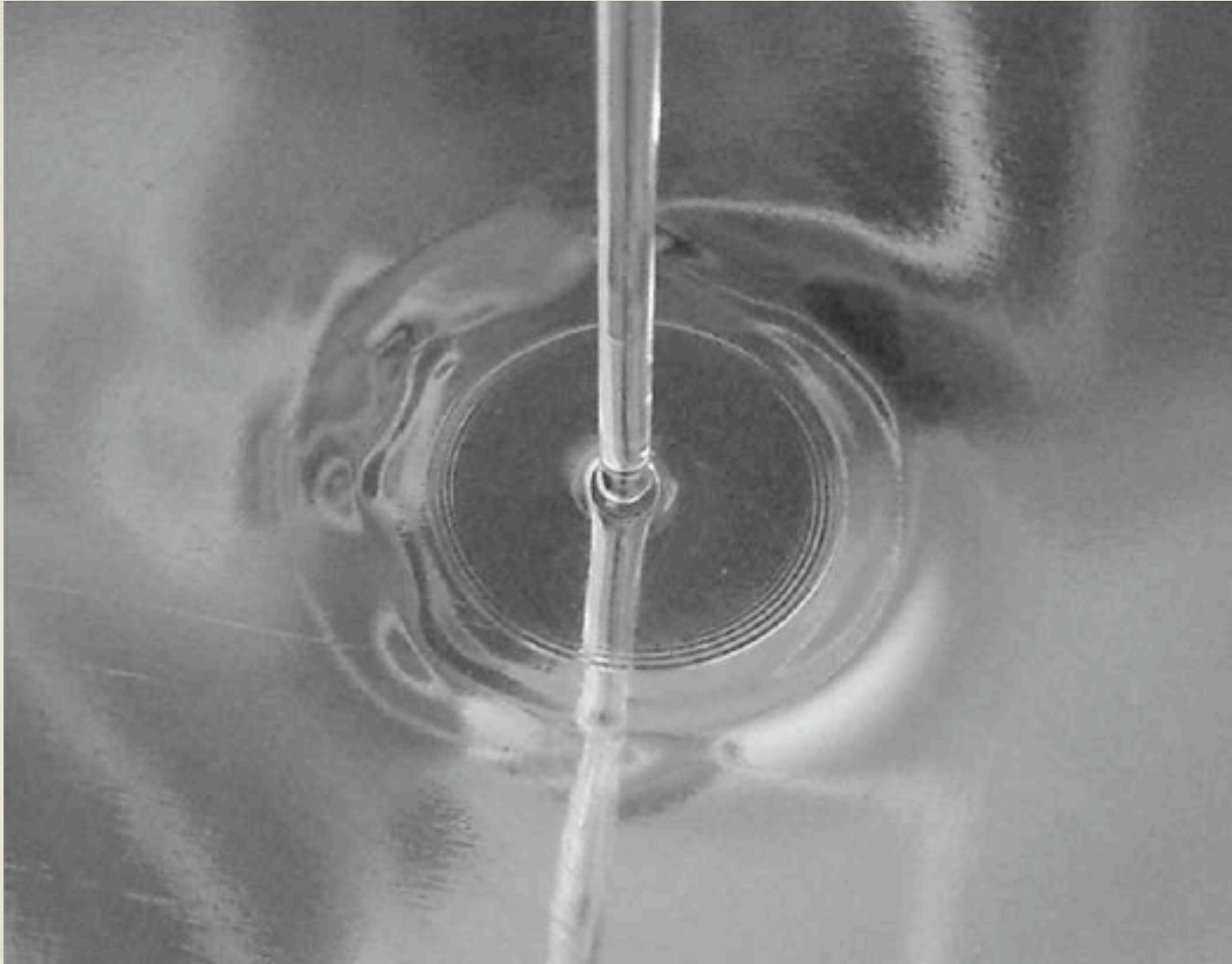
If the fluid is moving faster than waves (sound, gravity, light), then the waves are swept along with the flow, and cannot escape from that region.



# Cosmology in the River

Black Hole

# Cosmology in the Kitchen



White Hole

## HAWKING TEMPERATURE FOR A CONDENSED MATTER ARTIFICIAL BLACK HOLE

$$T_H = \frac{\hbar}{2\pi k_B c_S} a_S = \frac{\hbar}{2\pi k_B c_S} \frac{1}{2} \left| \frac{\partial(c_S^2 - v^2)}{\partial x} \right|_{x=x_H}$$

The artificial “surface gravity”  $a_S$  is essentially the “spatial acceleration” of the fluid as it crosses the horizon.

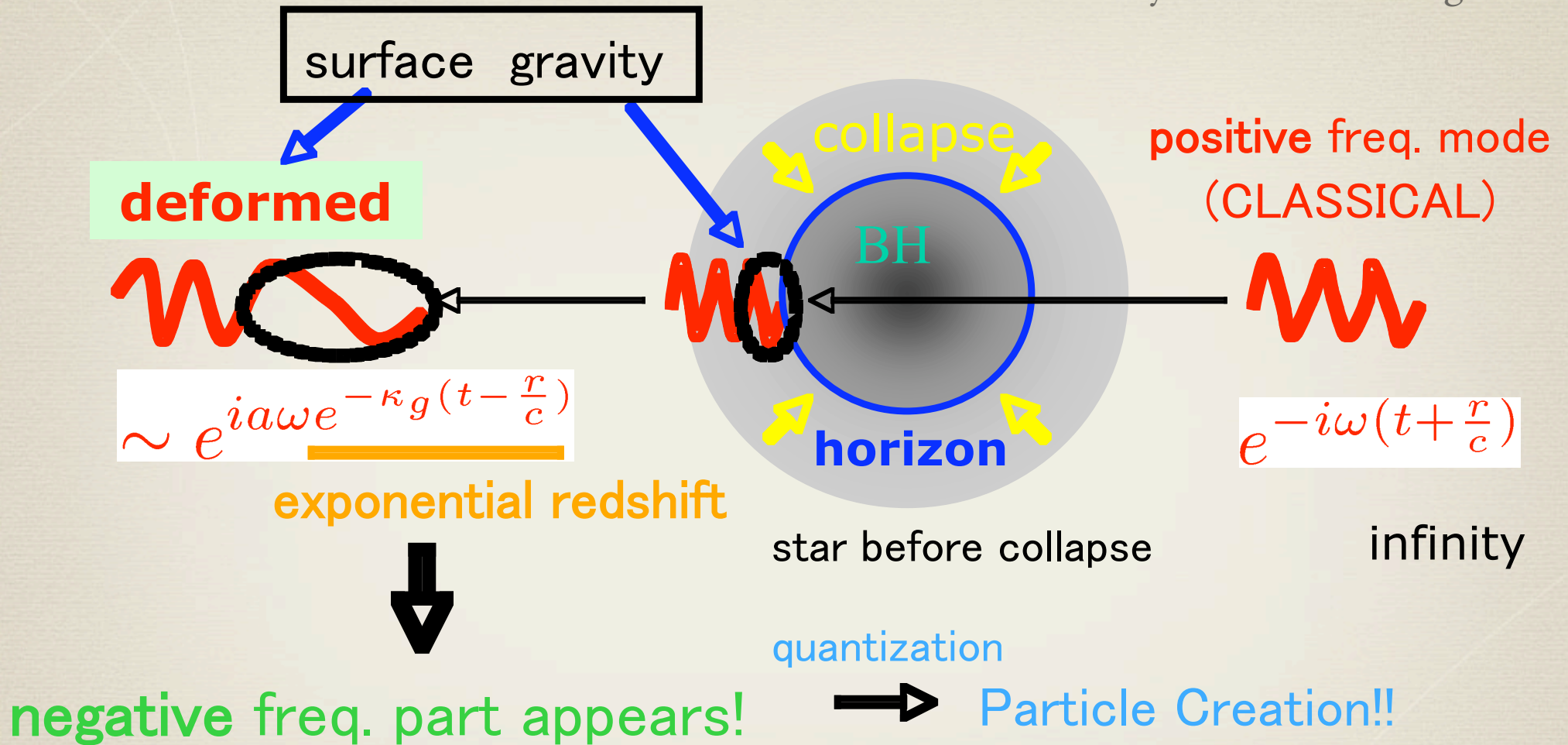
$$T_H \simeq 10^{-8} K$$

**Hawking temperature is too feeble  
to be detectable in water !!!**

**=> Need for quantum fluids**

# POSITIVE AND NEGATIVE FREQUENCIES MODE MIXING

Courtesy Okuzumi & Sakagami



=> Classical Ingredient of Hawking Radiation :  
Mode Conversion via Spontaneous  
or Stimulated Emission



# A Review of Wave-Current Interaction

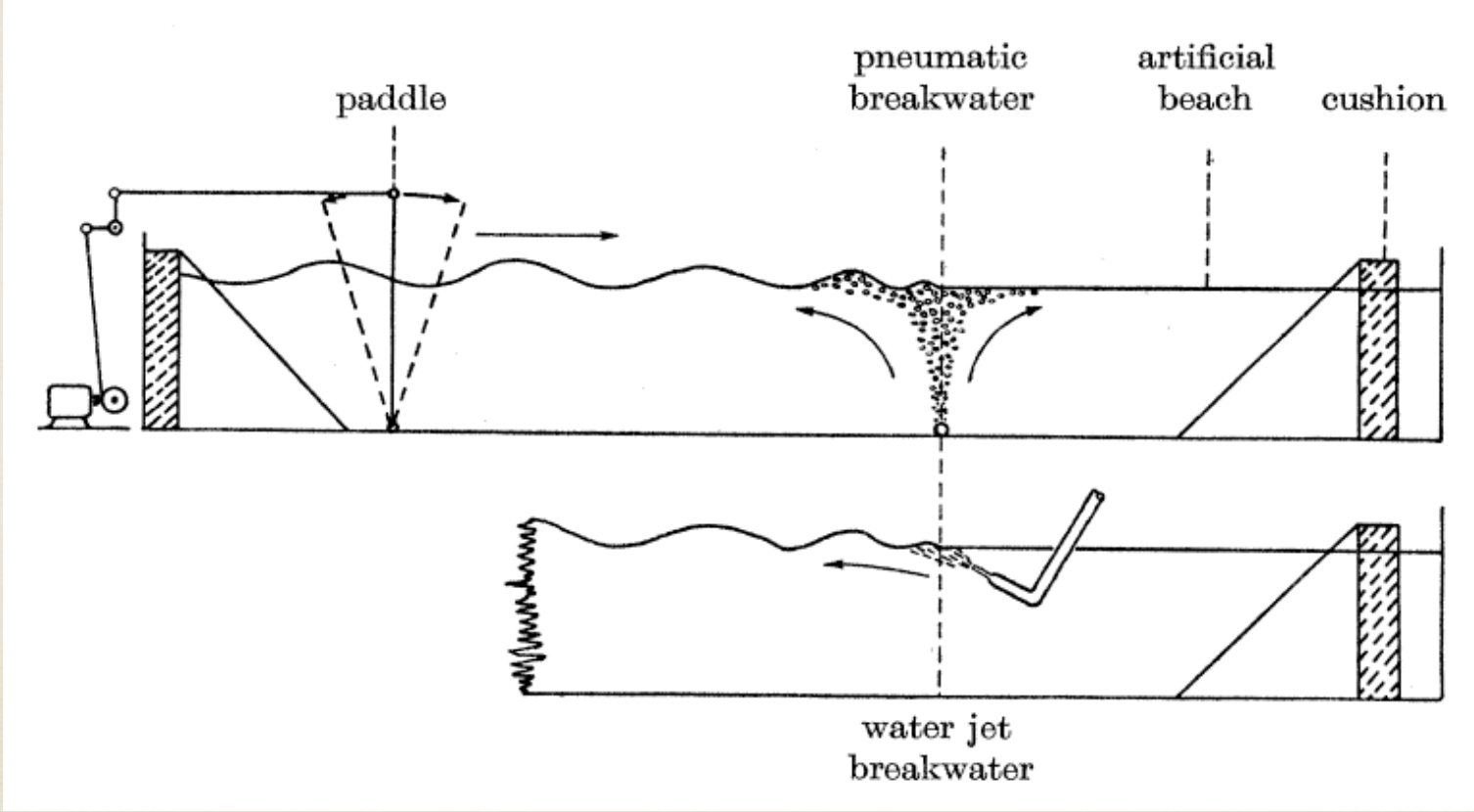
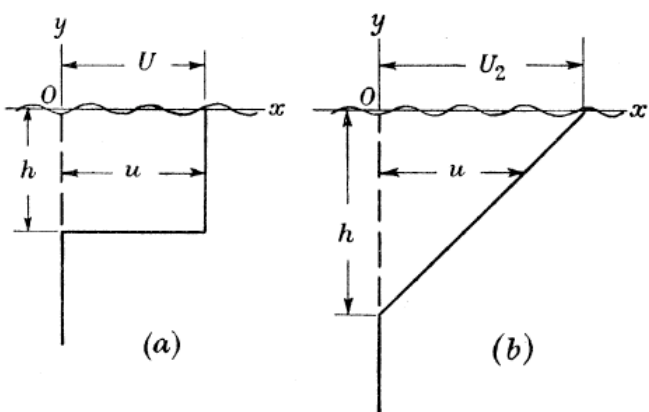
# RIVER OUTLET AND WAVE-BREAKING



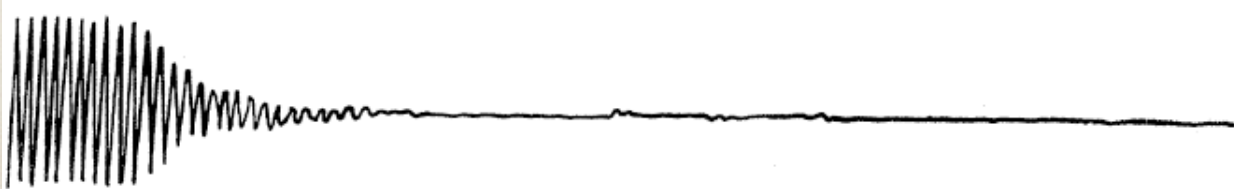
Chawla  
& Kirby,  
2002



# PNEUMATIC BREAKWATER (1955)



J.T. Evans (Exp.)  
G.I. Taylor (Theo.)



Rayleigh Equation and  
Dispersion Relation

$$\frac{d^2 w}{dz^2} - \left( k^2 + \frac{1}{U - c} \frac{d^2 U}{dz^2} \right) w = 0 \quad -h \leq z \leq 0$$

$$(U - c)^2 \frac{dw}{dz} = \left[ g + (U - c) \frac{dU}{dz} \right] w \quad z = 0$$

$$w = 0 \quad z = -h$$

$$U = \text{constante}$$

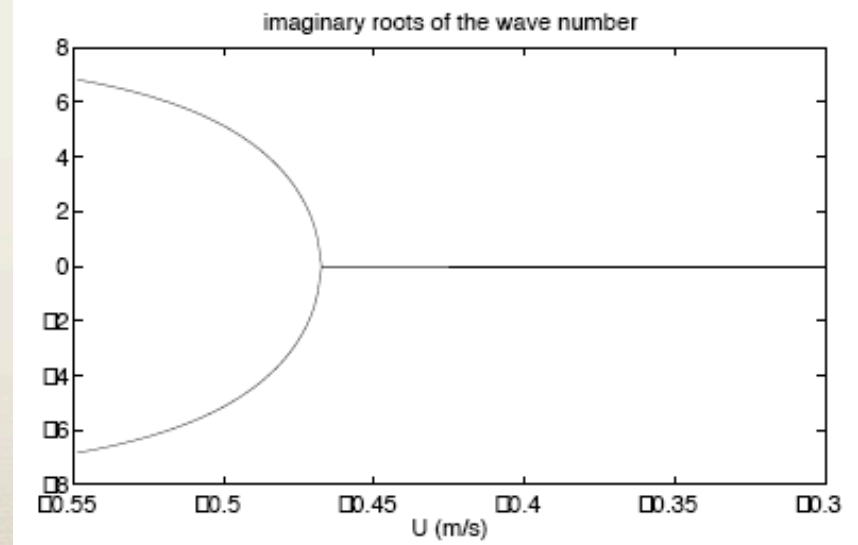
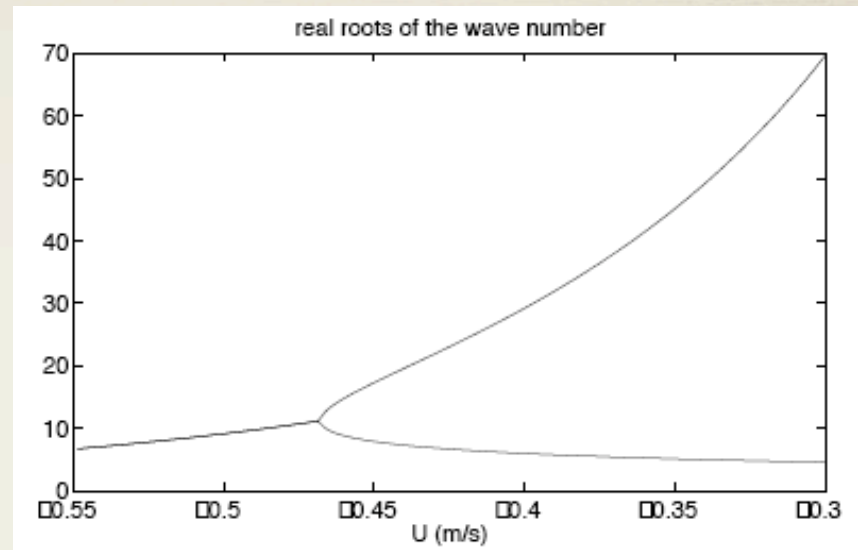
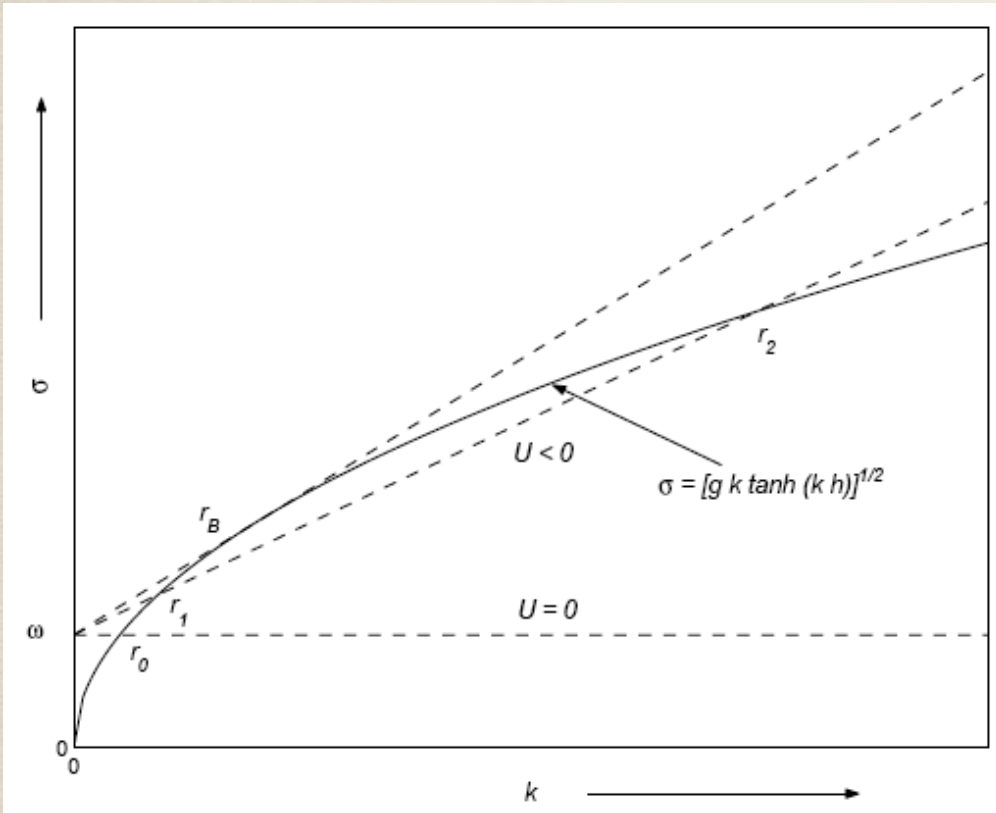
$$(\omega - kU)^2 = gk \tanh(kh)$$

$$U = U_0 + \Omega z$$

$$(\omega - kU_0)^2 = [gk - \Omega(\omega - kU_0)] \tanh(kh)$$

$$(\omega - kU)^2 = gk \tanh kh$$

$$\sigma^2 = gk \tanh q$$

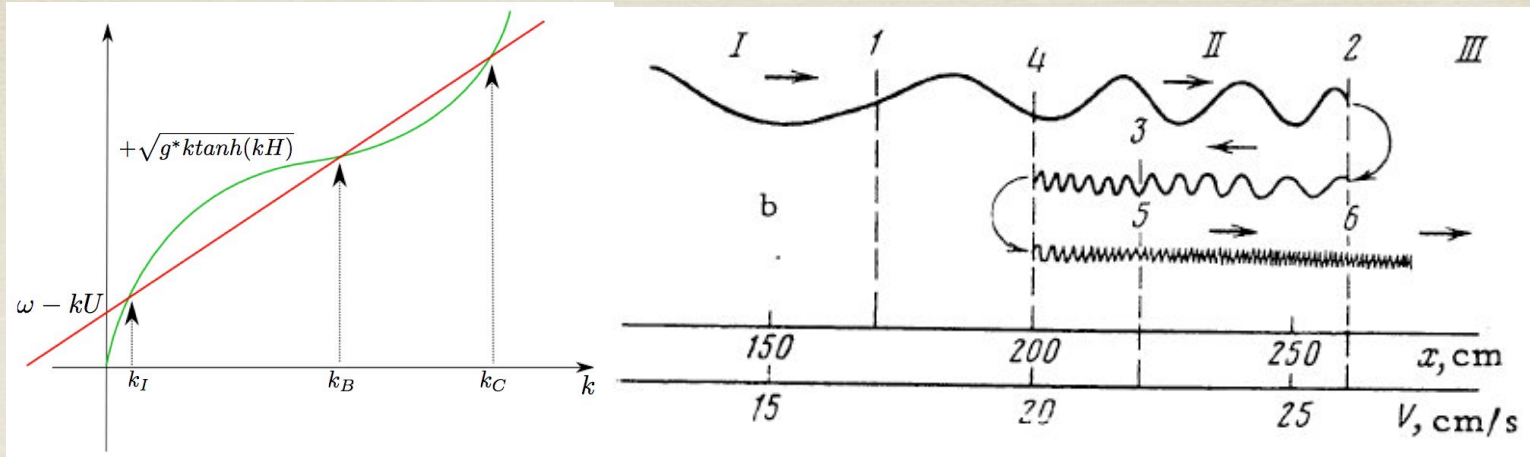


$$k = \frac{2U\omega + g \pm g \sqrt{1 + \frac{4U\omega}{g}}}{2U^2}$$

Deep Water

$$U < -\frac{g}{4\omega}$$

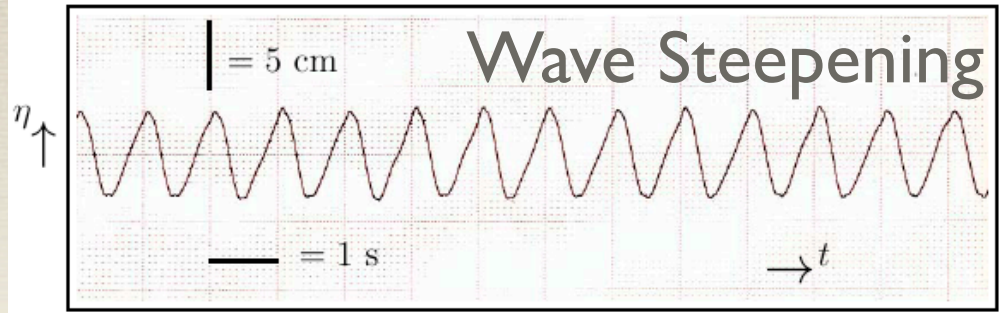
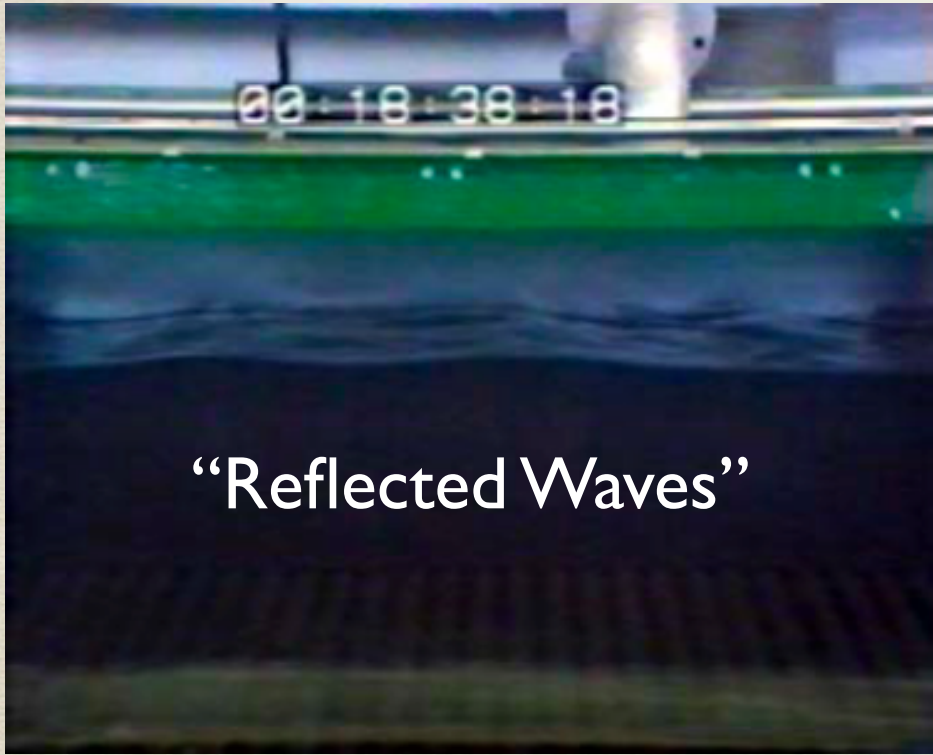
# Capillary effects



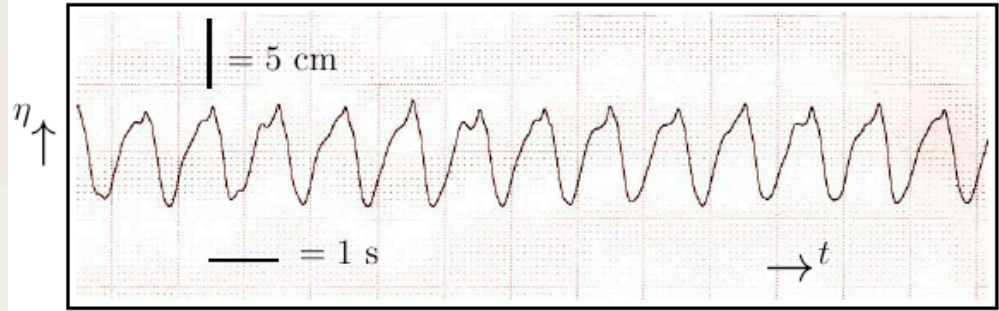
Badulin & al., 1979

Suastika  
PhD Thesis  
2004

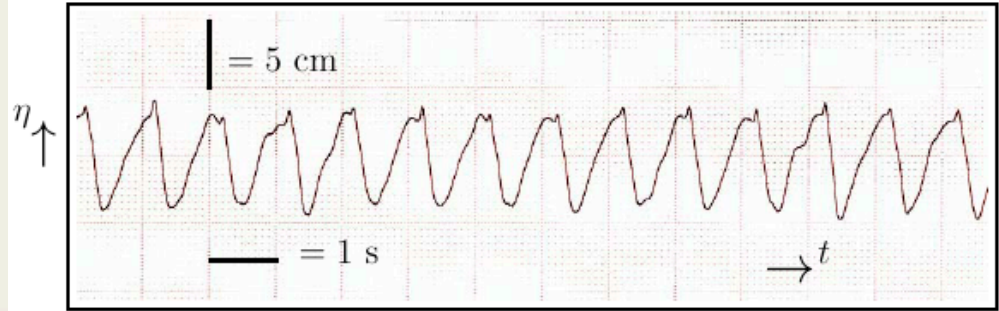
Wave  
Blocking



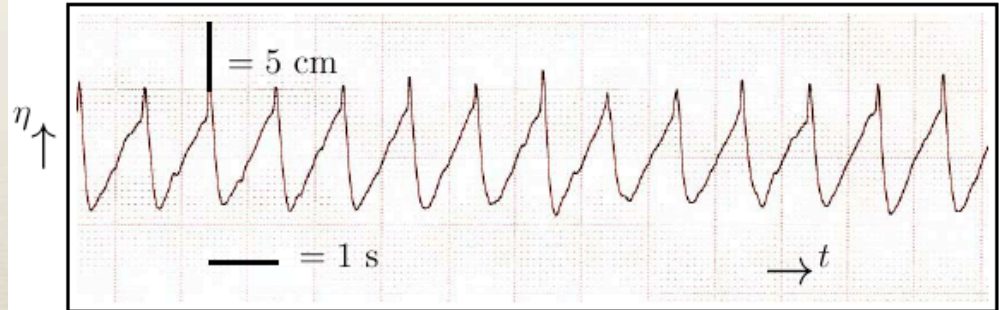
$x = 9.0 \text{ m}$



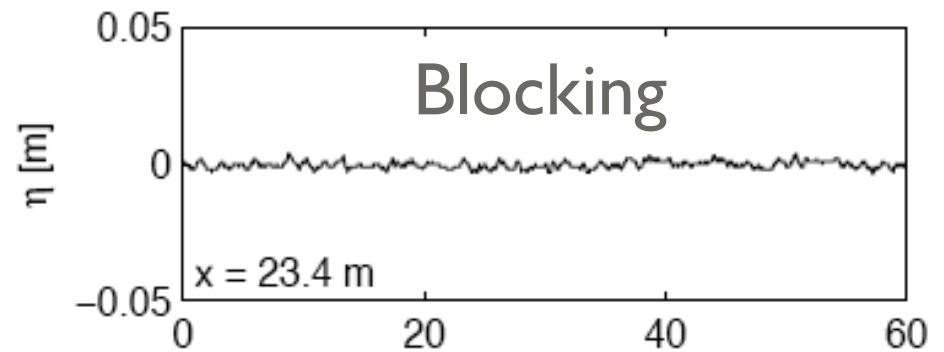
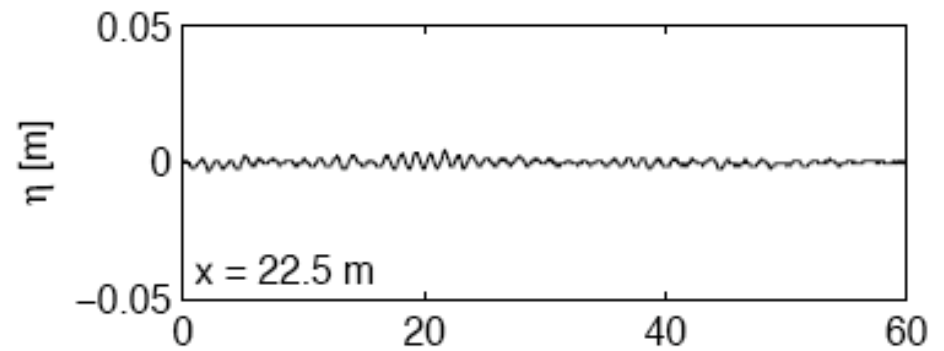
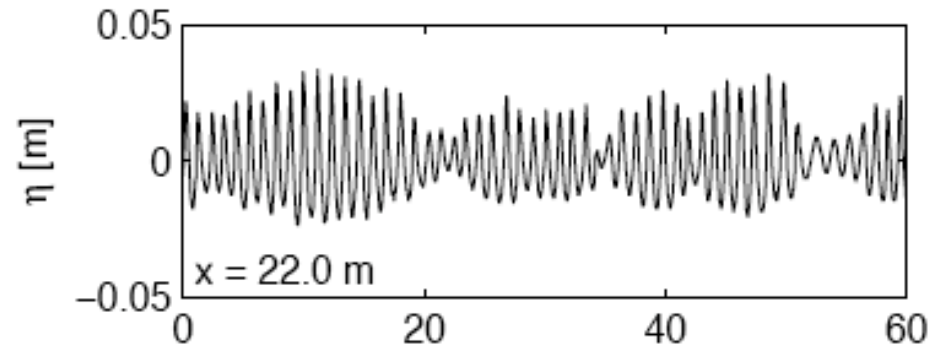
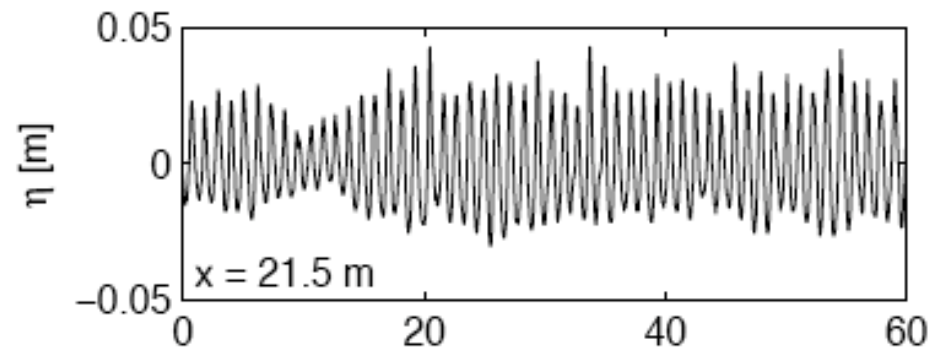
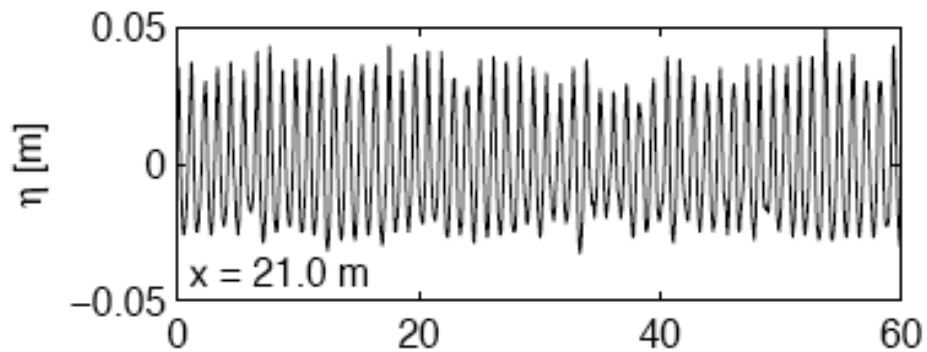
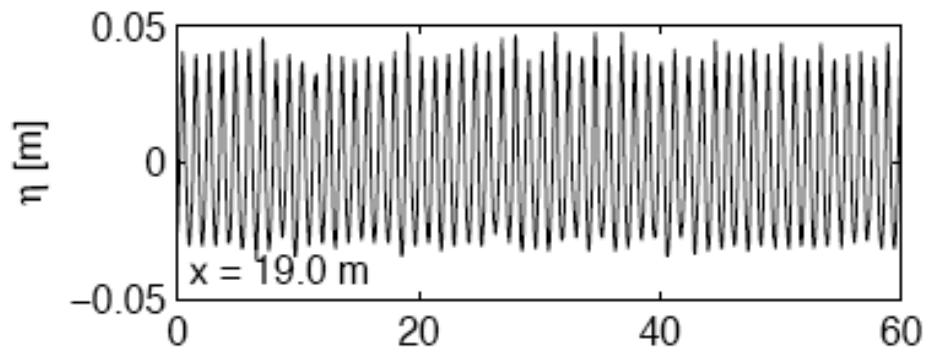
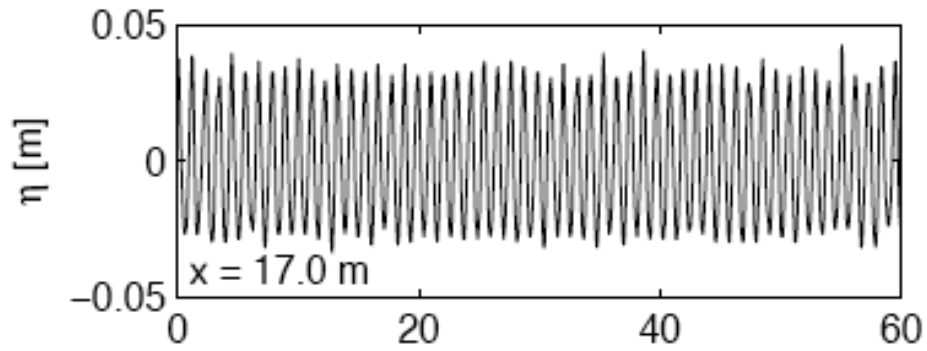
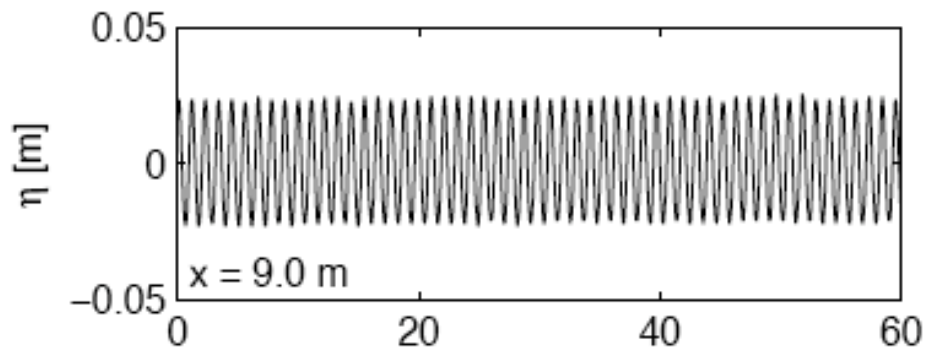
$x = 13.0 \text{ m}$



$x = 15.0 \text{ m}$



$x = 17.0 \text{ m}$

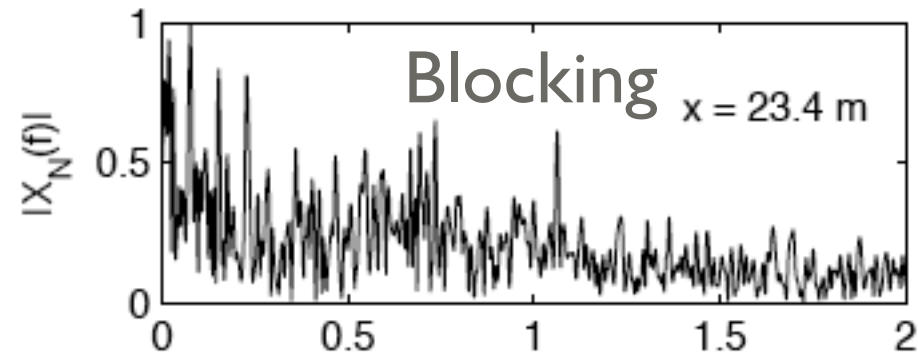
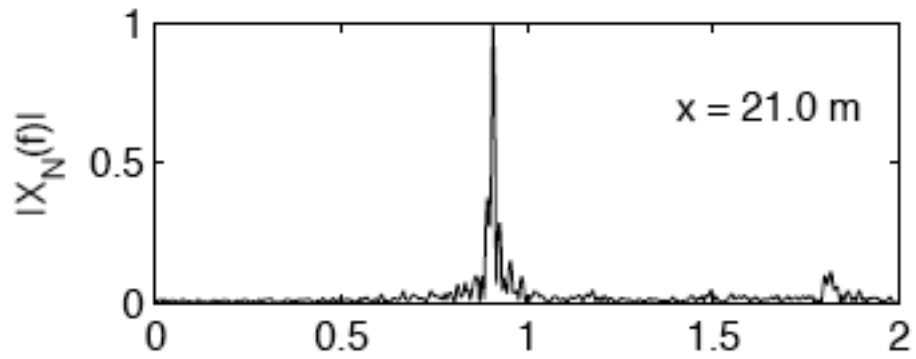
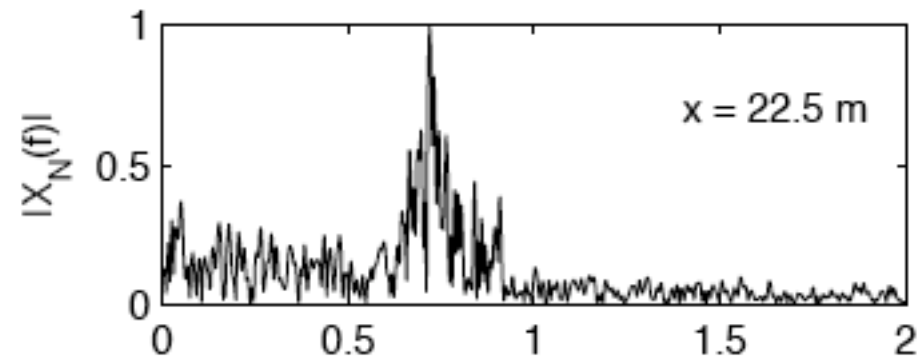
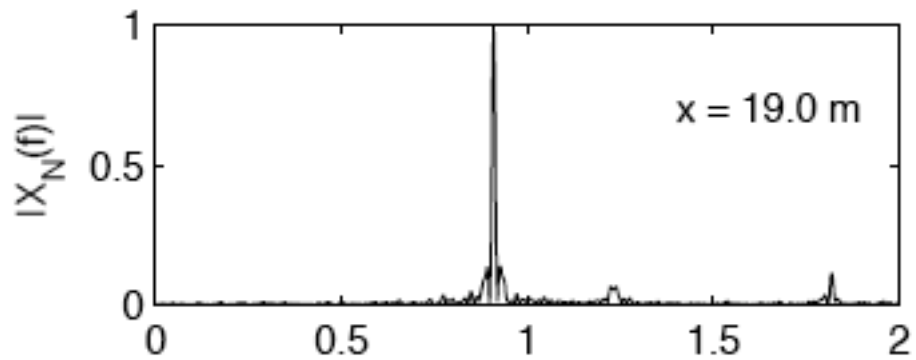
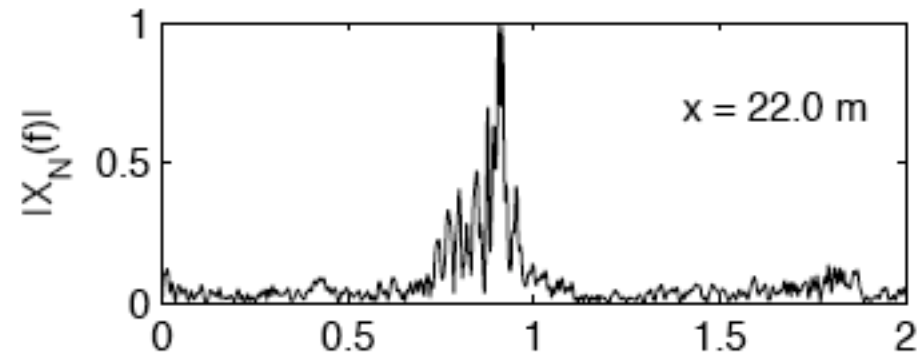
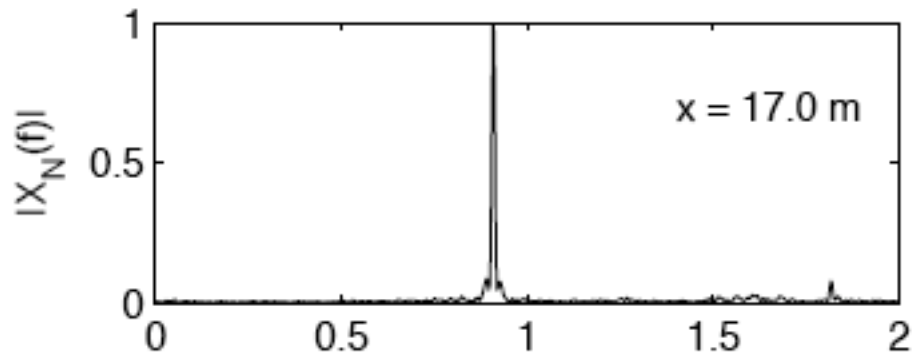
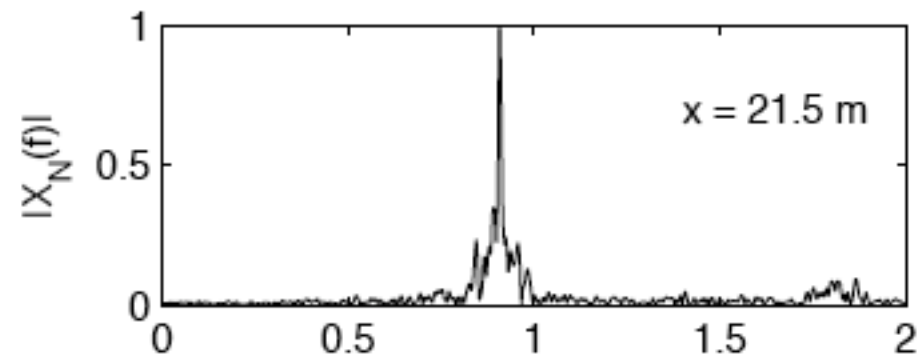
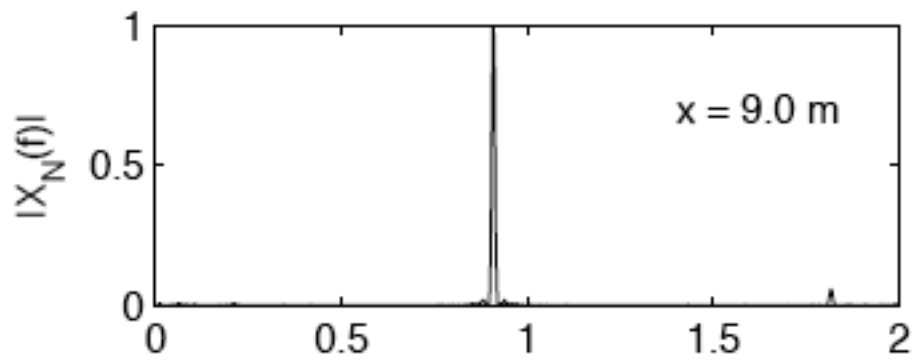


$t$  [s]

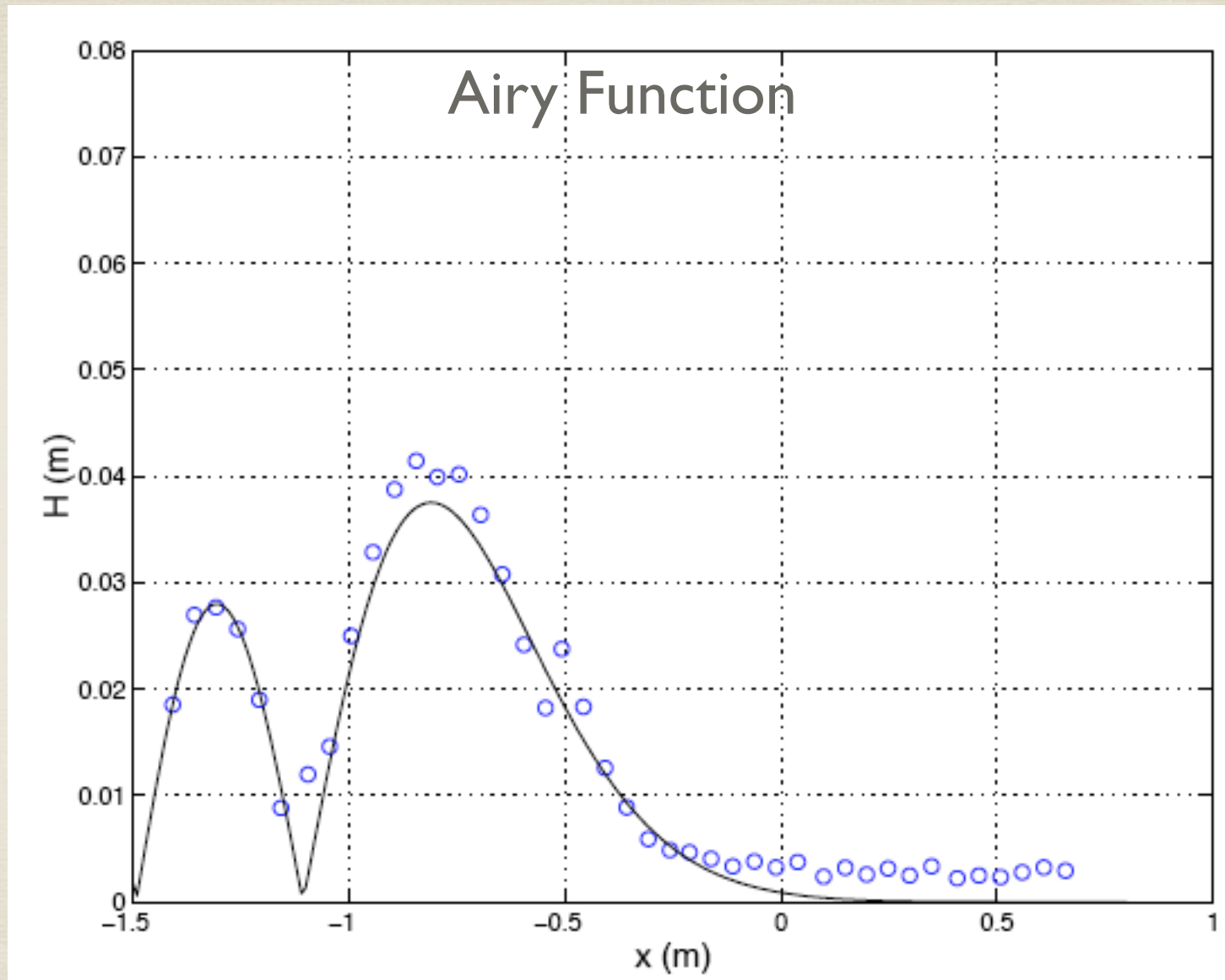
Suastika, 2004

$t$  [s]





# Wave Envelope near the Blocking Line

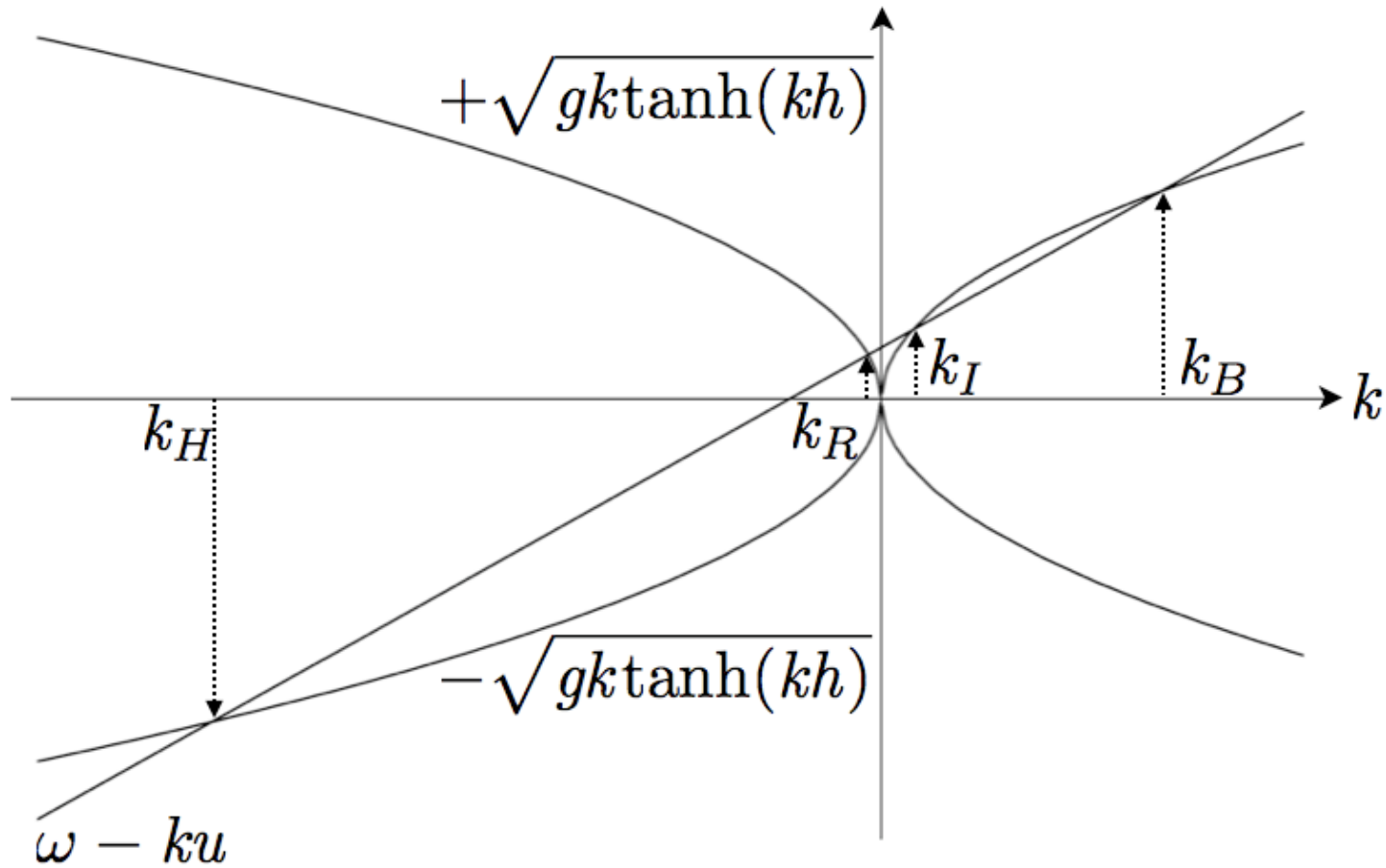


Chawla & Kirby, 2002

Nice Experiments in Nice

# The Full Dispersion Relation and its solutions (4 or 2)

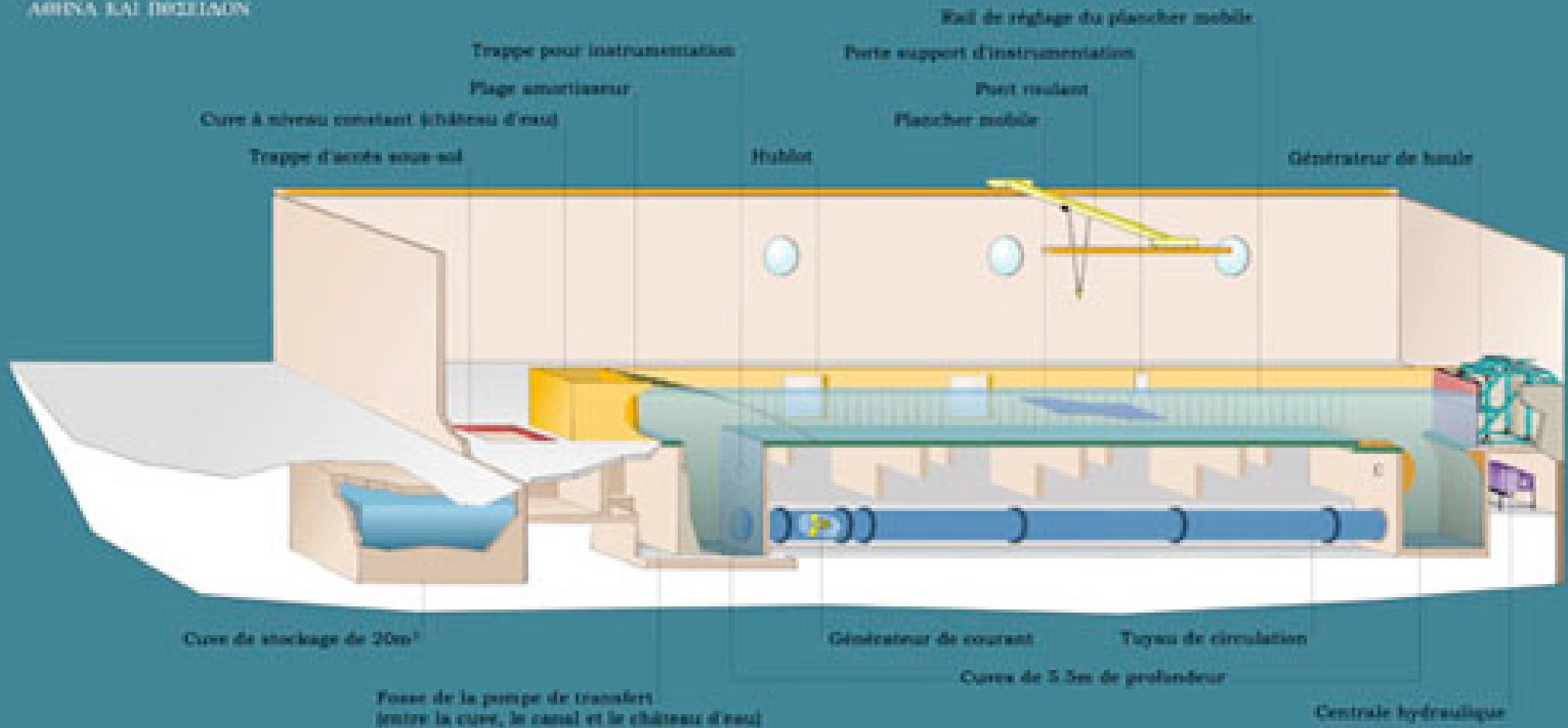
$$(\omega - kU)^2 = gk \tanh(kh)$$



# ACRI : GENIMAR Laboratory



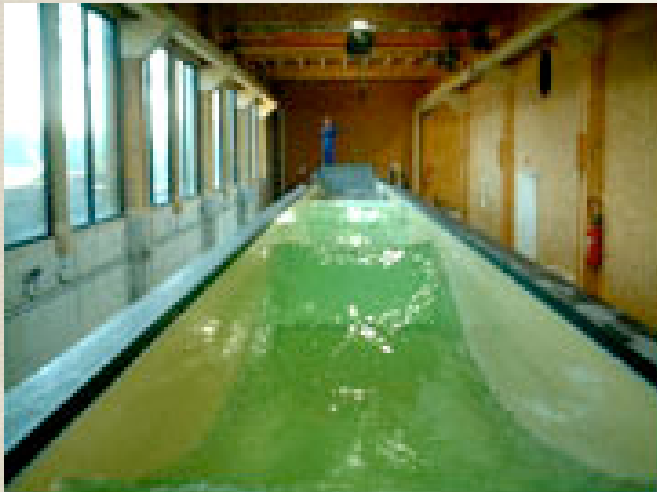
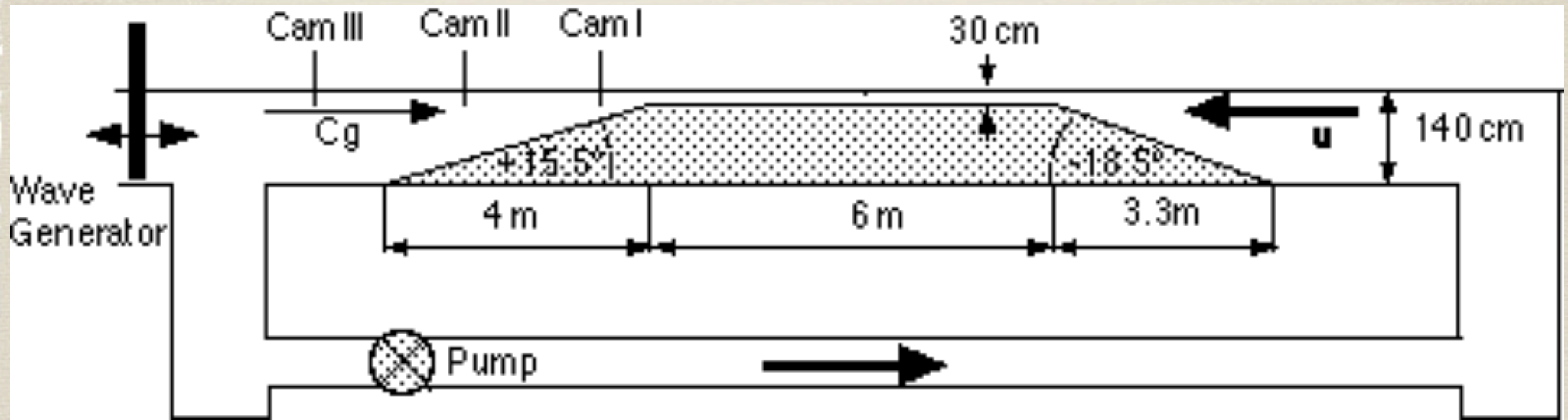
## Coupe du canal à houle



### Principales caractéristiques :

- longueur canal : 30 mètres
- hauteur bord du canal / margelles : 3 mètres
- période max. : 4,5 secondes
- largeur canal : 1,8 mètres
- hauteur bord des cuves / margelles : 5,5 mètres
- houle jusqu'à 1 mètre de crête à creux
- débit constant : 1,2 m<sup>3</sup> / seconde

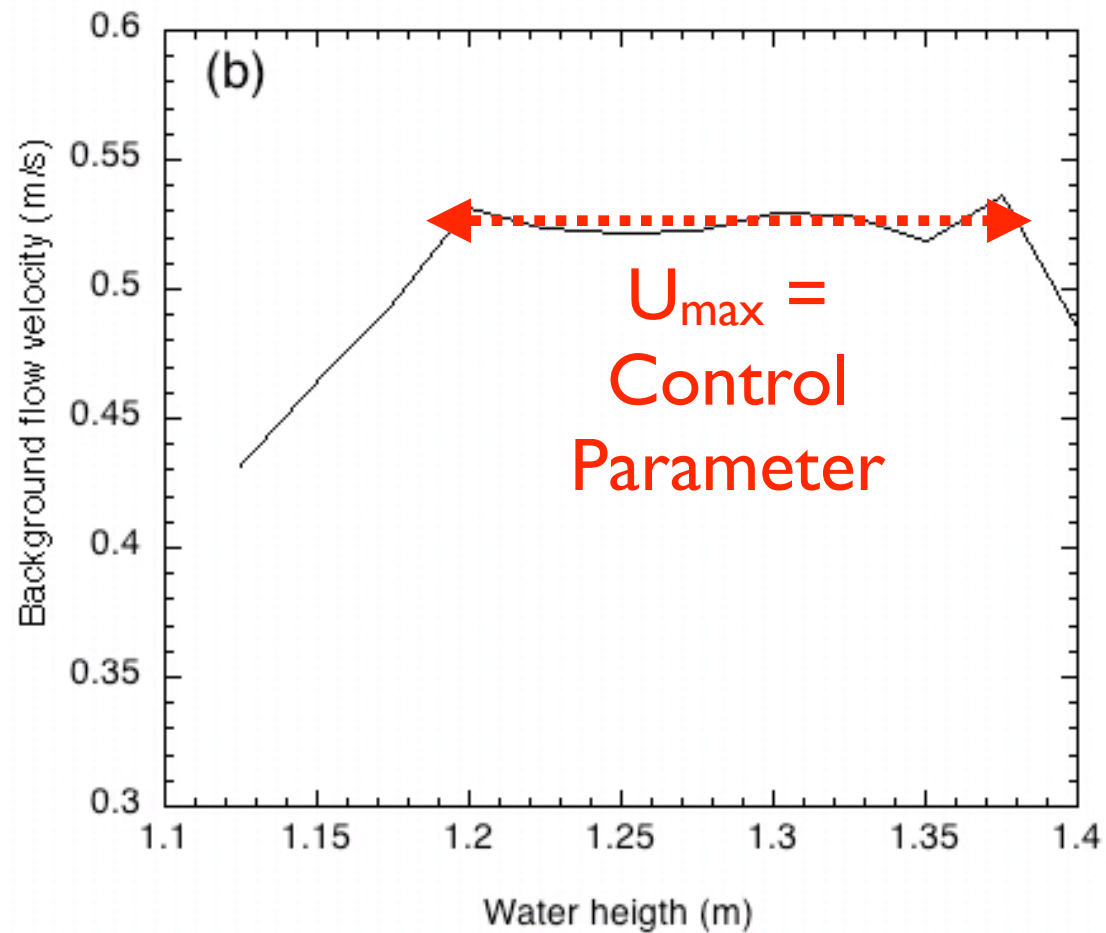
# The Ramp



# Experimental Setup



# Plug Flow

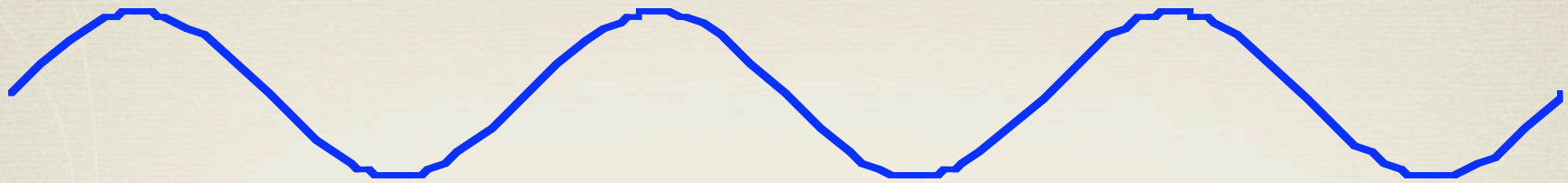


Flat Part without Fluctuations

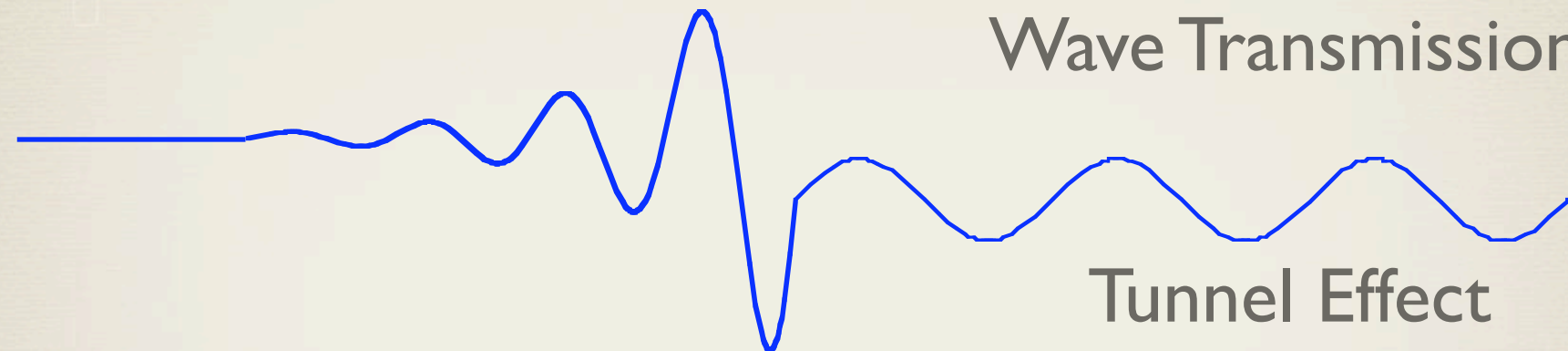


# Three Experimental Regimes

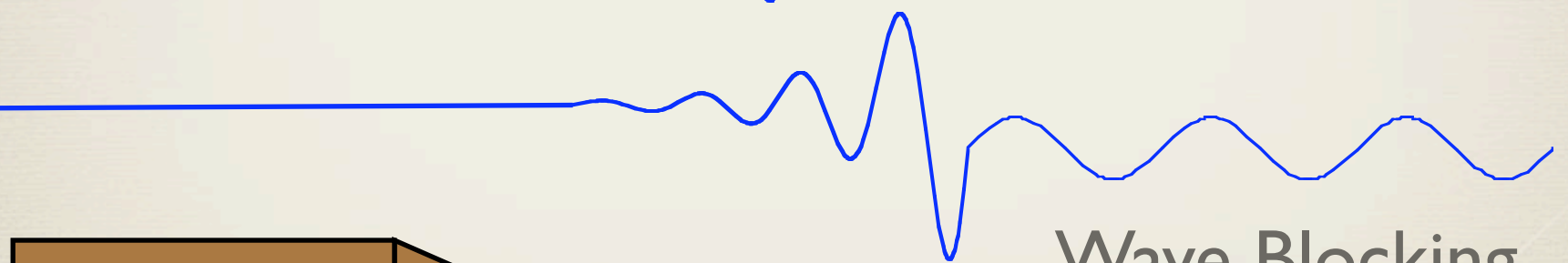
 **Wave Propagation**



Wave Transmission

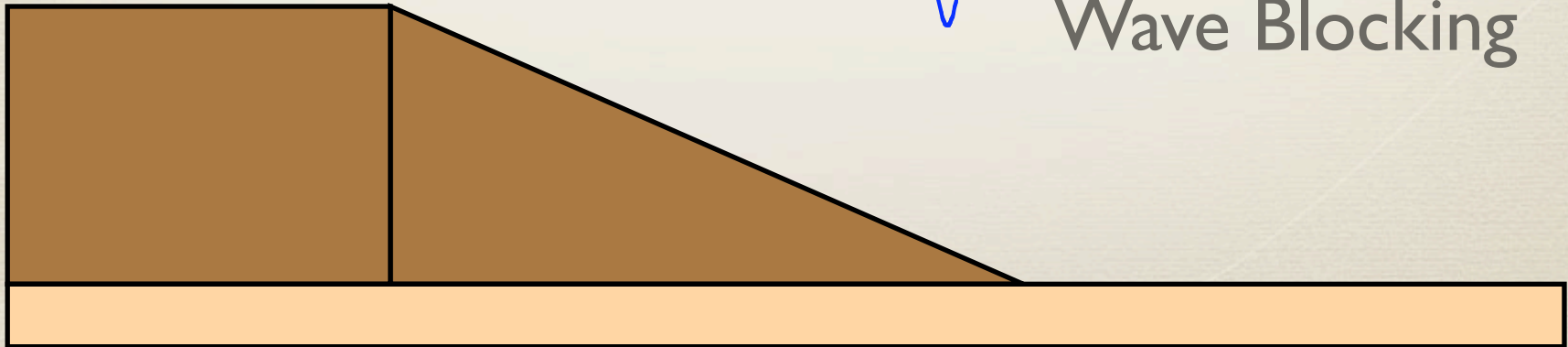


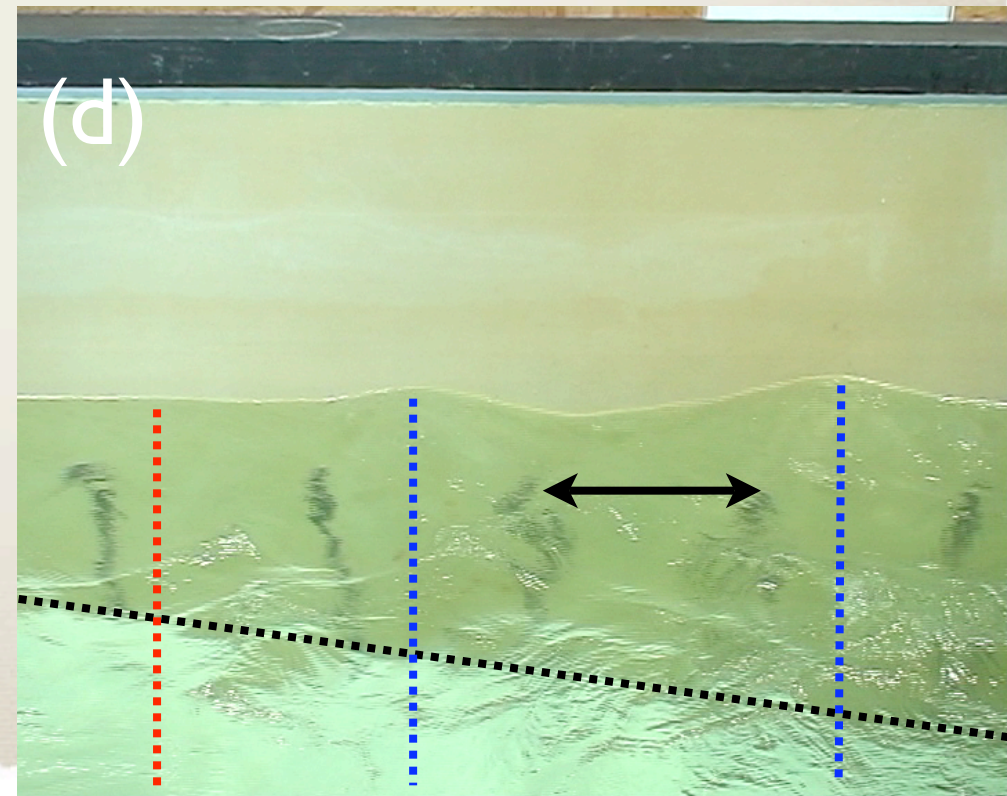
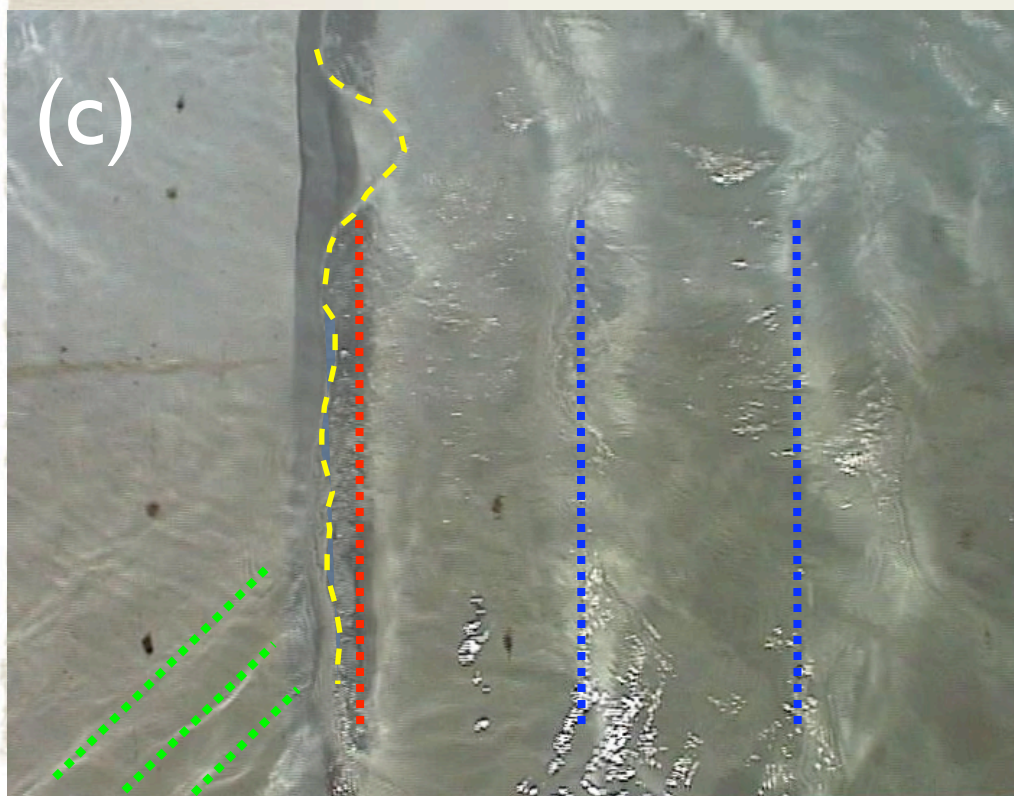
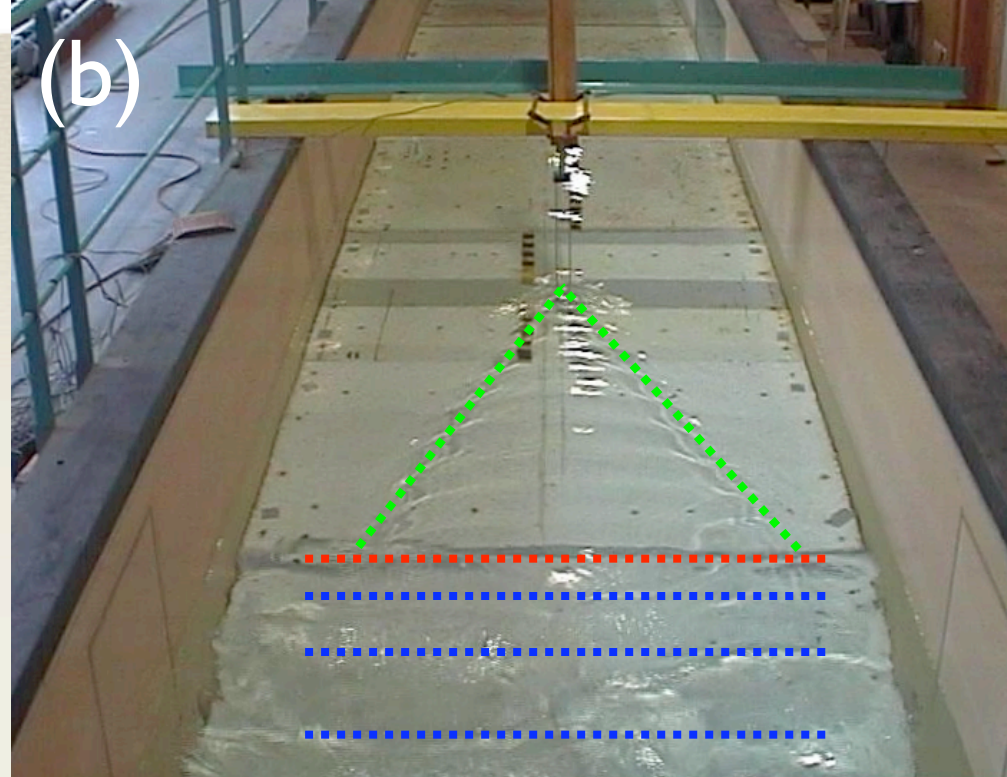
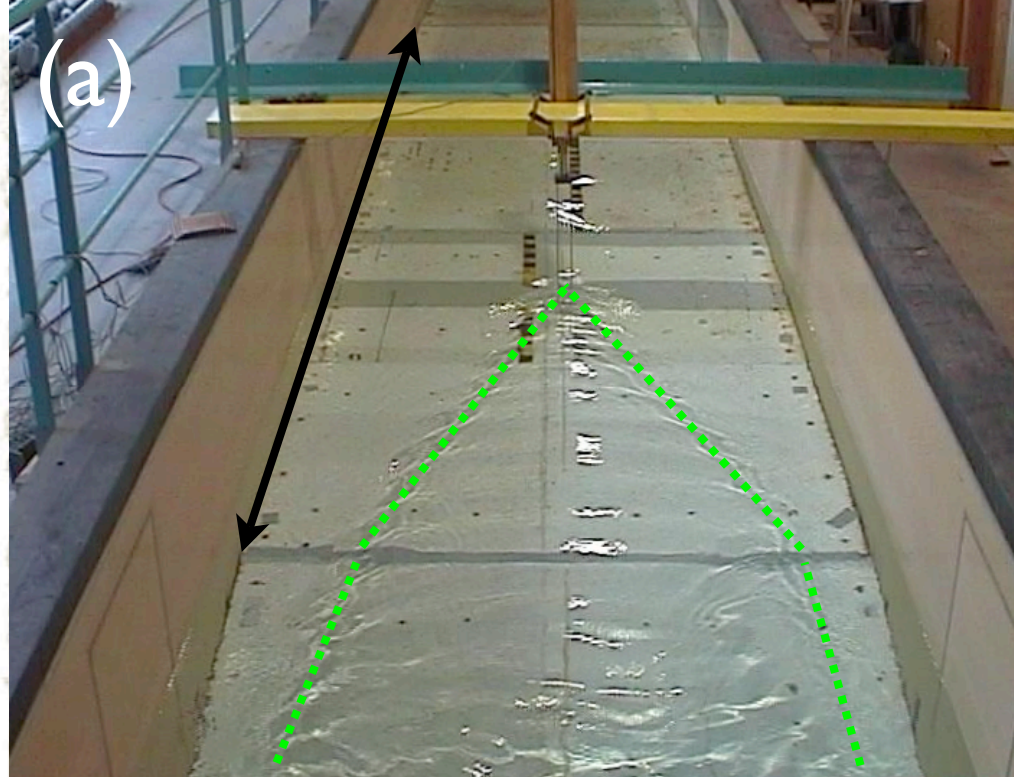
Tunnel Effect



Wave Blocking

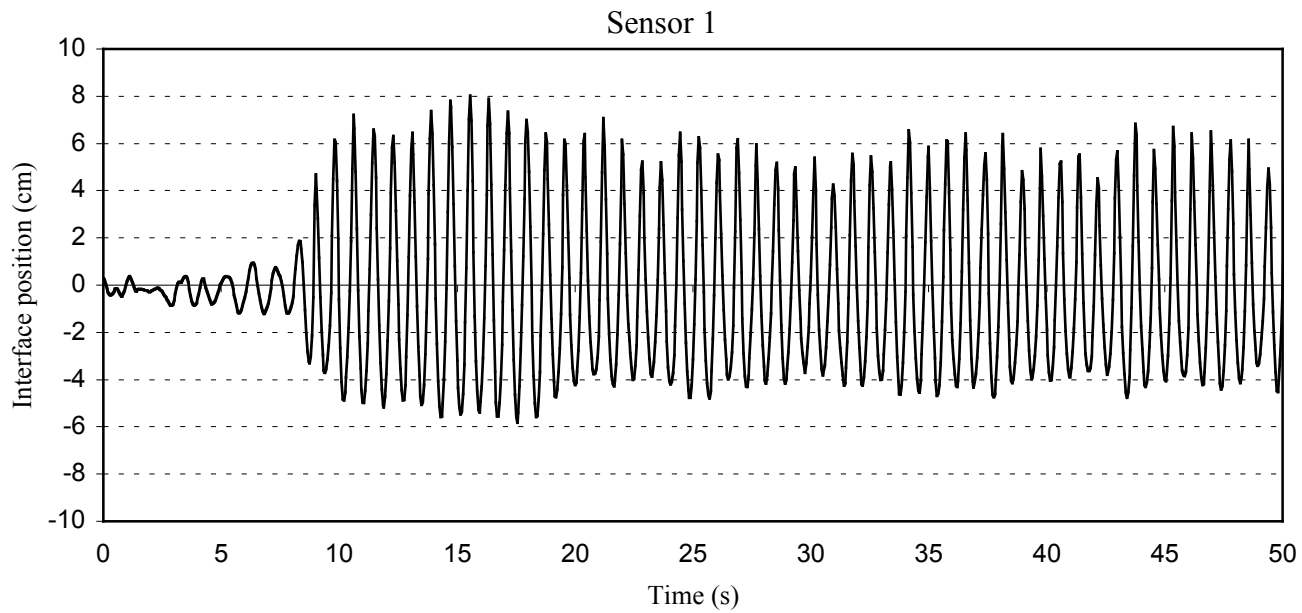
$U_{max}$



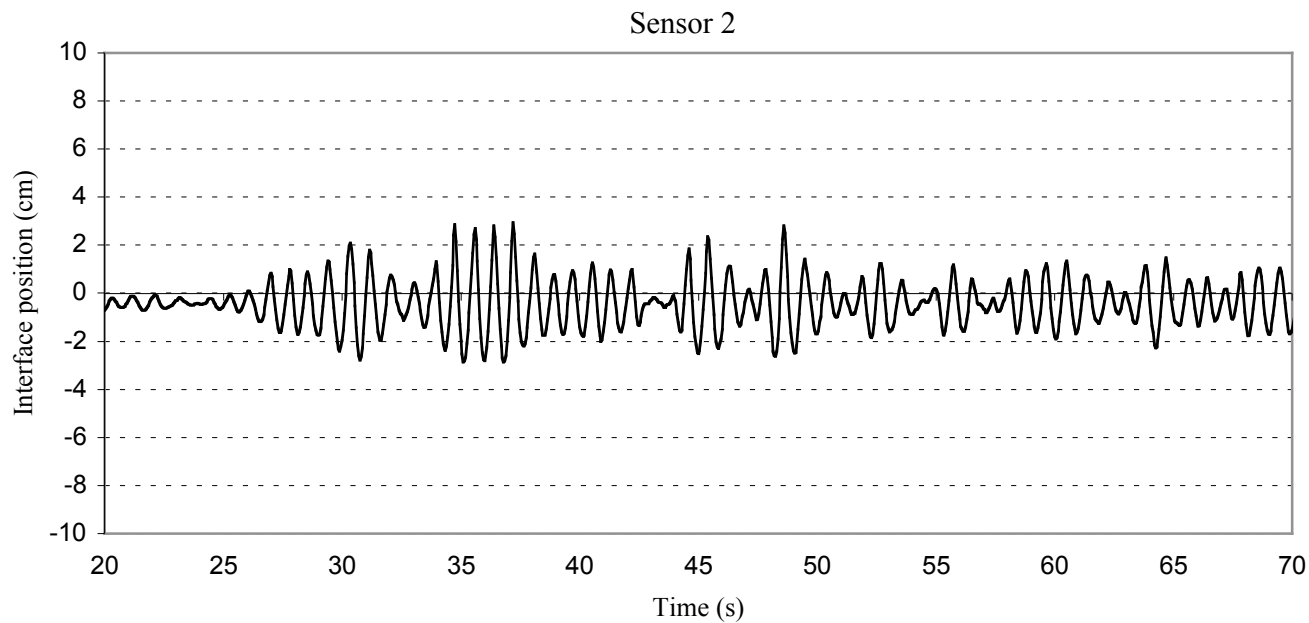


# Transmission and Reduction

Ascending  
Slope for  
the Waves

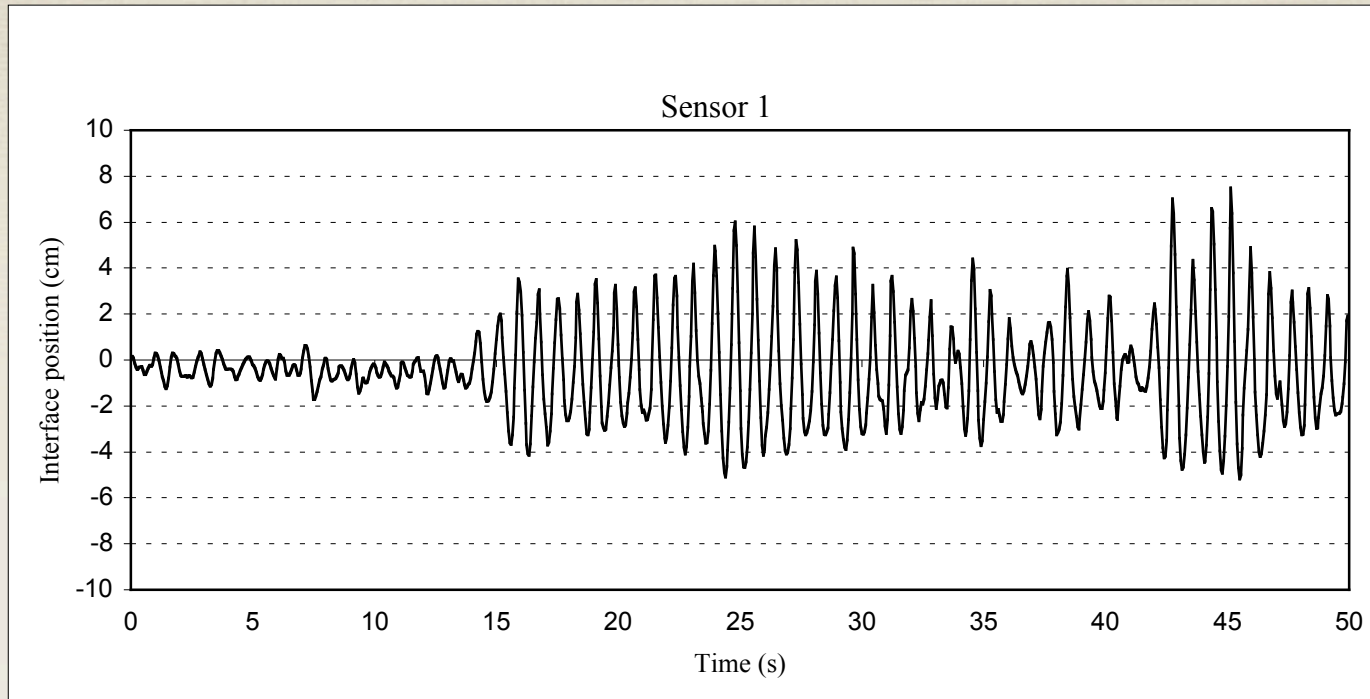


Flat Part

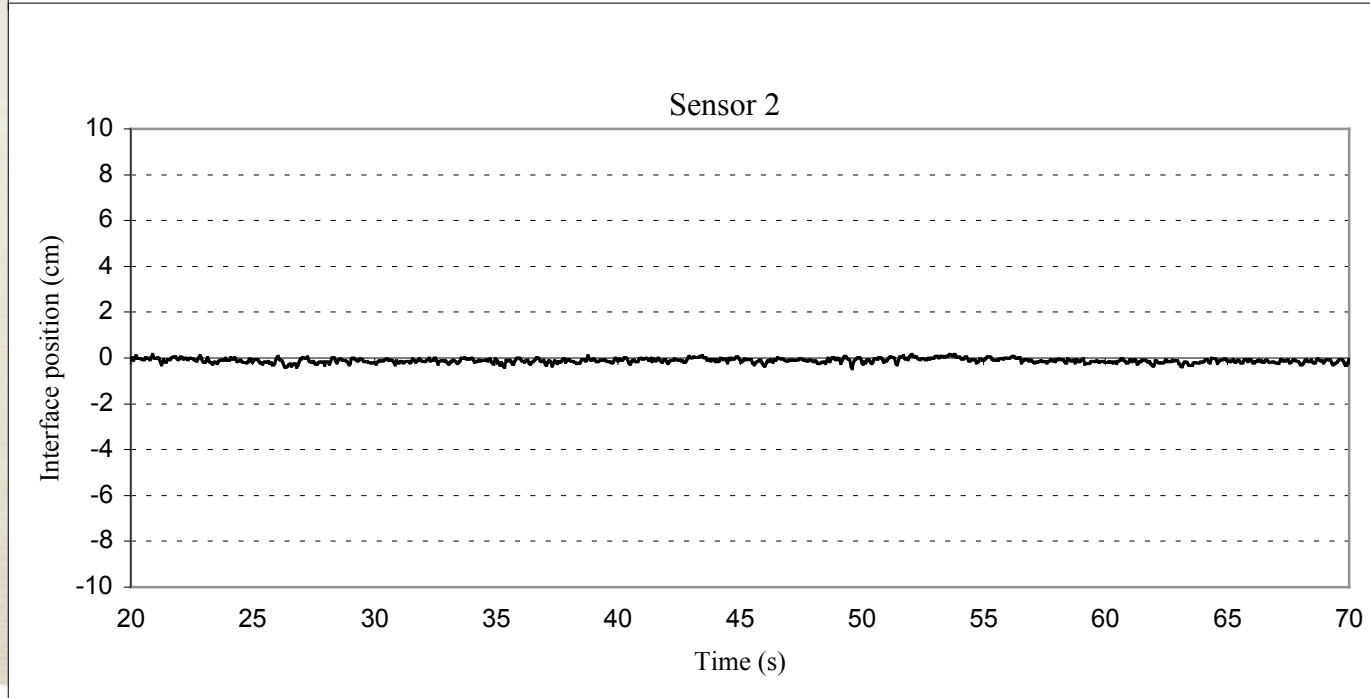


# Wave Blocking and Beating

Ascending  
Slope for  
the Waves

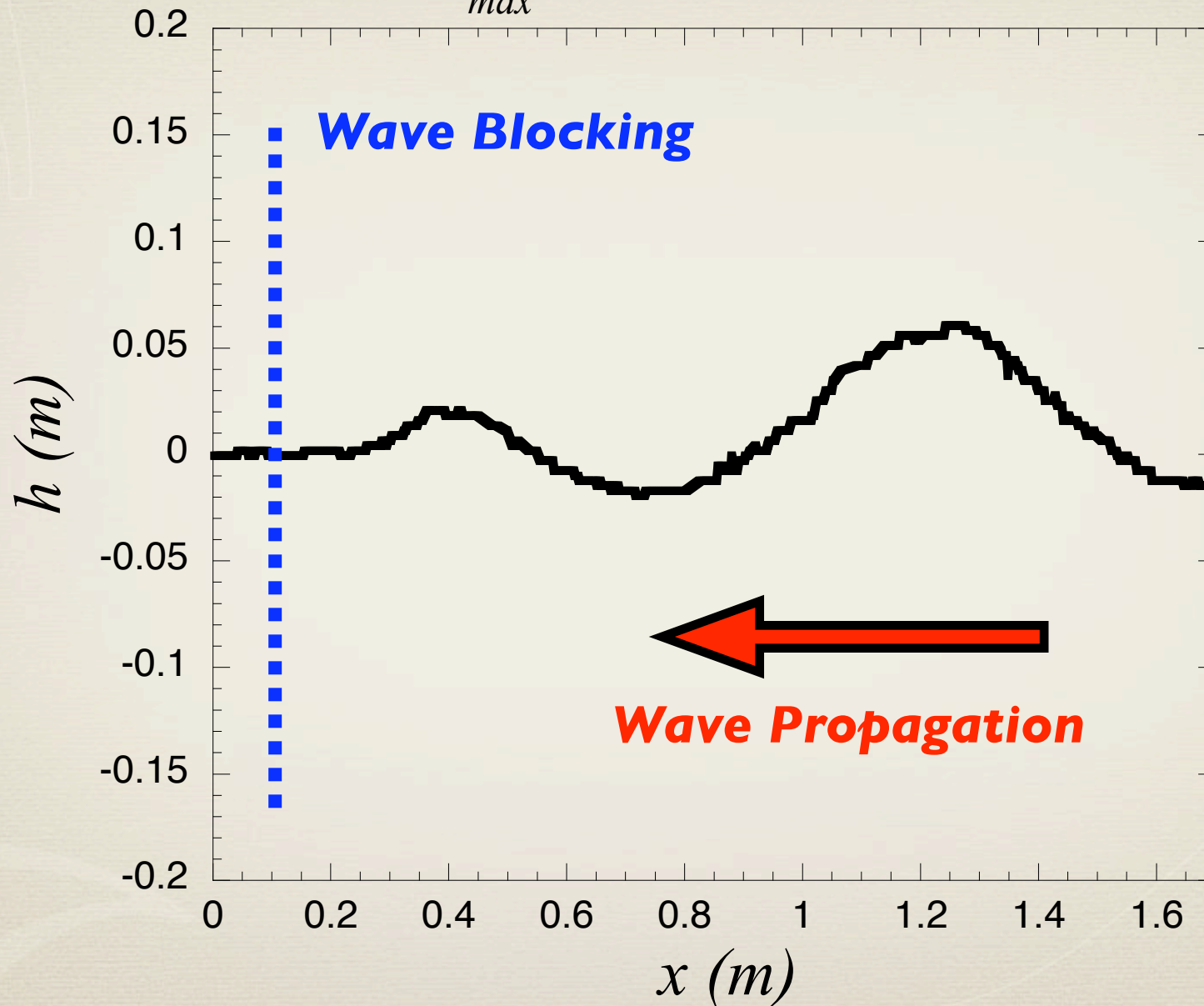


Flat Part

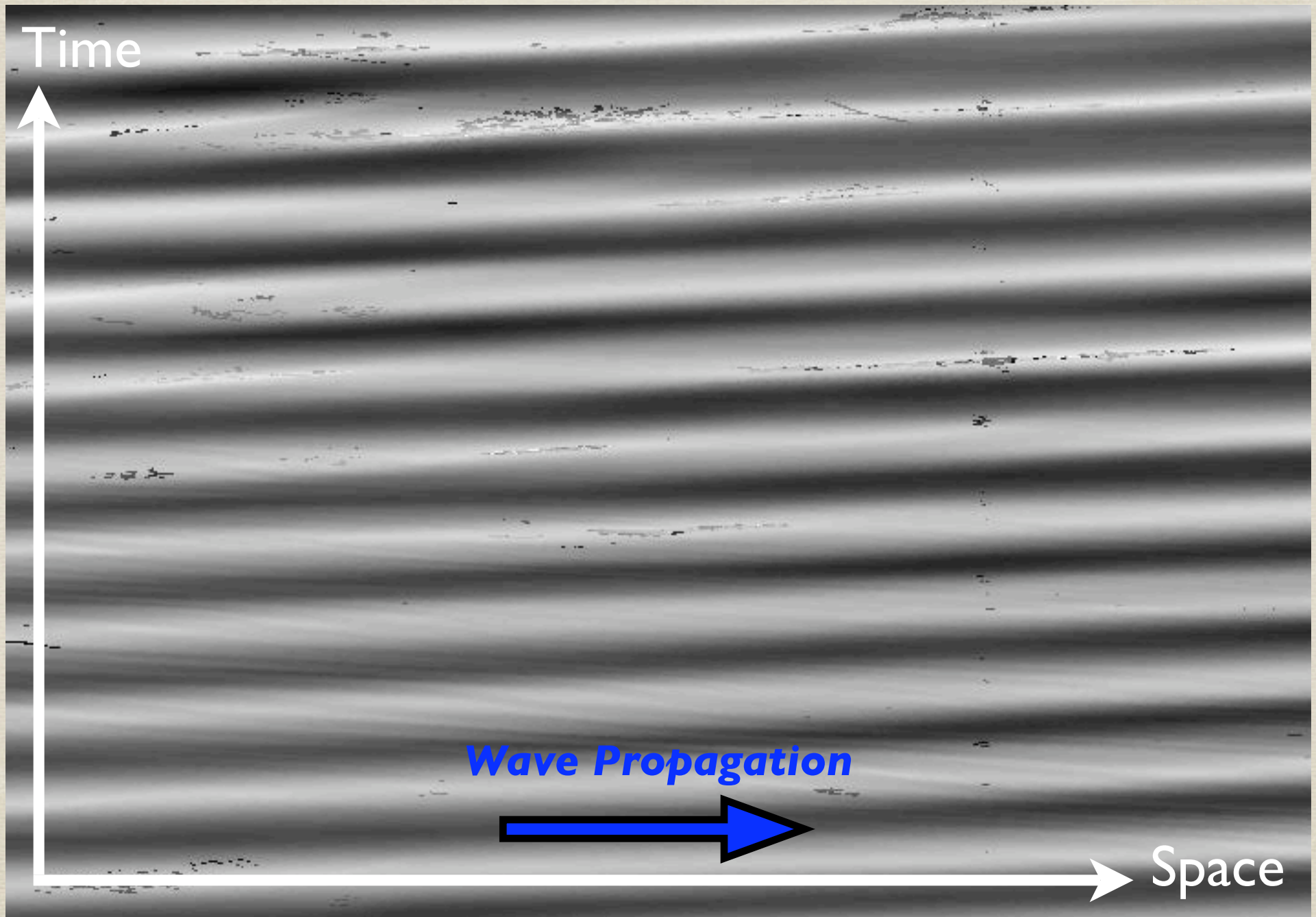


# Experimental White Horizon

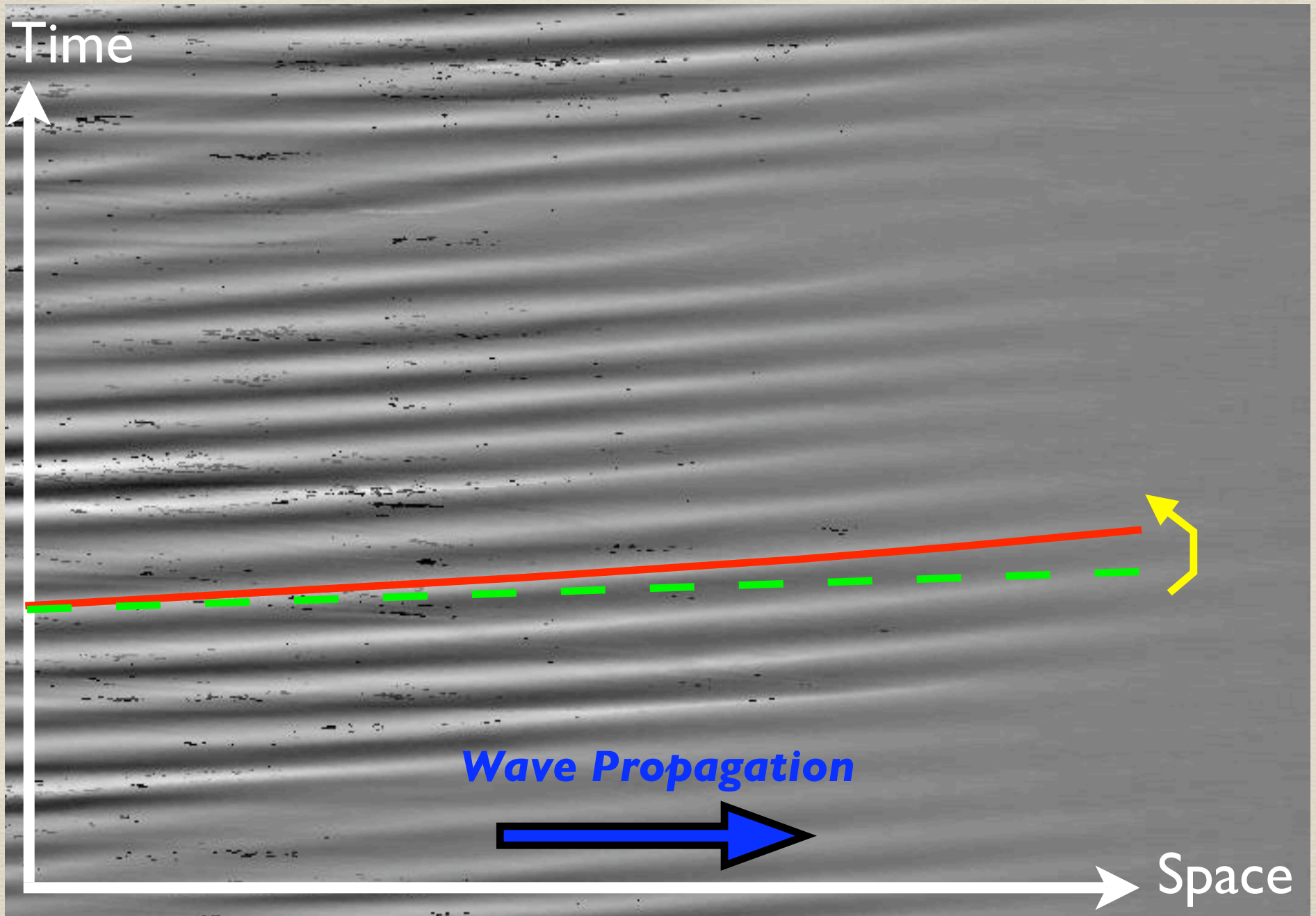
$T=0.8s$  ;  $U_{max}=0.94m/s$  ;  $A=0.1m$  ;  $H=1.4m$



# Wave Steepening (no blocking line)

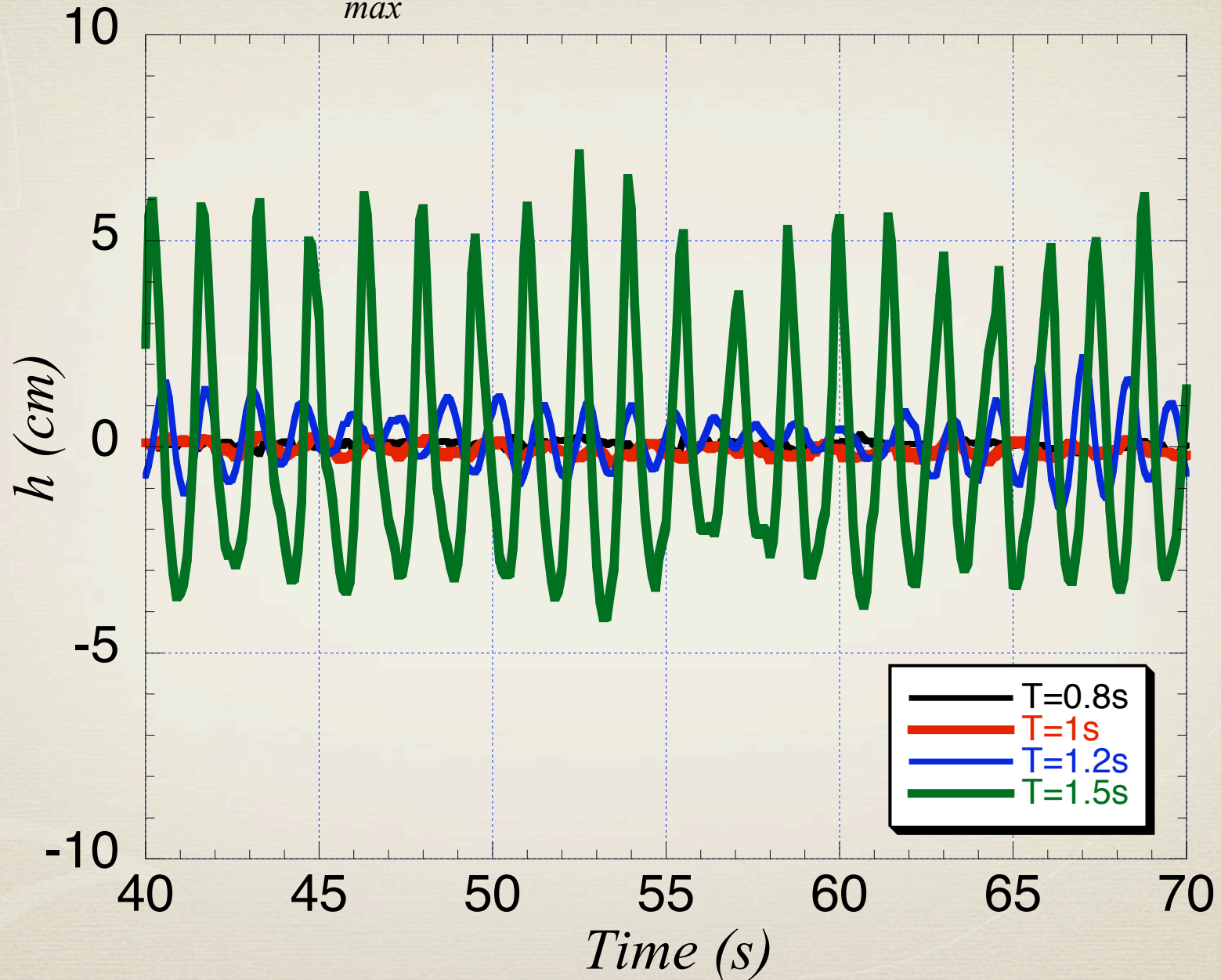


# Phase Velocity at the Horizon

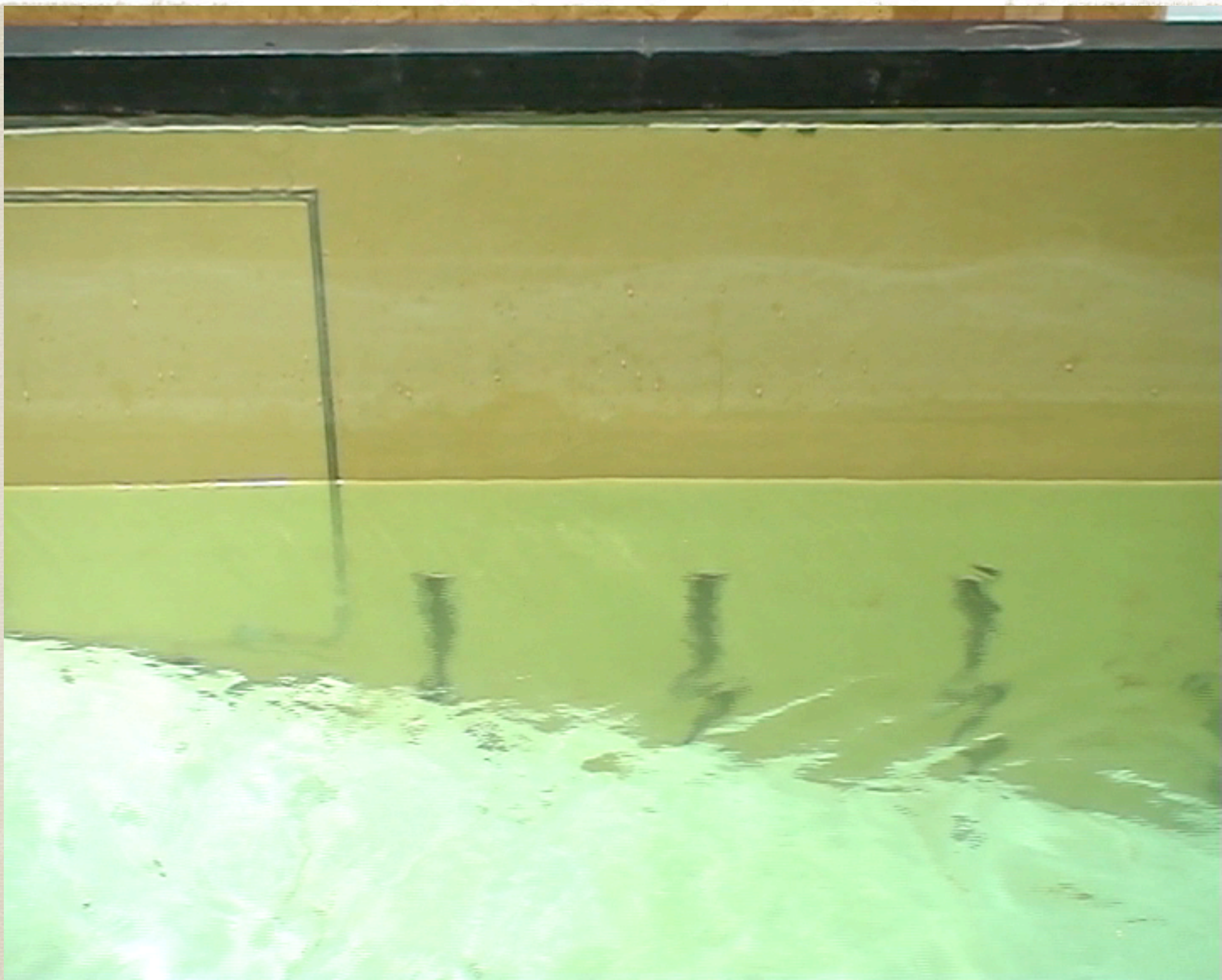


# Influence of Dispersion

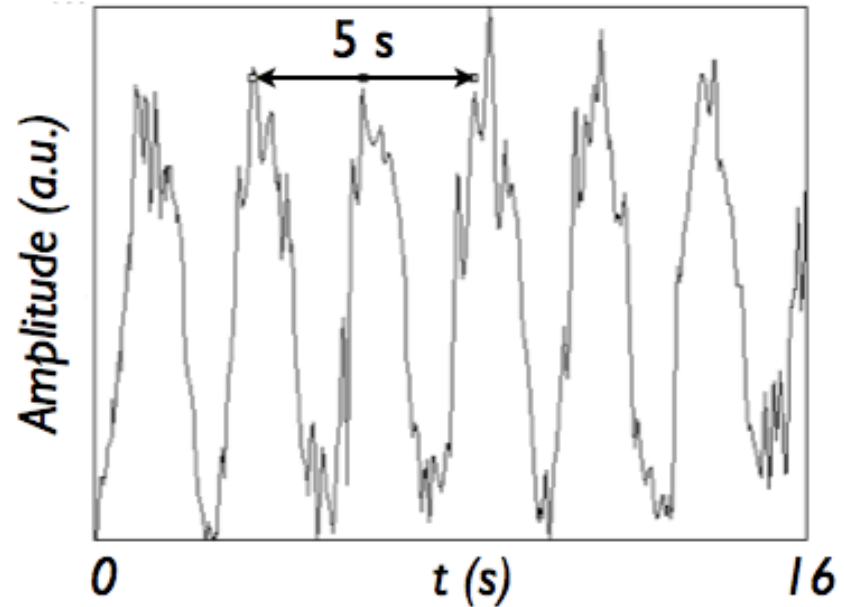
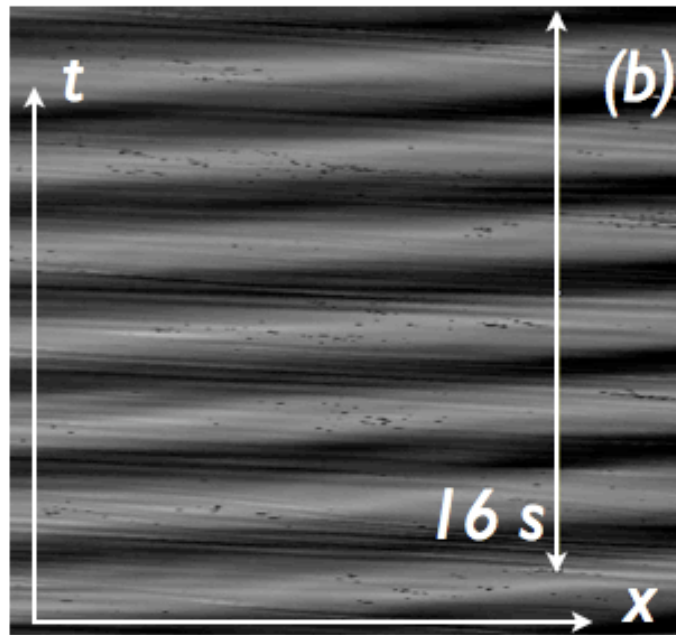
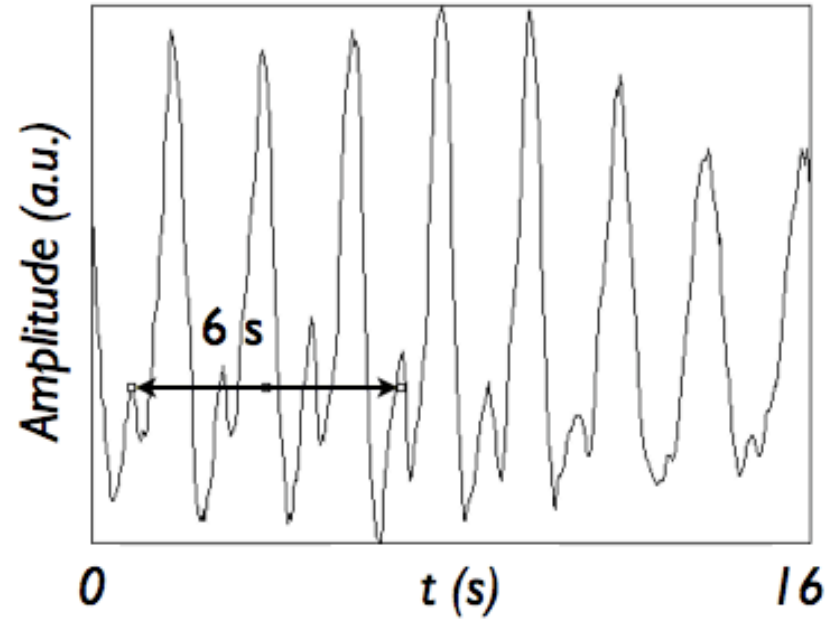
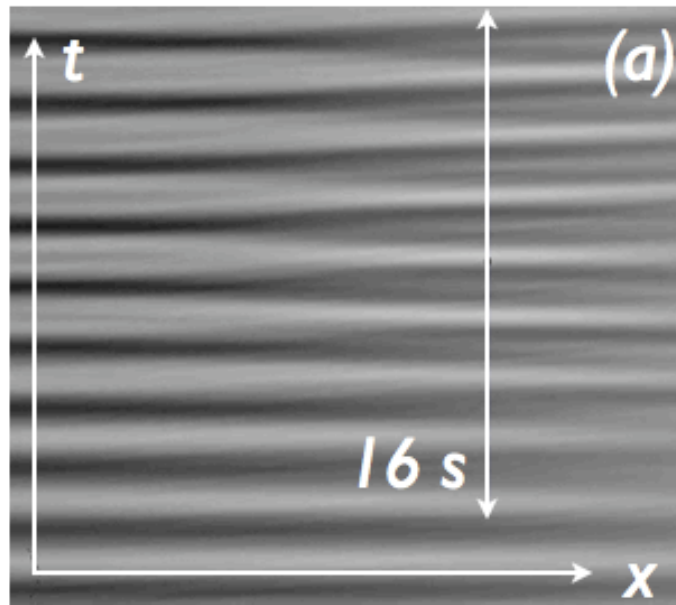
$$U_{max} = 0.57 \text{ m/s} ; A = 0.1 \text{ m} ; H = 1.4 \text{ m}$$







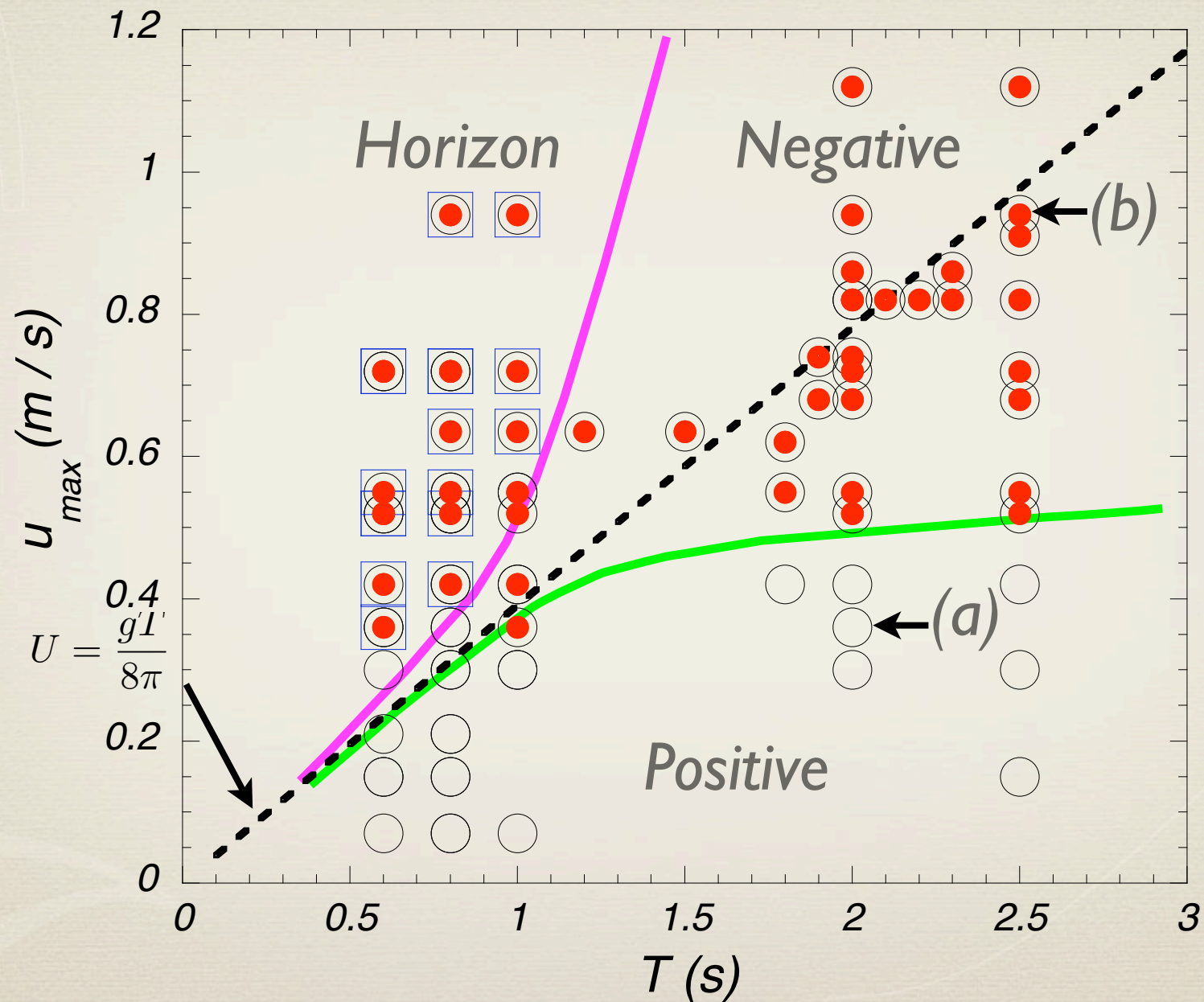
# Experimental Mode Conversion



Ascending Slope for the Waves

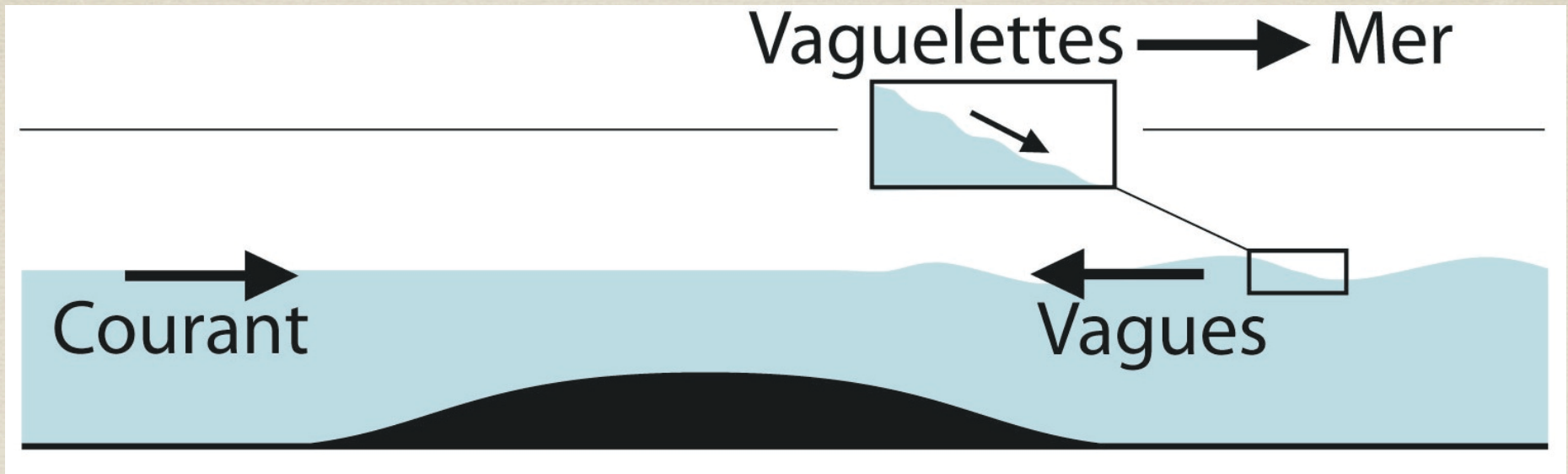
# The Experimental Phase-Space

Rousseaux et al. 2008, New Journal of Physics

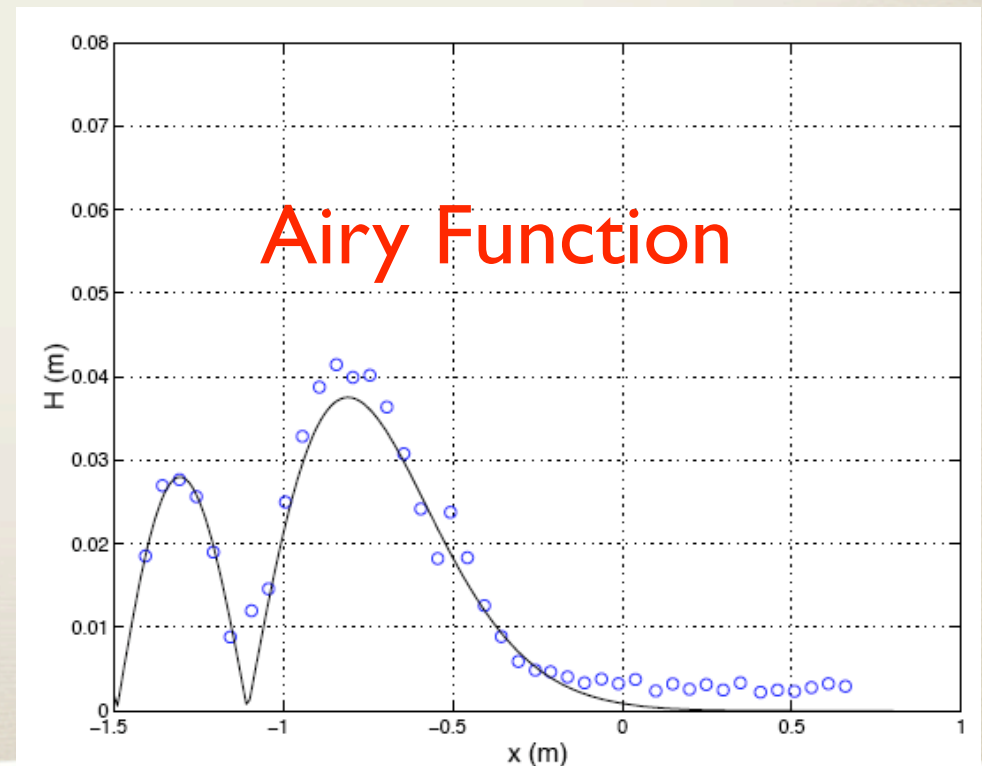
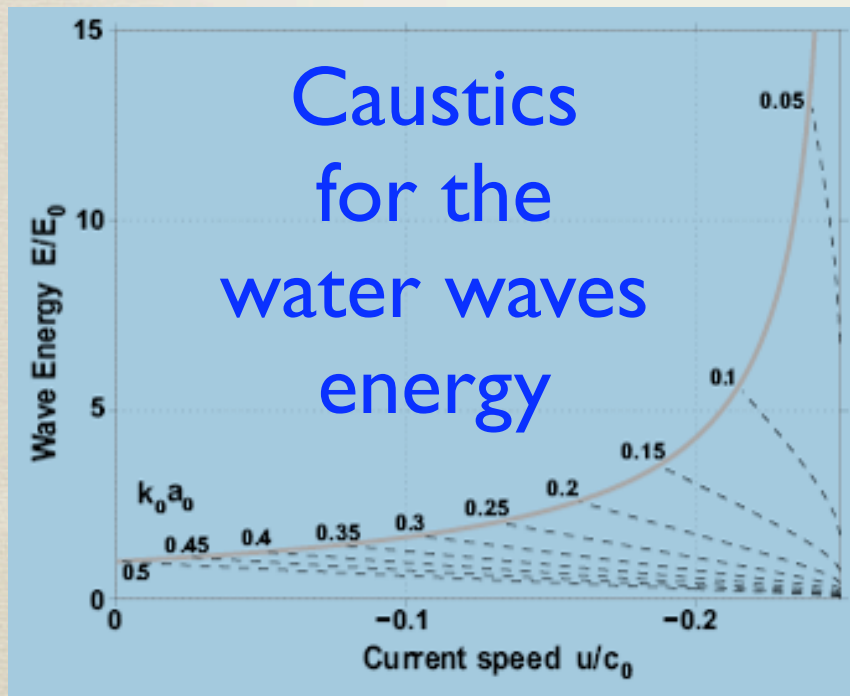


Fluid, Optical and Gravitational Horizons :  
a Catastrophic Story of Caustics

# Wave-Current Interaction



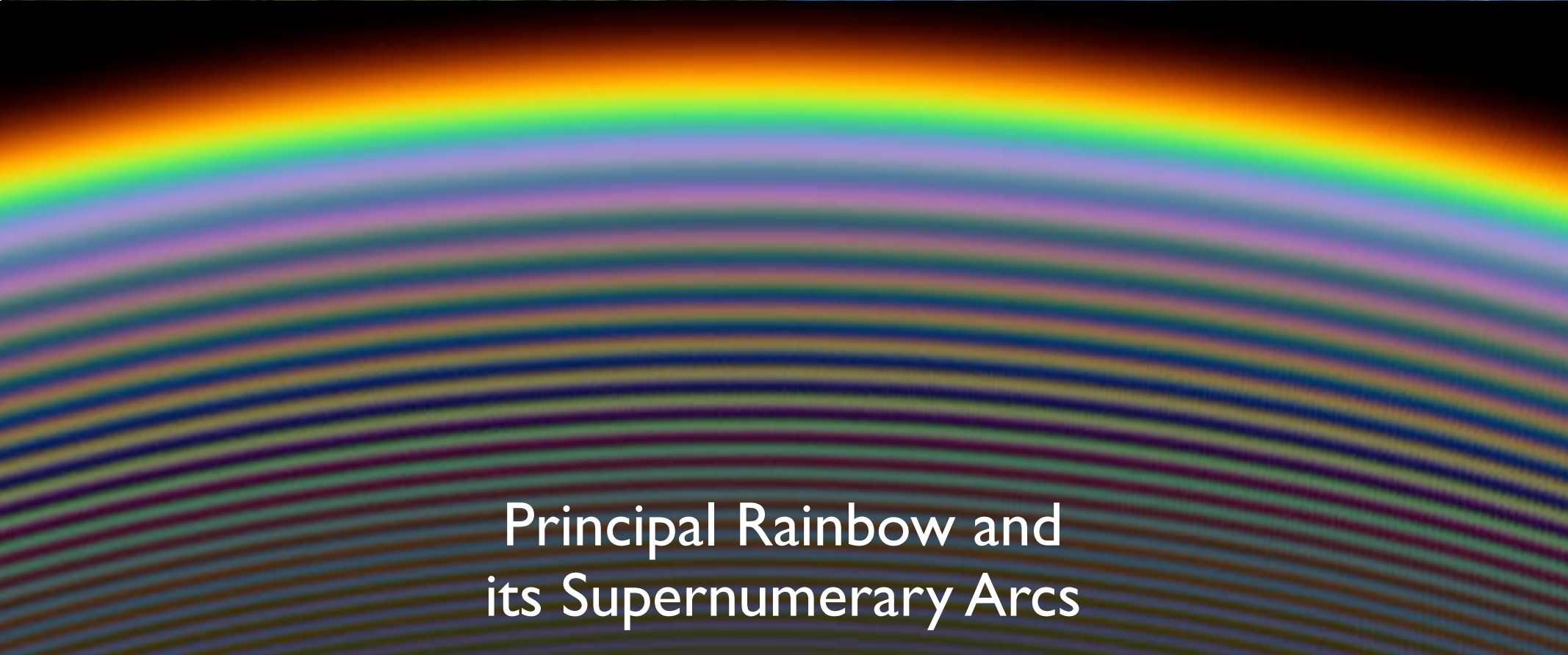
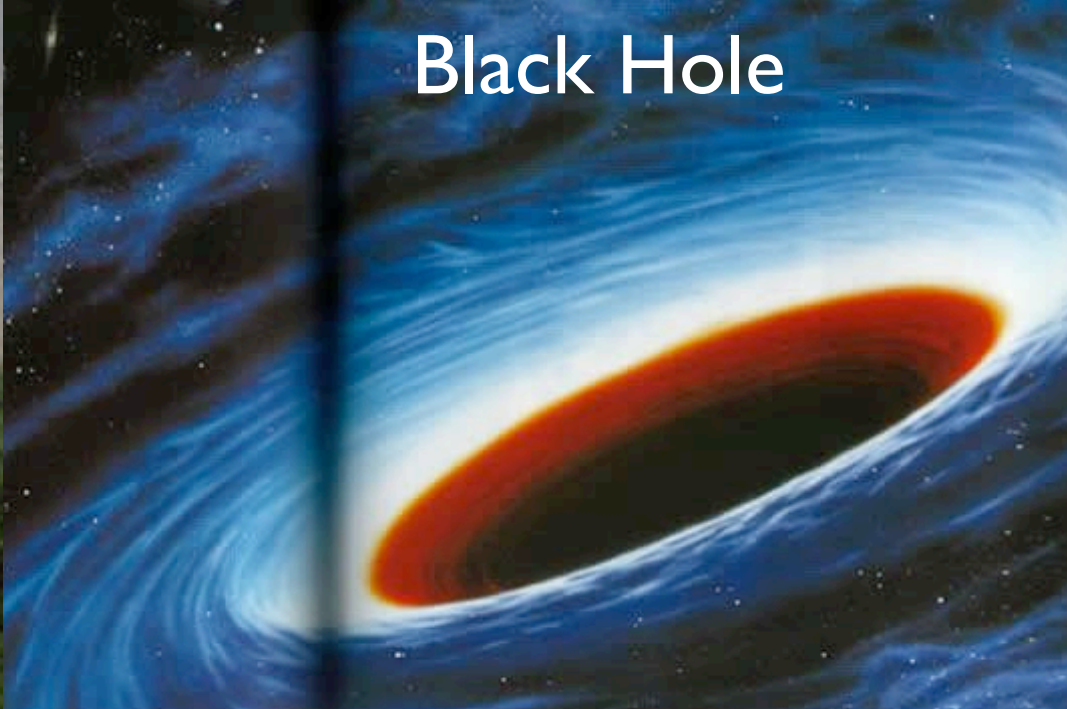
Envelope of the free surface



Wave-Current Interaction

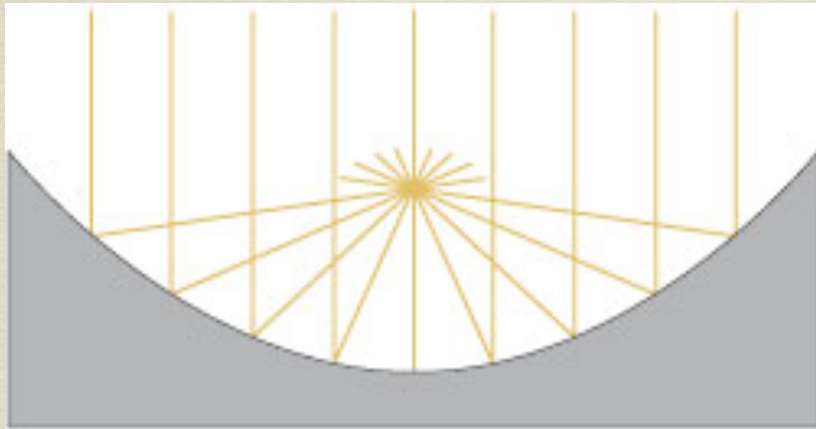


Black Hole

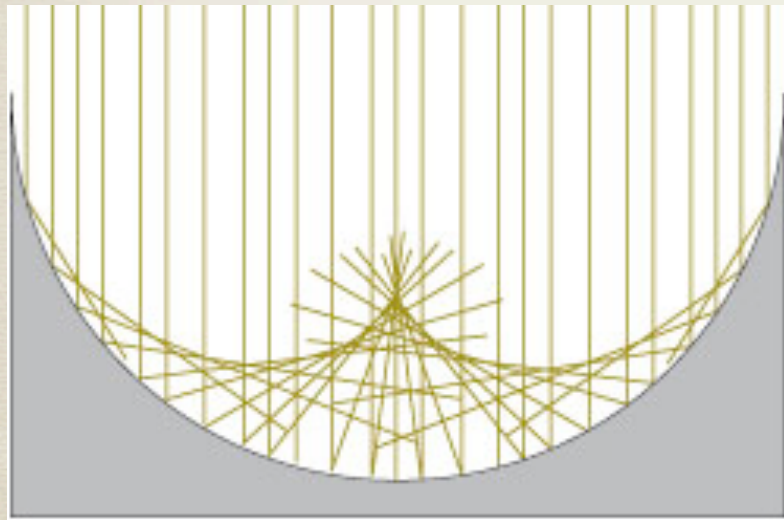


Principal Rainbow and  
its Supernumerary Arcs

# Optical Caustics



Parabolic Mirror



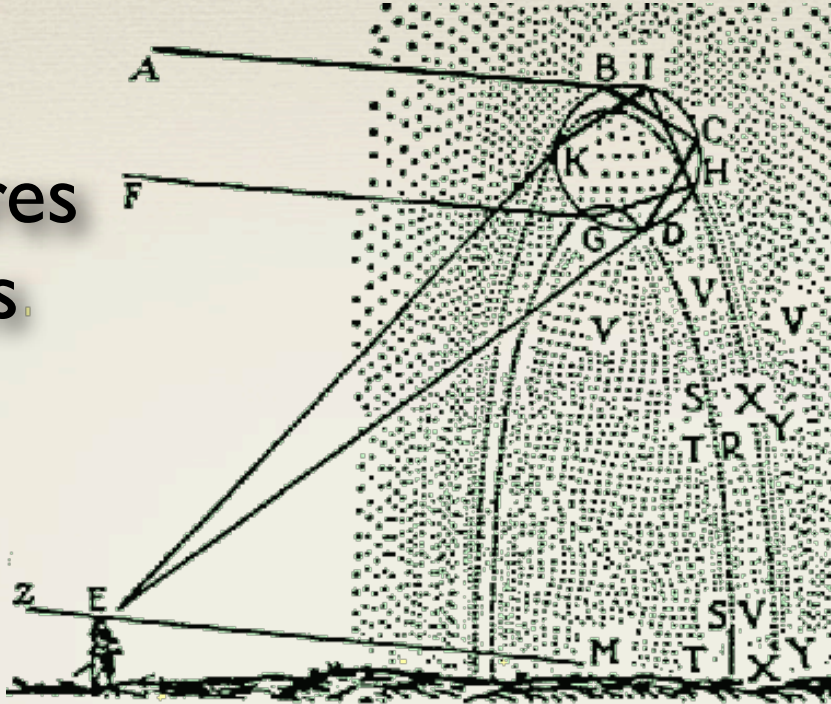
Cylindrical Mirror



*kausticos* : to burn in ancient greek

# Rainbow

Les Météores  
Descartes  
1637



Drop = Prism :  
Colours of the  
Rainbow

Principal  
Arc



Secondary  
Arc

Supernumerary  
Arcs

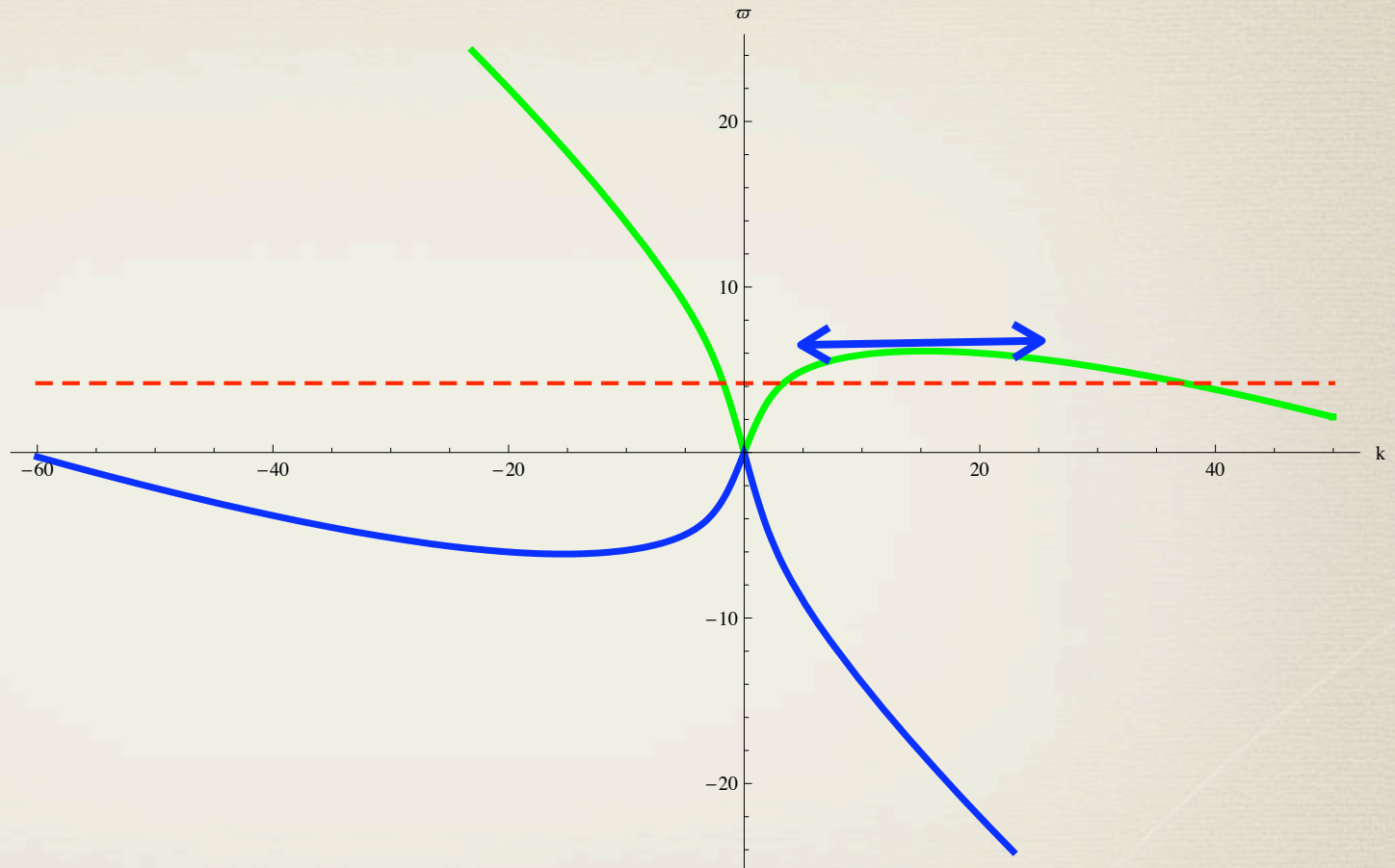
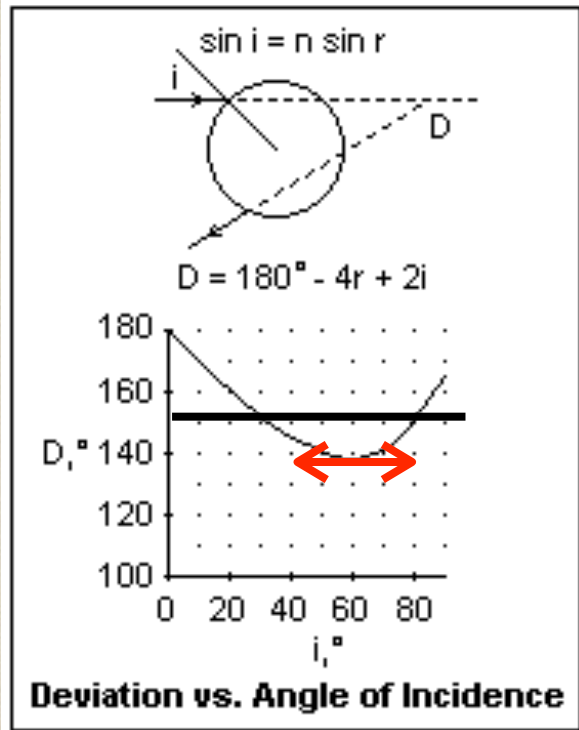


# Rays Theory

Optics

Hydrodynamics

$$\omega = \Omega(k) = Uk \pm (gkT \tanh[kh])^{1/2}$$



$$D(i) \simeq D_{min} + \frac{1}{2} \frac{\partial^2 D}{\partial i^2} (i - i_{min})^2 \quad \Omega(k) = \Omega(k^*) + \frac{1}{2} \frac{\partial^2 \Omega}{\partial k^2} (k - k^*)^2$$

# Wave-current interaction as a spatial bifurcation

Dispersion relation in deep water :

$$\omega^2 - 2\omega U k + U^2 k^2 \approx gk$$

Reduced velocity :

$$\mu = -4 \left( \frac{U - U^*}{U^*} \right), U^* = -\frac{g}{4\omega}$$

Reduced wavelength :

$$\kappa = \frac{k - k^*}{k^*}, k^* = \frac{4\omega^2}{g}$$

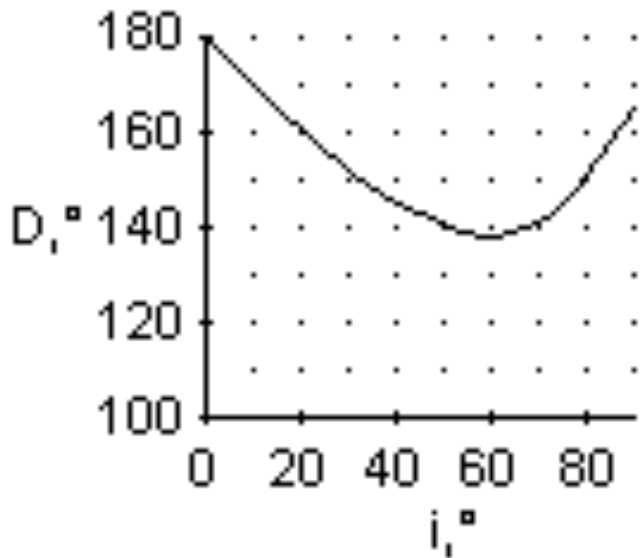
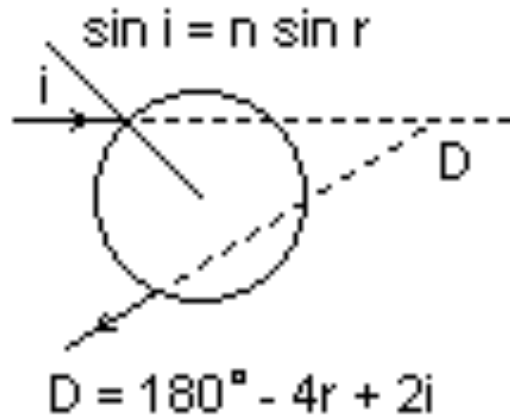
Normal form :

$$\mu - \kappa^2 = 0$$

Wave-blocking is a spatial bifurcation of the saddle-node type (fold caustic) due to the resonance of the incoming and the blue-shifted waves

# Geometrical Optics :

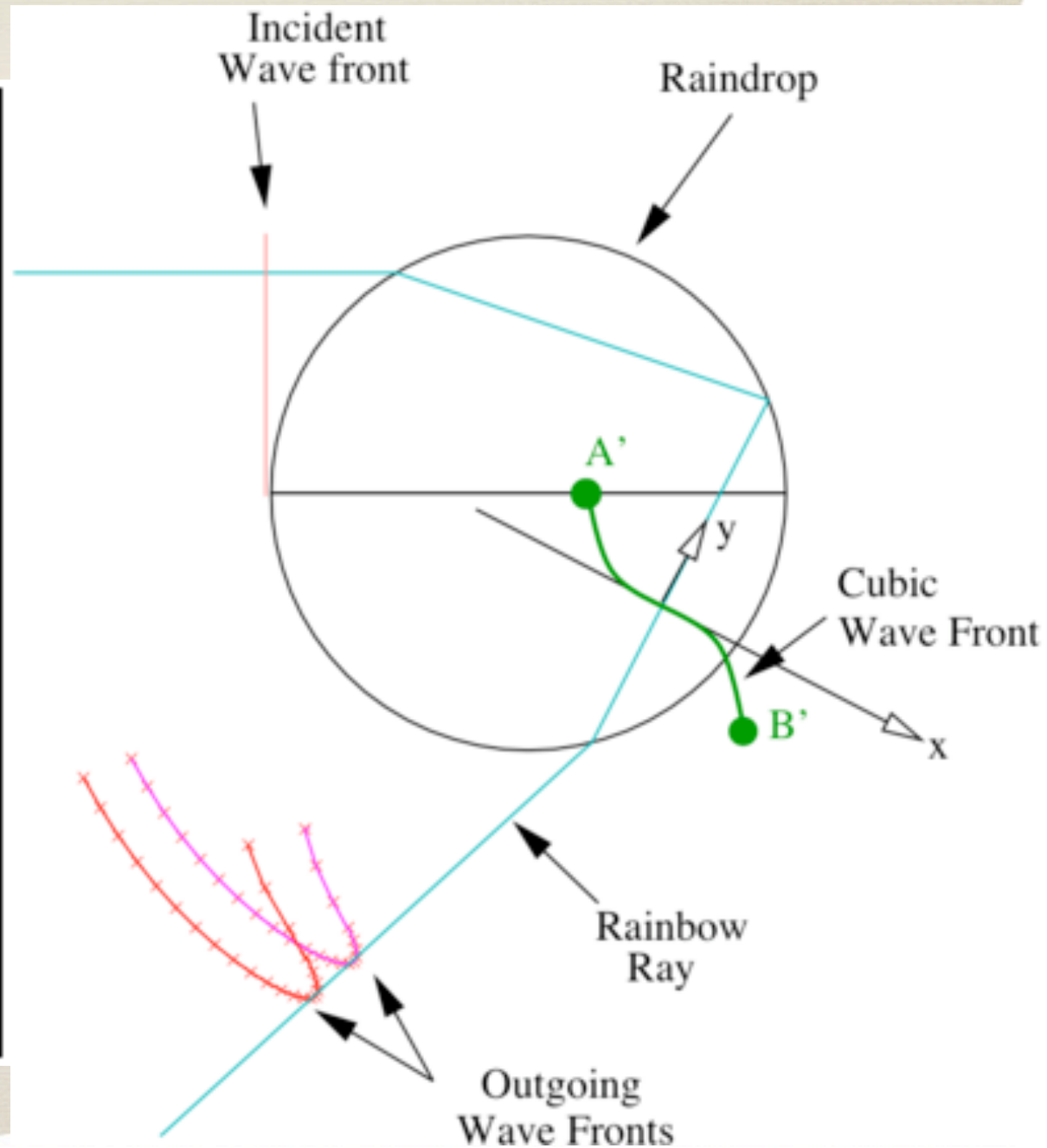
## Minimum of deviation



**Deviation vs. Angle of Incidence**

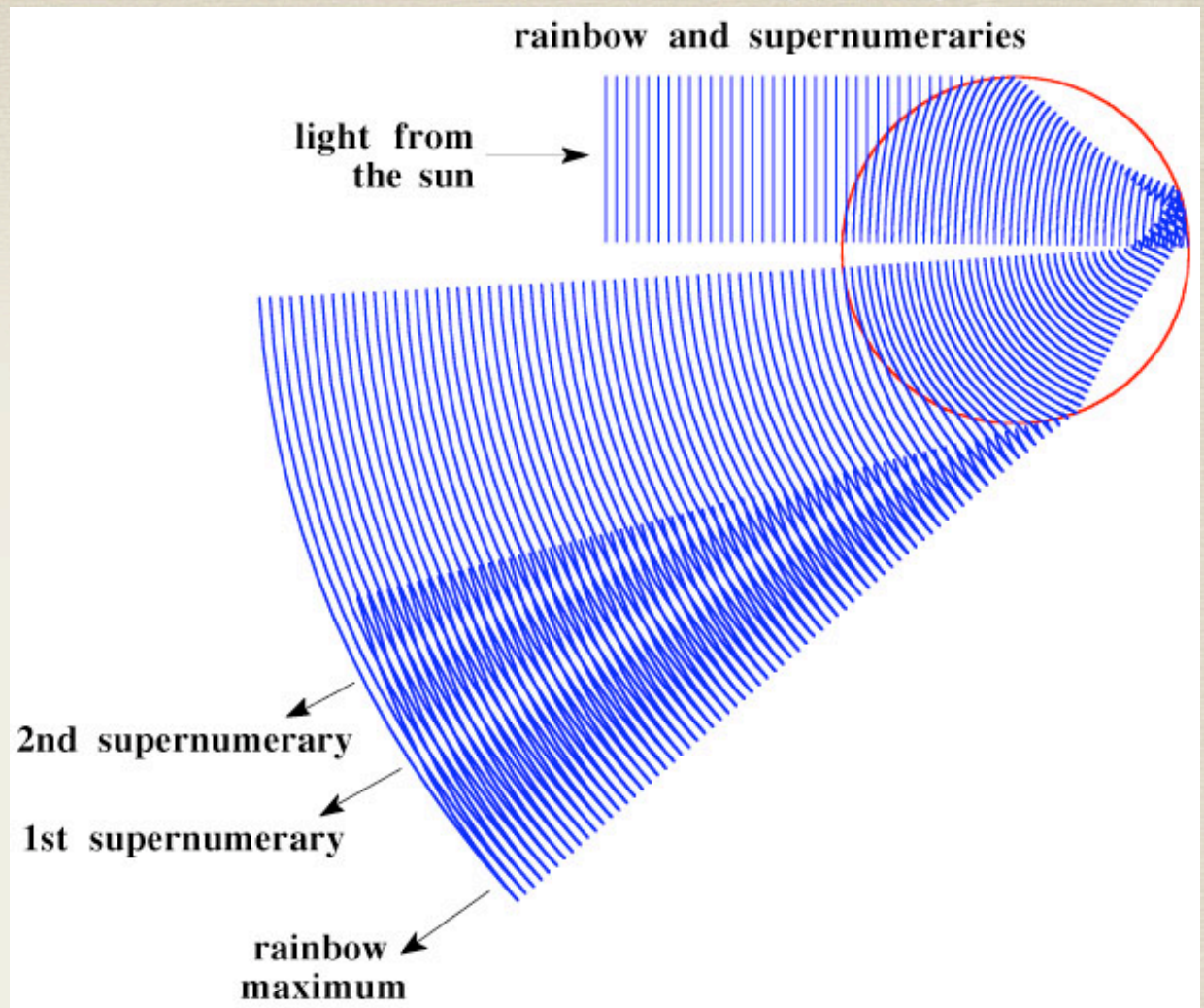
# Wave Optics :

Self-wrapping of the wave front



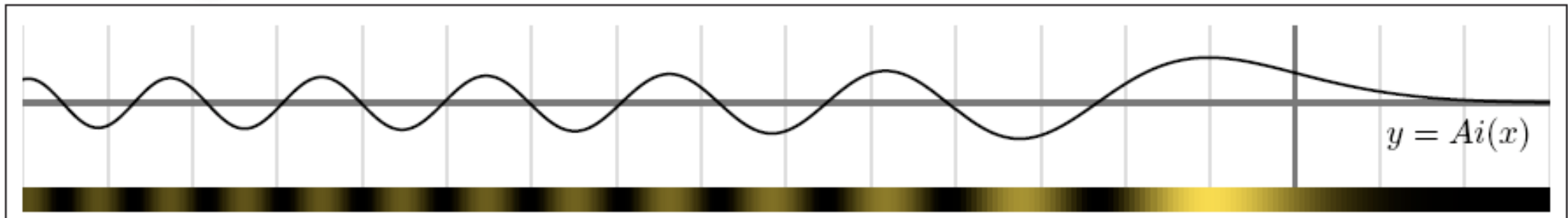
$$I(D) \approx \frac{\Theta(D - D_{min})}{\sqrt{D - D_{min}}}$$

Light Interferences:  
Classical Regularisation  
of the Caustic



Airy Function

Light Intensity



# A Fold Caustic

Control parameter of the spatial bifurcation :

$$\mu = -4\left(\frac{U - U^*}{U^*}\right), U^* = -\frac{g}{4\omega}$$

Phase velocity in presence of a counter-current  $U^*$  :

$$c_\varphi = -4U^*\left(\frac{1}{2} + \frac{1}{2}\sqrt{1 - \frac{U}{U^*}}\right)$$

Conservation of wave action :

$$\frac{E}{E_{far}} = \left(\frac{a}{a_{far}}\right)^2 = \frac{16U^{*2}}{(2U + c_\varphi)c_\varphi}$$

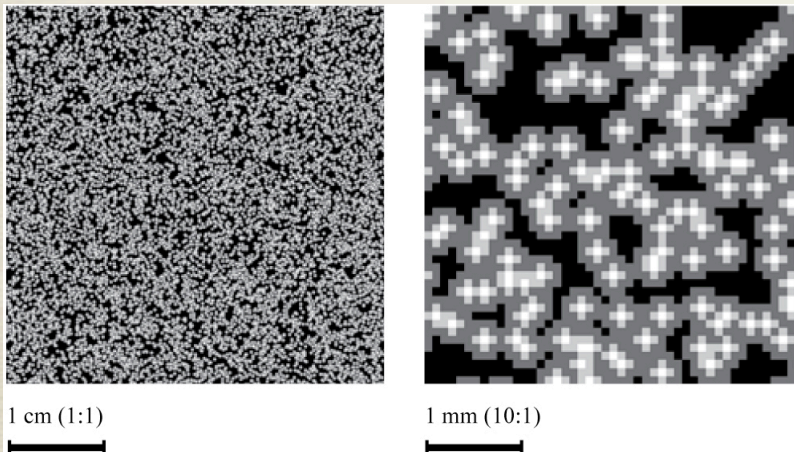
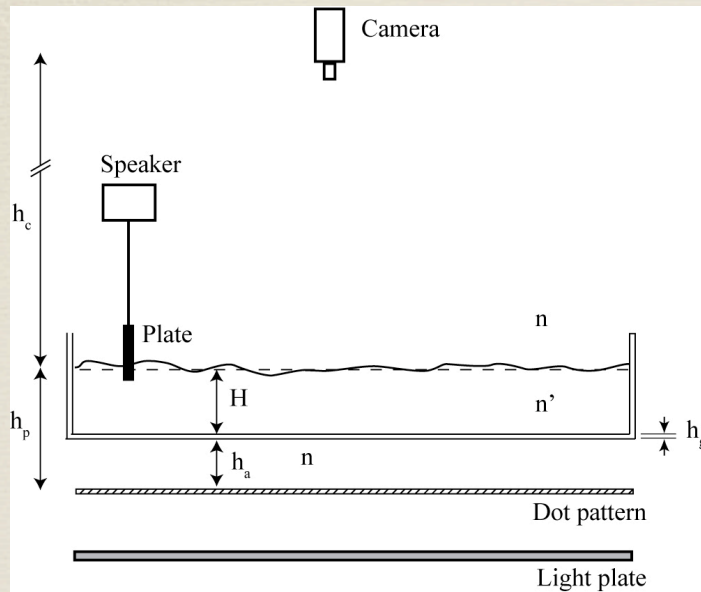
Divergence of water waves energy :

$$E(\mu) \approx \Theta(\mu)\mu^{-1/2}$$

# Perspectives

# Experimental setup

Courtesy Moisy & Rabaud



## 1. Acquisition:

- Caméra 2048<sup>2</sup>  
(+time-resolved exp. 1024<sup>2</sup> @100Hz)
- optimized random dot pattern

## 2. Digital Image Correlation:

- PIV algorithm by DaVis (LaVision GmbH)
- 16x16 interrogation windows, 50% ovlp
- $\delta \mathbf{r}$  defined on a 256<sup>2</sup> grid (128<sup>2</sup>)

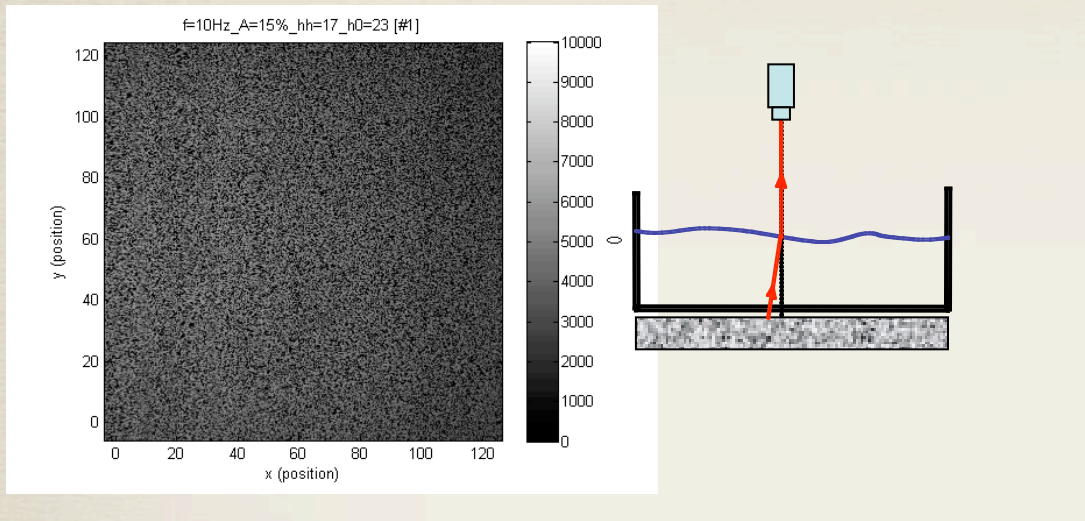
## 3. Surface reconstruction:

Least-square gradient inversion of  $\delta \mathbf{r}$   
using Matlab\*

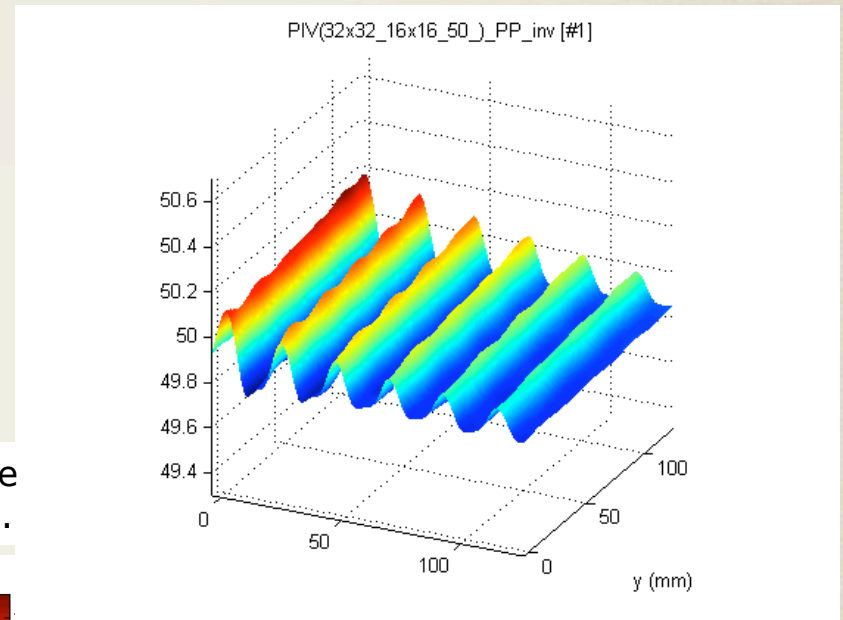
\* `int2grad` by J. D'Errico, Matlab Central Server

# Principle of the method

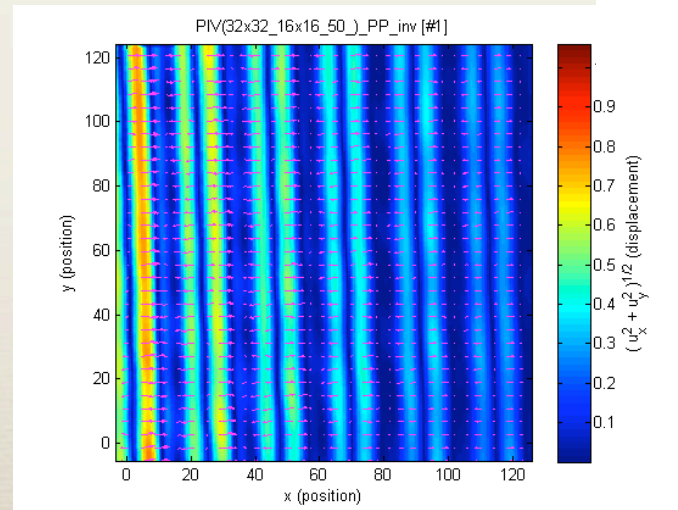
**Step 1:** Image acquisition of a refracted random dot pattern



**Step 3:** Free surface reconstruction by a least-square gradient inversion



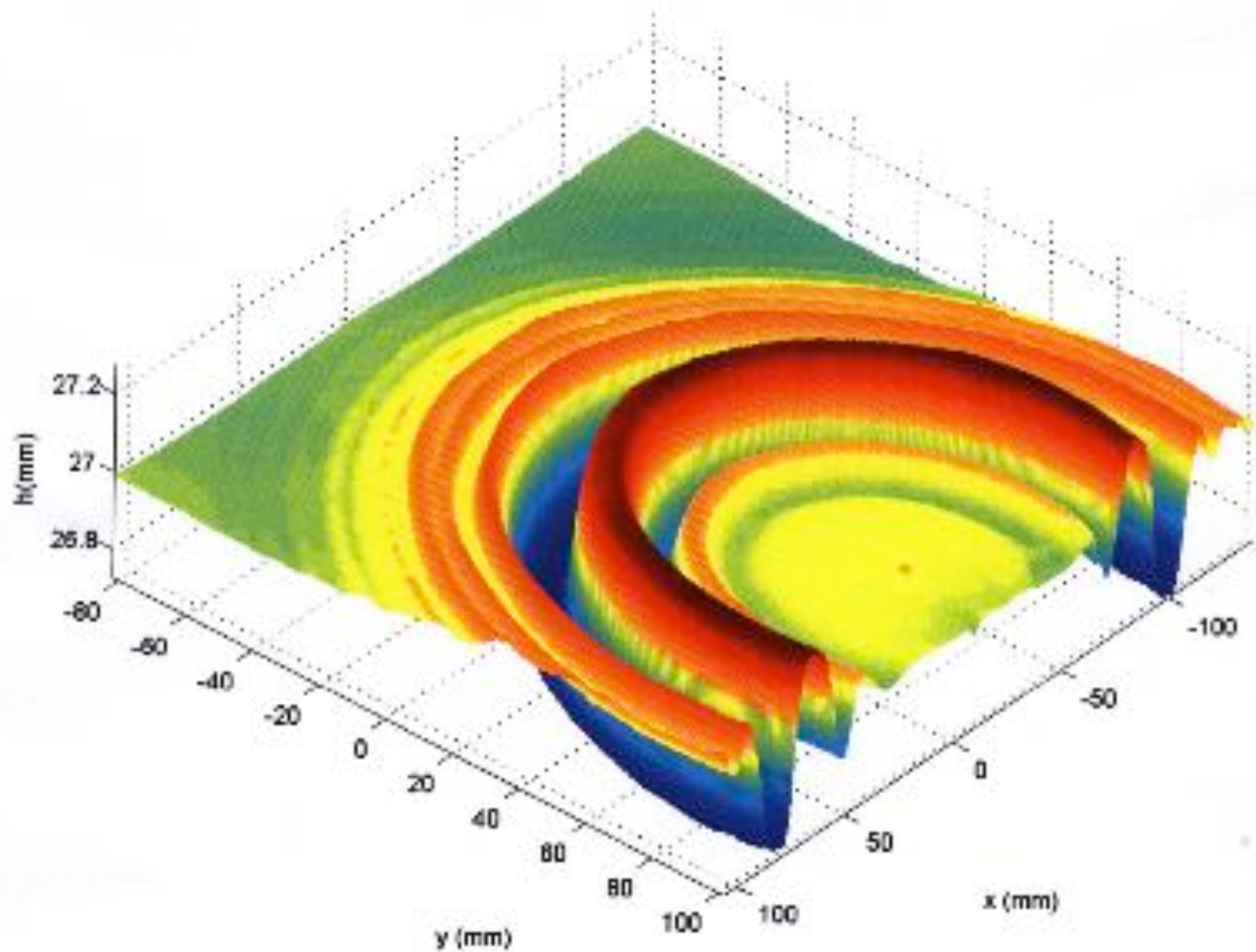
**Step 2:** Computation of the displacement field by D.I.C.



Courtesy Moisy & Rabaud

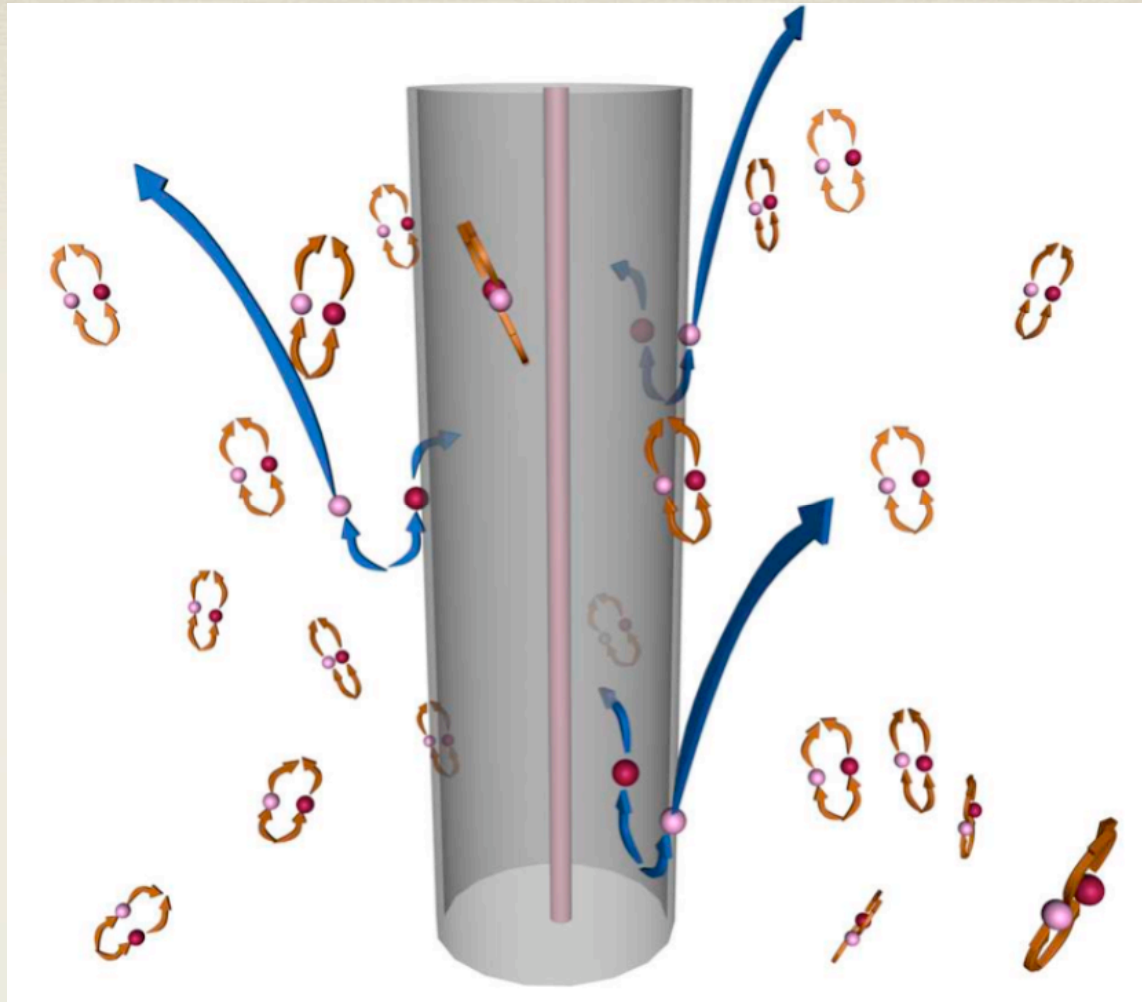


# Water drop impacting a free surface



QuickTime™ et un  
décompresseur  
sont requis pour visionner cette image.

# HAWKING RADIATION (1974)



**Black Holes are NOT black !!!**

- Spontaneous Emission : Creation and Annihilation
- Planckian Distribution : Black Body !!!

# HAWKING/UNRUH TEMPERATURE

$$E = h\nu = \frac{hc}{\lambda}$$

$$\lambda \simeq R_S = \frac{2GM}{c^2}$$

$$E \simeq \frac{hc^3}{2GM}$$

$$E \simeq k_B T$$

$$T \simeq \frac{hc^3}{2k_B GM}$$

$$T_H = \frac{hc^3}{16\pi^2 k_B GM}$$

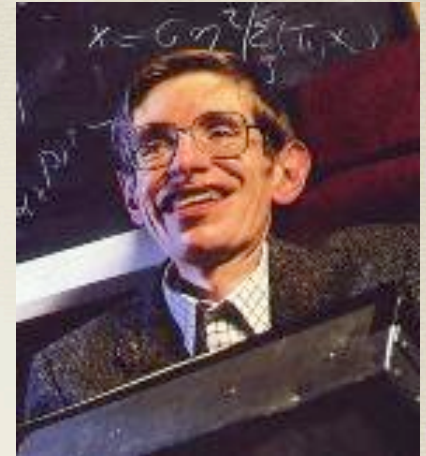
$$g_S = \frac{v_L(R_S)^2}{R_S} = \frac{2GM}{R_S^2} = \frac{c^4}{2GM}$$

$$T_H = \frac{\hbar g_S}{2\pi k_B c}$$

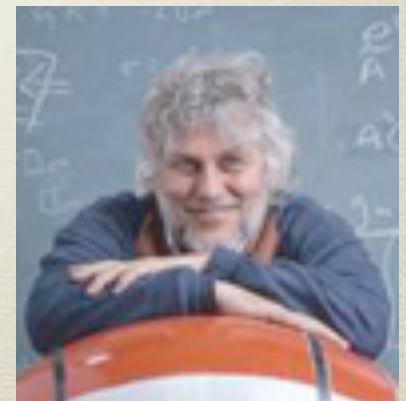
$$T_U = \frac{\hbar a}{2\pi k_B c}$$

“God not only plays dice, he also sometimes throws the dice where they cannot be seen.”

Stephen Hawking



Quantum Physics  
+  
Relativity  
+  
Thermodynamics



# UNIFORM ACCELERATED MOTION

$$\frac{dv}{dt} = a \left( 1 - \frac{v^2}{c^2} \right)^{3/2}$$

$$v(t) = \frac{at}{\sqrt{1 + a^2 t^2 / c^2}}$$

$$dt = \frac{d\tau}{\left( 1 - \frac{v^2}{c^2} \right)^{1/2}}$$

$$t(\tau) = \frac{c}{a} \sinh\left(\frac{a\tau}{c}\right)$$

$$v(\tau) = \frac{c}{a} \tanh\left(\frac{a\tau}{c}\right)$$

$$x(\tau) = \frac{c^2}{a} \cosh\left(\frac{a\tau}{c}\right)$$

$$\omega'(\tau) = \omega(\tau) \frac{1 \mp v/c}{\sqrt{1 - v^2/c^2}}$$

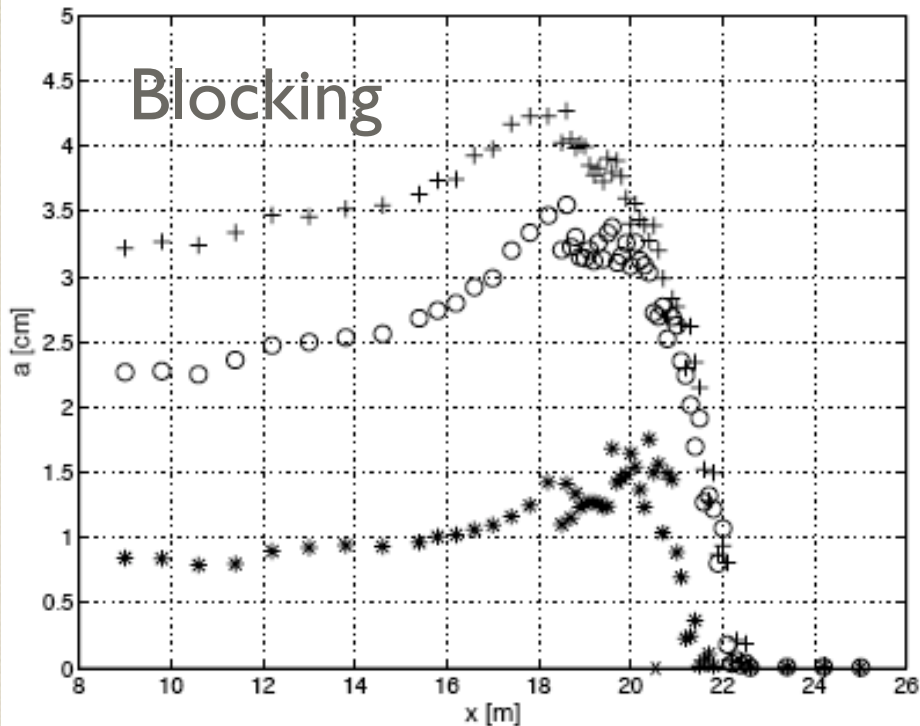
$$\omega'(\tau) = \omega(\tau) e^{\mp a\tau/c} \simeq \omega(\tau) (1 \mp a\tau/c)$$

An accelerated observer sees waves with a time-dependent phase

$$\Delta\phi(\tau) = \int^{\tau} \omega'(\tau') d\tau' = \frac{\omega c}{a} e^{\mp a\tau/c}$$

**=> Exponential Red-Shift or Blue-Shift**

T = 1.1 s



T = 1.2 s

