

## Miembros del grupo de Investigación

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## Tesis Doctorales dirigidas

- Begoña Marchena González (1999): *Subconjuntos del rango de una medida vectorial*
- J. M. Delgado Sánchez (2002): *Conjuntos uniformemente sumantes de operadores*
- E. Serrano Aguilar (2005): *Conjuntos equicomactos de operadores definidos en espacios de Banach*

## Líneas de investigación

- Rango de una medida vectorial
- Teoría de operadores en espacios de Banach: operadores  $p$ -sumantes, compactos,  $p$ -compactos, etc.
- Propiedad de aproximación de orden  $p$

# $p$ -Compact Operators

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# Outline

- 1 Motivation
- 2  $p$ -Compact sets and  $p$ -compact operators
- 3 Relationship with  $p$ -summing operators

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D. P. Sinha, A. K. Karn, “ *Compact operators whose adjoints factor through subspaces of  $\ell_p$* ”, Studia Math. 150 (2002), no. 1, 17–33.

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### Theorem [Grothendieck]

Let  $X$  be a Banach space.  $K \subset X$  is relatively compact iff there exists  $(x_n) \in c_0(X)$  such that

$$A \subset \overline{c_0}(x_n)$$



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### Definition [D. P. Sinha and A. K. Karn, 2002]

Let  $p \geq 1$  and  $1/p + 1/p' = 1$ . A set  $K \subset X$  is **relatively  $p$ -compact** if there exists  $(x_n) \in \ell_p(X)$  such that

$$K \subset p\text{-co}(x_n) := \left\{ \sum_n a_n x_n : (a_n) \in B_{\ell_{p'}} \right\}.$$

## Definition [D. P. Sinha and A. K. Karn, 2002]

An operator  $T \in \mathcal{L}(X, Y)$  is  **$p$ -compact** if  $T(B_X)$  is relatively  $p$ -compact, i.e., there exists  $(y_n) \in \ell_p(Y)$  such that  $T(B_X) \subset p\text{-co}(y_n)$ .

$$\mathcal{K}_p(X, Y) = \{T \in \mathcal{L}(X, Y) : T \text{ is } p\text{-compact}\}$$

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## Definition

A Banach space  $X$  has the approximation property if the identity map  $I_X$  can be approximated uniformly on every compact subset of  $X$  by finite rank operators.

- $p$ -compact operators defined on same classes of Banach spaces: Hilbert spaces, function spaces, etc.
- Relationship with other operator ideals
- The  $p$ -approximation property of Sinha y Karn

# Outline

- 1 Motivation
- 2  $p$ -Compact sets and  $p$ -compact operators
- 3 Relationship with  $p$ -summing operators

## Definition

If  $\mathcal{L}$  denotes the class of all bounded operators between Banach spaces, we recall that a subclass  $\mathcal{A}$  is called an **operator ideal** if the components  $\mathcal{A}(X, Y) = \mathcal{A} \cap \mathcal{L}(X, Y)$  satisfy (for all Banach spaces  $X$  and  $Y$ ):

- $\mathcal{A}(X, Y)$  is a vector subspace of  $\mathcal{L}(X, Y)$
- $\mathcal{F}(X, Y) \subset \mathcal{A}(X, Y)$
- $S \circ T \circ R$  belongs to  $\mathcal{A}(X, W)$ , whenever  $S \in \mathcal{L}(Z, W)$ ,  $R \in \mathcal{L}(X, Y)$  and  $T \in \mathcal{A}(Y, Z)$

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## Definition

If  $\mathcal{A}$  is an operator ideal,  $\mathcal{A}^d$  is the **dual operator ideal** defined by

$$\mathcal{A}^d(X, Y) = \{T \in \mathcal{L}(X, Y) : T^* \in \mathcal{A}(Y^*, X^*)\}$$

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- If  $1 \leq p \leq q \leq \infty$ ,  $\mathcal{K}_p(X, Y) \subset \mathcal{K}_q(X, Y)$ .
- $\mathcal{K}_p$  is an operator ideal.



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## Proposition [D. P. Sinha and A. K. Karn, 2002]

Let  $p \geq 1$ . Then:

- 1  $\mathcal{K}_p(X, Y) \subset \Pi_p^d(X, Y)$ .
- 2  $\mathcal{N}_p(X, Y) \subset \mathcal{K}_p^d(X, Y) \subset \Pi_p(X, Y)$

## Definition

An operator  $T \in \mathcal{L}(X, Y)$  is  $p$ -nuclear if it admits a representation of the form  $T = \sum_n x_n^* \otimes y_n$ , where  $(y_n) \in \ell_{p'}^w(Y)$  and  $(x_n^*) \in \ell_p(X^*)$ .

## Definition

An operator  $T \in \mathcal{L}(X, Y)$  is  $p$ -summing if it maps  $p$ -weakly summable sequences to absolutely  $p$ -summable sequences.

## Definition

An operator  $T \in \mathcal{L}(X, Y)$  is said to be **quasi  $p$ -nuclear** ( $T \in \mathcal{QN}_p(X, Y)$ ) if there exists  $(x_n^*) \in \ell_p(X^*)$  such that

$$\|Tx\| \leq \left(\sum_n |\langle x_n^*, x \rangle|^p\right)^{1/p}, \quad \forall x \in X.$$

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## Proposition [J. M. Delgado, C. Piñeiro, E. Serrano]

$$\textcircled{1} \quad T(B_X) \subset p\text{-co}(y_n) \Leftrightarrow \|T^*y^*\| \leq (\sum_n |\langle y_n, y^* \rangle|^p)^{1/p}, \quad \forall y^* \in Y^*.$$

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## Corollary

$$\textcircled{1} \quad \text{If } T \in \mathcal{K}_p(X, Y) \text{ then } T^* \in \mathcal{QN}_p(Y^*, X^*). \quad [\mathcal{K}_p \subset \mathcal{QN}_p^d]$$

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- 1  $T(B_X) \subset p\text{-co}(y_n) \Leftrightarrow \|T^*y^*\| \leq (\sum_n |\langle y_n, y^* \rangle|^p)^{1/p}, \forall y^* \in Y^*$
- 2  $T^*(B_{Y^*}) \subset p\text{-co}(x_n^*) \Leftrightarrow \|Tx\| \leq (\sum_n |\langle x_n^*, x \rangle|^p)^{1/p}, \forall x \in X.$

## Corollary

- 1 If  $T \in \mathcal{K}_p(X, Y)$  then  $T^* \in \mathcal{QN}_p(Y^*, X^*)$ .  $[\mathcal{K}_p \subset \mathcal{QN}_p^d]$

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- 1 If  $T \in \mathcal{K}_p(X, Y)$  then  $T^* \in \mathcal{QN}_p(Y^*, X^*)$ . [ $\mathcal{K}_p \subset \mathcal{QN}_p^d$ ]
- 2  $T \in \mathcal{QN}_p(X, Y)$  iff  $T \in \mathcal{K}_p(Y^*, X^*)$ . [ $\mathcal{QN}_p = \mathcal{K}_p^d$ ]

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### Theorem [Reinov (2001)]

Let  $p \in [1, +\infty]$ ,  $T \in \mathcal{L}(X, Y)$ . If  $X^*$  enjoys the approximation property, then the  $p$ -nuclearity of the conjugate operator  $T^*$  implies  $T$  belongs to the space  $\mathcal{N}^p(X, Y)$ .

- We recall that  $T \in \mathcal{N}^p(X, Y)$  if there exist sequences  $(x_n^*) \in \ell_{p'}^w(X^*)$  and  $(y_n) \in \ell_p(Y)$  such that  $T$  admits the representation  $T = \sum_n x_n^* \otimes y_n$ . Note that  $\mathcal{N}^p(X, Y) \subseteq \mathcal{K}_p(X, Y)$ .

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- The norm in this ideal will be denoted by  $\nu^p$  and is defined by

$$\nu^p(T) = \inf \|(y_n)\|_p \cdot \varepsilon_{p'}(x_n^*)$$

where the infimum is taken over all possible representations in the above form of the operator  $T$ .

- If  $A \subset X$  is bounded, consider the operator  $U : \ell_1(A) \rightarrow X$  defined by  $U(\psi_a) = \sum_{a \in A} \psi_a \cdot a$  for all  $(\psi_a) \in \ell_1(A)$ .

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## Proposition

Let  $p \in [1, +\infty]$  and  $A \subset X$  bounded. The following statements are equivalent:

- 1  $A$  is relatively  $p$ -compact.
- 2  $U$  is  $p$ -compact.
- 3  $U^*$  is  $p$ -nuclear.
- 4  $U$  belongs to  $\mathcal{N}^p$ .



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*Proof.* (1) $\Leftrightarrow$ (2)

$$A \subset U(B_{\ell_1(A)}) \subset \overline{\text{aco}}(A).$$

## Corollary 1

Let  $p \in [1, +\infty]$  and  $A \subset X$  bounded.  $A$  is relatively  $p$ -compact in  $X$  iff is relatively  $p$ -compact as a subset of  $X^{**}$ .

### Corollary 1

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### Corollary 2

If  $p \in [1, +\infty]$ , then  $\mathcal{QN}_p^d = \mathcal{K}_p$ .

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- 3 Relationship with  $p$ -summing operators

If  $T \in \mathcal{K}_p(X, Y)$ , we consider the natural norm

$$\kappa_p(T) = \inf \left( \sum_n \|y_n\|^p \right)^{1/p},$$

where the infimum runs over all sequences  $(y_n) \in \ell_p(Y)$  satisfying

$$T(B_X) \subseteq \left\{ \sum_n \alpha_n y_n : (\alpha_n) \in B_{\ell_{p'}} \right\}.$$

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### Proposition

If  $X$  and  $Y$  are Hilbert spaces, then  $\mathcal{K}_2(X, Y)$  and  $\mathcal{HS}(X, Y)$  are isometric

## Theorem 1

Let  $T \in \mathcal{L}(X, Y)$  and  $p \in [1, +\infty)$ . The following statements are equivalent:

- 1  $T$  is  $p$ -summing.
- 2  $T^*$  maps relatively compact subsets of  $Y^*$  to relatively  $p$ -compact subsets of  $X^*$ .

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*Proof.* (1) $\Rightarrow$ (2) If  $(y_n^*)$  is a null sequence in  $Y^*$ , we define  $S: y \in Y \rightarrow (\langle y, y_n^* \rangle) \in c_0$ . Then  $S$  is  $\infty$ -nuclear,  $S \circ T$  is  $p$ -nuclear and

$$\nu_p(S \circ T) \leq \nu_\infty(S) \pi_p(T) \leq \pi_p(T) \sup_n \|y_n^*\|$$



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$$\nu_p(S \circ T) \leq \nu_\infty(S) \pi_p(T) \leq \pi_p(T) \sup_n \|y_n^*\|$$

Therefore  $(S \circ T)^*$  belongs to  $\mathcal{N}^p(\ell_1, X^*)$  and

$$\nu^p((S \circ T)^*) \leq \nu_p(S \circ T).$$

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Therefore  $(S \circ T)^*$  belongs to  $\mathcal{N}^p(\ell_1, X^*)$  and

$\nu^p((S \circ T)^*) \leq \nu_p(S \circ T)$ . It is easy to check that  $\mathcal{K}_p(\ell_1, X^*)$  and  $\mathcal{N}^p(\ell_1, X^*)$  are isometric. Then

$$\kappa_p((S \circ T)^*) \leq \nu_p(S \circ T) \leq \pi_p(T) \sup_n \|y_n^*\|.$$

This proves that the linear map

$$\begin{aligned} U: c_0(Y^*) &\longrightarrow \mathcal{K}_p(\ell_1, X^*) \\ (y_n^*) &\longmapsto \sum_n e_n^* \otimes T^* y_n^* \end{aligned}$$

is well defined and  $\|U\| \leq \pi_p(T)$ . Notice that, in particular, we have proved that the set  $\{T^* y_n^* : n \in \mathbb{N}\}$  is relatively  $p$ -compact.

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(2) $\Rightarrow$ (1)

### Proposition[R. Ryan]

$T: X \longrightarrow Y$  is  $p$ -summing iff there is a constant  $C > 0$  such that for every finite dimensional subspace  $E$  of  $X$  and every finite codimensional subspace  $F$  of  $Y$ , the finite dimensional operator

$$q_F \circ T \circ i_E: E \longrightarrow X \longrightarrow Y \longrightarrow Y/F$$

satisfies  $\pi_p(q_F \circ T \circ i_E) \leq C$ . Furthermore, we have

$\pi_p(T) = \inf C$ , where the infimum is taken over all such pairs,  $E$ ,  $F$ .

## Theorem 2

Let  $T \in \mathcal{L}(X, Y)$  and  $p \in [1, +\infty)$ . The following statements are equivalent:

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## Proposition

Let  $p \in [1, +\infty)$ . If  $S : X \rightarrow Y$  is compact and  $T : Y \rightarrow Z$  has  $p$ -summing adjoint, then  $T \circ S$  is  $p$ -compact and

$$\kappa_p(T \circ S) \leq \pi_p(T^*) \cdot \|S\|.$$

**Definition [D. P. Sinha and A. K. Karn, 2002]**

Let  $p \geq 1$  and  $1/p + 1/p' = 1$ . A set  $K \subset X$  is *relatively weakly  $p$ -compact* if there exists  $(x_n) \in \ell_p^w(X)$  such that

$$K \subset p\text{-co} (x_n) := \left\{ \sum_n a_n x_n : (a_n) \in B_{\ell_{p'}} \right\}.$$

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## Definition [J.M.F Castillo et al]

$A \subset X$  is said to be *relatively weakly  $p$ -compact* if each bounded sequence in  $A$  admits a weakly  $p$ -converging subsequence.



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A sequence  $(x_n)$  is said to be *weakly  $p$ -convergent* to  $x$  if the sequence  $(x_n - x)$  is weakly  $p$ -summable.

## Definition [D. P. Sinha and A. K. Karn, 2002]

Let  $p \geq 1$  and  $1/p + 1/p' = 1$ . A set  $K \subset X$  is *relatively weakly  $p$ -compact* if there exists  $(x_n) \in \ell_p^w(X)$  such that

$$K \subset p\text{-co} (x_n) := \left\{ \sum_n a_n x_n : (a_n) \in B_{\ell_{p'}} \right\}.$$

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As the unit ball of  $\ell_p$  is weakly  $p'$ -compact, it follows that the first definition is stronger.

## Definition [D. P. Sinha and A. K. Karn, 2002]

An operator  $T \in \mathcal{L}(X, Y)$  is *weakly  $p$ -compact* if  $T(B_X)$  is relatively weakly  $p$ -compact, i.e., there exists  $(y_n) \in \ell_p^w(Y)$  such that  $T(B_X) \subset p\text{-co}(y_n)$ .

$$\mathcal{W}_p(X, Y) = \{T \in \mathcal{L}(X, Y) : T \text{ is weakly } p\text{-compact}\}$$

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It is obvious that

$$\Pi_p(X, Y) \subset \mathcal{V}_p(X, Y).$$

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If  $Y$  is a Banach space isomorphic to a Hilbert space, then  $\Pi_2(X, Y) = \mathcal{V}_2(X, Y)$  for every Banach space  $X$ .

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  - 3 In general, the above condition is not sufficient
- Operators mapping weakly compact sets to weakly  $p$ -compact sets