

# Sensitivity of Hawking radiation to superluminal dispersion relations

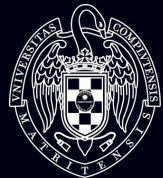
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C.Barceló, *ljj*, G.Jannes, PRD 79 (2009)

*Valencia, 3 February 2009*



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# Modified dispersion relations

[Introduction]

- Effects of (Lorentz breaking) superluminal dispersion relations on Hawking radiation produced by collapsing configurations.
- Hawking's original derivation rested on the assumption that the low-energy laws of physics, and in particular Lorentz invariance, are preserved up to arbitrarily large scales.
- **Robustness:** Analyze effective field theories with high-energy modifications of the dispersion relations.
  - ✓ Subluminal modifications (under reasonable assumptions)
    - dampen the influence of ultra-high frequencies;
    - do not explore arbitrarily large frequencies.
  - ? Superluminal modifications magnify the influence of ultra-high energies.



- Superluminal is qualitatively different to subluminal:
  - The horizon lies ever closer to the singularity for increasing frequencies. This causes the interior of the (zero-frequency) horizon to be exposed to the outside world.
  - Boundary conditions at the horizon  $\Rightarrow$   
 $\Rightarrow$  boundary conditions at the singularity!
  - Moreover, if quantum effects remove the general relativistic singularity, a critical frequency might appear above which no horizon would be experienced at all.



# Superluminal dispersion relations

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  - Moreover, if quantum effects remove the general relativistic singularity, a critical frequency might appear above which no horizon would be experienced at all.
- Our approach:
  - Hawking derivation through the relation between the asymptotic past and future in a collapsing configuration.
  - No extra assumptions on the asymptotic regions (only standard ones: Minkowski geometry in the past and flatness at spatial infinity also in the future).



## Differences in the late-time radiation (superluminal vs. relativistic)

- At any instant, above a critical frequency, there is no horizon. This induces a cutoff in the modes contributing to radiation.
  - Intensity is lower even if the critical frequency is well above the Planck scale.
  - Radiation will extinguish as time advances.



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  - Intensity is lower even if the critical frequency is well above the Planck scale.
  - Radiation will extinguish as time advances.
- Surface gravity is frequency-dependent and the radiation depends on the physics inside the black hole. The radiation spectrum undergoes a strong qualitative modification:
  - High-frequency radiation is not negligible compared to the low-frequency thermal part, but can even become dominant.
  - This effect becomes more important with increasing critical frequency.



# Standard Hawking radiation

## *Geometry — collapse*

- Painlevé-Gullstrand 1 + 1 spacetime

$$ds^2 = -[c^2 - v^2(t, x)]dt^2 - 2v(t, x)dt dx + dx^2 ,$$

- regular at the horizon
- $c$  = speed of light,  $v$  = velocity of free-fall
- acoustic models:  $c$  = speed of sound,  $v$  = flow velocity





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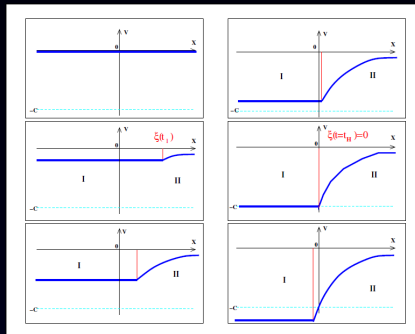
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- acoustic models:  $c$  = speed of sound,  $v$  = flow velocity
- Schwarzschild-type velocity profile  $\bar{v}(x)$  (only qualitative features are relevant)

$$\bar{v}(x) = -c \sqrt{\frac{2M/c^2}{x + 2M/c^2}}$$

$$v(t, x) = \begin{cases} \bar{v}(\xi(t)), & x \leq \xi(t), \\ \bar{v}(x), & x \geq \xi(t). \end{cases}$$



# Wave equation — inner product

[Standard Hawking radiation]

- Wave equation:  $(\partial_t + \partial_x v)(\partial_t + v\partial_x)\phi = c^2 \partial_x^2 \phi$
- Equivalent to  $3 + 1$  spherical symmetry if backscattering (grey-body factors) is ignored
- Klein-Gordon product:  $(\varphi_1, \varphi_2) \equiv -i \int_{\Sigma_t} dx \varphi_1 \overset{\leftrightarrow}{\partial}_t \varphi_2^*$



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- Future null coordinates

$$u(t, x) \rightarrow t - x/c, \quad w(t, x) \rightarrow t + x/c, \quad \text{when } t, x \rightarrow +\infty$$

- Independent of  $\Sigma_t$ . For  $t \rightarrow \infty$ ,

$$(\varphi_1, \varphi_2) = -\frac{ic}{2} \left\{ \int_{-\infty}^{+\infty} du [\varphi_1 \partial_u \varphi_2^* - \varphi_2^* \partial_u \varphi_1]_{w=+\infty} + \int_{-\infty}^{+\infty} dw [\varphi_1 \partial_w \varphi_2^* - \varphi_2^* \partial_w \varphi_1]_{u=+\infty} \right\}.$$

- Likewise for past null coordinates  $U, W$  and  $t \rightarrow -\infty$ .



# Bogoliubov coefficient $\beta$ (i)

[Standard Hawking radiation]

- Right-moving positive frequency past and future modes:

$$\psi'_{\omega'} = \frac{1}{\sqrt{2\pi c \omega'}} e^{-i\omega' U}, \quad \psi_{\omega} = \frac{1}{\sqrt{2\pi c \omega}} e^{-i\omega u}.$$

- Hawking radiation is encoded in  $\beta_{\omega\omega'} \equiv (\psi'_{\omega'}, \psi_{\omega}^*)$ .
- Mode mixing happens in the right-moving sector. Therefore, we only need the first term of the previous KG expression:

$$\begin{aligned} \beta_{\omega\omega'} &= -\frac{ic}{2} \int_{-\infty}^{+\infty} du [\psi'_{\omega'} \partial_u \psi_{\omega} - \psi_{\omega} \partial_u \psi'_{\omega'}]_{w=+\infty} \\ &= \frac{1}{2\pi} \sqrt{\frac{\omega}{\omega'}} \int du e^{-i\omega' U(u)} e^{-i\omega u}. \end{aligned}$$

- All the info is contained in  $U = U(u) \equiv U(u, w \rightarrow +\infty)$ .



**Bogoliubov coefficient  $\beta$  (ii)**

[Standard Hawking radiation]

- At late times,  $U = U_H - Ae^{-\kappa u/c}$ , where  $U_H$ ,  $A$  and the surface gravity  $\kappa \equiv c \left| \frac{d\bar{v}}{dx} \right|_{x_H}$  are constants.
- We can define a threshold time  $u_I$  at which an asymptotic observer will start to detect thermal radiation from the black hole. This retarded time corresponds to the moment at which the function  $U(u)$  enters the exponential regime.
- Rewrite as  $U = U_H - A_0 e^{-\kappa(u-u_I)/c}$ , valid for  $u > u_I$ .
- Obtain  $\beta_{\omega\omega'}$ . [Dirac-delta normalization]



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- Obtain  $\beta_{\omega\omega'}$ . [Dirac-delta normalization]
- Narrow wave packets:

$$P_{\omega_j, u_I}(\omega) \equiv \begin{cases} \frac{e^{i\omega u_I}}{\sqrt{\Delta\omega}}, & -\frac{1}{2}\Delta\omega < \omega - \omega_j < \frac{1}{2}\Delta\omega, \\ 0, & \text{otherwise;} \end{cases}$$

- centered at  $u_I \equiv u_0 + 2\pi l/\Delta\omega$ , with  $u_0$  an overall reference;
- central frequency:  $\omega_j \equiv j\Delta\omega$ ; width:  $\Delta\omega \ll \omega_j$ .



# Bogoliubov coefficient $\beta$ (iii)

[Standard Hawking radiation]

- Define

$$\beta_{\omega_j, u_I; \omega'} \equiv \int d\omega \beta_{\omega\omega'} P_{\omega_j, u_I}(\omega),$$

$$z = (c\Delta\omega/2\kappa) \ln(\omega' A_0), \quad z_I = (\Delta\omega/2)(u_I - u_I).$$

- Number of particles with frequency  $\omega_j$  detected at time  $u_I$  by an asymptotic observer:

$$\begin{aligned} N_{\omega_j, u_I} &= \int_0^{+\infty} d\omega' |\beta_{\omega_j, u_I; \omega'}|^2 = \int_{-\infty}^{+\infty} dz \frac{\sin^2(z - z_I)}{\pi(z - z_I)^2} \frac{1}{\exp(2\pi c\omega_j/\kappa) - 1} \\ &= \frac{1}{\exp(2\pi c\omega_j/\kappa) - 1}. \end{aligned}$$

- Hawking's formula (in the absence of backscattering): Planckian spectrum with temperature  $T_H = \kappa/(2\pi c)$ .



# Hawking radiation with superluminal dispersion

## *Modified wave equation (i)*

- Quartic modification to wave equation:

$$(\partial_t + \partial_x v)(\partial_t + v\partial_x)\phi = c^2 \left( \partial_x^2 + \frac{1}{k_P^2} \partial_x^4 \right) \phi ,$$

- Dispersion relation:  $(\omega - vk)^2 = c^2 k^2 (1 + k^2/k_P^2)$ .
  - $k_P$ : 'Planck scale' — non-relativistic deviations.
  - In BEC,  $k_P = 2/\xi$  (inverse of the healing length)





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  - In BEC,  $k_P = 2/\xi$  (inverse of the healing length)
- Modification in the phase and the group velocities,

$$v_{k,ph} \equiv \omega/k = c_{k,ph} + v , \quad v_{k,g} \equiv d\omega/dk = c_{k,g} + v ,$$

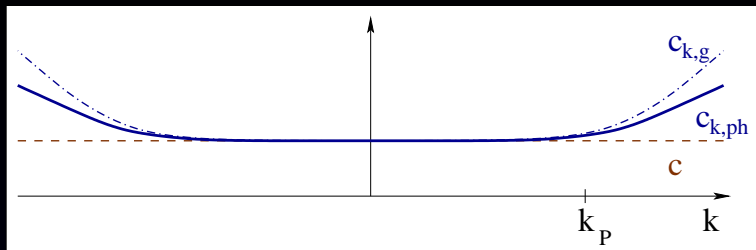
due to  $k$ -dependent phase and group speeds of light/sound

$$c_{k,ph} = c \sqrt{1 + k^2/k_P^2} , \quad c_{k,g} = c \frac{1 + 2k^2/k_P^2}{\sqrt{1 + k^2/k_P^2}} .$$



**Modified wave equation (ii)**

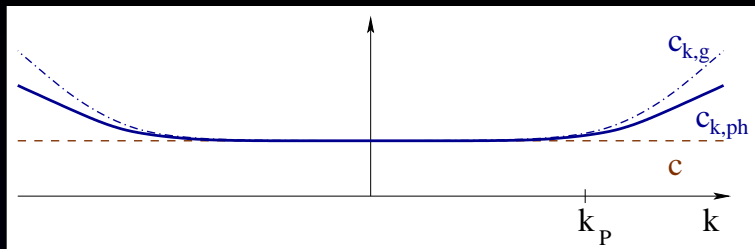
[Hawking radiation with superluminal dispersion]



- Both speeds  $c_{k,g}$  and  $c_{k,ph}$  show the same qualitative behaviour. Our results are independent of the choice  $\rightarrow c_k$ .
- Frequency-dependent horizon when  $c_k + v = 0$ .

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- Both speeds  $c_{k,g}$  and  $c_{k,ph}$  show the same qualitative behaviour. Our results are independent of the choice  $\rightarrow c_k$ .
- Frequency-dependent horizon when  $c_k + v = 0$ .
- Since  $c_k$  becomes arbitrarily high for increasing wave number, there will be a critical  $\omega'_c$  such that waves with an initial frequency  $\omega' > \omega'_c$  do not experience a horizon. The only exception occurs when the velocity profile ends in a singularity  $\bar{v} \rightarrow -\infty$ , which implies  $\omega'_c \rightarrow \infty$ .



# Scalar product

[Hawking radiation with superluminal dispersion]

- Same as before for  $t = \text{constant}$ . It is well-defined and conserved:

$$\partial_t(\varphi_1, \varphi_2) = \int dx \varphi_1 \overset{\leftrightarrow}{\partial}_t^2 \varphi_2^* = 0.$$

- There is a preferred time frame: the 'laboratory' time  $t$ .
- Perform the same change of coordinates  $(t, x \rightarrow u, w)$  as before and evaluate at  $t \rightarrow +\infty$ .
- The relevant part (right-moving sector) of the inner product is

$$-\frac{ic}{2} \int_{-\infty}^{+\infty} du [\varphi_1 \partial_u \varphi_2^* - \varphi_2^* \partial_u \varphi_1]_{w=+\infty}.$$

- Invariant under change of integration variable  $u \rightarrow f(u)$ .



# Bogoliubov coefficient $\beta$ (i)

[Hawking radiation with superluminal dispersion]

- With slowly varying profiles, the past and future right-moving positive-energy modes are (up to grey-body factors)

$$\psi'_{\omega'} \approx \frac{1}{\sqrt{2\pi c \omega'}} e^{-i\omega' \mathcal{U}_{\omega'}(u, w)}, \quad \psi_{\omega} \approx \frac{1}{\sqrt{2\pi c \omega}} e^{-i\omega u_{\omega}(u, w)},$$

where  $\mathcal{U}_{\omega'}(u, w)$  and  $u_{\omega}(u, w)$  can be obtained by integration of the ray equation

$$dx/dt = c_{k(\omega')}(t, x) + v(t, x).$$

- Integration for an initial frequency  $\omega'$  starting from the past left infinity towards the right gives  $\mathcal{U}_{\omega'}(u, w) = \text{constant}$ .
- Starting from the future, we can define  $u_{\omega}(u, w)$ .
- *Ditto* for  $\mathcal{W}_{\omega'}$  and  $w_{\omega}$ .
- $\mathcal{U}_{\omega'}$  and  $u_{\omega}$  are not null (geometric) coordinates, since they are frequency-dependent, but share many properties with them.
- Simple (piecewise) profile  $\rightarrow$  explicit integration.



**Bogoliubov coefficient  $\beta$  (ii)**

[Hawking radiation with superluminal dispersion]

- When calculating  $\beta_{\omega,\omega'}$ , change integration variables

$$u, w \rightarrow u_{\omega}(u, w), w_{\omega}(u, w)$$

- $w \rightarrow \infty$  implies  $w_{\omega} \rightarrow \infty$ ,  $u_{\omega} \rightarrow u_{\omega}(u)$ ,  $\mathcal{U}_{\omega'} \rightarrow \mathcal{U}_{\omega'}(u)$ .
- Then, up to grey-body factors,

$$\begin{aligned} \beta_{\omega\omega'} &= -\frac{ic}{2} \int_{-\infty}^{+\infty} du_{\omega} [\psi'_{\omega'} \partial_{u_{\omega}} \psi_{\omega} - \psi_{\omega} \partial_{u_{\omega}} \psi'_{\omega'}]_{w_{\omega}=+\infty} \\ &\approx \frac{1}{2\pi} \sqrt{\frac{\omega}{\omega'}} \int du_{\omega} e^{-i\omega' \mathcal{U}_{\omega'}(u_{\omega})} e^{-i\omega u_{\omega}}. \end{aligned}$$



**Bogoliubov coefficient  $\beta$  (iii)**

[Hawking radiation with superluminal dispersion]

- Integration of the ray equation provides the relation between  $\mathcal{U}_{\omega'}$  and  $u_{\omega}$  where  $\omega'$  is the initial frequency of a ray at the past left infinity and  $\omega = \omega(\omega')$  is its final frequency when reaching the future right infinity.
- Result: 
$$\mathcal{U}_{\omega'} = \mathcal{U}_{H,\omega'} - A_0 e^{-\kappa_{\omega'}(u_{\omega} - \bar{u}_{I,\omega'})/c};$$
  - valid for  $\omega' < \omega'_c$  (for which a horizon is experienced);
  - valid for times  $u_{\omega} > u_{I,\omega'_c}$ , where  $u_{I,\omega'_c}$  is the largest threshold time (this induces a slight underestimation of the effect).
- The term carrying  $\mathcal{U}_{H,\omega'}$  is modulated away, so the only relevant frequency-dependent factor that we are left with is the surface gravity  $\kappa_{\omega'}$ .



# Modified Hawking spectrum (i)

[Hawking radiation with superluminal dispersion]

- Smear with narrow packets.
- Change integration variable from  $\omega'$  to  $z$ :  $[\kappa_0 \equiv \kappa_{\omega'=0}]$

$$z = \frac{c\Delta\omega}{2\kappa_0} \ln(\omega' A_0), \quad z_{l,\omega'} = \frac{\kappa_{\omega'}}{\kappa_0} \frac{\Delta\omega}{2} (u_l - \bar{u}_{l,\omega'}).$$

- Number of particles (with frequency  $\omega_j$  at time  $u_l$ ):

$$N_{\omega_j, u_l} = \int_{-\infty}^{z_c} dz \frac{\kappa_0}{\kappa_{\omega'}} \frac{\sin^2 \left[ \frac{\kappa_0}{\kappa_{\omega'}} (z - z_{l,\omega'}) \right]}{\pi \left[ \frac{\kappa_0}{\kappa_{\omega'}} (z - z_{l,\omega'}) \right]^2} \frac{1}{\exp(2\pi c\omega_j/\kappa_{\omega'}) - 1}.$$

- Dependence on the critical frequency  $\omega'_c$  (through  $z_c$ )
- Importance of the frequency-dependent  $\kappa_{\omega'}$ .
- As  $u_l$  increases, a smaller part of the central peak will be integrated over, so the radiation will die off.





## Modified Hawking spectrum (ii)

[Hawking radiation with superluminal dispersion]

- Given a concrete profile, we can explicitly deduce the relation between  $\kappa_{\omega'}$  and  $\omega'$  as follows:
  - The horizon for a particular initial frequency  $\omega'$  is formed when  $c_k^2(x_{H,\omega'}) = v^2(x_{H,\omega'})$ . This is an equation for  $x_{H,\omega'}$ .
  - Use this value in the expression for the surface gravity.
- For a Schwarzschild profile,

$$\kappa_{\omega'} \equiv c \left| \frac{d\bar{v}}{dx} \right|_{x_{H,\omega'}} = \kappa_0 \frac{1}{2\sqrt{2}} \left( 1 + \sqrt{1 + 4 \frac{\omega'^2}{c^2 k_P^2}} \right)^{3/2} .$$

- Everything is ready for evaluation.



## Modified Hawking spectrum (iii)

[Hawking radiation with superluminal dispersion]

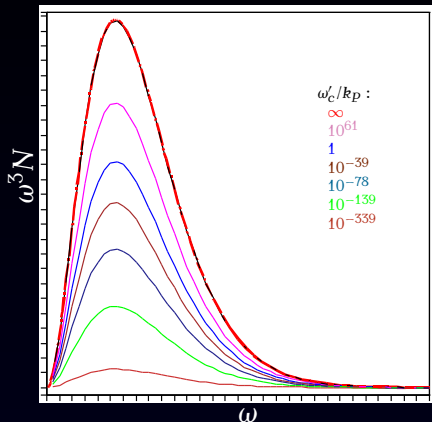
- Frequencies  $\omega' > \omega'_c$  do not contribute to the radiation at all, since they do not experience a horizon.
- This cut-off is not imposed *ad hoc*, but appears explicitly because of the superluminal character of the system at high frequencies.
- The critical frequency depends directly on the physics inside the horizon, i.e. on the velocity profile, and can be calculated from the dispersion relation.



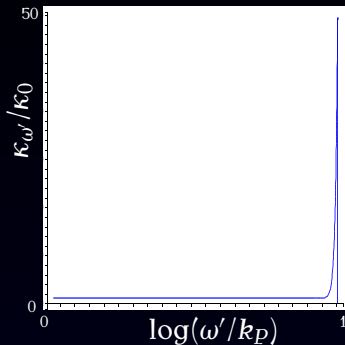
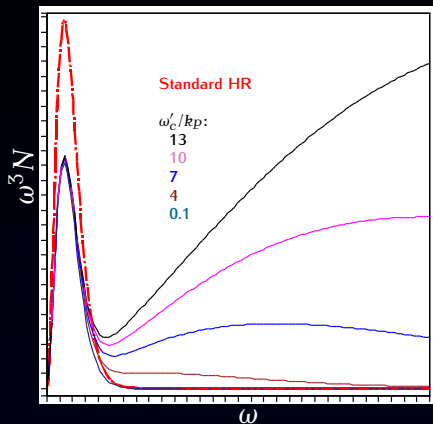
# Results

## Dependence on $\omega'_c$

Profile with  $\kappa_{\omega'} = \kappa_0$  constant



- Important decrease even when  $\omega'_c \geq k_P$
- Contributions from extremely wide range of frequencies

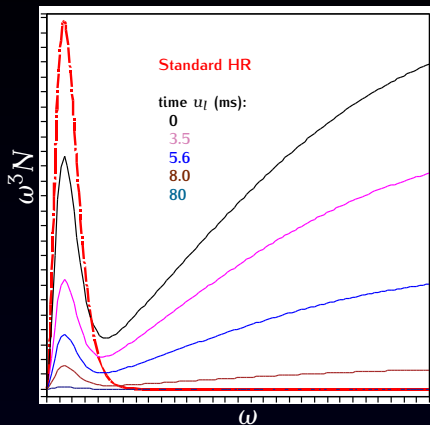
Schwarzschild profile ( $\omega'_c \sim k_P$ )

- Important ultraviolet contribution
- 'Interior' of black hole is explored

# Dependence on time

[Results]

For a solar-mass black hole:



- As time increases, radiation dies off
- Decay rate  $\sim 0.3 \text{ ms}^{-1}$



# Conclusions

## Summary (i)

- Collapsing configuration with superluminal dispersion:
  - horizon, surface gravity... become frequency-dependent
  - interior of the (zero-frequency) horizon is probed
  - at every moment of collapse: critical  $\omega'_c$  above which there is no horizon (unless we allow for an untamed singularity)



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- Hawking radiation:
  - $\omega'_c$ -dependent: radiation fainter than standard HR radiation dies off
  - $\kappa_{\omega'}$ -dependent: high-frequency contribution



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- Hawking radiation:
  - $\omega'_c$ -dependent: radiation fainter than standard HR radiation dies off
  - $\kappa_{\omega'}$ -dependent: high-frequency contribution
- Superluminal dispersion leads to strong modification of standard Hawking spectrum, even if  $\omega'_c \gg k_p$
- Schwarzschild profile does not reproduce standard spectrum





- Recovering standard Hawking radiation
  - If the velocity profile is such that the surface gravity is frequency independent, then the thermal form is preserved.
  - If we do not regularize the singularity, there is no critical frequency and the Hawking spectrum is unchanged:
    - full intensity
    - stationarity



- Conditions for robustness of Hawking radiation
  1. freely falling frame is preferred
  2. high-frequency excitations start off in ground state at the horizon (w.r.t. freely falling frame)
  3. adiabatic evolution



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  1. freely falling frame is preferred
  2. high-frequency excitations start off in ground state at the horizon (w.r.t. freely falling frame)
  3. adiabatic evolution
- Superluminal dispersion:
  - Lorentz breaking  $\rightarrow$  preferred frame: the lab frame
    - Assumption 1 is not satisfied
  - Horizon approaches singularity as  $\omega'$  increases
    - Conditions at horizon  $\rightsquigarrow$  conditions at singularity!
  - Low-frequency modes couple to the collapsing geometry  
Ultrahigh-frequency modes couple to the lab frame
    - Assumption 2 is not satisfied



the end

