

## 0. Introduction

DEF A  $p$ -element of a group  $G$  is **picky** if it belongs to a unique Sylow subgroup  $P$ .

Conjecture (Moretó-Rizo 2024) Let  $x \in P \in \text{Syl}_p(G)$  be picky. Then  $\exists$  bijection

$$f_x: \text{Irr}^x(G) \longrightarrow \text{Irr}^x(N_G(P)) \quad \left( \text{Irr}^x(G) := \{\chi \in \text{Irr}(G) : \chi(x) \neq 0\} \right)$$

such that 
$$\begin{cases} \chi(1)_p = f_x(\chi)(1)_p \\ Q(\chi(x)) = Q(f_x(\chi)(x)) \end{cases}$$

$N_G(P)$  appears but the right way to think about it is as the subnormalizer of  $x$ .

DEF  $H \leq G$ ,  $S_G(H) := \{g \in G : H \triangleleft \langle g, H \rangle\}$ ; the **subnormalizer** of  $H$ .

Let  $x \in G$ ,  $\text{Sub}_G(x) := \langle S_G(\langle x \rangle) \rangle$ ; the **subnormalizer** of  $x$ .

Lemma Let  $x \in P \in \text{Syl}_p(G)$  be a  $p$ -element then  $N_G(P) \leq \text{Sub}_G(x)$  and equality holds iff  $x$  is picky.

The subnormalizer conjecture takes any  $p$ -element  $x$  in Moretó-Rizo and substitutes  $N_G(P)$  by  $\text{Sub}_G(x)$ . Finite groups of Lie type always play a fundamental role in group theory and Malle has recently studied picky elements and subnormalizers in these groups "Picky elements, subnormalizers and character correspondences".

The goal of this session(s) is to present without any prerequisites some of the results in Malle's paper. Therefore we need to introduce some theory of Algebraic groups.

## 1. ROOT SYSTEMS

Root systems are of key importance in Lie theory. They arise when studying Lie Algebras / Lie Groups / LAGs.

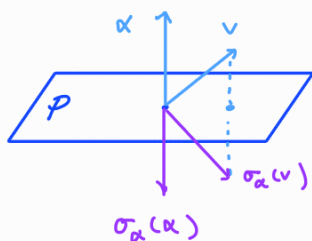
Let  $E$  be a finite dimensional  $\mathbb{R}$ -v.s. endowed with  $(\cdot, \cdot): E \times E \rightarrow \mathbb{R}$  positive definite symmetric bilinear form. (Then  $\exists$  orthonormal basis so one can really think of  $\mathbb{R}^n$  and usual product)

Let  $\alpha \in E$ , the reflection through  $\alpha$  is  $s_\alpha: E \rightarrow E$

$$v \mapsto v - \frac{2(v, \alpha)}{(\alpha, \alpha)} \alpha$$

Note it fixes a hyperplane (subspace of codim 1)  $P$ .

$$\langle v, \alpha \rangle$$



DEF Let  $E$  be a euclidean space as above, a subset  $\Phi \subseteq E$  is a **root system** if

(R1)  $|\Phi| < \infty$ ,  $0 \notin \Phi$ ,  $\langle \Phi \rangle_{\mathbb{R}} = E$

(R2) If  $\alpha \in \Phi$  and  $c\alpha \in \Phi$  for  $c \in \mathbb{R}$  then  $c = \pm 1$

(R3)  $\forall \alpha \in \Phi, s_\alpha(\Phi) = \Phi$

(R4)  $\forall \alpha, \beta \in \Phi, \langle \beta, \alpha \rangle = \frac{2(\beta, \alpha)}{(\alpha, \alpha)} \in \mathbb{Z}$ . These integers are called **Cartan**

**integers**. The definition is variable, sometimes R4 is omitted.

The elements of  $\Phi$  are called **roots**.  $W := W(\Phi) := \langle s_\alpha : \alpha \in \Phi \rangle \leq GL(E)$

is called the **Weyl group** of  $\Phi$ .

$\hookrightarrow$  It is a finite Coxeter group!

DEF Let  $E, E'$  be euclidean spaces,  $\Phi, \Phi'$  root systems in  $E, E'$  respectively. We say that the root systems are **isomorphic** if  $\exists \Psi: E \rightarrow E'$  such that

$\bullet \Psi(\Phi) = \Phi'$

$\bullet \langle \Psi(\alpha), \Psi(\beta) \rangle = \langle \alpha, \beta \rangle$

(preserves angles and relative root lengths of roots belonging to same root component)

Example Any root system of **rank** 2 (dim of the v.s.  $E$ ) is isomorphic to one of the following (which have to be thought in  $\mathbb{R}^2$  with usual product)

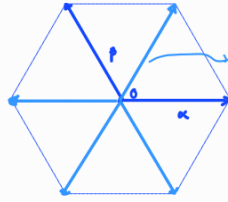
We say that  $\Phi$  is **reducible** if  $\Phi = \Phi_1 \cup \Phi_2, \Phi_1 \perp \Phi_2$ . (irreducible otherwise)

↗  $SL_3, PGL_3$

$2A_1$  or  $A_1 \times A_1$



$A_2$



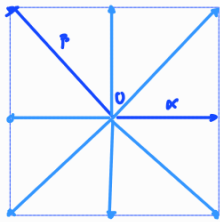
drawn by taking regular hexagon

divide the picture into regions that then is  $\alpha + \beta$

Relative root lengths can be whatever

Here  $|\alpha| = |\beta|$  and the angle is  $2\pi/3$  (| $\alpha$ | whatever)

$B_2$

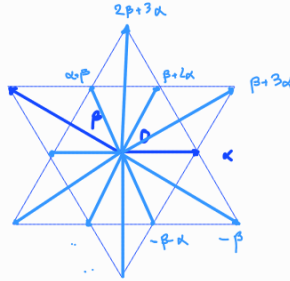


Here the square condition forces

$\|\alpha\|$  arbitrary but  $2\|\alpha\|^2 = \|\beta\|^2$

and the angle is  $3\pi/4$

$G_2$



Now here the triangle conditions force

$\|\alpha\|$  arbitrary but  $\frac{\|\beta\|^2}{\|\alpha\|^2} = 3$  with angle

$5\pi/6$ .

DEF Let  $\Phi \subseteq E$  be a root system with Weyl Group  $W$ . A subset  $\Delta \subseteq \Phi$  is called a **base** if it satisfies:

B1)  $\Delta$  basis of  $E$   
 B2)  $\forall \alpha \in \Phi, \alpha = \sum_{\delta \in \Delta} x_\delta \delta$  with either all  $x_\delta \in \mathbb{Z}_{\geq 0}$  or all  $x_\delta \in \mathbb{Z}_{\leq 0}$

$\alpha \in \Delta$  is called a **simple root** ( $s_\alpha$  a **simple reflection**). Let  $\beta \in \Phi, \beta = \sum_{\delta \in \Delta} x_\delta \delta$ ; we

set  $h_\beta(\beta) = \sum_{\delta \in \Delta} x_\delta$ ; the **height of  $\beta$** . We let

$\Phi^+ = \{ \beta \in \Phi : h_\beta(\beta) > 0 \}$ , **positive roots**  
 $\Phi^- = \{ \beta \in \Phi : h_\beta(\beta) < 0 \} = -\Phi^+$ , **negative roots**

Theorem (nontrivial) If  $(\Phi, E)$  root system, bases exist. Also

i)  $W$  acts transitively on bases. Moreover if  $\sigma(\Delta) = \Delta \rightarrow \sigma = 1$  ( $\sigma \in W$ )

ii)  $W = \langle s_\alpha : \alpha \in \Delta \rangle$

iii)  $\forall \alpha \in \Phi, \exists \sigma \in W : \sigma(\alpha) \in \Delta$

iv)  $\forall \alpha \in \Delta, s_\alpha(\Phi^+ \setminus \alpha) = \Phi^+ \setminus \alpha$ .

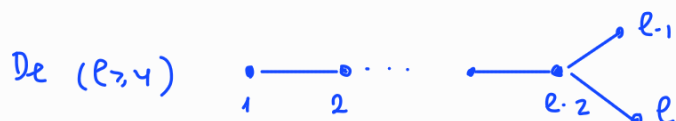
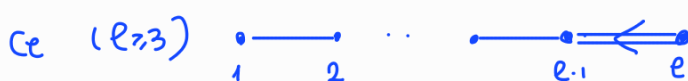
I think this is enough but let me mention that it is relatively easy to classify root systems.

Sketch: "It is enough to classify the irreducible ones".

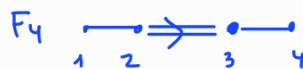
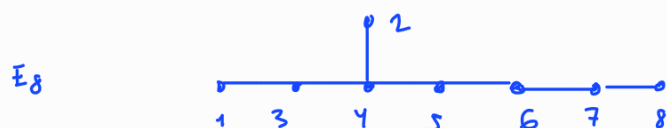
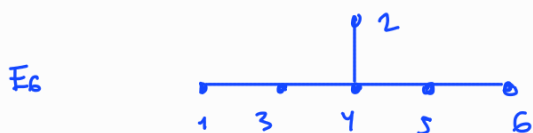
Via Cartan integers one canonically associates  $\Phi$  a graph (multiple edges and directed).

Isom root systems give "isom graphs". These graphs are called **Dynkin diagrams**.

A root system is irred iff Dynkin diagram is connected and the possible diagrams are



(this gives some classes of simple Lie algs over a field of char. 0  
( $k = \bar{k}$ ))



Root systems arise naturally in groups and Lie algebras and give a very combinatorial way to think of them. (Discrete objects associated to LAGs, Lie algs...)

• Says smth about automorphisms.

## 2. GROUPS COMING FROM ALGEBRAIC GEOMETRY

Finite groups of Lie type arise as fixed points of certain automorphisms of groups that come in the context of algebraic geometry. Loosely speaking

(informal warning)

# Group schemes (Group objects in the category of schemes)

Algebraic groups (Group object in the category of varieties)

Abelian varieties

(algebraic groups st the variety is complete and connected. It implies abel)

Linear Algebraic groups

(algebraic groups st the variety is affine)

We care about this.

Formally; fix  $k = \bar{k}$  and let  $A^n = k^n$  (we forget v.s. structure).

Let  $X \subseteq k[x_1, \dots, x_n]$ ,  $V(X) := \{x \in A^n : f(x) = 0 \forall f \in S\}$ ; we declare a topology on  $A^n$  via declaring the closed subsets to be of this form. (One has to prove it is a topology)

consider it as a topological space with induced topology

Let  $X \subseteq A^n$  be closed. We say  $X$  is an **affine variety** (in a very classical sense). Note  $X = V(S) = V(\langle S \rangle) = V(d_1, \dots, d_n)$ ; so we are really considering solutions of systems of eqn.   
  $\downarrow$   
 Hilbert basis.

should be part of the defn!

$(k[X])$

The **coordinate ring** of  $X \subseteq A^n$  closed is the  $k$ -algebra of polynomial functions on  $X$ .

It is naturally isom to  $k[x_1, \dots, x_n] / I(X)$ ;  $I(X) = \{f \in k[x_1, \dots, x_n] : f \text{ vanishes on } X\}$

Why do I say "very classical"? A slightly more modern language is as follows. A ringed space is a topological space  $X$  with a sheaf of rings. What we have just built is a ringed space. Any ringed space isom to an affine variety is what we want to call affine variety.

- Say something about sheaves
- Prevarieties (ringed space with finite open cover by affine varieties)
- Varieties (prev with closed diagonal)
- Schemes. (Ringed space with open covers by affine schemes)

DEFn Let  $X \subseteq \mathbb{A}^n$ ,  $Y \subseteq \mathbb{A}^m$  be affine varieties. Let  $\varphi: X \rightarrow Y$  be a map; we say that it is a **morphism of affine varieties** if  $\exists f_1, \dots, f_m \in k[X] : \varphi(x) = (f_1(x), \dots, f_m(x)) \forall x \in X$

DEFn A **LAG** is an affine variety  $G$  with group structure st

$$\begin{aligned} \mu: G \times G &\rightarrow G & \iota: G &\rightarrow G & \text{are morphisms of affine varieties.} \\ (x, y) &\mapsto xy & x &\mapsto x^{-1} \end{aligned}$$

Ex

i)  $G_a = k$  ( $k_+$ ) addition ( $V(0)$ )

ii)  $G_m = k \setminus \{0\}$  with mult.

• Pure way:  $G_m = \{(x, y) \in k^2 : xy = 1\} = V(I)$  where  $I = \langle xy - 1 \rangle$

$$\mu((x_1, y_1), (x_2, y_2)) = (x_1 x_2, y_1 y_2) \dots$$

as an abstract group this is canonically  $k^*$  (we identify)

Why?  $k^*$  not closed in  $k$

• Ringed spaces: With the above abstraction one can really say that  $k^*$  is an honest LAG. (this is absolutely worth it in my opinion)

iii)  $GL_n$

iv)  $SL_n = \{A \in \mathbb{A}^{n \times n} : \det A - 1 = 0\}$   $\longrightarrow$

v)  $PGL_n$ ? Quotient varieties?  
Needs work. But yes!

vi)  $Sp_{2n}$ ,  $GO_{2n}$ ,  $GO_{2n+1}$

(symplectic and orthogonal groups)

note  $\det(A) - 1$  is a poly in  $\mathbb{Z}$ , considering solutions of this poly can be done over any field so really

$$\begin{array}{ccc} \text{Fields} & \xrightarrow{SL_n} & \text{Groups} \\ k & \longmapsto & SL_n(k) \end{array}$$

This is related to functor perspective of Schemes. See (Jantzen). We don't need this abstraction

(of course in the next turn we fix  $k = \bar{k}$ )

Theorem Let  $G$  be a LAG, then  $G$  is isom to some closed subgroup of  $GL_n$ .

$\hookrightarrow \varphi: G \rightarrow \varphi(G) \subseteq GL_n$ , morphism, bij, and inverse is a morphism (the fact that  $\exists$  bij morphisms that are not isom makes life harder)

The good subgroups of a LAG are the closed subgroups (naturally LAGs). It is non-trivial to show that if  $\varphi: G \rightarrow H$  LAG morphism then  $\ker \varphi, \text{Im} \varphi$  are closed subgroups ( $\ker \varphi$  is obviously closed).

Thm Let  $G$  be a LAG, then  $G$  connected iff  $G$  irred. In this case  $k[G]$  is an integral domain.

(a top space is irred if can't be written as union of two proper closed subsets)

I think it worth mentioning that if  $X$  affine variety one sets  $\dim(X) =$  Krull dimension of  $k[X]$  (and when  $G$  connected LAG, it is  $\text{tr. deg.}(k[G])$  <sup>fraction field.</sup>

DEF Let  $R$  be a <sup>comm</sup> ring, we define the **Krull dimension of  $R$**  to be  $\dim(R) = \sup \{r : \exists P_0 \subsetneq P_1 \subsetneq \dots \subsetneq P_r\}$  with  $P_i \in \text{Spec}(R)$ .

dimension theory is a business that requires care.

(of course this is either  $< \infty$  or  $\infty$ )

• It is intuitive;

We have LAGs although they are usually infinite, have finite dimension and we can use dimension for inductive arguments; thanks to

Prop If  $Y \subsetneq X$  closed,  $X$  irred affine variety then  $\dim(Y) < \dim X$ .

(finally let me just say that if  $\varphi: G \rightarrow H$  LAG morphism,  $\dim G = \dim \ker \varphi + \dim \text{Im} \varphi$ )

### 3. SOLVABLE AND UNIPOTENT LAGS.

To study these classes we will first introduce the Jordan-Chevalley decomposition

Let  $g \in \text{End}(V)$  where  $V = k^n$ . We say that  $g$  is **semisimple** if diagonalizable.

$$\text{spec}(g) = 1$$

$$\text{spec}(b) = 0$$

$\in \text{GL}(V)$

We say that  $g$  is **unipotent** if  $g = 1 + b$ ;  $b$  **nilpotent**. Exercise Check  $k = p > 0$ ;  $g$  unip if  $g$  is a  $p$ -element. ( $b^m = 0$ )

Thm (Jordan-Chevalley) Let  $G$  be a LAG, let  $g \in G$ , then  $\exists!$   $g_s, g_u \in G$ :

$g = g_s g_u = g_u g_s$  and such that for any  $\pi: G \rightarrow \text{GL}_n$  1-1 morphism,  $\pi(g_s)$  is ss

$\pi(g_u)$  is unip. (also if  $\varphi: G \rightarrow H$  LAG morphism,  $\varphi(g_s) = \varphi(g)_s$   $\varphi(g_u) = \varphi(g)_u$ )

(similar in abstract groups)

DEF We say that a **LAG** is **unipotent** if  $G = G_u = \{g \in G : g \text{ is unipotent}\}$

(In general  $G_u$  is closed; not necessarily subgroup. Any simple LAG is gen by unip. elts)

We already know what solvable means.

Let  $T_n = \left\{ \begin{pmatrix} * & & \\ & \ddots & \\ & & * \end{pmatrix} \right\} \leq GL_n$ ; this is a solvable LAG.   
connected  
upper triangular

In fact it is maximal among the closed connected solvable subgroups of  $GL_n$ .

DEF Let  $G$  be a LAG, let  $B \leq G$  be maximal among the closed connected solvable groups of  $G$ . Then we say that  $B$  is a **Borel subgroup** of  $G$ .

In view of the thm that embeds a LAG  $G \hookrightarrow GL_n$  the next is very expected

Thm Let  $G$  be a connected solvable LAG, then  $G \hookrightarrow T_n$ . (Lie-Kolchin) It becomes trivial with

Let us look closer at  $T_n$ ; note  $T_n = U_n \rtimes D_n$   
 $\begin{matrix} \parallel & \cong \\ \left\{ \begin{pmatrix} * & & \\ & \ddots & \\ & & * \end{pmatrix} \right\} \leq GL_n \\ \left\{ \begin{pmatrix} 1 & & \\ & \ddots & \\ & & 1 \end{pmatrix} \right\} \leq GL_n \end{matrix}$

This is a very important dec. to have in mind. We generalise it;

DEF<sub>n</sub> A LAG  $G$  is said to be a **torus** if  $G \cong G_m \times \dots \times G_m \cong D_n := \left\{ \begin{pmatrix} * & & 0 \\ & \ddots & \\ 0 & & * \end{pmatrix} \in GL_n \right\}$  (explain)  
obvious  
direct products of LAGs one naturally LAGs.  
Diagonal invertible matrices.

Let  $G$  be a LAG, we say that  $T$  is a **max torus** if  $T$  is a torus and it is not contained in another one (properly).

Thm. Let  $G$  be a unipotent LAG, then  $G \hookrightarrow U_n$ . (So unipotent  $\rightarrow$  nilpotent)

Let  $G$  be a connected solvable LAG; then  $G_u$  closed connected normal. In fact if  $T$  max torus  $G = G_u \rtimes T$ , and all max torus are conj.

Let me mention that one can show that all Borels (respec. max torus) in a LAG are conjugate.



Let me give an idea of how one shows conjugacy of Borels. This also allows me to introduce quotients. Let  $H \subseteq G$  closed; then  $\{Hg : g \in G\}$  can naturally be identified with an open subset of a projective variety. In short,  $\{Hg : g \in G\}$  has a variety structure (If  $H \subseteq G$ , affine and  $G/H$  LAG).

Now one shows: ①  $G$  connected LAG,  $X$  proj var;  $G \curvearrowright X$  (morphically) then  $\exists$  fixed point

$G$  conn.  $\left\{ \begin{array}{l} \text{② } B \text{ Borel then } G/B \text{ proj. (not nec a group)} \\ \text{③ } B_1 \times G/B \rightarrow G/B \text{ left mult. } \exists x \in G : g \times B = xB \\ \forall g \in B_1 \text{ thus } g \in xBx^{-1}; B_1 = xBx^{-1}. \end{array} \right.$

④ Go to disconnected case (I promise easy and irrelevant for us)

Cor Let  $G$  be a LAG, any two max torus are conj. ( $\text{rk}(G) := \dim(\text{max torus})$ )

Pf  $T_1, T_2$  max torus; take  $B_1, B_2$  Borels;  $B_1^x = B_2$  hence  $T_1^x$  max torus

of  $B_2$ . Use solvable result.  $\square$

• Convention For us it is convenient to from now on take the underlying field of any affine variety to be  $k = \overline{\mathbb{F}_p} = \bigcup_{n=1}^{\infty} \mathbb{F}_{p^n}$ . This way we see immediately that if  $G$  LAG,  $g \in G$ ,  $o(g) < \infty$ ;  $g$ -p-elt iff  $g$  unipotent. Also semisimple elements have  $p'$ -order. So the above theorem is parallel to Schur-Zassenhaus.

## 4. REDUCTIVE GROUPS.

DEF Let  $G$  be a LAG,

i) Consider  $\overset{\text{next result}}{\text{the maximal}}$  among closed connected normal solvable subgroup of  $G$ .

We call it the **radical of  $G$** ,  $R(G)$ ,  $\text{Rad}(G)$ .

ii) Consider the maximal among closed connected normal unipotent subgroup of  $G$ .

We call it the **unipotent radical of  $G$** ,  $R_u(G)$ .

( $R_u(G) \subseteq R(G)$ )

ii)  $G$  is **reductive** if  $Ru(G) = 1$ ,  
 $G$  is **semisimple** if  $G$  connected and  $R(G) = 1$  (one would expect to define  $G$  reductive if all charts are ss. NO!  $G$  is weird).

Lemma  $R(G), Ru(G)$  are well defined and  $Ru(G) = R(G)_u$

Finite group parallel:  $R(G) \rightsquigarrow Sol(G)$   
 $Ru(G) \rightsquigarrow Op(G)$

$G$  connected then  
 $G/R(G)$  is ss  
 $G/Ru(G)$  c.z.

That's why study of LAGs is solv/unip then ss/c.z.

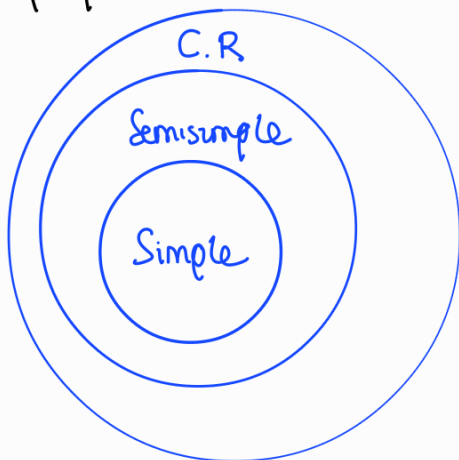
Example  $GL_n$  is connected reductive, not semisimple;  $R(G) = Z(G)$

$SL_n$  is ss;

- Conn:  $k[G] = k[T_{ij}] / (\det T_{ij})$ . We localize a domain; domain. So the ideal is prime so  $G$  irred  $\rightarrow G$  conn.
- $Z(G)$  closed connected solvable; so not ss
- $R(G) = (\cap B)^0$ ; so  $R(G) \subseteq D_n$ ; so  $Ru(G) = 1$   
 $\downarrow$   
 $B \subseteq G$   
 Borel  
 always true

For connected reductive groups,  $R(G) = Z(G)^0$  Identity comp.

A LAG is **simple** if it is semisimple and has no non-trivial proper closed connected normal subgroup.



A semisimple LAG  $G$  determines  $G_1, \dots, G_k \trianglelefteq G$  closed connected normals that are simple, commute with each other and  $G = G_1 \cdots G_k$ ,  $|G_i \cap \prod_{j \neq i} G_j| < \infty$ .

So if one wants to study simple LAGs it is perhaps better to study semisimple LAGs. Connected reductive is a larger class in which we can argue. C.R. groups have a very nice theory and they can be classified combinatorially (this gives a classification of simple LAGs) one key piece of the combinatorial data that allows their classification is the root system.

• Let  $G$  be a LAG (let us take C.R. over  $k = \overline{\mathbb{F}_p}$ ). Let  $T$  be a maximal torus (if  $\dim > 1$ ; else  $G$  is a point; explain). We set  $X(T) := \text{Hom}(T, G_m) = \{ \chi: T \rightarrow G_m : \chi \text{ morphism} \}$ . If  $T \cong D_n$ ,  $X(T) \cong \mathbb{Z}^n$  (abelian group). "Character group"

$G$  always has a finite dimensional vector space  $\mathfrak{L}$  attached to it. This vector space is always endowed with a form  $[, ]: \mathfrak{L} \times \mathfrak{L} \rightarrow \mathfrak{L}$  that is

- Bilinear
- $[x, x] = 0 \quad \forall x \in \mathfrak{L}(G)$
- $[x, [y, z]] + [y, [z, x]] + [z, [x, y]] = 0$

So  $\mathfrak{L}$  is a Lie algebra. Moreover  $G$  acts on its Lie algebra naturally, giving a rep. of  $G$

$$G \xrightarrow{\text{Ad}} \text{GL}(\mathfrak{L}) \quad \text{"adjoint representation"}$$

This representation is a LAG morphism (we do not need it but how can we make  $\text{GL}(\mathfrak{L}(G))$  into a LAG? Think)

Ex If  $G = \text{GL}_n$ ,  $\mathfrak{L} = \mathfrak{gl}_n = \{ n \times n \text{ matrices over } k \}$ ;  $G \xrightarrow{\text{Ad}} \text{GL}(\mathfrak{gl}_n)$   
 $g \mapsto \text{Ad}(g): \mathfrak{gl}_n \rightarrow \mathfrak{gl}_n$   
 $X \mapsto gXg^{-1}$

It plays well with subgroups so we can think of it as this.

Exercise Let  $k$  be a field,  $x, y \in \text{End}(V)$  ( $V$   $d$ -dim  $k$ -vs) commuting ss. Then they are simultaneously diagonalisable. Let  $S \subseteq \text{End}(V)$  a family of commuting ss endomorphisms then they are also simultaneously diagonalisable.

Now  $\text{Ad}(T) \subseteq \text{GL}(K)$  is a commuting family of ss hence we can simultaneously diagonalize; this way we obtain

$$K = \bigoplus_{\alpha \in X(T)} K_{\alpha} \quad \text{where} \quad K_{\alpha} = \{v \in K : \text{Ad}(t)v = \alpha(t)v \quad \forall t \in T\}$$

We set  $\Phi(G) := \{ \alpha \in X(T) : K_{\alpha} \neq 0 \text{ and } \alpha \text{ nontrivial} \}$ . We call this set of roots of  $G$  w.r.t  $T$ . We set  $W = W_G(T) := N_G(T) / C_G(T)$  (if  $G$  is C.R.  $T = C_G(T)$ ) and call it the Weyl group of  $G$  w.r.t  $T$ .  
(thenem)

finite group (thenem)

$$\text{So } K = K_0 \oplus \bigoplus_{\alpha \in \Phi} K_{\alpha}.$$

The following is a long story with many actors explained backwards and omitting key scenes: ( $G$  LAG,  $\mathfrak{h} = \mathbb{F}_p$ ,  $T$  max torus,  $X, \Phi, W$  as above)

- The group  $W = N_G(T) / C_G(T)$  acts on  $X(T)$  via  $w \cdot \alpha = T \rightarrow G_m$  faithfully  $t \mapsto \alpha(n^{-1}tn)$

where  $w = nT = nC_G(T)$ . It stabilizes  $\Phi$ .

Let  $E = X(T) \otimes_{\mathbb{Z}} \mathbb{R}$ , extend the action of  $W$  on  $X$  to  $E$  (flatness). Use the Weyl unimodular trick to define a  $W$ -invariant form on  $E$  (euclidean product).

We have that  $\text{rang } \Phi \subseteq E$ ,  $\Phi$  is an abstract root system in  $E' = \langle \Phi \rangle_{\mathbb{R}}$  (indep of form; which is unique up to scalar on each  $W$ -inv. subspace) with Weyl group isom to  $W$ . Namely,

$$W \longrightarrow \text{GL}(E')$$

$$w \longmapsto \text{extend action of } w \text{ on } X(T) \text{ to } E \text{ (or } E'; \text{ recall } \Phi \text{ is invariant)}$$

is a faithful rep of  $W$  whose image is  $W(\Phi)$ . In fact  $G$  is ss iff  $E' = E$  and  $G$  is simple iff  $\Phi$  irred.

Recap One starts with  $G$  CR, fixes  $T$  max torus, with character group  $X$ ,  $W = \frac{N_G(T)}{T}$   
 one determines roots  $\Phi \subseteq X$  and there is a canonical way to make this into an abstract root system (which as an abstract root system is indep of  $T$ !)  
 In fact with a bit more combinatorial data (root datum) one classifies CR groups. ↑ essentially by conf of max torus

• Let  $G$  be CR,  $T$  max torus,  $B$  a Borel containing  $T$ . Let  $\Phi$  be the set of roots determined by  $T$ . We want to "see the roots from the group". Let  $\alpha \in \Phi$  then

$\exists$  1-1 map  $u_\alpha: \mathbb{G}_a \rightarrow G$  st  $\forall t \in T, t u_\alpha(c) t^{-1} = u_\alpha(\alpha(t)c)$ .

• Unique up to scalar; if  $u'_\alpha$  satisfies the same,  $\exists \lambda \in k^* : u'_\alpha(c) = u_\alpha(\lambda c)$

• So the image  $u_\alpha(\mathbb{G}_a) =: U_\alpha$  only depends on  $\alpha$ . (Root subgroups)

•  $U_\alpha \cong \mathbb{G}_a$ ; Closed connected unip normalised by  $T$  and 1 dim

If  $V$  is any such,  $\exists! \alpha \in \Phi : V = \text{Im}(u_\alpha)$

• Let  $w \in W, w = nT, w(U_\alpha) = U_{w \cdot \alpha}$

This gives a very nice way to think about Borels  $\supseteq T$ . In fact fixed  $T$ , we have

$$\{ B \supseteq T : B \text{ Borel in } G \} \longleftrightarrow \{ \Delta \text{ base of } \Phi \}$$

How? Let  $B$  be a Borel, since it is solvable and  $T$  is a maximal torus in  $B$ ,  $B = B_u \rtimes T$ ; The theorem is that  $\exists! \Delta$  base st

$$B_u = \prod_{\alpha \in \Phi^+} U_\alpha$$

"  $\text{Ru}(B)$

Moreover,  $B = T \prod_{\alpha \in \Phi^+} U_\alpha$  with uniqueness of expression with a fixed order.

(the only  $U_\alpha$ 's in  $B$  are  $U_\alpha : \alpha \in \Phi^+$ )

This gives enough info about connected for us. ( $\Phi(SL_n) = A_{n-1}$ ;  $\bullet \rightarrow \bullet \rightarrow \dots \rightarrow \bullet$ )



Note autom of abstract groups.

Let  $G$  be connected reductive,  $F: G \rightarrow G$  Steinberg map; let  $G^F = \{g \in G : F(g) = g\}$  then  $G^F$  is called finite group of Lie Type.

↳ note it is finite, and a group.

Ex:  $GL_n(q), SL_n(q), \dots$

• If  $G$  is simple LAG,  $F: G \rightarrow G$  Steinberg map,  $G^F$  perfect then  $G^F / Z(G^F)$  is simple (so  $G^F$  quasisimple) (Theorem 24.14 [MT])

Moral: let  $G^F$  be a finite group of Lie type, then the fact that we can view it through the lens of  $F: G \rightarrow G$  allows us to understand  $G^F$  much better

For example, if  $T$   $F$ -stable max torus,  $W = N_G(T) / T$ ,  $w \in W$ ,  $w = n_w T$  one can define affine varieties  $Y_{n_w}$  on which  $G^F$  acts and hence

$G^F \curvearrowright H_c^i(Y_{n_w}, \mathbb{Q}_\ell)$  (vector space) ; we get "natural" representations of  $G^F$ .

( $g: Y_{n_w} \rightarrow Y_{n_w}$  induces  $g^*: H_c^i(Y_{n_w}, \mathbb{Q}_\ell) \rightarrow H_c^i(Y_{n_w}, \mathbb{Q}_\ell)$ )  
 $\forall i \in \mathbb{Z}$

this guarantees  $F|_T, F|_B$  are also Steinberg

• Let  $G$  be C.R. over  $k$ ,  $F: G \rightarrow G$  Steinberg. Then  $\exists F$ -stable  $T \leq B$  Bous. let us consider  $G^F \supseteq B^F \supseteq T^F$ . Note that the  $p$ -elems of  $G^F$  are exactly the unipotent elems of  $G^F$ .

Note that if  $U = B_u$ ,  $U$  is  $F$ -stable (Jordan-Cheval) and  $U^F \leq B^F$ ; in fact one can show  $U^F \in \text{Syl}_p(G^F)$  and  $N_{G^F}(U^F) = B^F$ .

(24.11 MT)

| In  $SL_2$ ,  $F = F_q$ ;  $U^F = \left\{ \begin{pmatrix} 1 & \lambda \\ 0 & 1 \end{pmatrix} : \lambda \in F_q \right\}$

Such pair exists;  $G = GL_n$ ,  $F = F_q$   
 $T = D_n$ ,  $B = T_n$ , and  $T^F = \text{Diag matrices over } F_q$ ;  $B^F = \text{upper triang.} \dots$

# 6. ROOTS OF $G^F$ AND PICKY ELEMENTS

$$U = Bu = Ru \cup B).$$

Let  $G$  be c.2 over  $k$ ,  $F: G \rightarrow G$  Steinberg,  $T \leq B$   $F$ -stable Borel,  $\Phi$  root system (wrt  $T$ ),  $W$  Weyl group. Note that  $\exists \Delta \subseteq \Phi$  base st

$$B = T \prod_{\alpha \in \Phi^+} U_\alpha;$$

Since  $F(U) = U$ ,  $F(U_\alpha) = U_{p(\alpha)}$  for some  $p \in S_{\Phi^+}$ . Define

$$F: X(T) \rightarrow X(T)$$

$$x \mapsto F(x) : T \xrightarrow{F} T \xrightarrow{x} G_m$$

( $p(\alpha) \neq F(\alpha)$  in general; for isom  $\sigma$ ,  $\sigma(p(\alpha)) = \alpha$ ; so  $\sigma = p^{-1}$  on  $\Phi$ )

We can extend by linearity  $F: E = X \otimes_{\mathbb{Z}} \mathbb{R} \rightarrow E = X \otimes_{\mathbb{Z}} \mathbb{R}$

Fact  $\exists n \geq 1$  :  $F^n =$  <sup>positive</sup> integral multiple of  $\text{Id}_X$ .

Let  $\delta$  be the smallest such, let  $k \in \mathbb{Z}_{>1}$ ,  $F^\delta = k \text{Id}$  on  $X$ . One shows  $k = p^m$

Let  $q$  (positive real) be defined by  $q^\delta = k$ . Let  $F_0: E \rightarrow E$  def by  $F = q F_0$

Note  $F_0^\delta = \text{Id}_E$ .

linear; a projector

Let  $E^{F_0} = \{v \in E : F_0(v) = v\} \subseteq E$ . Now let  $\theta: E \rightarrow E^{F_0}$

$$v \mapsto \frac{1}{\delta} \sum_{i=0}^{\delta-1} F_0^i(v)$$

Define an equivalence relation on  $\Phi$ ,  $\alpha \sim \beta$  iff  $\theta(\alpha), \theta(\beta)$  are positive multiples of each other. For each class  $A$ , set  $\alpha_A =$  vector of max length among the  $\theta(\alpha)$

$\alpha_A$ : A class of  $\Phi$   $\{ \} =:$  **Set of roots of  $G^F$** ; This satisfies the axioms (R1)-(R3) of a root system in  $E^{F_0}$  (it almost always satisfies R4 as well) with base

$\Delta_F = \{ \alpha_A : A \subseteq \Delta \}$ . For each root  $\alpha_A$  the corresponding root subgroup is

$$U_A^F, \text{ where } U_A := \prod_{\alpha \in A} U_\alpha$$



(after fixing order)

Theorem In the above setup, each element  $x \in U^F$ , can be expressed uniquely as  

$$x = \prod_{A > 0} x_A \quad \text{where } x_A \in U_A^F, \quad (A > 0 \text{ means that all roots in } A \text{ are positive})$$

In this equivalence  $\forall \alpha$  either all roots in  $\alpha$  are positive or negative

• If  $F: W \rightarrow W$  is trivial, (for example  $F = F_q: SL_2 \rightarrow SL_2$ ) one shows  
 $nT \rightarrow F(n)T$

$E^F = E$  so the set of roots is really  $\Phi$  (and the root system) and the root subgroups are  $U_\alpha^F$  ( $\alpha \in \Phi$ ). "So we are just putting on  $F$ ".

↓ (additive groups of finite fields)

Prop (Malle)  $G$  cr,  $F$  Steinberg,  $T \leq B$   $F$ -stable Bous.

Let  $x \in G^F$  be unipotent (this is, a  $p$ -element, after conj  $WMA$   $x \in U^F$ ). Express  $x$  as above. Then  $x$  is p-regular iff in the expression all simple roots appear.  
 (ie  $\forall$  class  $A: A \in \Delta, x_A \neq 1$ )

This allows to check directly (he classifies unip p-regular).

Directly; in  $SL_2(\mathbb{F}_q)$ ,  $\left\{ \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} : x \in \mathbb{F}_q \setminus \{0\} \right\}$  is p-regular. Why?

Only one root!

# Appendix : Example of root system, root subgroups ...

Let  $G = SL_2$ , Max torus?  $T = D_2 \cap SL_2 = \left\{ \begin{pmatrix} x & 0 \\ 0 & x^{-1} \end{pmatrix} : x \in k^\times \right\}$ ,  $X(T) = \mathbb{Z}$   
 (dim  $SL_2 = 3$ ) Borel?  $B = T_2 \cap SL_2 = \left\{ \begin{pmatrix} x & y \\ 0 & x^{-1} \end{pmatrix} : x \in k^\times \right\}$  
 $\chi : \begin{pmatrix} x & 0 \\ 0 & x^{-1} \end{pmatrix} \mapsto x^m$   
 so  $\chi \equiv m \in \mathbb{Z}$

Why?  $B$  is closed ( $\{A \in SL_2 : a_{21} = 0\}$ ), connected, solvable. Hence in  $SL_2 \exists \tilde{B}$  Borel  
 st  $B \subseteq \tilde{B}$ ; but  $\tilde{B} \subseteq T_2^g$  thus (a bit of work)

$T_2 \cap SL_2 \subseteq \tilde{B} \subseteq T_2^g \cap SL_2 = (T_2 \cap SL_2)^g$ . By dimension  $\tilde{B} = SL_2 \cap T_2$ .  
↓  
normality

Let  $S$  be a torus in  $SL_2$ , we can simultaneously diagonalize it so clearly  $T$  max torus.  
 $\dim(G) = \mathfrak{sl}_2 = \left\{ X \in M_2(k) : \text{tr}(X) = 0 \right\}$ ; (base of  $\mathfrak{sl}_2$   $\left\{ \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \right\}$ )

$\text{Ad} : SL_2 \rightarrow GL(\mathfrak{sl}_2)$

$$g \longmapsto \text{Ad}(g) : \mathfrak{sl}_2 \longrightarrow \mathfrak{sl}_2$$

$$X \longmapsto gXg^{-1}$$

$\text{Ad}(T)$  is a family of commuting ss elements of  $GL(\mathfrak{sl}_2)$ . Let  $\begin{pmatrix} t & 0 \\ 0 & t^{-1} \end{pmatrix} \in T$

$\text{Ad}\left(\begin{pmatrix} t & 0 \\ 0 & t^{-1} \end{pmatrix}\right) : \mathfrak{sl}_2 \longrightarrow \mathfrak{sl}_2$

$$\begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \longrightarrow \begin{pmatrix} t & 0 \\ 0 & t^{-1} \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} t^{-1} & 0 \\ 0 & t \end{pmatrix} = \begin{pmatrix} 0 & t^2 \\ 0 & 0 \end{pmatrix}$$

$$\begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \longrightarrow \begin{pmatrix} t & 0 \\ 0 & t^{-1} \end{pmatrix} \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} t^{-1} & 0 \\ 0 & t \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ t^{-2} & 0 \end{pmatrix}$$

$$\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \longrightarrow \begin{pmatrix} t & 0 \\ 0 & t^{-1} \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} t^{-1} & 0 \\ 0 & t \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

Hence  $\mathfrak{sl}_2 = \langle \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \rangle_{\mathbb{Z}} \oplus \langle \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \rangle_{\mathbb{Z}} \oplus \langle \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \rangle_{\mathbb{Z}}$

$$\left\{ v \in \mathfrak{sl}_2 : \text{Ad}\left(\begin{pmatrix} t & 0 \\ 0 & t^{-1} \end{pmatrix}\right)v = v \right\} \quad \left\{ v \in \mathfrak{sl}_2 : \text{Ad}\left(\begin{pmatrix} t & 0 \\ 0 & t^{-1} \end{pmatrix}\right)v = t^2 v \right\} \quad \left\{ v \in \mathfrak{sl}_2 : \text{Ad}\left(\begin{pmatrix} t & 0 \\ 0 & t^{-1} \end{pmatrix}\right)v = t^{-2} v \right\}$$

$\alpha_0$

$\alpha \ ; \ \alpha : T \rightarrow \mathbb{G}^m$   
 $\begin{pmatrix} t & 0 \\ 0 & t^{-1} \end{pmatrix} \mapsto t^2$

$\alpha = 2 \in \mathbb{Z}$

$\alpha_\beta : \beta : T \rightarrow \mathbb{G}^m$   
 $\begin{pmatrix} t & 0 \\ 0 & t^{-1} \end{pmatrix} \mapsto t^{-2}$

$\beta = -2 \in \mathbb{Z}$

So we have extracted from  $X(T)$ ,  $\{\alpha, \beta\} = \{\alpha, -\alpha\} = \overline{\mathbb{Z}}$ .

$W \curvearrowright \Phi$ ;  $W = N_{SL_2(\mathbb{T})}/T = \left\{ \begin{pmatrix} t & \\ & 1 \end{pmatrix}, \begin{pmatrix} & w \\ 1 & 0 \end{pmatrix} \right\}$ ; acts on  $\mathcal{X} \subseteq \mathcal{X}(T)$ ,

$w \cdot \chi : T \rightarrow \mathbb{G}_m$

$$\begin{pmatrix} t & \\ & 0 \end{pmatrix} \begin{pmatrix} 1 & \\ & t^{-1} \end{pmatrix} \begin{pmatrix} 0 & \\ & 1 \end{pmatrix} \xrightarrow{w} \chi \left( \begin{pmatrix} 1 & \\ & t^{-1} \end{pmatrix} \begin{pmatrix} t & \\ & 1 \end{pmatrix} \begin{pmatrix} 0 & \\ & 1 \end{pmatrix} \right) = \chi \left( \begin{pmatrix} 0 & t^{-1} \\ t & 0 \end{pmatrix} \begin{pmatrix} 0 & \\ & 1 \end{pmatrix} \right) \\ = \chi \begin{pmatrix} t^{-1} & \\ & t \end{pmatrix} = (t^{-1})^m = t^{-m}$$

so  $w \cdot \chi \equiv -m$ .

*W acts here by changing sign*



tensor  $\mathcal{X} \otimes_{\mathbb{Z}} \mathbb{R} = E \cong \mathbb{R}$ ; extend action of  $W$  to  $E = \mathbb{R}$ ;  $\{1 = \text{Id}, w = -\}$

Consider on  $E$  a  $W$ -inv  $\langle, \rangle$ ;  $\langle, \rangle : \mathbb{R} \times \mathbb{R} \rightarrow \mathbb{R}$   
 $(x, y) \mapsto xy$

$A_1$



$(E \cong X)$

$\mathbb{R} \cong \mathbb{Z}$

Now  $\{\alpha, -\alpha\}$  is a root system in  $E$ . ("•" Dynkin diagram)

Comment:  $\mathbb{Z}\Phi \subseteq X$  as



If we go to  $PGL_2$ , same rank, same  $\Phi$  ("•"). But  $\mathbb{Z}\Phi = X$ .



Choice

This distinguishes  $PGL_2 \neq SL_2$  combinatorially.

as abstract groups they are isom  
 $GL_2 = \mathbb{Z}(GL_2)SL_2$

How do we reflect roots on the group? The  $U_{\alpha}$ 's.

$$U_\alpha := \left\{ \begin{pmatrix} 1 & c \\ 0 & 1 \end{pmatrix} : c \in k \right\}; \quad U_{-\alpha} := \left\{ \begin{pmatrix} 1 & 0 \\ c & 1 \end{pmatrix} : c \in k \right\}$$

↓  
 unique subgroup norm to  $\mathbb{B}_\alpha$  such that  
 it is  $U_\alpha(c)$  ( $t U_\alpha(c) t^{-1} = U_\alpha(\alpha(t)c)$ )

$$U_\alpha: \mathbb{B}_\alpha \longrightarrow SL_2 \\ c \longmapsto \begin{pmatrix} 1 & c \\ 0 & 1 \end{pmatrix}$$

Note all our results make sense

$$\bullet T_2 \cap SL_2 = B = B_u \rtimes T = U_\alpha \rtimes T \left( = T \prod_{\alpha \in \Phi^+} U_\alpha \right)$$

↓  
Borel

$$\bullet w \in W \setminus \{2\}, \quad w U_\alpha w^{-1} = U_{-w\alpha} = U_{w \cdot \alpha}$$

$$\bullet \{ \text{Base of } \Phi \} \longleftrightarrow \{ \text{Borels } \supseteq T \}$$

$$\{ \alpha \} \longrightarrow B$$

$$\{ -\alpha \} \longrightarrow T_2 \cap SL_2 = B^- \text{ (opposite Borel)}$$

"  $\begin{pmatrix} * & 0 \\ * & * \end{pmatrix}$  "

"Auts of Dynkin diagrams  $\longrightarrow$  aut of LAG"

$$\rho: \Delta \rightarrow \Delta$$

$$\sigma: G \rightarrow G$$

$$\sigma(U_\alpha) = U_{\rho(\alpha)}$$

## Bibliografía

- Para Root Systems ; Ch 3 de Humphreys "Intro to Lie Algebras & Rep th'y"
- leyendo Ch 1-4 de Humphreys uno clasifica las álgebras de Lie semisimples complejas de acuerdo a su root system. (en LAGs necesitamos más data ;  $G$  LAG determines root system but non-ison LAGs can give the same )
- Para LAGs lo que he dicho está en Malle Testerman con un pelín más de detalle. "Full" detail en Humphreys "LAGs". Para Lie Type Carter "FG of Lie Type".  
Digne - Michel
- El resultado de Malle, está 3.1 de "Picky elements, subnormalizers and character correspondences".
- Para group schemes Jantzen