

STABILITY AND FIXED POINTS FOR NONEXPANSIVE MAPPINGS

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ABSTRACT. We use a coefficient to give fixed point theorems in the setting of Banach spaces with the weak Opial condition

1. Introduction.

Let X be a Banach space. A self-mapping T of a closed convex subset C of X is said to be a nonexpansive mapping if $\|Tx - Ty\| \leq \|x - y\|$ for all x, y in C .

We will say that X has the weak fixed point property (f.p.p.) if every nonexpansive mapping defined on a nonempty weakly compact convex subset of X has a fixed point.

Since 1965, F. Browder, D. Gohde, W.A. Kirk [10-12], and other authors have established that, under several conditions of geometric type on the norm of X , the f.p.p. can be guaranteed. Uniform convexity and normal structure are examples of such conditions.

Until D.E. Alspach [2] gave an example of a fixed point free nonexpansive mapping on a weakly compact convex subset of $L_1[0, 1]$ it remained open whether or not every Banach space possessed the f.p.p. Later B. Maurey, using nonstandard methods [1], [16], proved that every reflexive subspace of $L_1[0, 1]$ and also the space of sequences c_0 have the f.p.p.

In order to generalize Maurey's ideas on the f.p.p. for c_0 to a larger class of Banach lattices, J. Borwein and B. Sims [3] introduced the notion

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of a weakly orthogonal Banach lattice. We say a Banach lattice is weakly orthogonal if $\lim_n \| |x_n| \wedge |x| \| = 0$ for all x in X , whenever (x_n) is a weakly null sequence. Thus it is shown that a Banach space X has the fixed point property if there exists a weakly orthogonal Banach lattice Y such that $d(X, Y)\alpha(Y) < 2$, where $d(X, Y)$ is the Banach-Mazur distance between X and Y , and $\alpha(Y)$ is the Riesz angle of Y . ($\alpha(Y) := \sup\{\| |x| \vee |y| \| : x, y \in B_X\}$).

On the other hand, R. Huff in [11] introduced the following definition: a Banach space X is UKK if for every $\epsilon > 0$ there exists $\delta > 0$ such that $\|x\| \leq 1 - \delta$ whenever x is a weak limit of some sequence (x_n) in B_X with $\text{sep}(x_n) := \inf\{\|x_n - x_m\| : n \neq m\} > \epsilon$. A Banach space X is said to be NUC if it is reflexive and has the UKK property. D. van Dulst and B. Sims [6] have shown a fixed point theorem for a weakening of the UKK property (i.e. a Banach space is called WUKK if it satisfies the condition from the UKK definition replacing "for every $\epsilon > 0$ " by "for some ϵ in $(0,1)$ ". A reflexive Banach space satisfying the WUKK is called WNUC.

In [17] S. Prus gave a characterization of Banach spaces which are dual to NUC. He called these spaces nearly uniformly smooth (NUS). A Banach space X is NUS provided that for every $\epsilon > 0$ there exists $\mu > 0$ such that if $0 < t < \mu$ and (x_n) is a basic sequence in the unit ball of X , then there is $k > 1$ so that $\|x_1 + x_k\| \leq 1 + \epsilon t$. A natural generalization of this notion is (WNUS). A Banach space is WNUS when it satisfies the condition obtained from the above definition by replacing "for every $\epsilon > 0$ " by "for some $\epsilon \in (0, 1)$ ".

Kutzarova, Prus and Sims in [14] have proved that if X is a WNUS Banach space with the weak Opial property (a Banach space X has the weak Opial property provided that for every weakly null sequence (x_n) in X and every $x \in X$ $\liminf_n \|x_n\| \leq \liminf_n \|x + x_n\|$) then X has the f.p.p..

In this paper, we define a coefficient $R(X)$ of the Banach space X which allows us to give a more general fixed point theorem than both the Borwein-Sims theorem and Kutzarova-Prus-Sims result. Moreover we characterize the Banach spaces with $R(X) < 2$ and we show that such spaces have the weak Banach-Saks property.

2 A Fixed Point Theorem.

Let X be a Banach space and $[X]$ be the quotient space $l_\infty(X)/c_0(X)$ endowed with the quotient norm given by $\| [z_n] \| := \limsup_n \|z_n\|$, where

$[z_n]$ denotes the equivalence class of $(z_n) \in l_\infty(X)$. When we write $x \in [X](M \in [X])$ it means $x = [(x, x, x, \dots)](M = \{x \in [X] : x \in M\})$. When K is a subset of X , we can consider the set $[K] := \{[z_n] \in [X] : z_n \in K, n = 1, 2, \dots\}$. If K is a closed bounded convex subset of X , then $[K]$ is also a closed bounded convex subset of $[X]$.

We suppose that C is a weakly compact convex subset of a Banach space X , and $T : C \rightarrow C$ is a nonexpansive mapping. The set C contains a weakly compact convex subset K which is minimal for T . That means $T(K)$ is contained into K and no strictly smaller weakly compact convex subset of K is invariant under T . If K contains only one point then T has a fixed point in K . Otherwise we can assume that $\text{diam}(K) > 0$, it is easy to see that K contains sequences (x_n) with $\lim_n \|Tx_n - x_n\| = 0$ (we call such a sequence an approximate fixed point sequence for T). We can define the mapping $[T] : [K] \rightarrow [K]$ by $[T][z_n] := [Tx_n]$. Clearly $[T]$ is a nonexpansive self-mapping of $[K]$. Moreover it is known that

Lemma 2.1. (Lin): *Let $[W]$ be any nonempty closed convex subset of $[K]$ which is invariant under $[T]$, then $\sup\{\|[w_n] - x\| : [w_n] \in [W]\} = \text{diam}(K)$ for every x in K . [see 1-3-9-15]*

Definition 2.2. Let X be a Banach space, then $R(X) := \sup\{\liminf_n \|x_n + x\|\}$ where the supremum is taken over all weakly null sequences (x_n) in the unit ball and over all points x of the unit ball.

Later we will determine this coefficient for some special Banach spaces.

Theorem 2.3. *A Banach space X has the fixed point property if there exists a Banach space Y with the weak Opial condition such that $d(X, Y)R(Y) < 2$.*

Proof. Let us suppose that X does not have the f.p.p. Then there is a weakly compact convex subset K of X with $\text{diam}(K) = 1$, which is minimal for a nonexpansive mapping $T : K \rightarrow K$. Thus we can assume that there exists an a.f.p. weakly null sequence (x_n) for T in K .

We will consider the following subset of $[X]$:

$$[W] := \{[z_n] \in [K] : \| [z_n] - [x_n] \| \leq 1/2 \text{ and } \exists x \in K : \| [z_n] - x \| \leq 1/2\}$$

Clearly $[W]$ is a $[T]$ -invariant, closed and convex subset of $[K]$ and moreover $[W]$ is nonempty ($[x_n]/2 \in [W]$, since $\|[x_n] - 0\| \leq 1$). Thus by

the Lemma 2.1 we know that

$$\sup\{\| [w_n] - x \| : [w_n] \in [W]\} = 1 \text{ for all } x \text{ in } K.$$

Let $[z_n]$ be an element of $[W]$, $\| [z_n] \| = \limsup_n \| z_n \| = \lim_k \| z_{n_k} \|$, where (z_{n_k}) is some subsequence of (z_n) . As K is a weakly compact subset of X we can assume that $\{z_{n_k}\}$ is weakly convergent to $y \in K$. Let U be a linear isomorphism from X onto Y with $\| U \| \cdot \| U^{-1} \| \cdot R(Y) < 2$. Since U is a linear isomorphism and $\{z_{n_k}\}$ is weakly convergent to y , we have that $\{Uz_{n_k}\}$ is weakly convergent to Uy .

Then, passing to subsequences, we can suppose that, for each $k \in \mathbb{N}$,

$$(1 - 1/k) \| Uz_{n_k} - Uy \| \leq \liminf_k \| Uz_{n_k} - Uy \| .$$

Hence, for all $k \geq 1$

$$(1 - 1/k)(Uz_{n_k} - Uy) \cdot (\max\{\liminf_k \| Uz_{n_k} - Uy \|, \| Uy \|\})^{-1} \in B_Y$$

and

$$Uy \cdot (\max\{\liminf_k \| Uz_{n_k} - Uy \|, \| Uy \|\})^{-1} \in B_Y.$$

Then, by definition of the coefficient $R(Y)$, we derive

$$(1) \quad \liminf_k \| Uz_{n_k} \| \leq R(Y) \cdot \max\{\liminf_k \| Uz_{n_k} - Uy \|, \| Uy \|\}.$$

On the other hand, since $[z_n] \in [W]$ there exists $x_0 \in K$ such that $\| [z_n] - x_0 \| \leq 1/2$ and moreover $\| [z_n] - [x_n] \| \leq 1/2$. Hence

$$(2) \quad \| y \| \leq \liminf_k \| z_{n_k} - x_{n_k} \| \leq \| [z_n] - [x_n] \| \leq 1/2.$$

Since Y satisfies the weak Opial condition, we have

$$(3) \quad \liminf_k \| Uz_{n_k} - Uy \| \leq \liminf_k \| Uz_{n_k} - Ux_0 \| .$$

Then, by using (1), (2) and (3)

$$\begin{aligned} \liminf_k \| Uz_{n_k} \| &\leq R(Y) \cdot \| U \| \cdot \max\{\liminf_k \| z_{n_k} - x_0 \|, \| y \|\} \\ &\leq R(Y) \cdot \| U \| / 2 \end{aligned}$$

The above conditions imply

$$\begin{aligned} \| [z_n] - 0 \| &= \lim_k \| z_{n_k} \| = \lim_k \| U^{-1}Uz_{n_k} \| \leq \| U^{-1} \| \cdot \lim_k \| Uz_{n_k} \| \\ &\leq \| U^{-1} \| \cdot \| U \| \cdot R(Y) / 2 < 1. \end{aligned}$$

This contradicts the Lemma 2.1. \square

Corollary 2.4. *Let X be a Banach space with the weak Opial condition such that $R(X) < 2$, then X has the fixed point property.*

3. Relation to Other Fixed Point Theorems.

Proposition 3.1. *Let X be a weakly orthogonal Banach lattice, then $R(X) \leq \alpha(X)$, where $\alpha(X)$ is the Riesz angle.*

Proof. Let (x_n) be a weakly null sequence in the unit ball of X , and let x be an element of the unit ball of X .

In all Banach lattices, it is known that

$$|x_n| + |x| = |x_n| \vee |x| + |x_n| \wedge |x|.$$

Then $\| |x_n| + |x| \| \leq \| |x_n| \vee |x| \| + \| |x_n| \wedge |x| \|$.

Therefore, by the definition of Riesz angle

$$\| |x_n| + |x| \| \leq \alpha(X) + \| |x_n| \wedge |x| \|$$

Since X is a weakly orthogonal Banach lattice, we obtain

$$\begin{aligned} \liminf_n \| |x_n| + |x| \| &\leq \alpha(X), \quad \text{and hence,} \\ \liminf_n \| x_n + x \| &= \liminf_n \| |x_n| + |x| \| \leq \liminf_n \| |x_n| \vee |x| \| \leq \alpha(X). \end{aligned}$$

Thus, we can conclude that $R(X) \leq \alpha(X)$. \square

The next result is a consequence of the previous proposition and Proposition 4.1 of [3].

Corollary 3.2. *For any set η , we have*

- a) $R(c_0(\eta)) = 1$,
- b) $R(l_p(\eta)) \leq 2^{1/p}$ for $1 \leq p < \infty$, and
- c) $R(c(\eta)) = 1$.

The following natural generalization of weak orthogonality is investigated in [18].

Definition 3.3. Suppose that whenever (x_n) is a weakly null sequence in a Banach space X we have $\lim_n \|\|x_n - x\| - \|x_n + x\|\| = 0$ for all x in X . Such a Banach space is said to have the property WORTH.

It is shown in [18] that the weak Opial condition is implied by WORTH, thus we obtain the Borwein-Sims result as a consequence of Proposition 3.1 and Theorem 2.3.

On the other hand, W.L.Bynum [4] has proved that the fixed point property is inherited by Banach spaces whose Banach-Mazur distance from a uniformly convex space is not too large. In particular, he proves that if $d(l_p, X) \leq 2^{1/p}(1 < p < \infty)$ then X has the f.p.p.. He also proved that the space $l_{p,\infty}$ (which is l_p renormed by $|x| := \max\{\|x^+\|_p, \|x^-\|_p\}$), does not have asymptotically normal structure but has the f.p.p.. The result of Borwein-Sims does not recapture this for $p \leq 2$; moreover $l_{p,\infty}$ is not a Banach lattice.

Proposition 3.4. *A Banach space X has the fixed point property whenever there exists $1 < p < \infty$ such that $d(X, l_{p,\infty}) < 2^{1/q}$ where $1/p + 1/q = 1$.*

Proof. Clearly $l_{p,\infty}$ is a Banach space with a suppression unconditional Schauder basis (e_n) , (i.e. the projections have norm one), hence $l_{p,\infty}$ satisfies the weak Opial condition, and then it is sufficient, by Theorem 2.3, to see that $R(l_{p,\infty}) \leq 2^{1/p}$.

Let (x_n) be a weakly null sequence in the unit ball of $l_{p,\infty}$, and let x be also an element of the unit ball.

$$|x_n + x| := \max\{\|(x_n + x)^+\|_p, \|(x_n + x)^-\|_p\}.$$

Since (x_n) is a weakly null sequence there exists (x_{n_k}) subsequence of (x_n) such that

$$\lim_k \|x_{n_k} - P_k x_{n_k}\| = 0 \text{ and } \lim_k \|x_{n_k} - P_k x_{n_k}\| = 0$$

where we denote by P_k the projection $P_{[a_k, b_k]}$, being $[a_k, b_k] := \{s \in \mathbb{N} : a_k \leq s \leq b_k\}$ with $b_k < a_{k+1}$ and $\lim_k a_k = \infty$.

Moreover, we can assume that x has finite support (i.e. $x = P_{[a,b]}x$).

Therefore, there exists $k_0 \in \mathbb{N}$ such that $a_k > b$ for all $k \geq k_0$.

Consequently, for each $k \geq k_0$ we have

$$\|(P_k x_{n_k} + x)^+\|_p = \|P_k x_{n_k}^+ + x^+\|_p \text{ and } \|(P_k x_{n_k} + x)^-\|_p = \|P_k x_{n_k}^- + x^-\|_p$$

and hence the conclusion follows from $\liminf_n |x_n + x| \leq \liminf_k |x_{n_k} + x| \leq \liminf_k |x_{n_k} - P_k x_{n_k}| + \liminf_k |P_k x_{n_k} + x| = \liminf_k |P_k x_{n_k} + x| \leq \max\{\liminf_n \|x_n^+ + x^+\|_p, \liminf_n \|x_n^- + x^-\|_p\} \leq 2^{1/p}$. \square

Remark 3.5. In [18], B.Sims proved that all weakly orthogonal Banach lattices have the f.p.p. and he asked whether the f.p.p. holds for Banach spaces with the property WORTH. In [8] the author has shown that spaces with WORTH which are uniformly nonsquare have the f.p.p.. Now, we will see that this result is a particular case of Corollary 2.4.

In an attempt to simplify Schafer’s notion of girth and perimeter, Gao and Lau [7] studied the parameter

$$J(X) := \sup\{\|x + y\| \wedge \|x - y\| : x, y \in S(X)\}$$

They showed [7,p. 51] that $J(X) < 2$ if and only if X is uniformly non-square.

Proposition 3.6. *Let X be a Banach space with the property WORTH, then $R(X) \leq J(X)$.*

Proof. Adapting a proof of [5, p. 126] it is not difficult to see that

$$J(X) = \sup\{\|x + y\| \wedge \|x - y\| : x, y \in B_X\}.$$

Let (x_n) be a weakly null sequence in B_X . Since X has the property WORTH, fixing $x \in B_X$ we have that for each $1/k$ there exists $n_k \in \mathbb{N}$ such that $\|x_n - x\| - \|x_n + x\| \leq 1/k$ for all $n \geq n_k$. Hence for $k \geq 1$,

$$-1/k + \|x_{n_k} + x\| \leq \|x_{n_k} - x\| \leq \|x_{n_k} + x\| + 1/k.$$

Consequently

$$-1/k + \|x_{n_k} + x\| \leq \|x_{n_k} - x\| \wedge \|x_{n_k} + x\| \leq \|x_{n_k} + x\|.$$

Therefore

$$\liminf_k \|x_{n_k} + x\| \leq \liminf_k (\|x_{n_k} - x\| \wedge \|x_{n_k} + x\|) \leq \liminf_k \|x_{n_k} + x\|$$

Thus, using the coefficient $J(X)$, we obtain

$$\liminf_n \|x_n + x\| \leq \liminf_k \|x_{n_k} + x\| = \liminf_k (\|x_{n_k} + x\| \wedge \|x_{n_k} - x\|) \leq J(X)$$

and consequently $R(X) \leq J(X)$. \square

This result allows us to rederive the result that every uniformly non-square Banach space with the WORTH property has the f.p.p..

4. Banach Spaces with $R(X) < 2$.

Definition 4.1. A Banach space is said to have the (KK)-property if whenever $x = \lim_n x_n$ and $\|x\| = \lim_n \|x_n\|$ we have $\lim_n \|x_n - x\| = 0$.

See [11] for this. Now, we shall give a characterization of Banach spaces X with $R(X) = 1$ and with the (KK)-property.

Proposition 4.2. *Let X be a Banach space, then the following conditions are equivalent*

- (a) X is a Schur space.
- (b) X has the (KK)-property and $R(X) = 1$.

Proof. (a) \Rightarrow (b) is evident.

(b) \Rightarrow (a) Otherwise, we can suppose that there exists a weakly null sequence (x_n) in B_X such that $\lim_n \|x_n\| \neq 0$. Hence, there exist $\epsilon > 0$ and a subsequence (x_{n_k}) of (x_n) such that for all $k \in \mathbb{N}$ $\|x_{n_k}\| > \epsilon$. Consider $x \in X$ with $\|x\| = 1$. Since $R(X) = 1$, then $\liminf_k \|x_{n_k} + x\| \leq 1$

On the other hand, since $(x_{n_k} + x)$ is weakly convergent to x and $\|x\| = 1$, we have $1 = \|x\| \leq \liminf_k \|x_{n_k} + x\| \leq 1$. Since X has the (KK)-property, we obtain $\lim_k \|x_{n_k}\| = 0$ which is a contradiction. \square

We continue with a characterization of Banach spaces X obeying $R(X) < 2$.

Theorem 4.3. *Let X be a Banach space. The following conditions are equivalent:*

- (a) *There exists $\epsilon \in (0, 1)$ and $\eta > 0$ such that for all $t \in [0, \eta)$ and for every weakly null sequence (x_n) in B_X there is $k > 1$ with $\|x_1 + tx_k\| \leq 1 + t\epsilon$.*
- (b) *There exists $c \in (0, 1)$ such that for every weakly null sequence (x_n) in B_X there is $k > 1$ with $\|x_1 + x_k\| \leq 2 - c$.*
- (c) $R(X) < 2$.

Proof. (b) \Rightarrow (c) Let (x_n) be a weakly null sequence in the unit ball of X and let x be an element of B_X . We consider the sequence (y_n) , where $y_1 := x$ and $y_{n+1} := x_n$, $n = 1, 2, \dots$. Then (y_n) is a weakly null sequence in the unit ball of X .

Hence, since X satisfies (b), we obtain that there exists $k_1 > 1$ such that $\|x + x_{k_1}\| \leq 2 - c$.

Now, we take the sequence, $z_1 := x$ and $z_n := x_{k_1+n}n = 1, 2, \dots$. It is easy to see that (z_n) is a weakly null sequence in the unit ball of X , and then there exists $k_2 > k_1$ such that $\|x + x_{k_2}\| \leq 2 - c$.

Thus by a recurrence argument, we obtain $\liminf_n \|x + x_n\| \leq 2 - c$ which means $R(X) < 2$.

(c) \Rightarrow (b) Suppose that (x_n) is a weakly null sequence of B_X .

Since $R(X) < 2$ then there is $c \in (0, 1)$ such that $R(X) < 2 - c$.

Therefore $\liminf_n \|x_1 + x_n\| \leq R(X) < 2 - c$, and so, we have that there exists $k > 1$ satisfying $\|x_1 + x_k\| \leq 2 - c$.

(a) \Rightarrow (b) There exist $\epsilon > 0$ and $\eta > 0$ such that for all $t \in]0, \eta[$ and for every weakly null sequence (x_n) in the unit ball of X there is $k > 1$ with $\|x_1 + x_k\| \leq 1 + t\epsilon$. Consider $\delta := \min\{1, \eta\}$, then if $t < \delta$, we have

$$\|x_1 + x_k\| \leq \|x_1 + tx_k\| + (1 - t) \|x_k\| \leq 1 + \epsilon t + 1 - t = 2 - t(1 - \epsilon).$$

(b) \Rightarrow (a) Since there exists $c \in]0, 1[$ such that for every weakly null sequence (x_n) in the unit ball of X , there is $k > 1$ with $\|x_1 + x_k\| \leq 2 - c$, then, for all $t \in]0, 1[$

$$\|x_1 + tx_k\| \leq t \|x_1 + x_k\| + (1 - t) \|x_1\| \leq 1 + t(1 - c). \quad \square$$

The following results are a consequence of Theorem 4.3 and of the definition of WNUS.

Corollary 4.4. *Let X be a Banach space. The following conditions are equivalent (a) X is WNUS*

(b) X is reflexive and $R(X) < 2$.

In [17], S.Prus gave a characterization of Banach spaces which are dual to NUC. As a consequence of Corollary 4.4 we will show that whenever X is a WNUC Banach space then X^* is a WNUS Banach space.

Definition 4.5. A Banach space X is said to have the property WUKK' if there exist $\epsilon \in (0, 1)$ and $\delta > 0$ such that $\|x\| \leq 1 - \delta$ whenever x is a weak limit of some sequence (x_n) in B_X with $\liminf_n \|x_n - x\| \geq \epsilon$.

See [13]. It is not difficult to prove that a Banach space X is WUKK' whenever X is WUKK.

Proposition 4.6. *If X is a reflexive WUKK'-space, then X^* is WNUS-space*

Proof. By Corollary 4.4 it is sufficient to see that $R(X^*) < 2$. Consider $x^* \in B_{X^*}$ and (x_n^*) , a weakly null sequence in the unit ball of X^* .

Since X is reflexive, we can choose elements y_n in the unit ball of X such that for every $n \in \mathbb{N}$, $(x_n^* + x^*)(y_n) = \|x_n^* + x^*\|$. Moreover, passing to subsequences if it is necessary, we can suppose that (y_n) is weakly convergent to $y \in B_X$.

Hence given $\eta > 0$ such that $\epsilon + \eta < 1$ there exists $k_0 \in \mathbb{N}$ such that for $n \geq k_0$, $|x_n^*(y)| < \eta/2$, and $|x^*(y_n - y)| < \eta/2$.

Therefore, for every $n \geq k_0$, we have

$$\begin{aligned}
 \|x_n^* + x^*\| &= x^*(y_n) + x_n^*(y_n) \\
 &= x^*(y) + x_n^*(y_n - y) + x^*(y_n - y) + x_n^*(y) \\
 (1) \qquad &\leq \|y\| + \|y_n - y\| + \eta
 \end{aligned}$$

Let us consider two cases.

(a) There exists a strictly increasing sequence (n_k) of positive integers with $n_1 > k_0$ so that $\|y_{n_k} - y\| < \epsilon$. Then by (1), $\|x_{n_k}^* + x^*\| \leq 1 + \epsilon + \eta$. Consequently

$$(2) \qquad \liminf_n \|x_n^* + x^*\| \leq 1 + \epsilon + \eta.$$

(b) There exists $k_1 > k_0$ so that, for every $n \geq k_1$, $\|y_n - y\| > \epsilon$.

Therefore $\liminf_n \|y_n - y\| \geq \epsilon$ and then

$$\|x^* + x_n^*\| \leq |x^*(y_n)| + |x_n^*(y_n)| \leq |x^*(y_n)| + 1$$

since X is WUKK' and (y_n) is weakly convergent to y we have

$$(3) \qquad \liminf_n \|x^* + x_n^*\| \leq |x^*(y)| + 1 \leq \|y\| + 1 \leq 2 - \delta$$

and so, by (2) and (3)

$$R(X^*) \leq \max\{1 + \epsilon + \eta, 2 - \delta\} < 2. \quad \square$$

Remark 4.7. Adapting a theorem of [17,p. 513] it is not difficult to see that a Banach space X which has $R(X) < 2$ enjoys the weak-Banach-Saks property. On the other hand, using Corollary 4.4 and Corollary 2.4 we obtain that if X is a WNUS Banach space and has the weak Opial condition then X has the f.p.p.

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