#### Poincaré inequalities in a metric setting

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This talk is based on joint work with:

- Estibalitz Durand-Cartagena (UNED)
- Nageswari Shanmugalingam (University of Cincinnati)

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- 2 Poincaré inequalities
- 3 Geometric implications

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- Analysis on metric spaces
- 2 Poincaré inequalities
- 3 Geometric implications

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### Motivation

• During the last fifteen years, *analysis on metric spaces* has been a very active field of research.

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- During the last fifteen years, *analysis on metric spaces* has been a very active field of research.
- It has been realized that metric spaces, possibly endowed with some additional features, are a natural setting for many problems in analysis and geometry.

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1. The increasing use of metric tools in different fields, such as:

- Harmonic Analysis
- Quasiconformal Mapping Theory
- Nonlinear Potential Theory
- Riemannian Geometry
- Geometric Group Theory

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- 2. The interest on relevant types of spaces which are very different from the classical euclidean or riemannian cases.

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  - Harmonic Analysis
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  - Geometric Group Theory
- 2. The interest on relevant types of spaces which are very different from the classical euclidean or riemannian cases. For example:
  - Carnot Groups
  - Fractals

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#### Example: Heisenberg group

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Example: Heisenberg group

 $\bullet\,$  The Heisenberg group  $\mathbb H$  is the group of matrices of the form

$$\left(\begin{array}{ccc} 1 & x & z \\ 0 & 1 & y \\ 0 & 0 & 1 \end{array}\right)$$

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where  $x, y, z \in \mathbb{R}$ .

• Topologically,  $\mathbb{H} \simeq \mathbb{R}^3$ , so we can consider the Heisenberg group as the space  $\mathbb{R}^3$  endowed with a special group operation and a special metric.

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Example: Heisenberg group

• For  $p,q \in \mathbb{R}^3$ , the Carnot-Carathéodory distance is given by

 $d_{cc}(p,q) = inf\{ lenght(\gamma) \},\$ 

where  $\gamma$  is an *admissible* path from p to q.

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- For each  $p \in \mathbb{R}^3$  we have a plane  $H_p \subset \mathbb{R}^3$  varying smoothly with p.
- A piecewise smooth path  $\gamma: [a, b] \to \mathbb{R}^3$  is admissible if

 $\gamma'(t) \in H_{\gamma(t)}$ 

for almost all  $t \in [a, b]$ .

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### Heisenberg group



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• This plane distribution is in fact defined as a sub-bundle of the tangent bundle of  $\mathbb{R}^3.$ 

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$$H_p = span\{X(p), Y(p)\},\$$

where the smooth vector fields X and Y on  $\mathbb{R}^3$  are defined as

$$X = \frac{\partial}{\partial x} + 2y \frac{\partial}{\partial z}$$
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• From Chow Theorem it follows that every pair of points can be joined by an admissible path.

Example: Heisenberg group

• The Carnot-Carathéodory distance is bi-Lipschitz equivalent to the *homogeneous distance* 

$$d_H(p,q) = \|p^{-1} \cdot q\|,$$

where

$$\|(x, y, z)\| = ((x^2 + y^2)^2 + z^2)^{1/4}.$$

Image: A math the second se

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• The Hausdorff dimension of Heisenberg group is 4.

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#### Example: Sierpinski Carpet



- We endow the Sierpinski Carpet with euclidean distance.
- The Hausdorff dimension is  $\frac{\log 8}{\log 3}$ .

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#### Analysis on metric spaces

Poincaré inequalities Geometric implications

### Motivation



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### Our setting

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We say that  $(X, d, \mu)$  is *doubling* if there is a constant  $C \ge 1$  such that, for every  $x \in X$  and every r > 0:

$$0 < \mu(B(x,2r)) \leq C \cdot \mu(B(x,r)) < +\infty$$

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# Our setting

• In euclidean space  $\mathbb{R}^n$ , the Lebesgue measure  $\mathcal{L}^n$  is doubling:

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• It can be shown that if a complete metric space supports a doubling measure, then it is locally compact.

# Our setting

• By the end of the '70 it was recognized that a 0-th order calculus can be developed on a doubling metric measure space ("spaces of homogeneous type", by Coifmann-Weiss).

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- But this class of spaces is too general to allow a 1-st order calculus.

### Poincaré inequalities

• From Heinonen-Koskela (1998), Cheeger (1999) and Hajłasz-Koskela (2000), a rich 1-th order calculus can be developed on metric measure spaces, with suitable generalizations of derivatives, fundamental theorem of calculus, and Sobolev spaces.

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- One needs plenty of curves, well distributed along the space.
- One way to make this idea precise is to assume that the space supports a *p*-*Poincaré inequality*.

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**Classical Poincaré Inequality:** there exists a dimensional constant C > 0 such that, for each ball B in the euclidean space  $\mathbb{R}^n$  and all functions  $f \in W^{1,p}(B)$ , we have:

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$$f_B = \int_B f \, d\mathcal{L}^n = \frac{1}{\mathcal{L}^n(B)} \int_B f \, d\mathcal{L}^n.$$

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## Definition of Poincaré inequality

On a metric measure space:

$$\int_{B} |f - f_B| \, d\mathcal{L}^n \leq C \operatorname{rad}(B) \, \int_{B} |\nabla f| d\mathcal{L}^n$$

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# Upper gradients

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Recall that if  $f : \mathbb{R}^n \to \mathbb{R}$  is a smooth function and  $\gamma : [a, b] \to \mathbb{R}^n$  is a smooth path, we have that:

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### Upper gradients

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$$|f(\gamma(b))-f(\gamma(a))|\leq \int_{\gamma}g\ ds.$$

# Upper gradients

• The path  $\gamma : [a, b] \to X$  is *rectifiable* if  $\ell(\gamma) < \infty$ , where

$$\ell(\gamma) = \inf \{ \sum_{j=1}^{m} d(\gamma(t_j), \gamma(t_{j-1})) : a = t_0 < t_1 < \cdots < t_m = b \}.$$

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 If f is a smooth function defined on ℝ<sup>n</sup> or on a riemannian manifold, then |∇f| is an upper gradient of f.

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#### Upper gradients: examples

For example, let  $(X, d, \mu)$  be a metric measure space and f a real-valued Lipschitz function on X.

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• Also the *pointwise Lipschitz constant* of *f*:

$$g(x) = \operatorname{Lip} f(x) := \limsup_{y \to x} \frac{|f(x) - f(y)|}{d(x, y)}$$

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#### p-Poincaré inequality

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$$\int_{B(x,r)} |f - f_{B(x,r)}| \, d\mu \leq C \, r \, \|g\|_{L^{\infty}(B(x,\lambda r))} \qquad (\text{ for } p = \infty)$$

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# Examples

Examples of doubling metric measure spaces satisfying a weak p-Poincaré inequality (p-P.I.):

• Euclidean space  $\mathbb{R}^n$ , endowed with Lebesgue measure  $\mathcal{L}^n$ , admits a 1-P.I.

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- Carnot groups (and, in particular, Heisenberg group) admit a 1-P.I.
- Gromov-Haussdorf limits of measured spaces with a *p*-P.I. also satisfy a *p*-P.I.

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From Hölder inequality, if a space admits a *p*-Poincaré inequality, then it admits a *p*'-Poincaré inequality for each *p*' ≥ *p*.

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- $\bullet\,$  Thus the  $\infty\mbox{-Poincare}$  inequality is the weakest one.
- Given 1 ≤ q a p-Poincaré inequality, but not a q-Poincaré inequality.
- Theorem (Keith and Zhong, 2008). Let X be a complete metric space equipped with a doubling measure satisfying a p-Poincaré inequality for some 1 0 such that X supports a q-Poincaré inequality for all q > p ε.

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#### Examples: Sierpinski Carpet



• The Sierpinski Carpet does not admit  $\infty$ -Poincaré inequality.

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Examples: Sierpinski Strip

• The Sierpinski Strip is obtained placing together each consecutive step of Sierpinski Carpet along an infinite strip in the plane.

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 The Sierpinski Strip admits ∞-Poincaré inequality, but admits no p-Poincaré inequality for 1

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#### Geometric implications: quasiconvexity

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#### Geometric implications: quasiconvexity

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#### Geometric implications: quasiconvexity

- If a metric measure space X supports a *p*-Poincaré inequality  $(1 \le p \le \infty)$ , then X is connected.
- (Cheeger, Semmes, 1999) Every complete metric space X supporting a doubling measure and a *p*-Poincaré inequality (1 ≤ *p* < ∞) is quasiconvex.</li>

#### Geometric implications: quasiconvexity

- If a metric measure space X supports a *p*-Poincaré inequality  $(1 \le p \le \infty)$ , then X is connected.
- (Cheeger, Semmes, 1999) Every complete metric space X supporting a doubling measure and a *p*-Poincaré inequality (1 ≤ *p* < ∞) is quasiconvex.</li>
- A metric space (X, d) is quasiconvex if there exists a constant C ≥ 1 such that, for every x, y ∈ X, there is a path γ in X from x to y, with length ℓ(γ) ≤ C d(x, y).

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#### Thick quasiconvexity

A metric measure space will be said *thick quasiconvex* if every pair of sets of positive measure, which are a positive distance apart, can be connected by a "thick" family of quasiconvex paths.



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#### Modulus of paths

Given (X, d, μ), for 1 ≤ p ≤ ∞ the p-modulus, Mod<sub>p</sub>, is an outer measure defined on the family of all nonconstant rectifiable paths in (X, d, μ).

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- If a set of paths  $\Gamma$  satisfies that  $\operatorname{Mod}_p\Gamma>0,$  we say that  $\Gamma$  is p-thick.

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### Thick quasiconvexity

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• A metric measure space  $(x, d, \mu)$  is *p*-thick quasiconvex if there exists  $C \ge 1$  such that for every  $x, y \in X$ , every  $0 < \varepsilon < \frac{1}{4}d(x, y)$ , and all measurable sets  $E \subset B(x, \varepsilon)$ ,  $F \subset B(y, \varepsilon)$  satisfying  $\mu(E)\mu(F) > 0$  we have that

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 $Mod_{p}(\Gamma(E, F, C)) > 0,$ 

where  $\Gamma(E, F, C)$  denotes the set of paths  $\gamma_{p,q}$  connecting  $p \in E$  and  $q \in F$  with  $\ell(\gamma_{p,q}) \leq C d(p,q)$ .

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• A complete, *p*-thick quasiconvex, doubling metric measure space, is quasiconvex.

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• (Durand-Cartagena, J., Shamungalingam, Williams, 2011-2012) In a complete metric space with a doubling measure, for  $1 \le p \le \infty$ :

*p*-Poincaré inequality  $\Rightarrow$  *p*-thick quasiconvexity

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 n thick guasiconversity ⇒ n Poincaré inequality.

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#### Transversal paths

A path  $\gamma$  in a metric measure space X is *transversal* to a subset  $E \subset X$  if  $\gamma$  intersects E on a set of zero-length, in the sense that:

$$\mathcal{L}^1(\{t : \gamma(t) \in E\}) = 0.$$

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**Theorem** (Durand-Cartagena, J., Shanmugalingam, 2016). Let X be a locally complete, doubling metric measure space. The following conditions are equivalent:

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- (a) X supports an  $\infty$ -Poincaré inequality.
- (b) X is  $\infty$ -thick quasiconvex.
- (c) There is a constant  $C \ge 1$  such that, for every null set  $N \subset X$  and for every pair  $x, y \in X$ , there exists a path  $\gamma$  in X transversal to N, connecting x and y and such that

$$\ell(\gamma) \leq C d(x, y).$$

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#### Modulus of paths: definition

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 for all  $\gamma \in \Gamma_\gamma$ 

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