

# A CHARACTERIZATION OF THE DENSITY PROPERTY FOR TRANSLATION INVARIANT BASES

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XV ENCUENTRO DE LA RED DE ANÁLISIS FUNCIONAL Y  
APLICACIONES  
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1 DIFFERENTIATION BASES AND THE BUSEMANN-FELLER THEOREM.

2 THE DENSITY PROPERTY FOR TRANSLATION INVARIANT BASES

3 A COUNTEREXAMPLE TO A CENTERED DE GUZMÁN CONJECTURE

4 A FEW WORDS ABOUT THE PROOF

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# DIFFERENTIATION BASES

- A basis  $\mathfrak{B}$  is a collection of open bounded sets in  $\mathbb{R}^n$ . Some important examples of bases are:
  - The collection  $\mathcal{Q}$  consisting of all *cubes* in  $\mathbb{R}^n$  with sides  $\parallel$  axes.
  - The collection  $\mathcal{B}$  consisting of all *Euclidean balls* in  $\mathbb{R}^n$ .
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- We say that  $\mathfrak{B}$  differentiates a class of functions  $X$  if for every  $f \in X$  we have that
$$\lim_{|E| \rightarrow 0} \frac{1}{|E|} \int_E f(x) dx = f(x)$$
 for a.e.  $x \in \mathbb{R}^n$ .
- We say that  $\mathfrak{B}$  is a *density basis* if  $\mathfrak{B}$  differentiates the class  $X^{\text{dens}} := \{1_E : E \subseteq \mathbb{R}^n, 0 < |E| < \infty\}$ .
- If we are working with an abstract basis  $\mathfrak{B}$  we many times require additional structure. The basis  $\mathcal{B}$  is *boundary measurable*.

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# THE MAXIMAL OPERATOR

- The differentiation properties of  $\mathfrak{B}$  are controlled by the mapping properties of the corresponding maximal operator:

- The typical estimates we are looking for are of the type  
 $M_{\lambda} f \leq C \|f\|_{p, \lambda}$  or  $L^p_{\lambda}$  boundedness

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# THE BUSEMANN-FELLER THEOREM

- There exists differentiation bases  $\mathcal{B}$  which differentiate  $L^\infty$  (so they are density bases) but do not differentiate  $L^p$  for any  $p < \infty$  (Hayes '50s and also subsequent slides).

- Recall that the dual pairing of  $\mathcal{B}$

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## THE BUSEMANN-FELLER THEOREM (1939)

If  $\mathfrak{B}$  is a homothety invariant basis then  $\mathfrak{B}$  is a density basis if and only if  $C_{\mathfrak{B}}(\alpha) \rightarrow 0$  as  $\alpha \rightarrow \infty$ .

$$C_{\mathfrak{B}}(\alpha) = \sup_{I \in \mathfrak{B}} \frac{1}{|I|} \int_I |f| \chi_{\alpha I} \, dx$$

- We call  $C_{\mathfrak{B}}(\alpha)$  the *halo function* of  $\mathfrak{B}$ . Note that  $C_{\mathfrak{B}}(\alpha) \rightarrow 0$  as  $\alpha \rightarrow \infty$  is automatically satisfied for all of the homogeneous type functions.

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- Let us now consider a basis  $\mathcal{B}$  which is *translation invariant* but *not necessarily scale invariant*. Immediately one discovers many pathological examples simply by introducing bad sets in large scales. Clearly these sets do not affect the differentiability of the functions of  $\mathcal{B}$  but they do affect the basis density of the functions.

QUESTION: (M. DE GUZMAN, 1975)

# TRANSLATION INVARIANT BASES

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If  $\mathfrak{B}$  is just translation invariant is it true that  $\mathfrak{B}$  is a density basis if and only if there exists (small)  $\tau > 0$  such that for all  $\alpha \in (0, 1)$  we have

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# A NON SCALE INVARIANT BUSEMANN-FELLER THEOREM

- While we cannot prove de Guzmán's conjecture we can still prove a characterization of the density property in the translation invariant case.

- While the exact order of the quantifier is important to de Guzmán's conjecture, this result is a density theorem.

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*Let  $\mathcal{B}$  be a translation invariant basis. Then  $\mathcal{B}$  is a density basis if and only if for every  $\alpha \in (0, 1)$  there exists  $r = r_\alpha > 0$  such that*

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# A COUNTEREXAMPLE FOR CENTERED BASES, I

- We define

$$M_{\mathfrak{B},r}f(x) := \sup_{\substack{B \in \mathfrak{B}(x) \\ \text{diam}(B) < r}} \int_B |f(y)| dy, \quad \mathfrak{B} := \bigcup_{x \in \mathbb{R}^n} \mathfrak{B}(x).$$

Here  $\mathfrak{B}(x)$  is a given collection of sets containing  $x \in \mathbb{R}^n$ . For example,  $\mathfrak{B}(x)$  is the collection of all balls centered at  $x$ . A counterexample to Theorem 1.1 is given in the next slide, which can be constructed as follows. Let  $\delta > 0$  be

$\delta < 1$  and let  $\mathfrak{B}(x) = \{B_\delta(x)\}$  (i.e.  $\mathfrak{B}(x)$  consists of a single ball centered by translation invariance of  $\mathfrak{B} = \bigcup_{x \in \mathbb{R}^n} \mathfrak{B}(x)$ ).

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- A counterexample to De Guzmán's conjecture in the centered case can be constructed as follows. For  $k \in \mathbb{N}$  let

$$\mathfrak{B}_k(0) := \left\{ \left(-\frac{\delta}{2}, \frac{\delta}{2}\right) \cup (s, s+2^{-k}\delta) : 0 < \delta < 2^{-2^k}, \quad s \in (2^{-k}, 2^{-k}+2^{-2^k}) \right\}.$$

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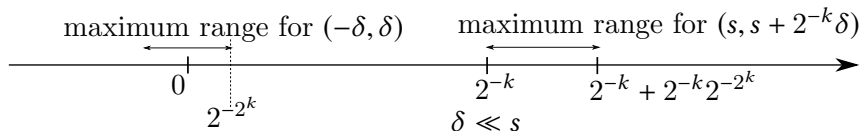
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- By the Hardy-Littlewood maximal theorem we then get that  $C_{\mathfrak{B}_k}(\alpha) \lesssim 1/\alpha$  if  $2^{-k} \lesssim \alpha$ . Thus for every  $\alpha \in (0, 1)$  there exists  $k \in \mathbb{N}$  such that  $C_{\mathfrak{B}_k}(\alpha) < \infty$ .
- We show however that it is impossible to choose a *uniform*  $r$  for every  $\alpha \in (0, 1)$  so that  $C_{\mathfrak{B}, r}(\alpha) < \infty$ .
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# A COUNTEREXAMPLE FOR CENTERED BASES, II

- Let  $\alpha \in (0, 1)$ ,  $k \in \mathbb{N}$  such that  $2^{-k} < \alpha/2$ , and  $x \in \mathbb{R}$  such that  $M_{\mathfrak{B}} 1_E(x) > \alpha$  for some  $E$  with  $0 < |E| < \infty$ .
- By the definition of  $\mathfrak{B}$  there exists  $I = I_1 \cup I_2 \in \mathfrak{B}_k(x)$  with  $I_1, I_2$  disjoint,  $|I_1| = 2^k |I_2|$ ,  $x \in I_1$  and

$$\frac{|E \cap (I_1 \cup I_2)|}{|I_1 \cup I_2|} > \alpha \implies \frac{|I_1 \cap E|}{|I_1|} > \alpha/2.$$

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$$C_{\mathbb{Q}_k, r}(\alpha) = \infty \quad \text{if} \quad r \geq \frac{1}{\alpha} - 1, \quad \alpha \rightarrow 1^-.$$

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Can Theorem 1.1 be extended to the uncentered case?

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## QUESTION

*Can De Guzmán's conjecture still be valid in the uncentered case?  
(Uncentered here means that  $\mathfrak{B}(x)$  is the collection of all sets containing  $x$ , not just a predefined collection.)*

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# CONTENTS

- 1 DIFFERENTIATION BASES AND THE BUSEMANN-FELLER THEOREM.
- 2 THE DENSITY PROPERTY FOR TRANSLATION INVARIANT BASES
- 3 A COUNTEREXAMPLE TO A CENTERED DE GUZMÁN CONJECTURE
- 4 A FEW WORDS ABOUT THE PROOF

# THE BUSEMANN-FELLER CONSEQUENCE

- One direction of the theorem is an easy consequence of a more general result of Busemann and Feller (BF). If  $\mathfrak{B}$  is a differentiation basis and for each  $\alpha \in (0, 1)$ , for each nested sequence of bounded measurable sets  $\{A_k\}$  such that  $|A_k| \rightarrow 0$ , and for each sequence of positive numbers  $r_k \rightarrow 0$  we have

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then  $\mathfrak{B}$  is a density basis. We can easily check that our assumption implies the BF condition. Indeed we just need to fix  $\alpha \in (0, 1)$  and chose  $k$  sufficiently large so that  $r_k < r_\alpha$ , with  $r_\alpha$  as in our theorem.

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- By pigeonholing and using that  $M_{2^{-k}}$  is a local operator we can then find a unit cube  $Q$  and for each  $\ell \in \mathbb{N}$  a set  $E_\ell := S_{\ell,\ell} \cap 3Q$  of positive and finite measure such that

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- With these definitions we can conclude (remember  $n_\ell|P_\ell| \approx 1$ )

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- By a Borel-Cantelli type of argument we can now find translations  $\{\tau_m\}_m$  such that almost every point of  $\mathbb{R}^d$  is contained in infinitely many of the sets  $\{\tau_m \bar{E}_m\}_m$ .
- By this construction and translation invariance we can find a set  $A$  of arbitrarily large measure  $|A| > \epsilon$  such that almost every  $x \in A$  satisfies

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- With these definitions we can conclude (remember  $n_\ell |F_\ell| \approx 1$ )

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- By a Borel-Cantelli type of argument we can now find translations  $\{\tau_m\}_m$  such that almost every point of  $\mathbb{R}^n$  is contained in infinitely many of the sets  $\{\tau_m \tilde{F}_m\}_m$ .
- By this construction and translation invariance we can now find a strictly increasing sequence  $\{m_j\}_j$  such that almost every  $x \in \mathbb{R}^n$  satisfies

$$x \in \bigcap_{j=1}^{\infty} \{Q : M_{2^{-m_j}} 1_{\tau_{m_j} E_{m_j}} > \alpha\} \subseteq \bigcap_{j=1}^{\infty} \{Q : M_{2^{-j}} 1_{\tau_{m_j} E} > \alpha\},$$

$$E := \bigcup_m \tau_m \tilde{E}_m, \quad |E| < +\infty.$$



# CONCLUDING THE PROOF

- We have proved that if the necessity statement of the theorem is negated then for almost every  $x \in \mathbb{R}^n$  we have

$$x \in \bigcap_{j=1}^{\infty} \{Q : M_{2^{-j}} 1_E > \alpha\}, \quad |E| < \infty.$$

- We conclude that there exists  $\alpha > 0$  such that, for almost every  $x \in \mathbb{R}^n$  there exists a sequence of sets  $\{R_{x,j}\}_j \subset \mathfrak{B}$  with  $\text{diam}(R_{x,j}) \leq 2^{-j}$ , and such that

$$\frac{|R_{x,j} \cap E|}{|R_{x,j}|} > \alpha, \quad \forall j \geq 1.$$

- But  $\mathfrak{B}$  is a density basis so for almost all  $x \in E^c$  we must have

$$\lim_{j \rightarrow \infty} \frac{|R_{x,j} \cap E|}{|R_{x,j}|} = 0$$

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