Erosion of Scientific Integrity Fueled by Quantitative Evaluation Metrics

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In the last few years, the scientific community has been increasingly concerned by malpractice behavior, which does not fit the classic description of 'scientific misconduct' (summarized as 'falsification, fabrication, plagiarism' - FFP^[1]). Nevertheless, these practice appear to be not less threatening to the community as they come as a *steady erosion*, now evolving into a *landside*. This concerns in particular 'CV polishing' by 'citation gaming' through excessive 'guest'- & 'hyper'-authorships and 'citation

cartels',^[2] actually assaulting the business model of data suppliers. In fact, staggering 20% of the researchers were removed in the past three years from Clarivate's 'highly cited researcher' (HCR) list due to violation of scientific integrity.^[3] The reason for this sharp increase in malpractice can be directly related to metrics-based quantitative evaluation,^[4] concerning both institutions and individual researchers. In fact, this follows perfectly - and frighteningly - Goodhart's law, which, applied to the current context, may read as '*all metrics of scientific evaluation are bound to be abused*'.^[5]



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The seminar puts 'citation gaming' into the limelight, showing that malpractice behavior depends on whether the individual researcher stands on the *top* or the *bottom* of the *scientific food chain*. While researchers at the *bottom* are obliged to pimp up their CV by becoming prey to paper mills and predatory journals & conferences (all at public cost), as well as by excessive self-citation and creating national citation cartels,^[2] researchers at the *top* may follow more sophisticated measures, blessed by the 'Matthew effect'.^[6] This includes 'honorary' or 'guest' authorships, elaborated international 'citation cartels', and well paid lucrative ancillary revenues like 'gift affiliations' in Saudi Arabia, editor positions in predatory journals or decoy organizer & plenary speaker of predatory conferences.^[2] Equally, for all researchers, metrics-driven working & thinking fuels scientific hypes with short-term impact and leads to a tsunami in often worthless 'salami papers' of questionable content, which nobody is able to digest anymore. We advocate for an end of scientific hyper-proliferation by returning to 'quality over quantity', based on the principles of modesty, integrity & autonomy. Only in doing so, science is able to keep its incorruptible voice in times of deep threats to our free societies.

^[1] see e.g. (a) DFG <u>Guidelines for Safeguarding Good Research Practice</u>; (b) <u>European Code of Conduct for</u> <u>Research Integrity</u>; (c) J. Mehlich, <u>Good Chemistry: Methodological, Ethical, and Social Dimensions</u>, RSC Publishing 2021

^[2] for further reading on relevant aspects, see link collection at <u>www.uv.es/jogiers/ethics.html</u> ^[3] see e.g. the <u>analysis in El País</u>.

^[4] see e.g. (a) Declaration on Research Assessment (<u>DORA</u>); (b) Coalition for Advancing Research Assessment (<u>COARA</u>); (c) J. Z. Muller, *The Tyranny of Metrics*, <u>Princeton University Press 2018</u>.

^[5] M. Biagioli, Watch out for cheats in citation game, <u>Nature 2016, 535, 201</u>.

^[6] see e.g. <u>https://en.wikipedia.org/wiki/Matthew_effect</u>