# Rate of growth of frequently hypercyclic functions 

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#### Abstract

We study the rate of growth of entire functions that are frequently hypercyclic for the differentiation operator or the translation operator. Moreover, we prove the existence of frequently hypercyclic harmonic functions for the translation operator and we study the rate of growth of harmonic functions that are frequently hypercyclic for partial differentiation operators.


1 Introduction. A (continuous and linear) operator $T$ on a topological vector space $X$ is said to be hypercyclic if there exists a vector $x \in X$, also called hypercyclic, whose orbit $\left\{T^{n} x: n \in \mathbb{N}\right\}$ is dense in $X$. The earliest examples of hypercyclic operators were operators on the space $H(\mathbb{C})$ of entire functions. Birkhoff [13] showed that the translation operators $T_{a}: H(\mathbb{C}) \rightarrow H(\mathbb{C})$,

$$
T_{a} f(z)=f(z+a), a \neq 0,
$$

are hypercyclic, while MacLane [29] obtained the same result for the differentiation operator $D: H(\mathbb{C}) \rightarrow H(\mathbb{C})$,

$$
D f(z)=f^{\prime}(z)
$$

It is then natural to ask how slowly a corresponding hypercyclic function can grow at $\infty$. By Duyos-Ruiz [18], translation hypercyclic entire functions can have arbitrarily slow transcendental growth; see also Chan and Shapiro [16]. MacLane [29] showed that differentiation hypercyclic entire functions can be of exponential type 1, and by Duyos-Ruiz [19] they cannot be of exponential type less than 1. An optimal result on the possible rates of growth for the differentiation operator was subsequently obtained by the third author [24] and,

[^0]independently, by Shkarin [30]. For generalizations of this result we refer to [26] and [12].

Analogous investigations have been carried out for harmonic functions. Dzagnidze [20] obtained the analogue of Birkhoff's result, see also Armitage and Gauthier [6]; Armitage [5] recently studied corresponding rates of growth. Hypercyclic partial differentiation operators and related growth rates were investigated by Aldred and Armitage [1], [2] and [3].

It is the purpose of this note to address the same problems for the notion of frequent hypercyclicity that was recently introduced by Bayart and Grivaux [10], [11]. By definition, a vector is hypercyclic if its orbit meets every nonempty open set. Now, for frequent hypercyclicity one demands that the orbit meets every non-empty open set 'often' in the sense of lower density. We recall that the lower density of a subset $A$ of $\mathbb{N}$ is defined as

$$
\underline{\operatorname{dens}}(A)=\liminf _{N \rightarrow \infty} \frac{\#\{n \in A: n \leq N\}}{N}
$$

where \# denotes the cardinality of a set.
Definition 1. Let $X$ be a topological vector space and $T: X \rightarrow X$ an operator. Then a vector $x \in X$ is called frequently hypercyclic for $T$ if, for every non-empty open subset $U$ of $X$,

$$
\underline{\text { dens }}\left\{n \in \mathbb{N}: T^{n} x \in U\right\}>0 .
$$

The operator $T$ is called frequently hypercyclic if it possesses a frequently hypercyclic vector.

Remark 1. A useful alternative formulation is the following; see [15]. A vector $x \in X$ is frequently hypercyclic for an operator $T$ on $X$ if and only if, for every non-empty open subset $U$ of $X$, there is a strictly increasing sequence $\left(n_{k}\right)$ of positive integers and some $C>0$ such that

$$
n_{k} \leq C k \quad \text { and } \quad T^{n_{k}} x \in U \quad \text { for all } k \in \mathbb{N}
$$

The problem of determining possible rates of growth of frequently hypercyclic entire functions has already been studied by the second and third author in a previous publication [14]. The approach chosen there was based on an eigenvalue criterion for frequent hypercyclicity. This criterion allowed them to treat a wide class of operators on the space of entire functions. In this paper we choose a different approach; while we can only apply it to the translation and differentiation operator, at least in the latter case we obtain a better result than in [14]. In addition, we show here that the result obtained in [14] for the translation operator is best possible.

The present approach consists in studying the frequent hypercyclicity of the sequence $\left(T^{n}\right)$ of iterates of the given operator $T$ on a space of functions of restricted growth. For this we shall need the notion of frequent hypercyclicity for an arbitrary sequence of mappings [15].

Definition 2. Let $X$ and $Y$ be topological spaces and $T_{n}: X \rightarrow Y, n \in \mathbb{N}$, mappings. Then an element $x \in X$ is called frequently universal for the sequence $\left(T_{n}\right)$ if, for every non-empty open subset $U$ of $Y$,

$$
\underline{\text { dens }}\left\{n \in \mathbb{N}: T_{n} x \in U\right\}>0 .
$$

The sequence $\left(T_{n}\right)$ is called frequently universal if it possesses a frequently universal element.

In [15], a Frequent Universality Criterion was obtained that generalizes the Frequent Hypercyclicity Criterion of Bayart and Grivaux [11]. We state it here only for Fréchet spaces. A collection of series $\sum_{k=1}^{\infty} x_{k, j}, j \in I$, in a Fréchet space $X$ is said to be unconditionally convergent, uniformly in $j \in I$, if for every continuous seminorm $p$ on $X$ and every $\varepsilon>0$ there is some $N \geq 1$ such that for every finite set $F \subset \mathbb{N}$ with $F \cap\{1,2, \ldots, N\}=\emptyset$ and every $j \in I$ we have that $p\left(\sum_{k \in F} x_{k, j}\right)<\varepsilon$.

Theorem 1 (Frequent Universality Criterion). Let $X$ be a Fréchet space, $Y$ a separable Fréchet space and $T_{n}: X \rightarrow Y, n \in \mathbb{N}$, continuous mappings. Suppose that there are a dense subset $Y_{0}$ of $Y$ and mappings $S_{n}: Y_{0} \rightarrow X, n \in \mathbb{N}$, such that, for all $y \in Y_{0}$,
(i) $\sum_{n=1}^{\infty} T_{k+n} S_{k} y$ converges unconditionally in $Y$, uniformly in $k \in \mathbb{N}$,
(ii) $\sum_{n=1}^{\infty} T_{k} S_{k+n} y$ converges unconditionally in $Y$, uniformly in $k \in \mathbb{N}$,
(iii) $\sum_{n=1}^{\infty} S_{n} y$ converges unconditionally in $X$,
(iv) $T_{n} S_{n} y \rightarrow y$.

Then the sequence $\left(T_{n}\right)$ is frequently universal.
The spaces $H(\mathbb{C})$ of entire functions and $\mathcal{H}\left(\mathbb{R}^{N}\right)$ of harmonic functions on $\mathbb{R}^{N}, N \geq 2$, are Fréchet spaces when endowed with the topology of local uniform convergence.

As usual, throughout this paper constants $C>0$ can take different values at different occurrences. By $\mathbb{R}_{+}$we denote the set of real numbers $x>0$. We write $a_{n} \sim b_{n}$ for positive sequences $\left(a_{n}\right)$ and $\left(b_{n}\right)$ if $a_{n} / b_{n}$ and $b_{n} / a_{n}$ are bounded.

2 Frequently hypercyclic entire functions for the differentiation operator. For hypercyclicity with respect to the differentiation operator $D$, the rate of growth $e^{r} / \sqrt{r}$ turns out to be critical. Indeed, one has the following precise result; see [24] and [30]. If $\varphi: \mathbb{R}_{+} \rightarrow \mathbb{R}_{+}$is any function with $\varphi(r) \rightarrow \infty$ as $r \rightarrow \infty$ then there is a $D$-hypercyclic entire function $f$ with

$$
|f(z)| \leq \varphi(r) \frac{e^{r}}{\sqrt{r}} \quad \text { for }|z|=r \text { sufficiently large; }
$$

but there is no $D$-hypercyclic entire function $f$ that satisfies

$$
|f(z)| \leq C \frac{e^{r}}{\sqrt{r}} \quad \text { for }|z|=r>0
$$

where $C>0$.
Before beginning our investigation into frequent hypercyclicity we want to extend this result to growth rates in terms of $L^{p}$-averages. For an entire function $f$ and $1 \leq p<\infty$ we consider

$$
M_{p}(f, r)=\left(\frac{1}{2 \pi} \int_{0}^{2 \pi}\left|f\left(r e^{i t}\right)\right|^{p} d t\right)^{1 / p}, \quad r>0
$$

and

$$
M_{\infty}(f, r)=\sup _{|z|=r}|f(z)|, \quad r>0 .
$$

The growth result stated above is in terms of $M_{\infty}$. It extends verbatim to all $M_{p}$.

Theorem 2. Let $1 \leq p \leq \infty$.
(a) For any function $\varphi: \mathbb{R}_{+} \rightarrow \mathbb{R}_{+}$with $\varphi(r) \rightarrow \infty$ as $r \rightarrow \infty$ there is a $D$-hypercyclic entire function $f$ with

$$
M_{p}(f, r) \leq \varphi(r) \frac{e^{r}}{\sqrt{r}} \quad \text { for } r>0 \text { sufficiently large. }
$$

(b) There is no D-hypercyclic entire function $f$ that satisfies

$$
M_{p}(f, r) \leq C \frac{e^{r}}{\sqrt{r}} \quad \text { for } r>0
$$

where $C>0$.
Proof. Since

$$
M_{p}(f, r) \leq M_{\infty}(f, r), \quad r>0,
$$

part (a) follows from the corresponding classical result.
Part (b) follows as in the proof given in [24]. By the Cauchy estimates we have that

$$
\left|f^{(n)}(0)\right| \leq \frac{n!}{r^{n}} M_{1}(f, r)
$$

Since $M_{1}(f, r) \leq M_{p}(f, r)$ we find that $\left|f^{(n)}(0)\right| \leq C \frac{n!}{n^{n+1 / 2}} e^{n}, n \geq 1$. Now Stirling's formula implies that $\left(f^{(n)}(0)\right)$ is bounded, so that $f$ cannot be hypercyclic.

After these preliminaries we study growth rates for frequent hypercyclicity. As an auxiliary result we shall need the following estimate; it is certainly known in some form or other, but we have not been able to find a reference. We deduce it here from a much stronger result of Barnes [9].

Lemma 1. Let $0<\alpha \leq 2$ and $\beta \in \mathbb{R}$. Then there is some $C>0$ such that, for all $r>0$,

$$
\sum_{n=0}^{\infty} \frac{r^{\alpha n}}{(n+1)^{\beta}(n!)^{\alpha}} \leq C r^{\frac{1-\alpha-2 \beta}{2}} e^{\alpha r}
$$

Proof. Barnes [9, pp. 289-292] studied the functions

$$
E_{\alpha}(z ; \theta, \beta)=\sum_{n=0}^{\infty} \frac{z^{n}}{(n+\theta)^{\beta} \Gamma(\alpha n+1)}
$$

for $\alpha, \theta>0, \beta \in \mathbb{R}$; for the special case of $\beta=0$ these are the Mittag-Leffler functions, see also [21, Section 18.1]. Barnes derived asymptotic expansions for his functions which, for $0<\alpha \leq 2$ and $z \in \mathbb{R}, z \rightarrow \infty$, yield that

$$
E_{\alpha}(r ; \theta, \beta)=\alpha^{\beta-1} r^{-\beta / \alpha} e^{r^{1 / \alpha}}\left(1+O\left(r^{-1 / \alpha}\right)\right)
$$

Now, by Stirling's formula we have that

$$
\frac{(n!)^{\alpha}}{\Gamma(\alpha n+1)} \sim \alpha^{-\alpha n}(n+1)^{(\alpha-1) / 2}
$$

Thus we obtain that

$$
\sum_{n=0}^{\infty} \frac{\left(r / \alpha^{\alpha}\right)^{n}}{(n+1)^{\beta+\frac{1}{2}(1-\alpha)}(n!)^{\alpha}} \leq C r^{-\beta / \alpha} e^{r^{1 / \alpha}}
$$

A change of variables and parameters implies the claimed estimate.
Our first main result gives growth rates for which $D$-frequently hypercyclic functions exist. In the following, we shall put $1 /(2 p)=0$ for $p=\infty$.

Theorem 3. Let $1 \leq p \leq \infty$, and put $a=\frac{1}{2 \max \{2, p\}}$. Then, for any function $\varphi: \mathbb{R}_{+} \rightarrow \mathbb{R}_{+}$with $\varphi(r) \rightarrow \infty$ as $r \rightarrow \infty$, there is an entire function $f$ with

$$
M_{p}(f, r) \leq \varphi(r) \frac{e^{r}}{r^{a}} \quad \text { for } r>0 \text { sufficiently large }
$$

that is frequently hypercyclic for the differentiation operator.
Proof. Since

$$
M_{p}(f, r) \leq M_{2}(f, r) \quad \text { for } 1 \leq p<2
$$

we need only prove the result for $p \geq 2$.
Thus let $2 \leq p \leq \infty$. We shall make use of the Frequent Universality Criterion. Assuming without loss of generality that $\inf _{r>0} \varphi(r)>0$, we consider the space

$$
X=\left\{f \in H(\mathbb{C}):\|f\|_{X}:=\sup _{r>0} \frac{M_{p}(f, r) r^{1 /(2 p)}}{\varphi(r) e^{r}}<\infty\right\}
$$

It is not difficult to see that $\left(X,\|\cdot\|_{X}\right)$ is a Banach space that is continuously embedded in $H(\mathbb{C})$; one need only note that on account of the Cauchy estimates we have that, for $0<\rho<r$,

$$
\sup _{|z| \leq \rho}|f(z)| \leq \frac{r}{r-\rho} M_{1}(f, r) \leq \frac{r}{r-\rho} M_{p}(f, r)
$$

In addition, we define $Y_{0} \subset H(\mathbb{C})$ as the set of polynomials, and we consider the mappings

$$
T_{n}: X \rightarrow H(\mathbb{C}), T_{n}=\left.D^{n}\right|_{X}
$$

which are continuous, and

$$
S_{n}: Y_{0} \rightarrow X, S_{n}=S^{n} \quad \text { with } S f(z)=\int_{0}^{z} f(\zeta) d \zeta
$$

Then we have for any polynomial $f$ that

$$
\sum_{n=1}^{\infty} T_{k+n} S_{k} f=\sum_{n=1}^{\infty} D^{n} f
$$

is a finite series, hence unconditionally convergent in $H(\mathbb{C})$,

$$
T_{n} S_{n} f=f \quad \text { for any } n \in \mathbb{N},
$$

and

$$
\sum_{n=1}^{\infty} T_{k} S_{k+n} f=\sum_{n=1}^{\infty} S_{n} f
$$

Thus the conditions (i) - (iv) in Theorem 1 are satisfied if we can show that $\sum_{n=1}^{\infty} S_{n} f$ converges unconditionally in $X$, for any polynomial $f$; note that $X$ is continuously embedded in $H(\mathbb{C})$. It suffices to consider $f(z)=z^{k}, k \in \mathbb{N}_{0}$, in which case

$$
\sum_{n=1}^{\infty} S_{n} f(z)=\sum_{n=1}^{\infty} \frac{k!}{(k+n)!} z^{k+n}
$$

Therefore, all we need to show is that

$$
\sum_{n=1}^{\infty} \frac{z^{n}}{n!}
$$

converges unconditionally in $X$. To this end, let $\varepsilon>0$ and $N \in \mathbb{N}$. By the Hausdorff-Young inequality (see, for example, [27]) we obtain for any finite set $F \subset \mathbb{N}$ that

$$
M_{p}\left(\sum_{n \in F} \frac{z^{n}}{n!}, r\right) \leq\left(\sum_{n \in F} \frac{r^{q n}}{(n!)^{q}}\right)^{1 / q}
$$

where $q$ is the conjugate exponent of $p$. Hence, if $F \cap\{0,1, \ldots, N\}=\emptyset$, then

$$
\left\|\sum_{n \in F} \frac{z^{n}}{n!}\right\|_{X} \leq\left(\sup _{r>0} \frac{r^{q /(2 p)}}{\varphi(r)^{q} e^{q r}} \sum_{n>N} \frac{r^{q n}}{(n!)^{q}}\right)^{1 / q}
$$

We choose $R>0$ such that $\varphi(r)^{q} \geq 1 / \varepsilon$ for $r \geq R$. Then we have that

$$
\sup _{r \leq R} \frac{r^{q /(2 p)}}{\varphi(r)^{q} e^{q r}} \sum_{n>N} \frac{r^{q n}}{(n!)^{q}} \leq \frac{R^{q /(2 p)}}{\inf _{r>0} \varphi(r)^{q}} \sum_{n>N} \frac{R^{q n}}{(n!)^{q}} \rightarrow 0 \quad \text { as } N \rightarrow \infty
$$

moreover, Lemma 1 implies that

$$
\sup _{r \geq R} \frac{1}{\varphi(r)^{q}} \frac{r^{q /(2 p)}}{e^{q r}} \sum_{n>N} \frac{r^{q n}}{(n!)^{q}} \leq C \varepsilon \quad \text { for any } N \in \mathbb{N}
$$

where $C$ is a constant only depending on $q$; note that $\frac{1-q}{2}+\frac{q}{2 p}=0$.
This shows that

$$
\left\|\sum_{n \in F} \frac{z^{n}}{n!}\right\|_{X}^{q} \leq(1+C) \varepsilon
$$

if $\min F>N$ and $N$ is sufficiently large, so that $\sum_{n=1}^{\infty} \frac{z^{n}}{n!}$ converges unconditionally in $X$.

The following result gives lower estimates on the possible growth rates.
Theorem 4. Let $1 \leq p \leq \infty$, and put $a=\frac{1}{2 \min \{2, p\}}$.
Let $\psi: \mathbb{R}_{+} \rightarrow \mathbb{R}_{+}$be any function with $\psi(r) \rightarrow 0$ as $r \rightarrow \infty$. Then there is no $D$-frequently hypercyclic entire function $f$ that satisfies

$$
\begin{equation*}
M_{p}(f, r) \leq \psi(r) \frac{e^{r}}{r^{a}} \quad \text { for } r>0 \text { sufficiently large. } \tag{1}
\end{equation*}
$$

Proof. First, for $p=1$ the result follows immediately from Theorem 2(b) (one may even take $\psi(r) \equiv C$ here). Moreover, since

$$
M_{2}(f, r) \leq M_{p}(f, r) \quad \text { for } 2<p \leq \infty
$$

it suffices to prove the result for $p \leq 2$.
Thus let $1<p \leq 2$. We obviously may assume that $\psi$ is decreasing. Suppose that $f$ satisfy (1). With the help of the Hausdorff-Young inequality (see [27]) we get that

$$
\begin{equation*}
\left(\sum_{n=0}^{\infty}\left(\frac{\left|f^{(n)}(0)\right|}{n!} r^{n}\right)^{q}\right)^{1 / q} \leq M_{p}(f, r) \leq \psi(r) \frac{e^{r}}{r^{1 /(2 p)}} \tag{2}
\end{equation*}
$$

for $r>0$ sufficiently large, where $q$ is the conjugate exponent of $p$. Thus we have that, for large $r$,

$$
\begin{equation*}
\sum_{n=0}^{\infty}\left|f^{(n)}(0)\right|^{q} \frac{r^{q n+q /(2 p)} e^{-q r}}{\psi(r)^{q}(n!)^{q}} \leq 1 \tag{3}
\end{equation*}
$$

Using Stirling's formula we see that the function

$$
g(r)=\frac{r^{q n+q /(2 p)} e^{-q r}}{(n!)^{q}}
$$

has its maximum at $a_{n}:=n+1 /(2 p)$ with $g\left(a_{n}\right) \sim 1 / \sqrt{n}$ and an inflection point at $b_{n}:=a_{n}+\sqrt{n / q+n /(2 p q)}$; we have used here that $q /(2 p)-q / 2=-1 / 2$. On $I_{n}:=\left[a_{n}, b_{n}\right], g$ therefore dominates the linear function $h$ that satisfies $h\left(a_{n}\right)=g\left(a_{n}\right), h\left(b_{n}\right)=0$.

Now let $m \geq 1$. If $m$ is sufficiently large and $m<n \leq 2 m$ then $I_{n} \subset[m, 3 m]$. Hence we have for these $n$

$$
\int_{m}^{3 m} \frac{r^{q n+q /(2 p)} e^{-q r}}{\psi(r)^{q}(n!)^{q}} \geq \int_{I_{n}} \frac{h(r)}{\psi(r)^{q}} d r \geq C \frac{1}{\psi(m)^{q}} \frac{1}{\sqrt{n}} \sqrt{\frac{n}{q}+\frac{1}{2 p q}} \geq C \frac{1}{\psi(m)^{q}}
$$

Integrating (3) over [ $m, 3 m$ ] we thus obtain that for $m$ sufficiently large

$$
\frac{1}{m} \sum_{n=m+1}^{2 m}\left|f^{(n)}(0)\right|^{q} \leq C \psi(m)^{q}
$$

hence

$$
y_{m}=\frac{1}{m} \sum_{n=m+1}^{2 m}\left|f^{(n)}(0)\right|^{q} \rightarrow 0
$$

Notice that
$\sum_{n=1}^{m} y_{n}=\sum_{n=1}^{m} \frac{1}{n} \sum_{n<j \leq 2 n}\left|f^{(j)}(0)\right|^{q} \geq \sum_{j=2}^{m}\left|f^{(j)}(0)\right|^{q}\left(\sum_{j / 2 \leq n<j} \frac{1}{n}\right) \geq \frac{1}{2} \sum_{j=2}^{m}\left|f^{(j)}(0)\right|^{q}$.
Since $y_{m} \rightarrow 0$ implies $\frac{1}{m} \sum_{n=1}^{m} y_{n} \rightarrow 0$ one obtains that

$$
\frac{1}{m} \sum_{n=0}^{m}\left|f^{(n)}(0)\right|^{q} \rightarrow 0
$$

Hence we have

$$
\begin{aligned}
\underline{\text { dens }}\left\{n \in \mathbb{N}:\left|f^{(n)}(0)\right|>1\right\} & =\liminf _{m \rightarrow \infty} \frac{1}{m} \#\left\{n \leq m:\left|f^{(n)}(0)\right|>1\right\} \\
& \leq \liminf _{m \rightarrow \infty} \frac{1}{m} \sum_{n=0}^{m}\left|f^{(n)}(0)\right|^{q} \rightarrow 0
\end{aligned}
$$

which shows that $f$ is not frequently hypercyclic for the differentiation operator.

The following diagram represents our present knowledge of possible or impossible growth rates $e^{r} / r^{a}$ for frequent hypercyclicity with respect to $D$.


3 Frequently hypercyclic entire functions for translation operators. We turn to the translation operators

$$
T_{a} f(z)=f(z+a), a \neq 0
$$

Duyos-Ruiz [18] has shown that for any chosen transcendental growth rate there is a $T_{a}$-hypercyclic entire function with slower growth. We shall see here that this behaviour does not extend to frequent hypercyclicity. In fact, we have the following sharp result.

Theorem 5. Let $a \in \mathbb{C}, a \neq 0$.
(a) For any $\varepsilon>0$, there is an entire function $f$ that is frequently hypercyclic for $T_{a}$ such that

$$
M_{\infty}(f, r) \leq C e^{\varepsilon r} \quad \text { for } r>0
$$

where $C>0$.
(b) Let $\varepsilon: \mathbb{R}_{+} \rightarrow \mathbb{R}_{+}$with $\liminf _{r \rightarrow \infty} \varepsilon(r)=0$. Then there exists no entire function $f$ that is frequently hypercyclic for $T_{a}$ with

$$
M_{1}(f, r) \leq C e^{\varepsilon(r) r} \quad \text { for } r \text { sufficiently large. }
$$

Proof. For (a) we combine ideas from the proofs of [15, Theorem 4.2] and Theorem 3 above. By considering the change of variable $z \mapsto z / a$ it is easy to see that we may assume that $a=1$. For fixed $\varepsilon>0$ we consider the Banach space

$$
X=\left\{f \in H(\mathbb{C}):\|f\|_{X}:=\sup _{r>0} \sup _{|z|=r} \frac{|f(z)|}{e^{\varepsilon r}}<\infty\right\}
$$

and, for $n \in \mathbb{N}$, the continuous mappings

$$
T_{n}: X \rightarrow H(\mathbb{C}), T_{n} f(z)=f(z+n)
$$

The result will follow if we can show that $\left(T_{n}\right)$ is frequently universal, for which we shall apply the Frequent Universality Criterion.

For $m, k \in \mathbb{N}$ we consider the entire functions

$$
f_{m, k}(z)=z^{m}\left(\frac{\sin \frac{z}{k}}{\frac{z}{k}}\right)^{m+2}
$$

and we set

$$
Y_{0}=\operatorname{span}\left\{f_{m, k}: m, k \in \mathbb{N}, \frac{m+2}{k} \leq \frac{\varepsilon}{2}\right\}
$$

Since $f_{m, k}(z) \rightarrow z^{m}$ in $H(\mathbb{C})$ as $k \rightarrow \infty$, we have that $Y_{0}$ is dense in $H(\mathbb{C})$.
Finally we define, for $n \in \mathbb{N}$, the mappings

$$
S_{n}: Y_{0} \rightarrow X, S_{n} f(z)=f(z-n)
$$

which are easily seen to be well-defined.

Now, for all $m, k, l \in \mathbb{N}$,

$$
\sum_{n=1}^{\infty} T^{l+n} S_{l} f_{m, k}(z)=k^{m+2} \sum_{n=1}^{\infty} \frac{\sin ^{m+2} \frac{z+n}{k}}{(z+n)^{2}}
$$

converges absolutely, hence unconditionally in $H(\mathbb{C})$, giving condition (i) of the Frequent Universality Criterion. Condition (iv) is immediate, and for Conditions (ii) and (iii) it suffices to show that, whenever $\frac{m+2}{k} \leq \frac{\varepsilon}{2}$,

$$
\sum_{n=1}^{\infty} S_{n} f_{m, k}(z)=k^{m+2} \sum_{n=1}^{\infty} \frac{\sin ^{m+2} \frac{z-n}{k}}{(z-n)^{2}}
$$

converges absolutely in $X$.
To see this, let $n \in \mathbb{N}$. We first consider the case when $|z-n| \leq 1$. Writing $C_{m, k}=\max _{|z| \leq 1}\left|\frac{\sin ^{m+2} \frac{z}{k}}{z^{2}}\right|$ we find that

$$
\frac{\left|S_{n} f_{m, k}(z)\right|}{e^{\varepsilon|z|}} \leq k^{m+2} \frac{C_{m, k}}{e^{\varepsilon(n-1)}} .
$$

Next, if $1 \leq|z-n| \leq \frac{n}{2}$ then $|\operatorname{Re} z| \geq \frac{n}{2}$, so that

$$
\frac{\left|S_{n} f_{m, k}(z)\right|}{e^{\varepsilon|z|}} \leq k^{m+2} \frac{e^{\frac{m+2}{k}|\operatorname{Im} z|}}{e^{\frac{\varepsilon}{2}(|\operatorname{Re} z|+|\operatorname{Im} z|)}} \leq \frac{k^{m+2}}{e^{\frac{\varepsilon}{2}}|\operatorname{Re} z|} \leq \frac{k^{m+2}}{e^{\varepsilon n / 4}} .
$$

Finally, if $|z-n| \geq \frac{n}{2}$ then

$$
\frac{\left|S_{n} f_{m, k}(z)\right|}{e^{\varepsilon|z|}} \leq k^{m+2} \frac{e^{\frac{m+2}{k}|\operatorname{Im} z|}}{e^{\varepsilon|z|}|z-n|^{2}} \leq 4 \frac{k^{m+2}}{n^{2}} .
$$

Altogether we have that

$$
\sum_{n=1}^{\infty}\left\|S_{n} f_{m, k}\right\|_{X}<\infty
$$

which had to be shown.
We turn to the proof of (b), assuming again that $a=1$. Let $f$ be an entire function that is frequently hypercyclic for $T_{1}$; by adding a constant, if necessary, we may assume that $f(0)=1$. Then there exists a strictly increasing sequence $\left(n_{k}\right)$ in $\mathbb{N}$ with $n_{k}=O(k)$ such that, for all $k \in \mathbb{N}$,

$$
\left|f\left(z+n_{k}\right)-z\right|<\frac{1}{2} \quad \text { for }|z| \leq \frac{1}{2}
$$

It follows from Rouché's theorem that $f$ has a zero in $\left|z-n_{k}\right|<\frac{1}{2}$. If $N(r)$ denotes the number of zeros of $f$ in $|z|<r$, counting multiplicity, then we consequently have for all $k \in \mathbb{N}$

$$
\begin{equation*}
N\left(n_{k}+1\right) \geq k \tag{4}
\end{equation*}
$$

Now assume in addition that there is $\varepsilon: \mathbb{R}_{+} \rightarrow \mathbb{R}_{+}$with $\liminf _{r \rightarrow \infty} \varepsilon(r)=0$ and some $C>0$ such that

$$
M_{1}(f, r) \leq C e^{\varepsilon(r) r} \quad \text { for } r \text { sufficiently large. }
$$

Applying Jensen's formula,

$$
\log |f(0)|+\int_{0}^{R} \frac{N(r)}{r} d r=\frac{1}{2 \pi} \int_{-\pi}^{\pi} \log \left|f\left(R e^{i t}\right)\right| d t
$$

see [17, pp. 280-282], and Jensen's inequality for concave functions,

$$
M_{1}(\log |f|, R) \leq \log M_{1}(f, R)
$$

we have that, for large $R$,

$$
N(R) \log 2 \leq \int_{R}^{2 R} \frac{N(r)}{r} d r \leq \log C+2 R \varepsilon(2 R)
$$

Let $R_{\nu}$ be such that $\varepsilon\left(2 R_{\nu}\right) \rightarrow 0$. Then there are $k_{\nu} \in \mathbb{N}$ with

$$
n_{k_{\nu}}+1 \leq R_{\nu} \leq n_{k_{\nu}+1}
$$

We obtain that
$N\left(n_{k_{\nu}}+1\right) \log 2 \leq N\left(R_{\nu}\right) \log 2 \leq \log C+2 R_{\nu} \varepsilon\left(2 R_{\nu}\right) \leq \log C+2 n_{k_{\nu}+1} \varepsilon\left(2 R_{\nu}\right)$, hence

$$
\begin{equation*}
\frac{N\left(n_{k_{\nu}}+1\right)}{n_{k_{\nu}+1}} \rightarrow 0 . \tag{5}
\end{equation*}
$$

On the other hand, it follows from (4) that

$$
\frac{N\left(n_{k_{\nu}}+1\right)}{n_{k_{\nu}+1}} \geq \frac{k_{\nu}}{n_{k_{\nu}+1}} \geq \frac{1}{2} \frac{k_{\nu}+1}{n_{k_{\nu}+1}}
$$

so that, by $(5), \sup _{k} n_{k} / k=\infty$, a contradiction. This proves the claim.
The theorem implies that $T_{a}$ has frequently hypercyclic entire functions of order 1 and any given positive type, but not of type 0 . The positive part was also obtained, with a different method, in [14, Corollary 3.5].

The theorem can also be phrased more succinctly in the following way.
Corollary 1. Let $\phi: \mathbb{R}_{+} \rightarrow \mathbb{R}_{+}$be arbitrary and let $1 \leq p \leq \infty$. Then there exists a $T_{a}$-frequently hypercyclic entire function $f$ with

$$
M_{p}(f, r) \leq C \phi(r) \quad \text { for } r \text { sufficiently large }
$$

for some $C>0$ if and only if

$$
\liminf _{r \rightarrow \infty} \frac{\log (\phi(r))}{r}>0
$$

4 Frequently hypercyclic harmonic functions for differentiation operators. We next study harmonic functions on $\mathbb{R}^{N}, N \geq 2$, where we start again by considering differentiation operators. Here they take the form

$$
D^{\alpha}=\frac{\partial^{|\alpha|}}{\partial x_{1}^{\alpha_{1}} \cdots \partial x_{N}^{\alpha_{N}}}
$$

where $\alpha=\left(\alpha_{1}, \ldots, \alpha_{N}\right) \in \mathbb{N}_{0}^{N}$ and $|\alpha|=\alpha_{1}+\ldots+\alpha_{N}$.
Following Aldred and Armitage [1] we first consider the special operators $\frac{\partial}{\partial x_{k}}$, and we study rates of growth in terms of the $L^{2}$-norm on spheres. More precisely, let $S(r)$ be the sphere of radius $r$ centred at the origin 0 of $\mathbb{R}^{N}$, and let $\sigma$ be the normalized ( $N-1$ )-dimensional measure on $S(r)$. For $h \in \mathcal{H}\left(\mathbb{R}^{N}\right)$ and $r>0$ we consider

$$
M_{2}(h, r)=\left(\int_{S(r)}|h|^{2} d \sigma\right)^{1 / 2}
$$

Then, for any function $\varphi: \mathbb{R}_{+} \rightarrow \mathbb{R}_{+}$with $\varphi(r) \rightarrow \infty$ as $r \rightarrow \infty$ and $1 \leq k \leq N$ there exists a harmonic function $h$ on $\mathbb{R}^{N}$ that is $\frac{\partial}{\partial x_{k}}$-hypercyclic such that

$$
M_{2}(h, r) \leq \varphi(r) \frac{e^{r}}{r^{(N-1) / 2}} \quad \text { for } r>0 \text { sufficiently large, }
$$

while there can be no $\frac{\partial}{\partial x_{k}}$-hypercyclic harmonic function $h$ that satisfies

$$
M_{2}(h, r) \leq C \frac{e^{r}}{r^{(N-1) / 2}} \quad \text { for } r>0
$$

see [1]. Note that Aldred and Armitage phrase their result in terms of a different notion of universality, but their proof gives the result stated above.

We study the situation for frequent hypercyclicity. We shall need the following lemma, where $\mathcal{H}_{j, N}, j \geq 0$, denotes the space of homogeneous harmonic polynomials on $\mathbb{R}^{N}$ of degree $j$.
Lemma 2. Let $\alpha \in \mathbb{N}_{0}^{N}$. For any $H \in \mathcal{H}_{j, N}, j \geq 0$, there exists a unique polynomial $G \in \mathcal{H}_{j+|\alpha|, N}$ with

$$
D^{\alpha} G=H
$$

Existence is obtained in [2, Lemma 2]. For $|\alpha|=1$, uniqueness is easily deduced from [1, Lemma 3(ii) and (10)]; the case of general $\alpha$ follows by induction (it is important to note that the uniqueness assertion in [1, Lemma 3(ii)] does not depend on the orthogonality of the mentioned representation; see [28, Theorem 3]).

Theorem 6. Let $1 \leq k \leq N$.
(a) Let $\varphi: \mathbb{R}_{+} \rightarrow \mathbb{R}_{+}$be any function with $\varphi(r) \rightarrow \infty$ as $r \rightarrow \infty$. Then there is a $\frac{\partial}{\partial x_{k}}$-frequently hypercyclic harmonic function $h$ on $\mathbb{R}^{N}$ with

$$
M_{2}(h, r) \leq \varphi(r) \frac{e^{r}}{r^{N / 2-3 / 4}} \quad \text { for } r>0 \text { sufficiently large. }
$$

(b) Let $\psi: \mathbb{R}_{+} \rightarrow \mathbb{R}_{+}$be any function with $\psi(r) \rightarrow 0$ as $r \rightarrow \infty$. Then there is no $\frac{\partial}{\partial x_{k}}$-frequently hypercyclic harmonic function $h$ on $\mathbb{R}^{N}$ with

$$
M_{2}(h, r) \leq \psi(r) \frac{e^{r}}{r^{N / 2-3 / 4}} \quad \text { for } r>0 \text { sufficiently large. }
$$

Proof. (a) We assume without loss of generality that $\inf _{r>0} \varphi(r)>0$ and define

$$
X=\left\{h \in \mathcal{H}\left(\mathbb{R}^{N}\right):\|h\|_{X}:=\sup _{r>0} \frac{M_{2}(h, r) r^{N / 2-3 / 4}}{\varphi(r) e^{r}}<\infty\right\}
$$

Then, as in [1], $X$ is a Banach space that is continuously embedded in $\mathcal{H}\left(\mathbb{R}^{N}\right)$, endowed with the topology of local uniform convergence.

For $n \in \mathbb{N}$, we consider the continuous mappings

$$
T_{n}: X \rightarrow \mathcal{H}\left(\mathbb{R}^{N}\right), T_{n}=\left.\frac{\partial^{n}}{\partial x_{k}^{n}}\right|_{X},
$$

and for $Y_{0}$ we take the space of harmonic polynomials on $\mathbb{R}^{N}$.
Next, by Lemma 2, for any $H \in \mathcal{H}_{j, N}$ and $n \in \mathbb{N}$, there exists a unique harmonic polynomial $P_{n}(H) \in \mathcal{H}_{j+n, N}$ such that

$$
\frac{\partial^{n}}{\partial x_{k}^{n}} P_{n}(H)=H
$$

Moreover, by $\left[1\right.$, Lemma 4] and the homogeneity of $P_{n}(H)$, we have

$$
\begin{equation*}
M_{2}\left(P_{n}(H), r\right) \leq c_{N, j, n} r^{j+n} M_{2}(H, 1) \tag{6}
\end{equation*}
$$

with

$$
c_{N, j, n}=\left(\frac{(N+2 j-2)!}{n!(N+2 j+n-3)!(N+2 j+2 n-2)}\right)^{1 / 2} .
$$

We note that, for fixed $j$,

$$
\begin{equation*}
c_{N, j, n} \sim \frac{1}{(n+j)!(n+j+1)^{N / 2-1}} . \tag{7}
\end{equation*}
$$

Since any harmonic polynomial $h$ of degree $m$ has a unique representation

$$
h=\sum_{j=0}^{m} H_{j}, H_{j} \in \mathcal{H}_{j, N},
$$

see $[8,1.26,1.27]$, we may define, for $n \in \mathbb{N}$, the mappings

$$
S_{n}: Y_{0} \rightarrow X, h=\sum_{j=0}^{m} H_{j} \mapsto \sum_{j=0}^{m} P_{n}\left(H_{j}\right) .
$$

With these definitions we have for any harmonic polynomial $h$ and $l \in \mathbb{N}$ that

$$
\sum_{n=1}^{\infty} T_{l+n} S_{l} h=\sum_{n=1}^{\infty} T_{n} h
$$

is a finite series, hence unconditionally convergent in $\mathcal{H}\left(\mathbb{R}^{N}\right)$, and

$$
T_{n} S_{n} h=h \quad \text { for any } n \geq 1
$$

In addition, the uniqueness of the $P_{n}(H)$ implies that

$$
T_{l} S_{l+n} h=S_{n} h \quad \text { for } n \geq 1
$$

Thus, in order for conditions (i) - (iv) in the Frequent Universality Criterion to hold it suffices to show that

$$
\sum_{n=1}^{\infty} S_{n} H=\sum_{n=1}^{\infty} P_{n}(H)
$$

converges unconditionally in $X$, for any polynomial $H \in \mathcal{H}_{j, N}, j \geq 0$.
Since the degrees of the $P_{n}(H), n \geq 1$, are different, these functions are orthogonal with respect to the inner product defining $M_{2}(f, r)$, see [8, Theorem 5.3]. Hence we have for $F \subset \mathbb{N}$ finite, using (6), (7) and [1, Lemma 4],

$$
\begin{aligned}
\left\|\sum_{n \in F} P_{n}(H)\right\|_{X} & =\sup _{r>0} \frac{r^{N / 2-3 / 4}}{\varphi(r) e^{r}} M_{2}\left(\sum_{n \in F} P_{n}(H), r\right) \\
& =\sup _{r>0} \frac{r^{N / 2-3 / 4}}{\varphi(r) e^{r}}\left(\sum_{n \in F} M_{2}^{2}\left(P_{n}(H), r\right)\right)^{1 / 2} \\
& \leq C \sup _{r>0} \frac{r^{N / 2-3 / 4}}{\varphi(r) e^{r}}\left(\sum_{n \in F} c_{N, j, n}^{2} r^{2(j+n)}\right)^{1 / 2} \\
& \leq C \sup _{r>0} \frac{r^{N / 2-3 / 4}}{\varphi(r) e^{r}}\left(\sum_{n \in F} \frac{1}{((n+j)!)^{2}(n+j+1)^{N-2}} r^{2(j+n)}\right)^{1 / 2} .
\end{aligned}
$$

By Lemma 1 we have that

$$
\left(\sum_{n=0}^{\infty} \frac{1}{(n!)^{2}(n+1)^{N-2}} r^{2 n}\right)^{1 / 2} \leq C \frac{e^{r}}{r^{N / 2-3 / 4}},
$$

which, as in the proof of Theorem 3, implies the unconditional convergence of $\sum_{n=0}^{\infty} P_{n}(H)$.
(b) We may assume that $\psi$ is monotonically decreasing. Let $h \in \mathcal{H}\left(\mathbb{R}^{N}\right)$ satisfy the given growth condition. We can write $h$ as

$$
h=\sum_{n=0}^{\infty} H_{n}, H_{n} \in \mathcal{H}_{n, N} .
$$

By orthogonality of this sum we have

$$
M_{2}(h, r)^{2}=\sum_{n=0}^{\infty} M_{2}\left(H_{n}, r\right)^{2} .
$$

In addition,

$$
\frac{\partial^{n}}{\partial x_{k}^{n}} h(0)=\sum_{j=0}^{\infty} \frac{\partial^{n}}{\partial x_{k}^{n}} H_{j}(0)=\frac{\partial^{n}}{\partial x_{k}^{n}} H_{n}(0) ;
$$

in view of [1, Lemma 1] we deduce that

$$
\left|\frac{\partial^{n}}{\partial x_{k}^{n}} h(0)\right| \leq n!\sqrt{d_{n, N}} r^{-n} M_{2}\left(H_{n}, r\right)
$$

where $d_{n, N}=\operatorname{dim} \mathcal{H}_{n, N}$. We note that $d_{n, N}=O\left(n^{N-2}\right)$, see [1] or [8, p.94]. Putting everything together we find that

$$
\sum_{n=0}^{\infty}\left|\frac{\partial^{n}}{\partial x_{k}^{n}} h(0)\right|^{2} \frac{1}{(n!)^{2} n^{N-2}} r^{2 n} \leq C \psi(r)^{2} \frac{e^{2 r}}{r^{N-3 / 2}}
$$

that is

$$
\sum_{n=0}^{\infty}\left|\frac{\partial^{n}}{\partial x_{k}^{n}} h(0)\right|^{2} \frac{r^{2 n+N-3 / 2} e^{-2 r}}{\psi(r)^{2}(n!)^{2} n^{N-2}} \leq C
$$

We can now argue exactly as in the proof of Theorem 4; note that the function $g(r)=\frac{r^{2 n+N-3 / 2} e^{-2 r}}{(n!)^{2} n^{N-2}}$ has its maximum at $a_{n}=n+N / 2-3 / 4$ with $g\left(a_{n}\right) \sim 1 / \sqrt{n}$ and an inflection point at $n+N / 2-3 / 4+\sqrt{n / 2+N / 4-3 / 8}$. We then obtain that

$$
\frac{1}{m} \sum_{n=0}^{m}\left|\frac{\partial^{n}}{\partial x_{k}^{n}} h(0)\right|^{2} \rightarrow 0
$$

so that $h$ cannot be frequently hypercyclic.
In a subsequent paper, Aldred and Armitage [2] studied rates of growth in terms of sup-norms on spheres, and they did this for arbitrary differentiation operators $D^{\alpha}$.

In order to formulate their results we need to introduce the constants $c_{N}$ given by

$$
c_{2}=1 ; \quad c_{N}=N\left(\prod_{j=1}^{N-1} \frac{(2 j)^{2 j}}{(2 j+1)^{2 j+1}}\right)^{1 /(2 N)} \quad, \quad N \geq 3 .
$$

Then $c_{N}>\sqrt{N / 2}$ for $N \geq 3$ and $c_{N}=\sqrt{N / 2}+o(1)$ as $N \rightarrow \infty$; see [2]. Aldred and Armitage then show that for any $\alpha \in \mathbb{N}_{0}^{N}, \alpha \neq 0$, there exists a harmonic function $h$ on $\mathbb{R}^{N}$ that is hypercyclic for $D^{\alpha}$ such that, for any $\varepsilon>0$, there is some $C_{\varepsilon}>0$ with

$$
|h(x)| \leq C_{\varepsilon} e^{\left(c_{N}+\varepsilon\right) r} \quad \text { for }\|x\|=r>0,
$$

while, for $\alpha=(1,1, \ldots, 1)$, there can be no $D^{\alpha}$-hypercyclic harmonic function $h$ that satisfies

$$
|h(x)| \leq C e^{c r} \quad \text { for }\|x\|=r>0
$$

for any $c<\sqrt{N / 2}$. Here, $\|x\|$ denotes the Euclidean norm of $x \in \mathbb{R}^{N}$.
We improve the positive part of this result in two directions: we strengthen the growth condition and we extend the result to frequent hypercyclicity. Note, however, that the result of Aldred and Armitage even covers a more general notion of universality.

Theorem 7. Let $\alpha \in \mathbb{N}_{0}^{N}, \alpha \neq 0$. Let $\varphi: \mathbb{R}_{+} \rightarrow \mathbb{R}_{+}$be a function such that $\varphi(r) / r^{p} \rightarrow \infty$ as $r \rightarrow \infty$ for any $p \geq 0$. Then there exists a harmonic function $h$ on $\mathbb{R}^{N}$ with

$$
|h(x)| \leq \varphi(r) e^{c_{N} r} \quad \text { for }\|x\|=r \text { sufficiently large }
$$

that is frequently hypercyclic for $D^{\alpha}$.
Proof. The proof follows the same lines as that of Theorem 6. Assuming, as usual, that $\inf _{r>0} \varphi(r)>0$, we consider the Banach space

$$
X=\left\{h \in \mathcal{H}\left(\mathbb{R}^{N}\right):\|h\|_{X}:=\sup _{r>0} \sup _{\|x\|=r} \frac{|h(x)|}{\varphi(r) e^{c_{N} r}}<\infty\right\}
$$

which is continuously embedded in $\mathcal{H}\left(\mathbb{R}^{N}\right)$, and we define continuous mappings

$$
T_{n}: X \rightarrow \mathcal{H}\left(\mathbb{R}^{N}\right), \quad T^{n}=\left.D^{n \alpha}\right|_{X}
$$

For $Y_{0}$ we consider the space of harmonic polynomials on $\mathbb{R}^{N}$. The mappings $S_{n}: Y_{0} \rightarrow X$ are defined by

$$
h=\sum_{j=0}^{m} H_{j} \mapsto \sum_{j=0}^{m} P_{n}\left(H_{j}\right),
$$

where $H_{j} \in \mathcal{H}_{j, N}$ and $P_{n}\left(H_{j}\right)$ denotes the unique polynomial $G_{j} \in \mathcal{H}_{j+n|\alpha|, N}$ with $D^{n \alpha} G_{j}=H_{j}$; see Lemma 2. It follows from [2, Lemma 4] that

$$
\sup _{\|x\|=r}\left|P_{n}\left(H_{j}\right)\right| \leq C \frac{n^{A}|\alpha|^{A}(j+1)^{(N-1) / 2}\left(c_{N} r\right)^{n|\alpha|}}{(n|\alpha|)!} \sup _{\|x\|=r}\left|H_{j}(x)\right|,
$$

where $A, C>0$ are constants depending only on $N$; we may assume that $A \in \mathbb{N}$.
Now, as in the proof of Theorem 6, the conditions of the Frequent Universality Criterion are satisfied in this setting; we need only note that here we have

$$
\begin{aligned}
\sup _{r>0} \frac{1}{\varphi(r) e^{c_{N} r}} & \sum_{n=L+1}^{\infty} C \frac{n^{A}|\alpha|^{A}(j+1)^{(N-1) / 2}\left(c_{N} r\right)^{n|\alpha|}}{(n|\alpha|)!} \sup _{\|x\|=r}\left|H_{j}(x)\right| \\
& \leq C \sup _{r>0} \frac{1}{\varphi(r) e^{c_{N} r}} \sum_{n=L+1}^{\infty} \frac{n^{A}\left(c_{N} r\right)^{n|\alpha|}}{(n|\alpha|)!} r^{j} \\
& \leq C \sup _{r>0} \frac{r^{j+A}}{\varphi(r) e^{c_{N} r}} \sum_{n=L+1}^{\infty} \frac{\left(c_{N} r\right)^{n|\alpha|-A}}{(n|\alpha|-A)!}
\end{aligned}
$$

where we have used the homogeneity of $H_{j}$.
Our previous result contains, in particular, the following.
Corollary 2. For every $\alpha \in \mathbb{N}_{0}^{N}, \alpha \neq 0$, the operator $D^{\alpha}$ is frequently hypercyclic on $\mathcal{H}\left(\mathbb{R}^{N}\right)$.

We complement Theorem 7 by giving lower estimates for possible growth rates, where we obtain rates in terms of $L^{2}$-norms. Note, however, that since

$$
M_{2}(h, r) \leq \sup _{\|x\|=r}|h(x)|
$$

the result is also true for $M_{\infty}$. For the same reason, Theorem 7 also gives a result in terms of $M_{2}$.

Theorem 8. Let $\alpha \in \mathbb{N}_{0}^{N}, \alpha \neq 0$. Let $\nu$ denote the number of non-zero $\alpha_{k}$ and

$$
\mu=\sqrt{\frac{|\alpha|}{2\left(\prod_{k=1}^{N} \alpha_{k}^{\alpha_{k}}\right)^{1 /|\alpha|}}} .
$$

If $\psi: \mathbb{R}_{+} \rightarrow \mathbb{R}_{+}$is any function with $\psi(r) \rightarrow 0$ as $r \rightarrow \infty$ then there is no $D^{\alpha}$-frequently hypercyclic harmonic function $h$ on $\mathbb{R}^{N}$ with

$$
M_{2}(h, r) \leq \psi(r) \frac{e^{\mu r}}{r^{(N+\nu-2) / 4}} \quad \text { for } r>0 \text { sufficiently large. }
$$

In particular, if $\alpha=(1,1, \ldots, 1)$, then there exists no $D^{\alpha}$-frequently hypercyclic function $h$ with

$$
M_{2}(h, r) \leq \psi(r) \frac{e^{\sqrt{N / 2} r}}{r^{(N-1) / 2}}
$$

Proof. By [22, Lemma 2.4] we have that

$$
M_{2}(h, r)^{2}=\Gamma(N / 2) \sum_{m=0}^{\infty} \frac{\left|\nabla_{m} h(0)\right|^{2}}{2^{m} m!\Gamma(m+N / 2)} r^{2 m}
$$

with

$$
\left|\nabla_{m} h\right|^{2}=m!\sum_{|\alpha|=m} \frac{\left|D^{\alpha} h\right|^{2}}{\alpha_{1}!\cdots \alpha_{N}!}
$$

Hence we obtain that

$$
\sum_{n=0}^{\infty} \frac{\left|D^{n \alpha} h(0)\right|^{2}}{2^{n|\alpha|} \Gamma(n|\alpha|+N / 2)\left(n \alpha_{1}\right)!\cdots\left(n \alpha_{N}\right)!} r^{2|n| \alpha} \leq C M_{2}(h, r)^{2}
$$

Using the definition of $\mu$ and $\nu$ we deduce that

$$
\sum_{n=0}^{\infty} \frac{\left|D^{n \alpha} h(0)\right|^{2}}{n^{(N+\nu-1) / 2}}\left(\frac{e \mu}{n|\alpha|}\right)^{2 n|\alpha|} r^{2 n|\alpha|} \leq C M_{2}(h, r)^{2}
$$

Now the proof can be completed as that for Theorem 4.

Remark 2. It is instructive to compare Theorem 8 with Theorem 6(b).
(a) First, Theorem 6(b) immediately extends to any operator $D^{\alpha}$ with $\alpha=$ $(0, \ldots, 0, \nu, 0, \ldots, 0), \nu \geq 1$, because any function that is frequently hypercyclic for such a $D^{\alpha}$ is also frequently hypercyclic for some $\frac{\partial}{\partial x_{k}}$. Even more is true; one can show that Theorem 6(b) holds with the same growth rate for an arbitrary operator $D^{\alpha}, \alpha \neq 0$. One need only repeat the proof, noting that

$$
D^{n \alpha} \sum_{j=0}^{\infty} H_{j}(0)=\sum_{j=0}^{\infty} D^{n \alpha} H_{j}(0)=D^{n \alpha} H_{n|\alpha|}(0)
$$

and that $[1$, Lemma 1$]$ is valid for arbitrary $D^{\alpha}$.
(b) Together with Theorem 8 we now have two lower estimates for growth rates for arbitrary operators $D^{\alpha}$. In some cases, Theorem 6(b) gives the better growth estimate (for example, when $\alpha$ has exactly one non-zero entry), in others Theorem 8 gives the better estimate (for example, if $\alpha=(1,1, \ldots, 1), N \geq 3$ ).

## 5 Frequently hypercyclic harmonic functions for translation opera-

 tors. We finally consider translation operators$$
T_{a} f(x)=f(x+a), a \neq 0
$$

on spaces of harmonic functions. The hypercyclicity of these operators was obtained by Dzagnidze [20]. An alternative proof is due to Armitage and Gauthier [6] (see also [4, Section 11]); using their approach we can show that the translation operators are even frequently hypercyclic.

Theorem 9. Every translation operator $T_{a}, a \neq 0$, is frequently hypercyclic on $\mathcal{H}\left(\mathbb{R}^{N}\right)$.

Proof. By [11, Lemma 2.2], see also [15, Lemma 2.5], there are pairwise disjoint sets $A(l, \nu) \subset \mathbb{N}, l, \nu \geq 1$, of positive lower density such that

$$
|n-m| \geq \nu+\mu \quad \text { for } n \in A(l, \nu), m \in A(k, \mu), n \neq m
$$

Let $\left(P_{l}\right)_{l \geq 1}$ be a dense sequence of harmonic polynomials in $\mathbb{R}^{N}$. We define a function $g$ by

$$
g(x)=P_{l}(x-n a) \quad \text { for } x \in B(n a, \nu\|a\| / 2), n \in A(l, \nu), l, \nu \geq 1,
$$

where $B(b, \rho)=\left\{x \in \mathbb{R}^{N}:\|x-b\| \leq \rho\right\}$.
Then $g$ and $F=\bigcup_{n=1}^{\infty} B(n a, \nu\|a\| / 2)$ satisfy the conditions of Theorem 1.1 of $[7]$. Thus there exists a harmonic function $f$ on $\mathbb{R}^{N}$ such that

$$
|f(x)-g(x)|<\frac{1}{1+\|x\|} \quad \text { for } x \in F
$$

This implies that, for $n \in A(l, \nu), l, \nu \geq 1$,

$$
\sup _{\|x\| \leq \nu\|a\| / 2}\left|f(x+n a)-P_{l}(x)\right| \rightarrow 0 \quad \text { as } n \rightarrow \infty
$$

Since each set $A(l, \nu)$ has positive lower density, $f$ is frequently hypercyclic for $T_{a}$.

Armitage [5] has proved that if $\phi:[0, \infty) \rightarrow(0, \infty)$ is a continuous increasing function such that $(\log \phi(r)) /(\log r)^{2} \rightarrow \infty$ as $r \rightarrow \infty$, then there exists a harmonic function $f$ on $\mathbb{R}^{N}$ that is hypercyclic for $T_{a}$ such that

$$
|f(x)| \leq \phi(\|x\|) \quad \text { for } x \in \mathbb{R}^{N}, \text { and } \quad \limsup _{x \rightarrow \infty} \frac{f(x)}{\phi(\|x\|)}=1
$$

In particular, there are hypercyclic harmonic functions of order 0 . However, the methods of [5] do not seem to be adaptable to the study of frequent hypercyclicity of $T_{a}$.

6 Problems To end this paper we formulate several open problems.
(1a) Complete the diagram following Theorem 4.
(1b) Even for $p=2$ there remains a problem: Does there exist a $D$-frequently hypercyclic entire function $f$ that satisfies

$$
M_{2}(f, r) \leq C \frac{e^{r}}{r^{1 / 4}} \quad \text { for } r>0 ?
$$

(2a) Obtain the analogue of Theorem 6 for rates of growth in terms of $L^{p_{-}}$ norms $M_{p}(h, r)$.
(2b) Does there exist a $\frac{\partial}{\partial x_{k}}$-frequently hypercyclic harmonic function $h$ on $\mathbb{R}^{N}$ such that

$$
M_{2}(h, r) \leq C \frac{e^{r}}{r^{N / 2-3 / 4}} \quad \text { for } r>0 \text { ? }
$$

(3) Find an optimal result on the possible rates of growth (in the ordinary sense, or in terms of $\left.M_{p}, 1 \leq p<\infty\right)$ of harmonic functions that are hypercyclic or frequently hypercyclic for $D^{\alpha}$. Aldred and Armitage [2] conjecture that, for hypercyclicity and sup-norms, exponential type $\sqrt{N / 2}$ (instead of the larger $\left.c_{N}\right)$ is possible.
(4) Obtain (optimal) results on the possible rates of growth of harmonic functions that are frequently hypercyclic for $T_{a}, a \neq 0$.

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